

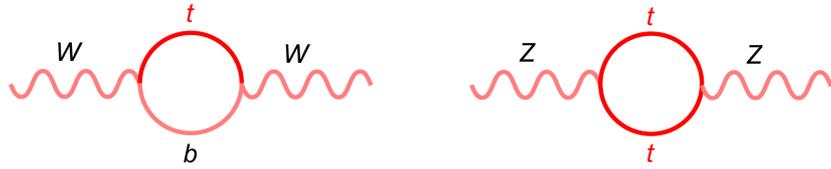
# TOP-QUARK MEASUREMENTS AT THE LHC

## Results from 2010/2011 and Prospects for the Next Years

Sebastian Naumann-Emme (DESY)  
on behalf of the ATLAS and CMS Collaborations  
3<sup>rd</sup> LC-Forum Meeting  
Hamburg, 2012-02-08

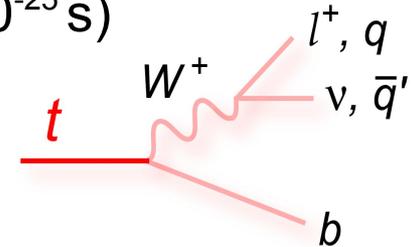
# The Top Quark: A Unique Particle

- Heaviest known particle (173 GeV)
- Dominant contribution to radiative corrections for processes within the SM (Higgs) and beyond



- Special role in electroweak-symmetry breaking?  
Yukawa coupling  $\approx 1$
- Several models predict new particles preferentially coupling to top quarks

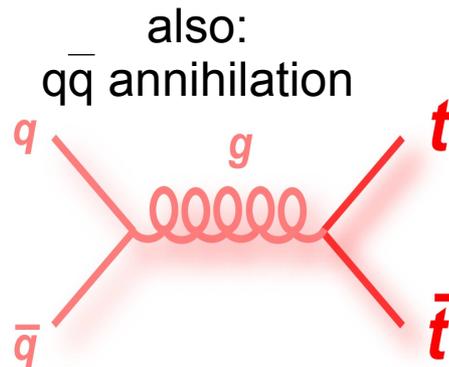
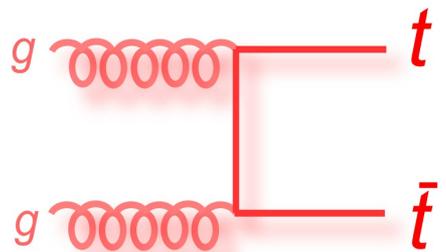
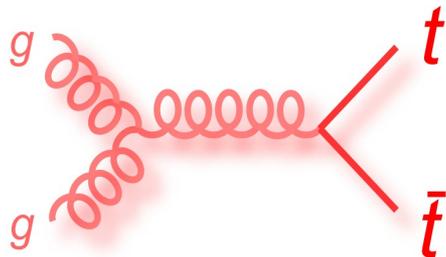
- Decays into  $Wb$  before hadronizing ( $5 \cdot 10^{-25}$  s)



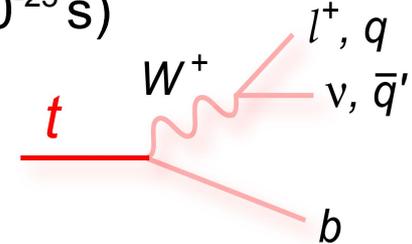
- Allows to study a “bare” quark (e.g.: spin information passed to its decay products)

# The Top Quark: Production and Decay

- Heaviest known particle (173 GeV)
- At the LHC: mainly produced in pairs from gg fusion



- Decays into Wb before hadronizing ( $5 \cdot 10^{-25}$  s)



- Events classified according to W decays

$c\bar{s}$	electron+jets	muon+jets	tau+jets	all-hadronic	
$u\bar{d}$					
$\tau^-$	$e\tau$	$\mu\tau$	$\tau\tau$	tau+jets	
$\mu^-$	$e\mu$	$\mu\mu$	$\mu\tau$	muon+jets	
$e^-$	$ee$	$e\mu$	$e\tau$	electron+jets	
$W^-$ decay	$e^+$	$\mu^+$	$\tau^+$	$u\bar{d}$	$c\bar{s}$

- Single top quarks produced via electroweak interaction (but with much smaller S/B)

# Top-Quark Measurements

- Cross section for  $t\bar{t}$  production:
  - Lepton+jets, dileptonic, fully-hadronic channels
- Properties:
  - Charge Asymmetry
  - Spin correlations
  - W helicity fractions
  - Mass
  - Charge
- Cross sections for production of single-top quarks:
  - s- and t-channel, associated  $tW$  production
- Searches:
  - FCNC,  $m_{t\bar{t}}$  resonances, ...

Most results shown today from  
0.7 fb<sup>-1</sup> (ATLAS) and 1.1 fb<sup>-1</sup> (CMS) of data,  
all of them taken at  $\sqrt{s} = 7$  TeV



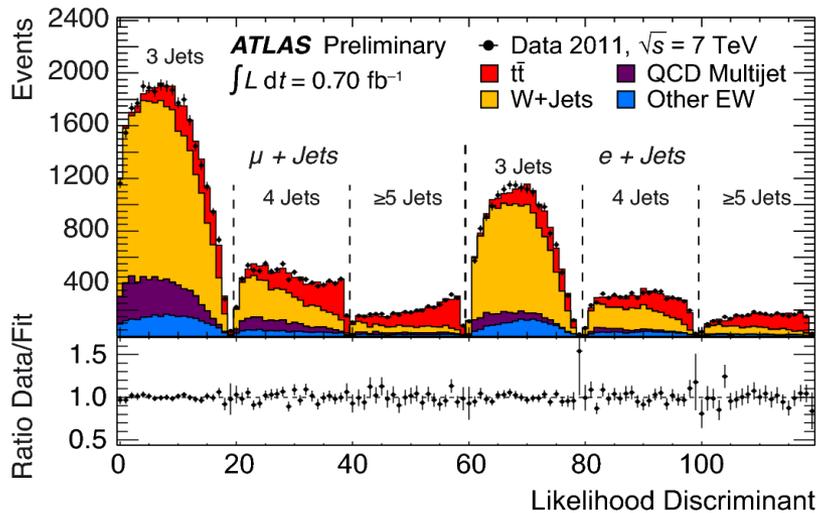
# Pair Cross Section from the Lepton+Jets Channel

Both experiments: e+jets and  $\mu$ +jets events; categorization according to jet multiplicities; profile likelihood (systematics as nuisance parameters, i.e. data itself used to constrain the uncertainties)

**ATLAS:**

CONF-2011-121

Fit to multivariate discriminant;  
3,4, $\geq 5$  jets, no b-tag information



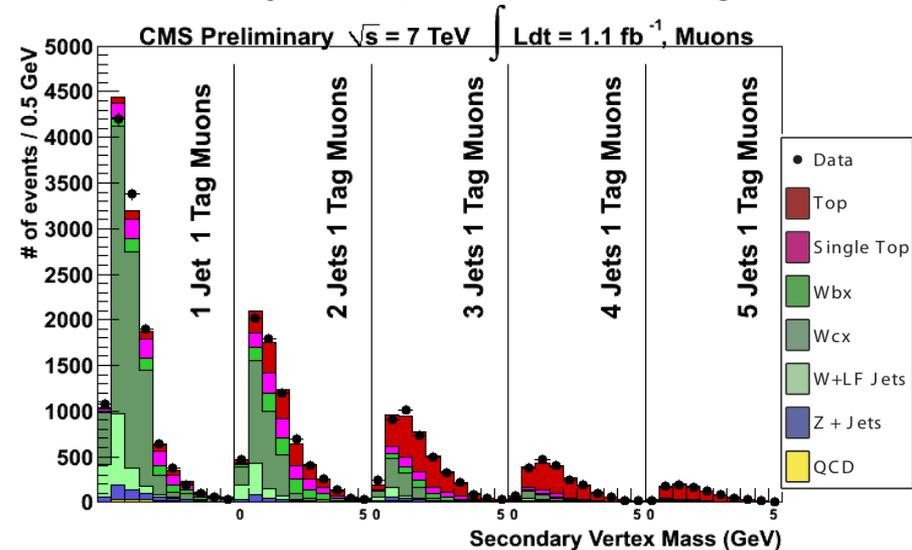
$$\sigma_{t\bar{t}} = 179.0 \pm 3.9 (\text{stat}) \pm 9.0 (\text{syst}) \pm 6.6 (\text{lumi}) \text{ pb}$$

$$\delta\sigma/\sigma = 6.6\% \text{ from } 0.7 \text{ fb}^{-1}$$

**CMS:**

PAS-TOP-11-003

Fit to secondary-vertex mass;  
1,2,3,4, $\geq 5$  jets; split into 1, $\geq 2$  tags



$$\sigma_{t\bar{t}} = 164.4 \pm 2.8 (\text{stat.}) \pm 11.9 (\text{syst.}) \pm 7.4 (\text{lum.}) \text{ pb}$$

$$\delta\sigma/\sigma = 8.7\% \text{ from } 0.8\text{-}1.1 \text{ fb}^{-1}$$



# Pair Cross Section from the Dileptonic Channel (ee, μμ, eμ)

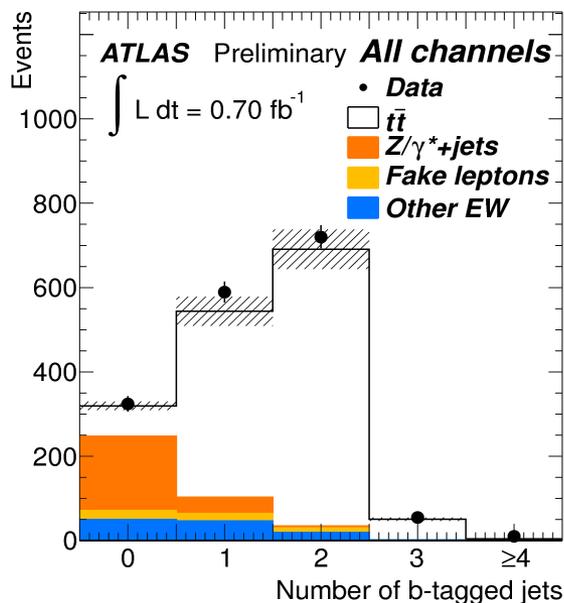
- Cut-and-count measurements
- Requiring significant MET for ee and μμ; vetoing Z-mass region
- Very pure  $t\bar{t}$  sample after requiring at least 1 b-tagged jet

**ATLAS:** CONF-2011-100 (0.7 fb<sup>-1</sup>)

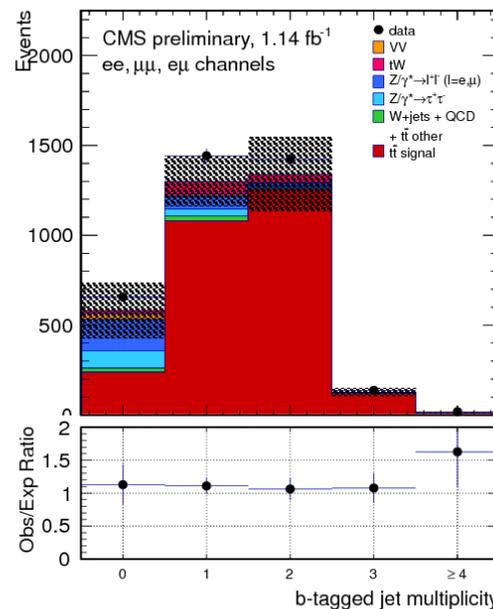
$$\sigma_{t\bar{t}} = 183 \pm 6(\text{stat.})_{-14}^{+18}(\text{syst.})_{-7}^{+8}(\text{lum.}) \text{ pb}$$

**CMS:** PAS-TOP-11-005 (1.1 fb<sup>-1</sup>)

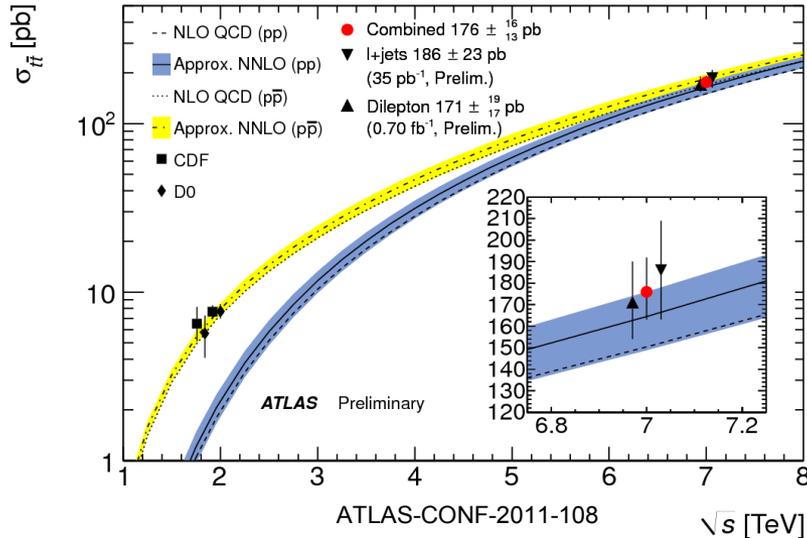
$$\sigma_{t\bar{t}} = 169.9 \pm 3.9(\text{stat.}) \pm 16.3(\text{syst.}) \pm 7.6(\text{lumi.}) \text{ pb}$$



$\delta\sigma/\sigma \approx 11\%$

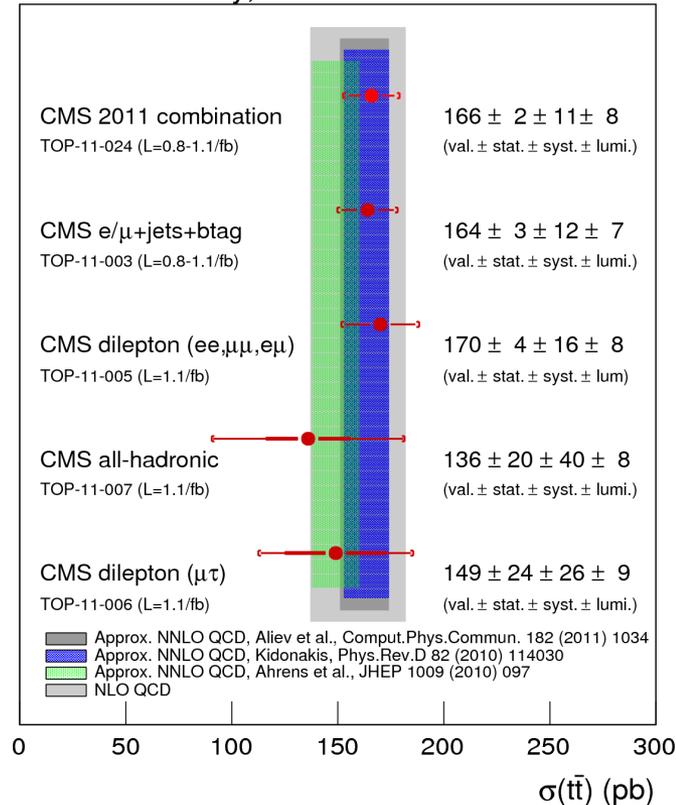


# Summary of Pair Cross Sections and Comparison to Theory



ATLAS-CMS  
combination  
in preparation

CMS Preliminary,  $\sqrt{s}=7 \text{ TeV}$



- All channels:  $\delta(\text{stat}) < \delta(\text{syst})$
- Consistent results between ATLAS and CMS
- Measurements agree with approx. NNLO QCD
- Uncertainties from lepton+jets and dileptonic channels already at same level as uncertainties of theory predictions
- Fully-hadronic and  $\mu\tau$  channels confirm fermion universality and are important benchmarks for many searches



# Differential Cross Sections

Allow for precise tests of perturbative QCD and constraints on BSM effects

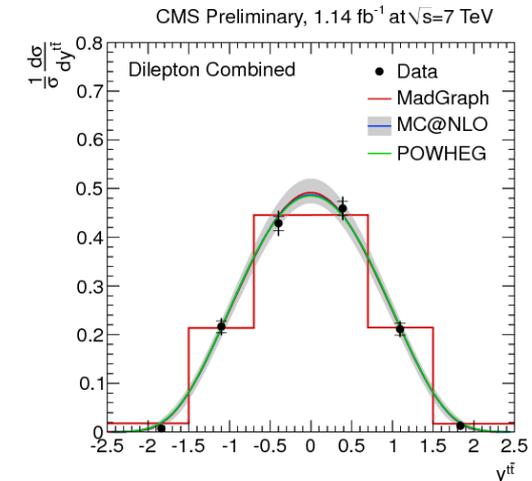
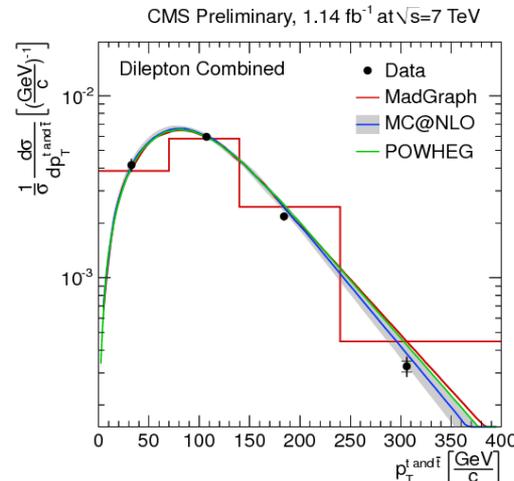
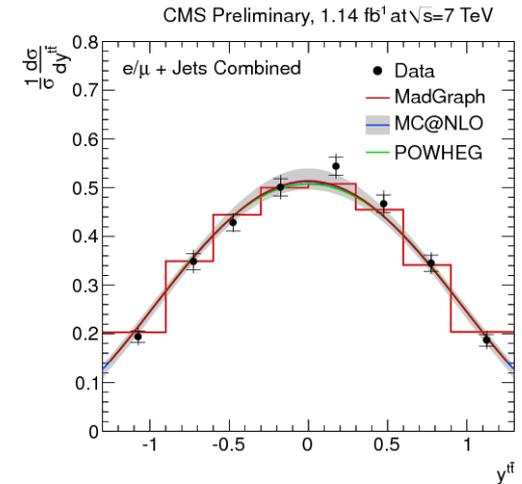
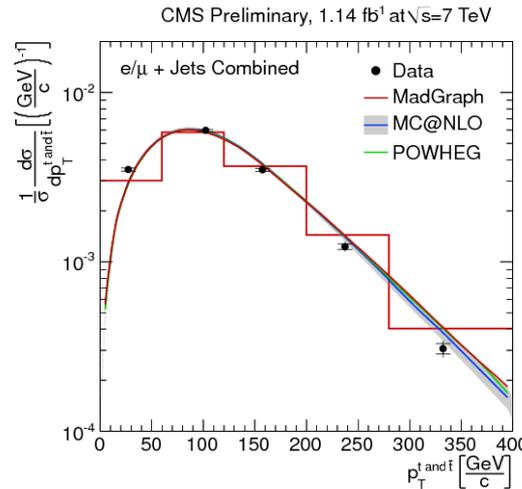
**CMS:** PAS-11-013

Normalized differential cross sections in lepton+jets and dileptons as a function of...

- $p_T(l), \eta(l), p_T(l\bar{l}), m(l\bar{l})$
- $p_T(t/t\bar{t}), y(t/t\bar{t})$
- $p_T(tt\bar{t}), y(tt\bar{t})$
- $m(tt\bar{t})$

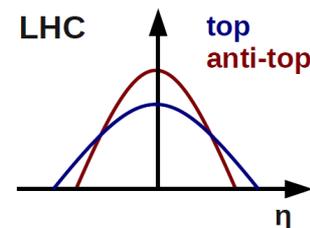
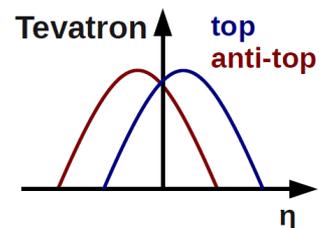
Good Agreement with SM (including NLO models) so far

Higher-order predictions only available for some distributions



# $t\bar{t}$ Charge Asymmetry

➤  $q\bar{q} \rightarrow t\bar{t}$ : (anti-)top quarks preferably emitted in direction of (anti-)quark; small NLO effect! not present at LO and not in  $gg \rightarrow t\bar{t}$

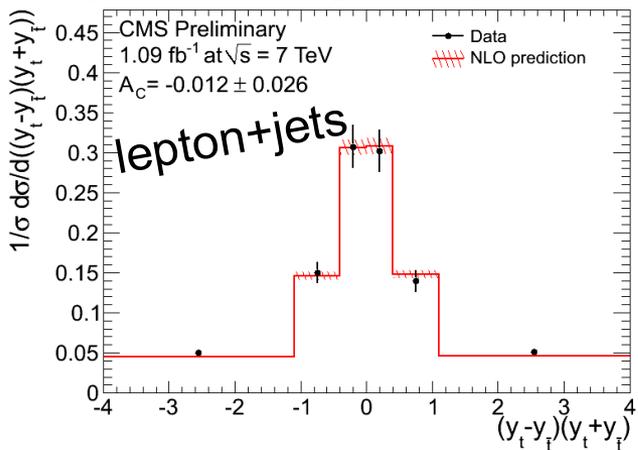


➤  $t\bar{t}$  production via new exchange bosons could enhance the asymmetry

➤ Tevatron: asymmetry higher than predicted by SM; especially at high  $m_{t\bar{t}}$

➤ LHC: symmetric initial state; but quarks have higher momentum than anti-quarks; central-peripheral instead of forward-backward asymmetry

➤ Measurement: likelihood technique to reconstruct  $t$  and  $\bar{t}$  from decay products; get asymmetry from unfolded distribution of sensitive variable



**CMS:** [PAS-TOP-11-014](#) ( $1.1 \text{ fb}^{-1}$ )

$$A_C^\eta = -0.016 \pm 0.030 \text{ (stat.)}_{-0.019}^{+0.010} \text{ (syst.)} \quad A_C^\eta(\text{theo.}) = 0.013 \pm 0.001$$

$$A_C^y = -0.013 \pm 0.026 \text{ (stat.)}_{-0.021}^{+0.026} \text{ (syst.)} \quad A_C^y(\text{theo.}) = 0.011 \pm 0.001$$

**ATLAS:** [CONF-2011-106](#) ( $0.7 \text{ fb}^{-1}$ )

$$A_C = -0.024 \pm 0.016 \text{ (stat.)} \pm 0.023 \text{ (syst.)} \quad \text{SM prediction: } 0.006$$



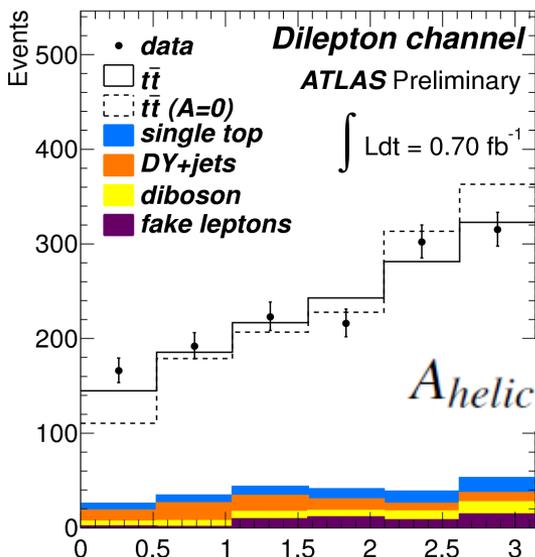
# $t\bar{t}$ Spin Correlations / W Polarization

- >  $t\bar{t}$  unpolarized but spins correlated
- > Short lifetime: no spin flip before decay; correlation handed over

- > Correlation strength:

$$A = \frac{N_{like} - N_{unlike}}{N_{like} + N_{unlike}} = \frac{N(\uparrow\uparrow) + N(\downarrow\downarrow) - N(\uparrow\downarrow) - N(\downarrow\uparrow)}{N(\uparrow\uparrow) + N(\downarrow\downarrow) + N(\uparrow\downarrow) + N(\downarrow\uparrow)}$$

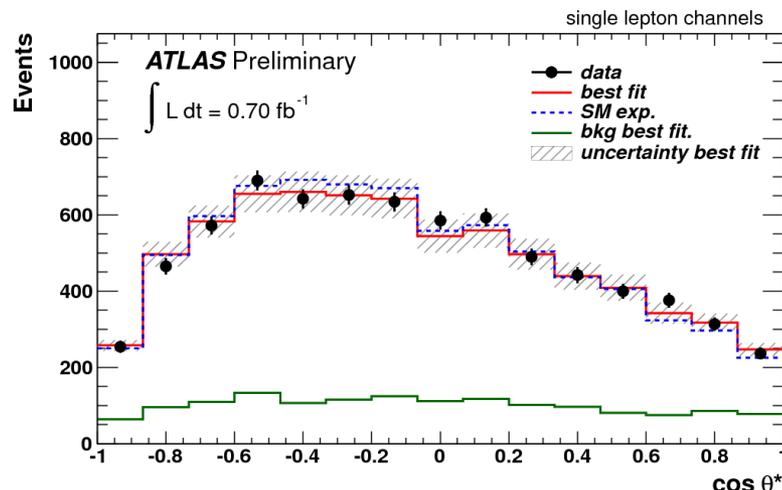
ATLAS: CONF-2011-117 (0.7 fb<sup>-1</sup>)



Template fit to  $\Delta\phi(l\bar{l})$  in dileptonic channel

- > W polarization can be obtained from  $\Theta^*$ : angle between lepton and b-quark in the W rest frame

ATLAS: CONF-2011-122 (0.7 fb<sup>-1</sup>)  
lepton+jets and dileptons



- > Extract helicity fractions  $F_0, F_L, F_R$ ; constrain anomalous Wtb coupl.

Different regime and quantization axis than Tevatron; complementary test of the spin!



# Top-Quark Mass via Ideogram/Template Method

**CMS:** [PAS-TOP-10-009](#) l+jets (36 pb<sup>-1</sup>)

- Kinematic fit with lepton, MET and 4 leading jets
- Include all 12 jet combinations and 2 neutrino solutions in final likelihood, assigning weights according to number of b-tags and  $\chi^2$  of kinematic fit

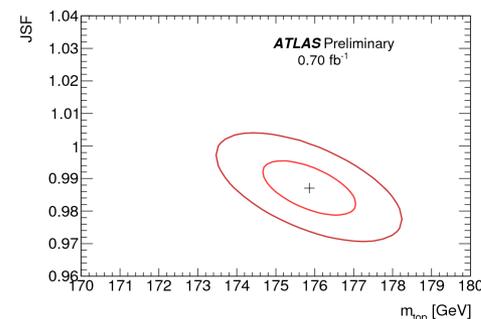
$$\mathcal{L}_{event}(x|m_t, f_{t\bar{t}}) = f_{t\bar{t}} P_{t\bar{t}}(x|m_t) + (1 - f_{t\bar{t}}) P_{bkg}(x)$$

- Ideograms calibrated using MC samples with varied  $m_t$

$$m_t = 173.1 \pm 2.1(\text{stat})_{-2.5}^{+2.8}(\text{syst}) \text{ GeV.}$$

**ATLAS:** [CONF-2011-120](#) l+jets (0.7 fb<sup>-1</sup>)

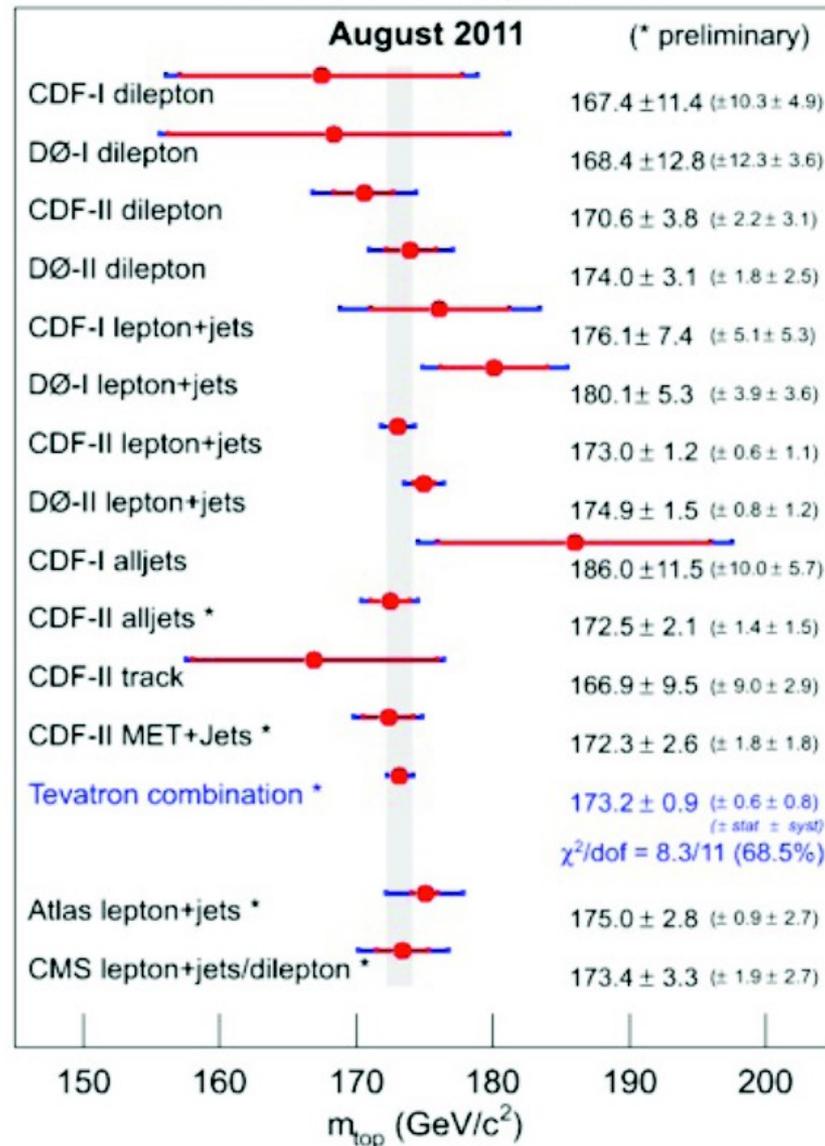
- Reconstruct hadronic decay branch: combine all possible light-jet pairs with a tagged jet, choose combination with max.  $p_T$
- MC templates for shape of  $m_{jjb}$  and  $m_{jj}$  with varied  $m_t$  and jet energy; simultaneously fit  $m_t$  and jet scale factor in data:



$$m_t = 175.9 \pm 0.9(\text{stat}) \pm 2.7(\text{syst}) \text{ GeV}$$



# Top-Quark Mass: Comparison to Tevatron



Courtesy of Frédéric Deliot

ATLAS-CMS combination in preparation

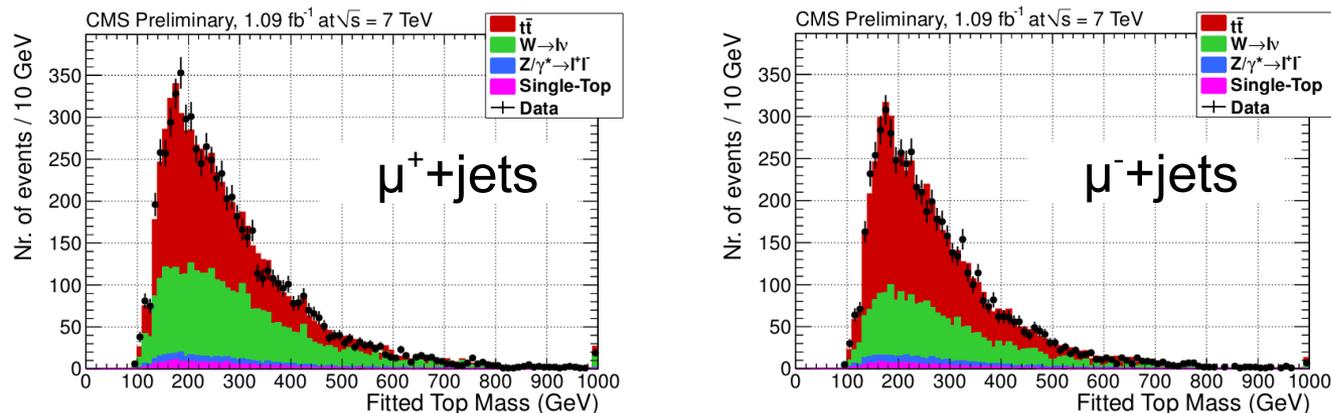


# $t\bar{t}$ Mass Difference

- Test of CPT invariance: particle and anti-particle must have same mass
- $2\sigma$  deviation recently reported by CDF

CMS: [PAS-TOP-11-019](#) ( $1.1 \text{ fb}^{-1}$ )

- Ideogram method (with modified kinematic fitter)
- Compare fitted masses from hadronic side of  $\mu^+$ +jets and  $\mu^-$ +jets events



$$\Delta m_t^{\text{measured}} = -1.20 \pm 1.21 \text{ (stat)} \pm 0.47 \text{ (syst)} \text{ GeV}$$

- Consistent with SM ( $\Delta m=0$ ) and already more precise than Tevatron
- Still statistically limited (uncertainties from jet energy largely cancel)



# Top-Quark Mass from the Cross Section

Mass-dependence of predicted cross section allows extracting  $m_t$  from measured cross section

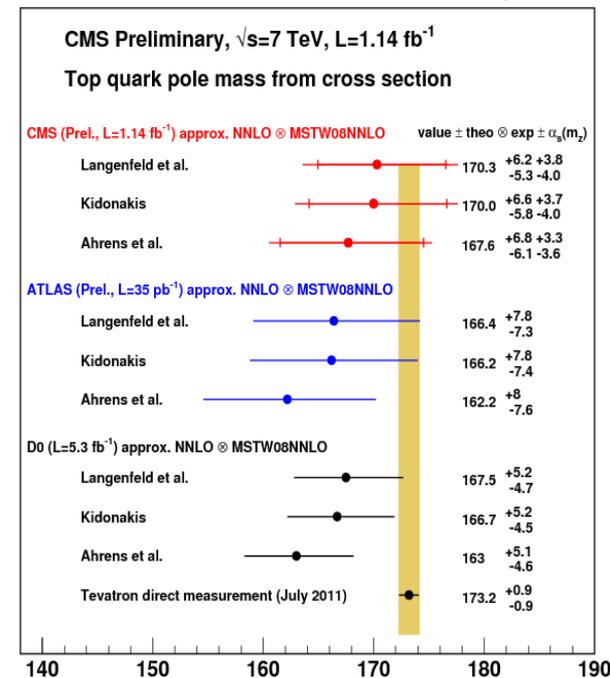
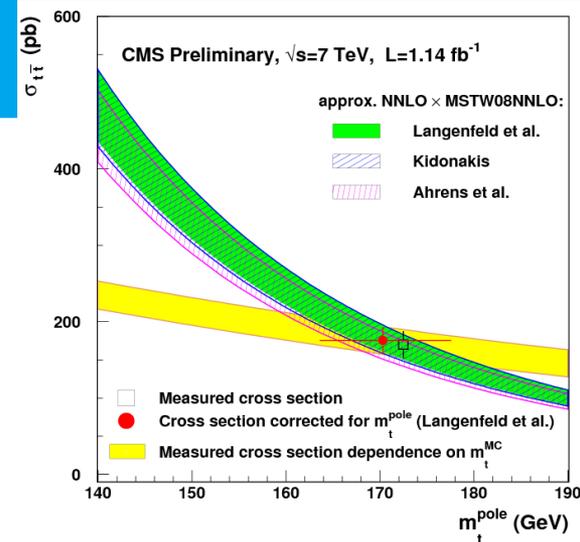
- Most-probable mass results from joint likelihood: theory  $\otimes$  experiment
- 3 different predictions at approximate NNLO
- Unambiguous mass definitions! → More than cross check for direct mass measurements

**ATLAS:** [CONF-2011-054](#)

Using lepton+jets cross section from  $35 \text{ pb}^{-1}$ ; extracting pole masses

**CMS:** [PAS-TOP-11-008](#)

Using dileptonic cross section from  $1.1 \text{ fb}^{-1}$ ; extracting masses in pole and  $\overline{\text{MS}}$  scheme; testing different proton PDFs; including  $\alpha_s$  uncert.



# Top-Quark Charge and $t\bar{t}\gamma$ Production

➤ SM top-quark decay:  $t^{(2/3)} \rightarrow b^{(-1/3)} + W^{(+1)}, W^+ \rightarrow \ell^+ + \nu_\ell$

Possible exotic charge:  $\tilde{t}^{(-4/3)} \rightarrow b^{(-1/3)} + W^{(-1)}, W^- \rightarrow \ell^- + \bar{\nu}_\ell$

ATLAS: [CONF-2011-141](#)

Using lepton+jets events (e or  $\mu$ ; large MET; 4 jets; 1 b-tag) in  $0.7 \text{ fb}^{-1}$ ; charge of W from the lepton; charge of b-quark either from summing weighted charges of tracks in the jet or from charge of soft muon in jet

Exotic charge of -4/3 excluded at more than 5 std. dev. (as  $D\emptyset$  and CDF)

➤ Measuring  $\sigma_{t\bar{t}\gamma}$  (or  $\sigma_{t\bar{t}\gamma}/\sigma_{t\bar{t}}$ ) will give a direct handle on  $Q_t$  (for known  $\alpha_{em}$ )

ATLAS: [CONF-2011-153](#)

L+jets events (e or  $\mu$ ; large MET; 4 jets; 1 b-tag) that have an isolated photon with  $p_T > 8 \text{ GeV}$ : 122 candidate events in  $1.0 \text{ fb}^{-1}$  ( $f_{sig} \approx 38\%$ )

$\sigma_{t\bar{t}\gamma} \cdot BR = 2.0 \pm 0.5 \text{ (stat.)} \pm 0.7 \text{ (syst.)} \pm 0.08 \text{ (lumi.) pb}$  [SM pred.:  $2.1 \pm 0.4 \text{ pb}$ ]

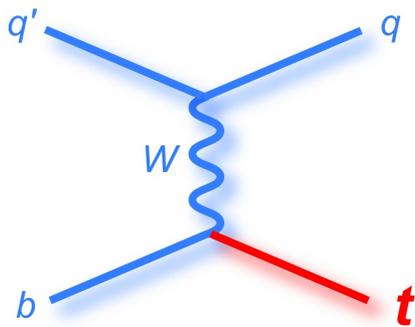
Observed (expected) significance: 2.7 (3.0) standard deviations



# Production of Single Top Quarks

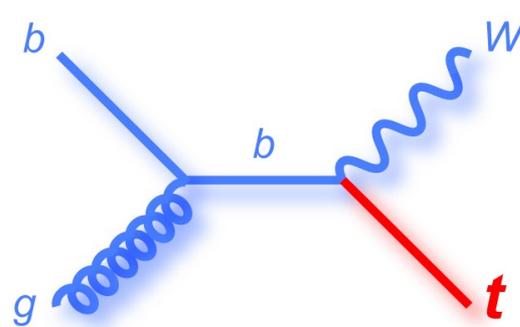
- > 3 production modes for single top quarks:

t-channel



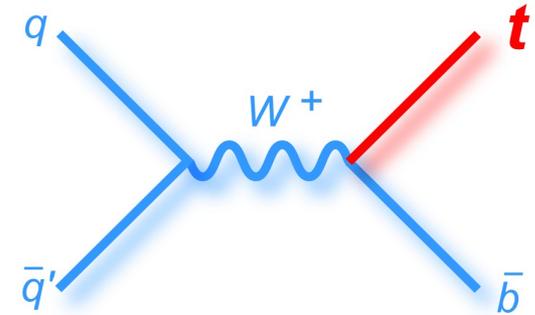
$65 \pm 3$  pb

associated tW production



$16 \pm 1$  pb

s-channel



$4.6 \pm 0.3$  pb

[Approx. NNLO predictions]

- > Smaller cross section than pair prod.; higher backgrounds (fewer jets)
- > s-channel particularly challenging!

Observed (expected) limit from ATLAS-CONF-2011-118 with  $0.7 \text{ fb}^{-1}$ :  
 $\sigma_s < 26.5$  (20.5) pb at 95% CL

# Single Top Quarks in the t-Channel

**CMS:** [TOP-10-008](#)

- Requiring e or  $\mu$ ; reasonable  $m_T(W)$ ; 2 or 3 jets; 1 b-tag
- Combination of 2D measurement with angular variables and multivariate analysis ( $36 \text{ pb}^{-1}$ ):

$$83.6 \pm 29.8(\text{stat} + \text{syst}) \pm 3.3(\text{lumi}) \text{ pb}$$

Observed (expected) significance:  
3.5 (2.9) standard deviations

- Testing unitarity of CKM matrix:

$$|V_{tb}| = \sqrt{\frac{\sigma^{\text{exp}}}{\sigma^{\text{th}}}} = 1.14 \pm 0.22(\text{exp}) \pm 0.02(\text{th})$$

when assuming  $|V_{td}|, |V_{ts}| \ll |V_{tb}|$ ; and

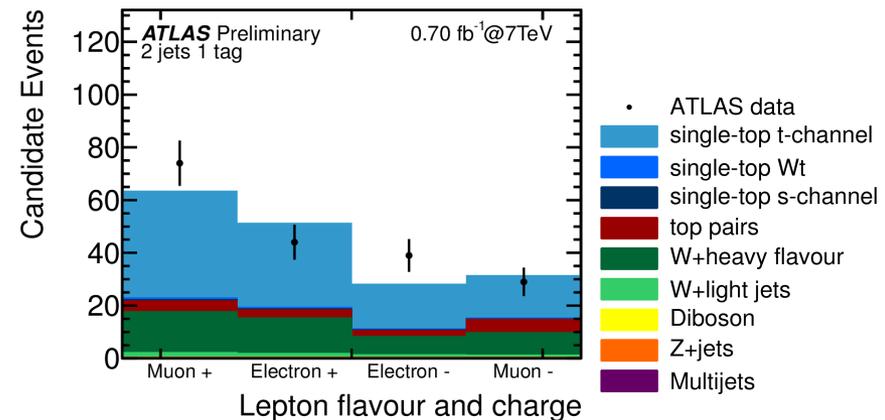
$$|V_{tb}| > 0.62 \text{ at } 95\% \text{ CL when } 0 \leq |V_{tb}|^2 \leq 1$$

**ATLAS:** [CONF-2011-101](#)

- Requiring e or  $\mu$ ; significant MET; 2 or 3 jets; 1 b-tag
- Cut-and-count method ( $0.7 \text{ fb}^{-1}$ ):

$$\sigma_t = 90_{-9}^{+9}(\text{stat})_{-20}^{+31}(\text{syst}) = 90_{-22}^{+32} \text{ pb}$$

Observed (expected) significance:  
7.6 (5.4) standard deviations



# Single Top Quarks from Associated $tW$ Production

- Event signature very similar to  $t\bar{t}$
- Both experiments look for dileptonic events: 2 opposite-sign leptons; Z-mass veto for  $ee$  and  $\mu\mu$ ; MET; 1 jet
- Cut-and-count measurements

**ATLAS:** [CONF-2011-104](#)

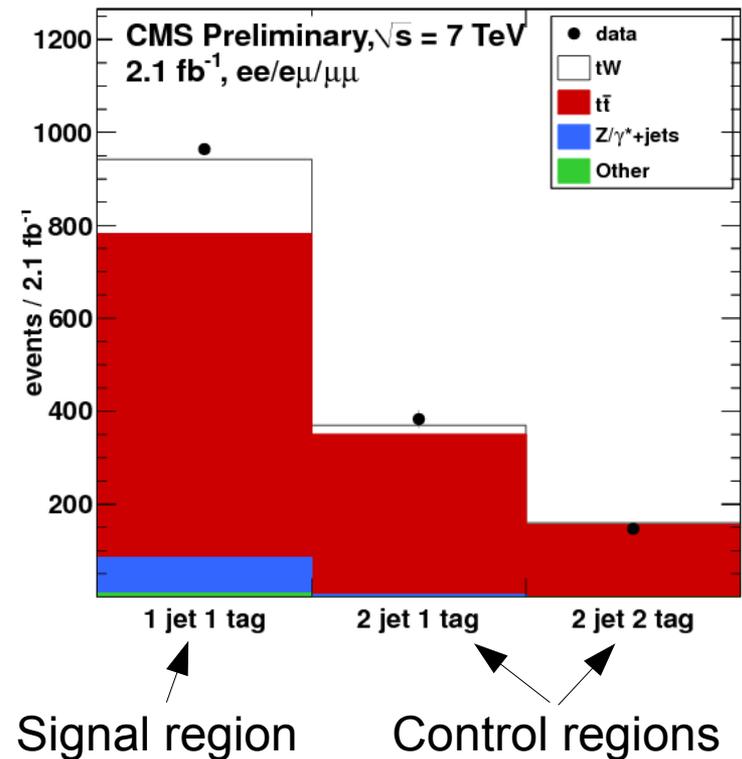
No use of b-tagging

Observed (expected) limit from  $0.7 \text{ fb}^{-1}$ :  
 $\sigma_{tW} < 39.1 \text{ (} 40.6 \text{)} \text{ pb at } 95\% \text{ CL}$

**CMS:** [PAS-TOP-11-022](#)

From  $2.1 \text{ fb}^{-1}$ :  $\sigma_{tW} = 22^{+9}_{-7} \text{ (stat+syst) pb}$

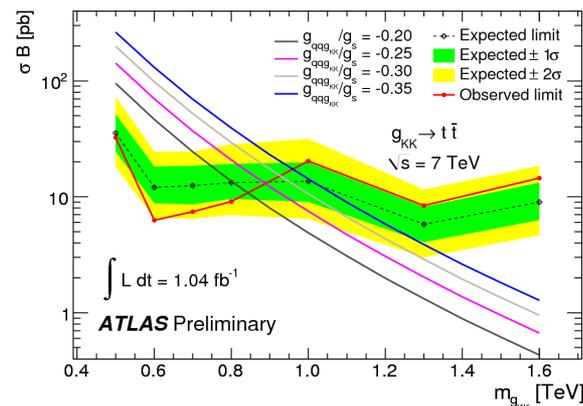
Observed (expected) significance:  
2.7 (1.8) standard deviations



- Numerous  $t\bar{t}$ -related searches already carried out by ATLAS and CMS (mainly in lepton+jets and dileptonic channel)

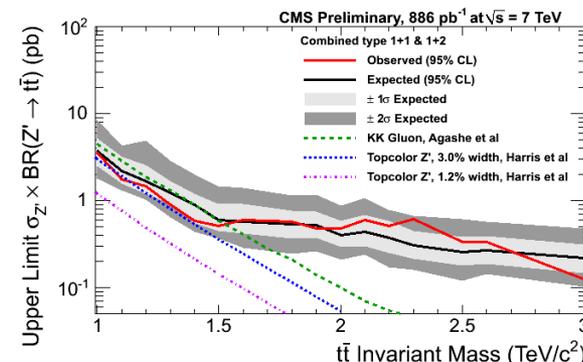
Examples:

- $Z'$ ; heavy gluons (like Kaluza-Klein)
- FCNC; same-sign  $t\bar{t}$  pairs



- In some cases, can use standard  $t\bar{t}$  selection & reconstruction techniques and, e.g., “simply” look for resonances in the  $m_{t\bar{t}}$  spectrum

- Some processes result in high boost of  $t\bar{t}$  system: whole top-quark decay contained within a single jet  $\rightarrow$  need special algorithms (sub-jets, top-tagging)



- No hints for new physics found so far; improving limits



- Work on the main systematics
- New/additional measurements
- Increased center-of-mass energy
- Increased beam intensities / collision rates



2012:  $15 \text{ fb}^{-1}$  at  $\sqrt{s} = 8 \text{ TeV}$  ?

2015: switch to  $\sqrt{s} = 13 \text{ TeV}$  ?

2017:  $150 \text{ fb}^{-1}$  accumulated ?

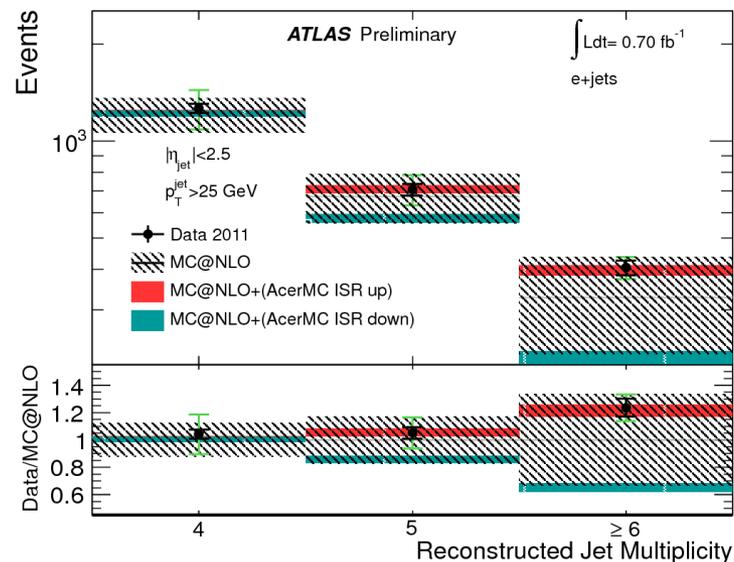
# Prospects: Main Systematic Uncertainties

- Large statistics: will reduce uncertainties of data-driven estimates for, e.g., backgrounds and allow for tighter selections (increased purity)
- Jet energy scale and b-tagging: already better than originally expected; precision will still improve;  $t\bar{t}$  events themselves helping

- Modeling uncertainties (ISR/FSR, matching scales, etc.): data itself will constrain parameters

Example (ATLAS-CONF-2011-42):  
Measuring  $t\bar{t}$ +jets as a function of jet multiplicity allows testing ISR model

- Proton PDF: (differential)  $t\bar{t}$  cross sections should constrain the gluon at high  $x$ ;  
b-quark component could be tested with single top quarks



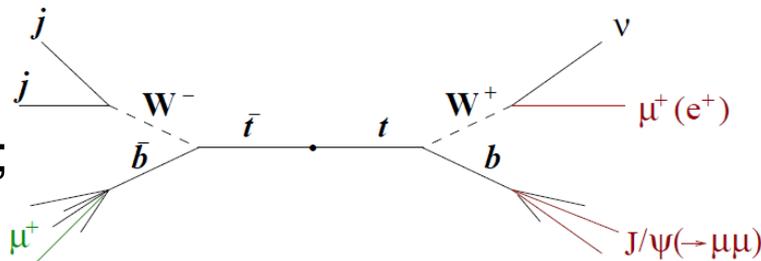
# Prospects: Additional Measurements

- Large data sets will allow for many new differential measurements and access to rare  $t\bar{t}X$  production modes
- Measurements of the top-quark mass:

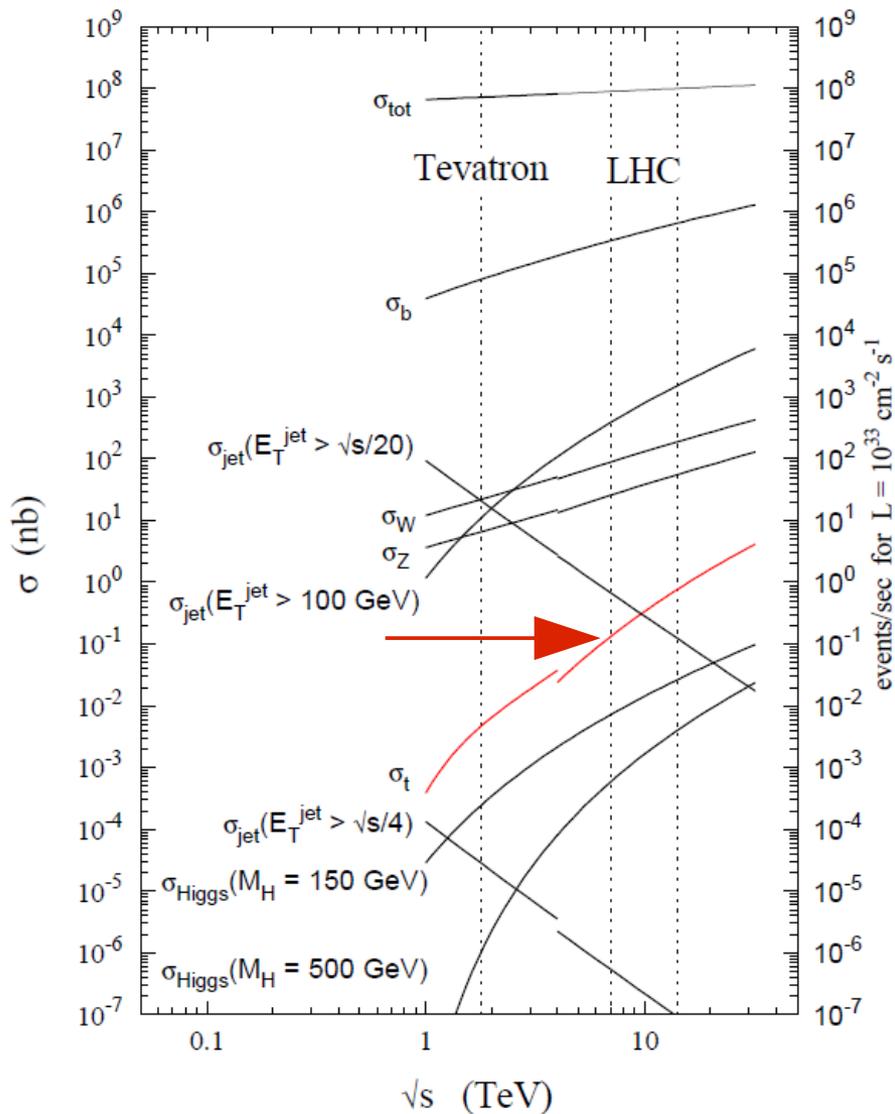
Might become competitive with Tevatron but will be very hard to do better

Some techniques not yet used (at the LHC):

- Lepton  $p_T$ : extracted  $m_t$  closer to pole mass ( $W$  emitted before QCD enters the game)? statistics limited at the Tevatron
- b-Hadron decay length: At the Tevatron, systematics dominated by background estimates (had to use lepton+jets with lower purity to get enough statistics)
- $M(l, J/\psi)$ : low rate but very clean; not used at Tevatron;  $\sim 900$  events in  $10 \text{ fb}^{-1}$  at 7 TeV; small systematics expected (CMS Physics TDR II)



# Prospects: Increased Center-of-Mass Energy



- Running at higher  $\sqrt{s}$  less crucial for SM  $t\bar{t}$  than for Higgs and BSM searches but still helps
- Compared to 7 TeV,  $\sigma_{t\bar{t}}$  increases by 43% when going to 8 TeV and by factors of  $\sim 5$  for 13 or 14 TeV
- Main backgrounds (e.g. W+jets) increase less steeply  $\rightarrow$  better S/B
- In addition, could take advantage of different dependencies on  $\sqrt{s}$ : simultaneously fit data at different energies with background ratios  $\sigma_{\text{bkg}}(E)/\sigma_{\text{bkg}}(E')$  constrained to SM prediction



# Prospects: Increased Intensities / Rates

- Total trigger rates of the experiments have to be kept stable:

Increased interaction rates require to tighten trigger thresholds and/or use more complex triggers, combining different trigger objects like leptons, jets, b-tags and MET (“cross triggers”)

Problematic mainly for fully-hadronic channels (and  $\tau$ )

- Already in 2011, up to 30 pile-up interactions per event (9 on average); might get  $> 40$  pile-up interactions soon ( $\sim 25$  on average?)

Pile-up degrades jet and MET resolutions as well as lepton, vertex-finding and b-tag efficiencies

However, first studies suggest manageable impact on top-quark measurements

For example: reconstructed top-quark mass depends linearly on number of reconstructed vertices  $\rightarrow$  easy to correct for

Remaining losses in resolution / sensitivity compensated by gain in integrated luminosity, i.e. signal statistics



# Summary and Conclusions

- Top quarks offer unique possibilities
  - to test QCD and electroweak predictions
  - to look for new physics
- The LHC has started to be a real “top factory”
- Huge physics program: cross sections, properties, searches
- Many results already competitive with Tevatron
- Effort on improving the systematics (e.g. data-driven profiling techniques)
- Large statistics will allow tradeoff for the benefit of systematics and enable several new measurements
- Encouraging prospects for the upcoming LHC running periods



# Further Reading

<https://twiki.cern.ch/twiki/bin/view/AtlasPublic/TopPublicResults>

<https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsTOP>



# Pair Cross Section from the $\mu\tau$ Channel

- > Selecting  $\mu\tau$  events with hadronic  $\tau$  decays
- > Requiring at least 1 b-tagged jet and opposite charge of  $\mu$  and  $\tau$
- > Main challenge: determination of  $\tau$  fake rates and ID efficiency

ATLAS: [CONF-2011-119](#)

Cutting on a multivariate discriminant (BDT)

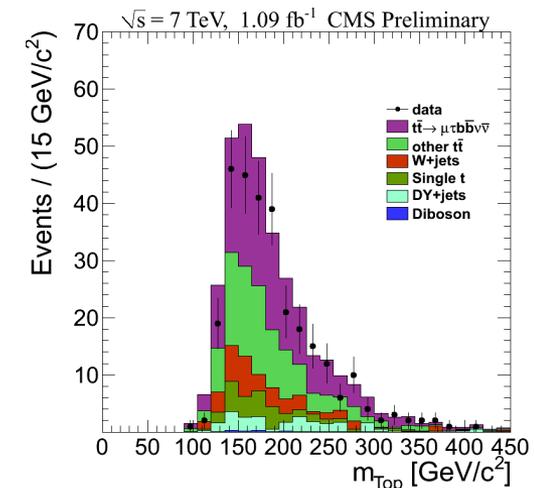
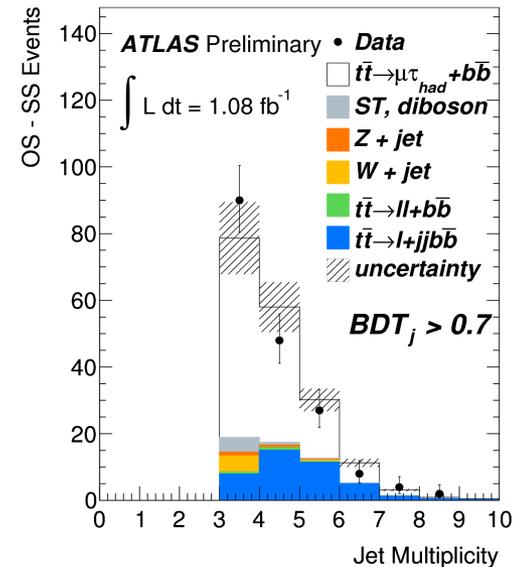
$$\sigma_{t\bar{t}} = 142 \pm 21 \text{ (stat.)} \pm_{16}^{20} \text{ (syst.)} \pm 5 \text{ (lumi.) pb}$$

$$\delta\sigma/\sigma = 21\% \text{ from } 1.1 \text{ fb}^{-1}$$

CMS: [PAS-TOP-11-006](#)

$$\sigma_{t\bar{t}}^{\tau-dil} = 148.7 \pm 23.6 \text{ (stat.)} \pm 26.0 \text{ (syst.)} \pm 8.9 \text{ (lumi.) pb}$$

$$\delta\sigma/\sigma = 24\% \text{ from } 1.1 \text{ fb}^{-1}$$



# Pair Cross Section from the Fully-Hadronic Channel

- Requiring 2 b-tagged jets before performing a kinematic fit to test the  $t\bar{t}$  hypothesis
- Shapes of resulting  $\chi^2$  (ATLAS) and  $m_t$  (CMS) distributions used to determine the signal fraction
- Main challenge: background modeling (estimate QCD at high jet multiplicities from data)

**ATLAS:** [CONF-2011-140](#)

$$\sigma(pp \rightarrow t\bar{t}) = 167 \pm 18 \text{ (stat.)} \pm 78 \text{ (syst.)} \pm 6 \text{ (lum.) pb}$$

$$\delta\sigma/\sigma = 48\% \text{ from } 1.0 \text{ fb}^{-1}$$

**CMS:** [PAS-TOP-11-007](#)

$$\sigma_{t\bar{t}} = 136 \pm 20 \text{ (stat.)} \pm 40 \text{ (syst.)} \pm 8 \text{ (lumi.) pb}$$

$$\delta\sigma/\sigma = 33\% \text{ from } 1.1 \text{ fb}^{-1}$$

