

# Rare Kaon and Hyperon Decays from Lattice QCD

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- Direct experimental results are described very well by the Standard Model of Particle Physics
- SM doesn't explain Matter-Antimatter asymmetry, Dark Matter, Neutrino masses, and is incompatible with General Relativity

## Where is new physics?

- Search for BSM physics is the interplay between experiment and theory
- Two main types of searches: Precision and rare processes

# Search for New Physics

## Precision searches

- Look for tiny deviations from SM
- Need very high experimental precision
  - many events
  - highly probable channels
- Need very robust SM predictions
  - QCD often makes this hard
- Examples: Muon anomalous magnetic moment,  $K^+ \rightarrow \mu^+ \nu$  and  $\pi^+ \rightarrow \mu^+ \nu$  decays, etc.

Can we find the pea under the mattresses?



# Search for New Physics

## Rare/Forbidden processes

- Look for relatively large deviations from tiny SM background
- Remove SM background entirely by breaking SM symmetries:  
e.g.  $K^+ \rightarrow \pi^- \mu^+ \mu^+$ ,  $K \rightarrow \mu^+ e^-$ , etc.
- SM suppression by vanishing at tree-level:  
e.g. Flavour Changing Neutral Currents



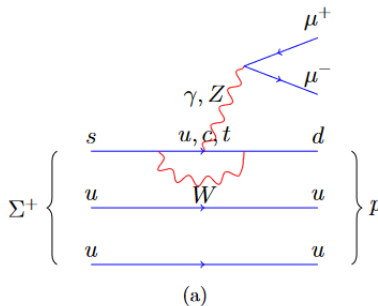
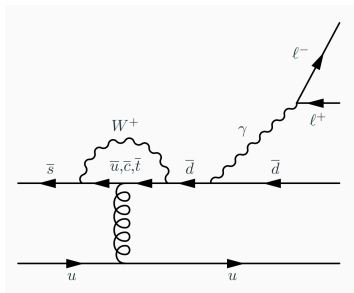
## Many interesting FCNCs

- $K_{L,S} \rightarrow \ell^+ \ell^-$
- $K \rightarrow \pi \ell^+ \ell^-$
- $K \rightarrow \pi \nu \bar{\nu}$
- $\Sigma^+ \rightarrow p \ell^+ \ell^-$
- $\Sigma^+ \rightarrow p \nu \bar{\nu}$
- $D \rightarrow \pi \ell^+ \ell^-$
- $\Lambda_c \rightarrow p \ell^+ \ell^-$
- $B \rightarrow K^{(*)} \ell^+ \ell^-$
- etc.

Can we find the pea hidden in the grass?

# Rare K and $\Sigma$ Decays

- Focus on  $K^{+/\bar{S}} \rightarrow \pi^{+/\bar{0}} \ell^+ \ell^-$  and  $\Sigma^+ \rightarrow p \ell^+ \ell^-$
- Dominated by intermediate virtual photon  $\gamma^* \rightarrow \ell^+ \ell^-$
- Complicated by low energy QCD  $\rightarrow$  Non-perturbative methods



- Future ambition to also compute  $D \rightarrow \pi \ell^+ \ell^-$

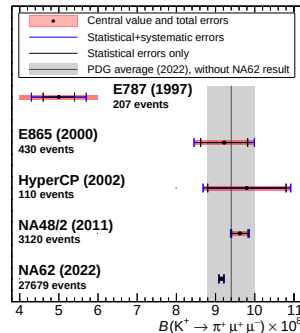
# Rare K Decay Experiment

There are several different channels in  $K \rightarrow \pi \ell^+ \ell^-$ :

- $K^+ \rightarrow \pi^+ \mu^+ \mu^-$  most precise: latest result from NA62  
 $\mathcal{B} = (9.15 \pm 0.08) \times 10^{-8}$

- $K^+ \rightarrow \pi^+ e^+ e^-$  measured by NA48  
 $\mathcal{B} = (3.11 \pm 0.12) \times 10^{-7}$

- $K_S^0 \rightarrow \pi^+ \mu^+ \mu^-$  and  $K_S^0 \rightarrow \pi^+ e^+ e^-$  observed at NA48.  
Much less precise  
 $\mathcal{B} = (2.9_{-1.2}^{+1.5} \pm 0.2) \times 10^{-9}$  and  $(5.8_{-2.4}^{+2.9}) \times 10^{-9}$



[hep-ex 2209.05076]

- $K_L^0 \rightarrow \pi^0 \ell^+ \ell^-$  not observed. Bounds set by KTeV at Fermilab  
 $\mathcal{B} < 3.8 \times 10^{-10}$  and  $< 2.8 \times 10^{-10}$

# Rare K Decay Phenomenology

$$K^+ \rightarrow \pi^+ \ell^+ \ell^- \text{ and } K_S \rightarrow \pi^0 \ell^+ \ell^-$$

- $\mathcal{B} = C_{1\gamma} a_{+,S}^2$
- Dominated by CP conserving intermediate  $\gamma^* \rightarrow \ell^+ \ell^-$
- Non-perturbative physics determined by parameters  $a_+$  and  $a_S$
- Experiment  $a_+^{\text{exp}} = -0.575(13)$ , theory  $a_+^{\text{SM}} = -1.59(8)$

$$K_L \rightarrow \pi^0 \ell^+ \ell^-$$

- $\mathcal{B} = (C_{2\gamma} + C_{\text{dir}} + C_{\text{ind}} a_S^2 \pm C_{\text{int}} a_S)$
- $C_{2\gamma}$  : CP conserving  $\gamma^* \gamma^* \rightarrow \ell^+ \ell^-$
- $C_{\text{dir}}$  : Direct CP violation (short distance)
- $C_{\text{ind}}$  : Indirect CP violating ( $K^0 - \bar{K}^0$  mixing  $\times K_S \rightarrow \pi^0 \ell^+ \ell^-$ )
- $C_{\text{int}}$  : Interference between direct and indirect CP violation

Need to know sign of  $a_S$  to disentangle interference vs new physics

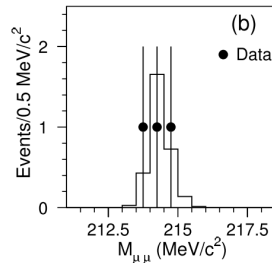
# Rare $\Sigma$ Decay Experiment

First observed by HyperCP: [[hep-ex/0501014](#)]

- 3 events seen

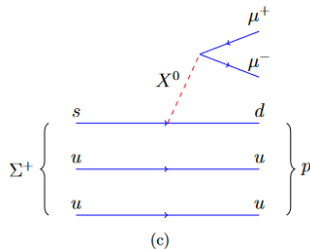
$$\mathcal{B}(\Sigma^+ \rightarrow p\mu^+\mu^-)_{\text{HCP}} = 8.6_{-5.4}^{+6.6} \pm 5.5 \times 10^{-8}$$

- HyperCP anomaly: possible new particle  
 $\Sigma^+ \rightarrow p\chi^0 \rightarrow p\chi^0 \rightarrow \mu^+\mu^-$  with  $m_{\chi^0} \simeq 214$  MeV?



Lots of attention from the BSM theory community

- $\chi^0$  scalar or pseudoscalar?
- Sgoldstino?
- Light Higgs?
- Secluded  $U(1)$ ?





# Rare $\Sigma$ Decay Experiment

Later measured at LHCb: [\[hep-ex/1712.08606\]](#)

- $\simeq 10$  events

$$\mathcal{B}(\Sigma^+ \rightarrow p\mu^+\mu^-)_{\text{LHCb}} = 2.2^{+1.8}_{-1.3} \times 10^{-8}$$

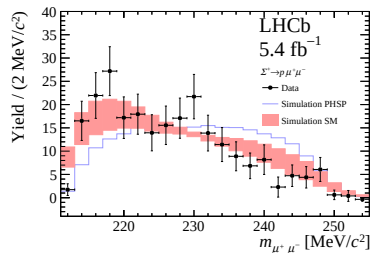
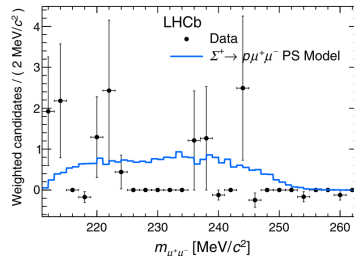
Recent observation at LHCb: [\[hep-ex/2504.06096\]](#)

- $\simeq 240$  events

$$\mathcal{B}(\Sigma^+ \rightarrow p\mu^+\mu^-)_{\text{LHCb}} = 1.09 \pm 0.17 \times 10^{-8}$$

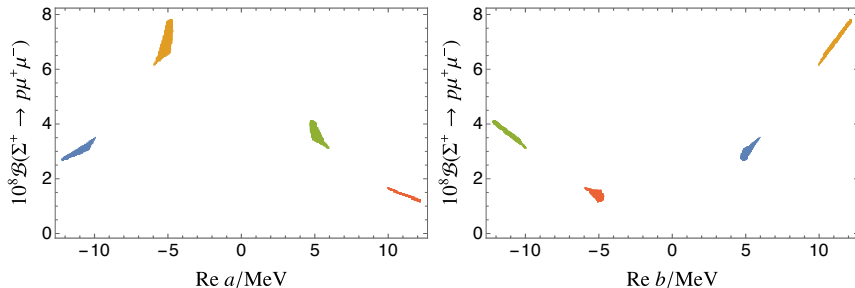
- Currently working on additional measurements
  - + angular observables
  - + CP violation
  - +  $e^+e^-$  mode

No evidence of the HyperCP anomaly



# Rare $\Sigma$ Decay Phenomenology

- Spin degree of freedom gives access to extra BSM operators
- SM prediction uses combination of experimental input ( $\Sigma^+ \rightarrow p\gamma$ ), Vector Meson Dominance, Baryon  $\chi_{PT}$
- 4-fold ambiguity from experimental input



[hep-ph 2404.15268]

Need ab initio non-perturbative methods  $\rightarrow$  Lattice QCD

# Lattice QCD

Need to regulate infinite, continuous QCD problem to put it onto a computer

- Discretize space-time
- Restrict to finite size lattice
- Define discretisation of quarks  $\psi$  and gluons  $U_\mu$

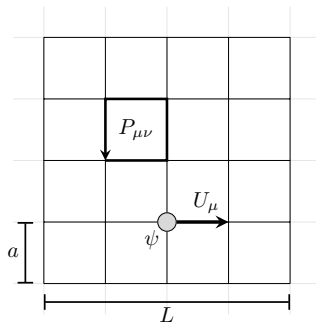
Compute observables on the lattice  $\mathcal{O}(a, L)$

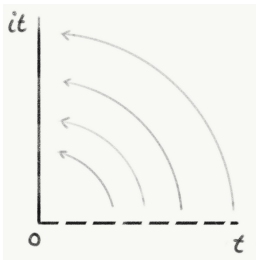
Recover QCD by taking the infinite volume and continuum limits

$$\mathcal{O}_{\text{QCD}} = \lim_{a \rightarrow 0} \lim_{L \rightarrow \infty} \mathcal{O}(a, L)$$

Also cheaper to compute with unphysical pion mass

Then take  $m_\pi \rightarrow m_\pi^{\text{phys}}$  limit





- Wick rotation  $t \rightarrow it$  : Euclidean spacetime

$$\int \mathcal{D}\psi \dots e^{iS_M} \rightarrow \int \mathcal{D}\psi \dots e^{-S_E}$$

- Use Monte Carlo Markov Chain to compute path integral
- Propagation in time  $e^{iEt}$  becomes decaying (or growing)  $e^{-Et}$

Need to get back to Minkowski quantity:

- Trivial for many quantities: e.g. hadrons masses
- Non-trivial for non-local matrix elements like rare decays (problematic growing exponentials)
- $K$  and  $\Sigma$  decays can be done manually
- Heavier decays like  $D \rightarrow \pi \ell^+ \ell^-$  need alternative methods: e.g. numerical inverse Laplace transform  $\rightarrow$  Can other fields help?

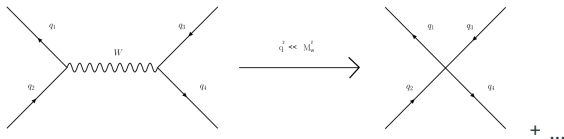
# Electroweak Interactions on the Lattice

Can we simulate the full SM on the lattice? **No!**

- Practical problem: Would need  $L \gg m_\pi^{-1}$  and  $a \ll \sqrt{G_F}$   
 $(L/a)^4 \gg 10^{13}$  lattice sites. Currently limits  $128^3 \times 256 \sim 5 \times 10^8$
- Theoretical problem: EW is a chiral gauge theory  
Fermion discretisations generally break chiral symmetry

Instead we work in Low-Energy Effective Field Theory

→ integrate out heavy degrees of freedom

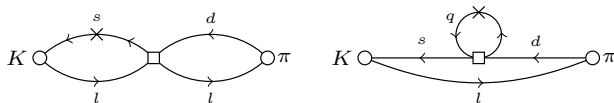


Compute matrix elements of these operators in pure QCD

## Rare K and $\Sigma$

Amplitude to calculate (very similar for  $\Sigma$  decay)

$$\begin{aligned}\mathcal{A}_\mu &= \int d^4x \langle \pi | T \{ H_W(x) J_\mu(0) \} | K \rangle \\ &= i \int_0^\infty d\omega \frac{1}{2\omega} \frac{\langle \pi | J_\mu | \omega \rangle \langle \omega | H_W | K \rangle}{\omega - E_\pi} + i \int_0^\infty d\omega \frac{1}{2\omega} \frac{\langle \pi | H_W | \omega \rangle \langle \omega | J_\mu | K \rangle}{\omega - E_K}\end{aligned}$$



etc.

Quark loops diagrams are problematic

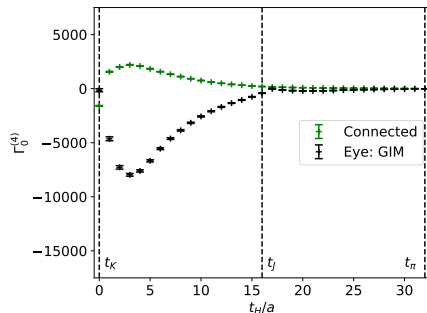
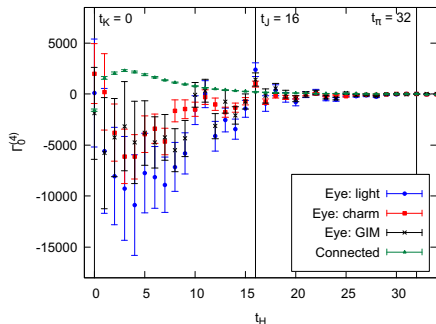
- Need to take the trace of gigantic ( $\sim 10^9 \times 10^9$ ) matrix  $\text{Tr}[D^{-1}]$
- Done stochastically: recent developments have had massive improvement

Baryons also have a signal-to-noise problem  $\rightarrow$  even harder than kaon decay

# Rare K and $\Sigma$ Calculations

|                                        | Method    | Exploratory | Physical  | Improvement           |
|----------------------------------------|-----------|-------------|-----------|-----------------------|
| $K \rightarrow \pi \ell^+ \ell^-$      | 2015      | 2016        | 2022 [RH] | Analysis ongoing [RH] |
| $\Sigma \rightarrow \pi \ell^+ \ell^-$ | 2022 [RH] | 2025 [RH]   |           |                       |

- $K \rightarrow \pi \ell^+ \ell^-$  stochastic loop noise blows up as  $m_\pi \rightarrow m_\pi^{\text{phys}}$
- Unexpectedly loop noise dominates in  $\Sigma \rightarrow \pi \ell^+ \ell^-$  at  $m_\pi = 340$  Mev
- $\Sigma \rightarrow \pi \ell^+ \ell^-$  large cancellation between two time orderings
- Improved stochastic loop estimator



Big question to answer:

- **Where is all the new physics?**

Rare FCNC decays have potential to answer this

- Current theory methods struggle to make robust predictions in some areas
- Need first principles non-perturbative calculations → Lattice QCD

Lattice still has challenges to overcome

- Stochastic loop noise → even better estimators?
- Unexpected cancellations → can we reformulate the problem
- Baryon signal-to-noise problem → would have massive benefit to many lattice calculations
- Developments towards numerically undoing Wick rotation / inverse Laplace transform → lattice has made progress in recent years

**Does your field have any solutions?**