



Photosensor studies

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Overview

Choice of photosensor

- Crucial properties
- Influence of sensor properties on detector behavior
- Photosensor testing facility in Munich
- SiPMs: dark count
- Alternative photosensor types

Optical Module for PMTs

- Design
- Pressure withstanding encapsulations
- Light concentrators: effective area increase

Summary + possible topics for discussion

The background is a complex 3D rendering. It features a series of concentric, curved layers of small, golden, sphere-like objects that create a tunnel-like effect, receding into the distance. The spheres are arranged in a grid-like pattern that follows the curvature of the layers. At the bottom of the image, there is a dark, reflective surface that mirrors the golden structures above it, with some ripples and light reflections. The overall color palette is dominated by dark blues and greys, with the golden spheres providing a strong contrast.

Choice of photosensor

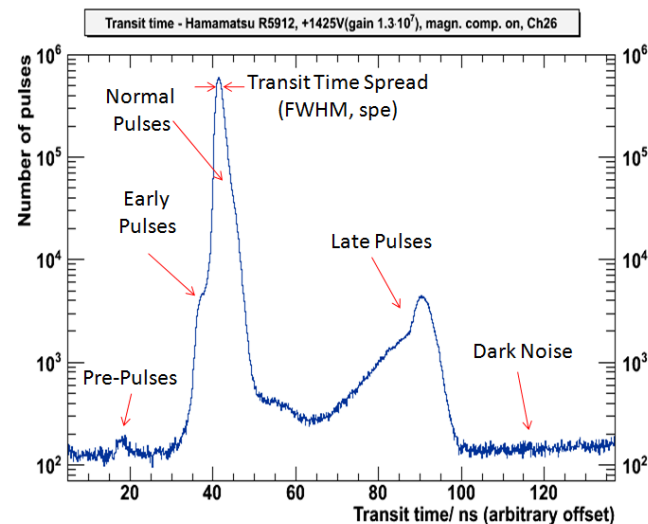
Crucial photosensor properties

Property	Requirement
Timing uncertainty (single photoelectrons(spe), FWHM)	<3.0ns
Early pulses	<1%
Late pulses	<4%
Quantum efficiency @420nm	>21%
Optical coverage, using 1.75x light concentrators	30%
Dynamic range	spe→0.3pe/cm ²
Gain (PMTs)	>3·10 ⁶
Peak-to-valley ratio (spe)	>2
Dark count	< 15Hz/cm ²
Slow afterpulses (0.2-200μs)	<5%
Fast afterpulses (0-200ns)	<5%
Pressure resistance	>13bar
²³⁸ U content	< 3·10 ⁻⁸ g/g
²³² Th content	< 1·10 ⁻⁸ g/g
^{nat} K content	< 2·10 ⁻⁵ g/g
Lifetime	>30y

probably needs to be increased

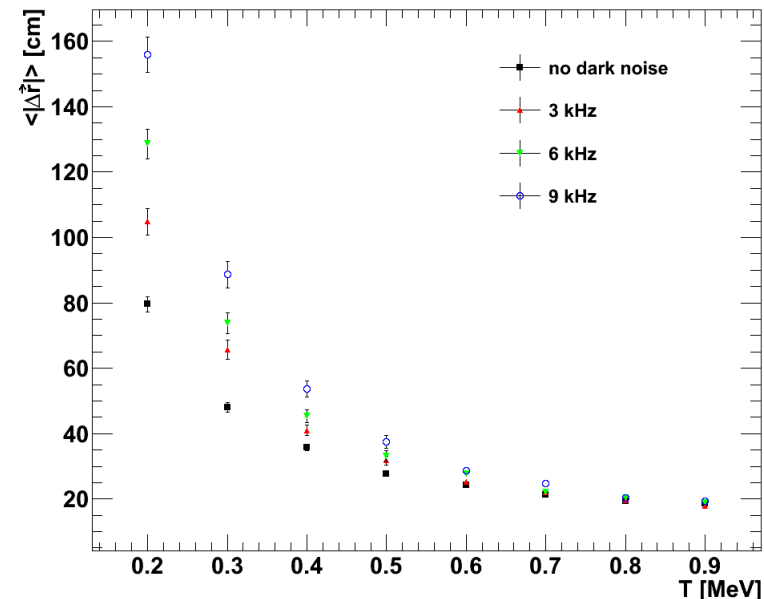
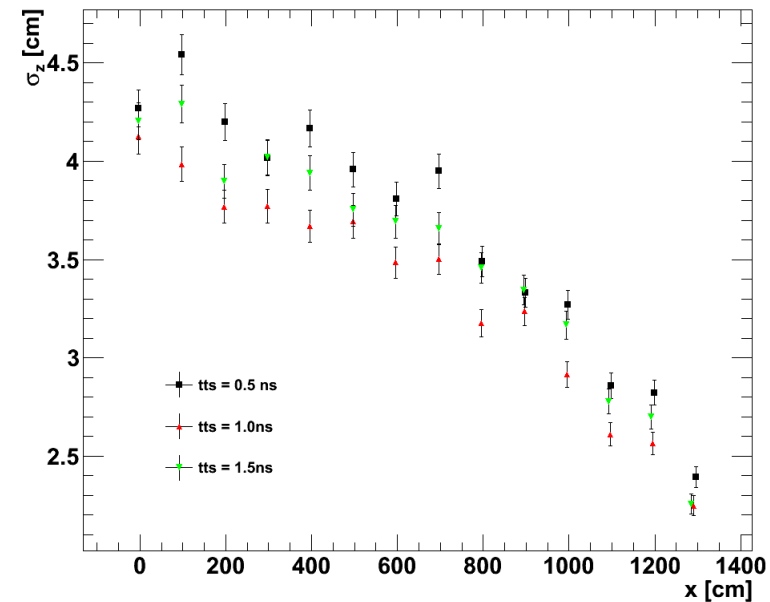
update possible?

PXE still an option? smaller tank with larger radius?

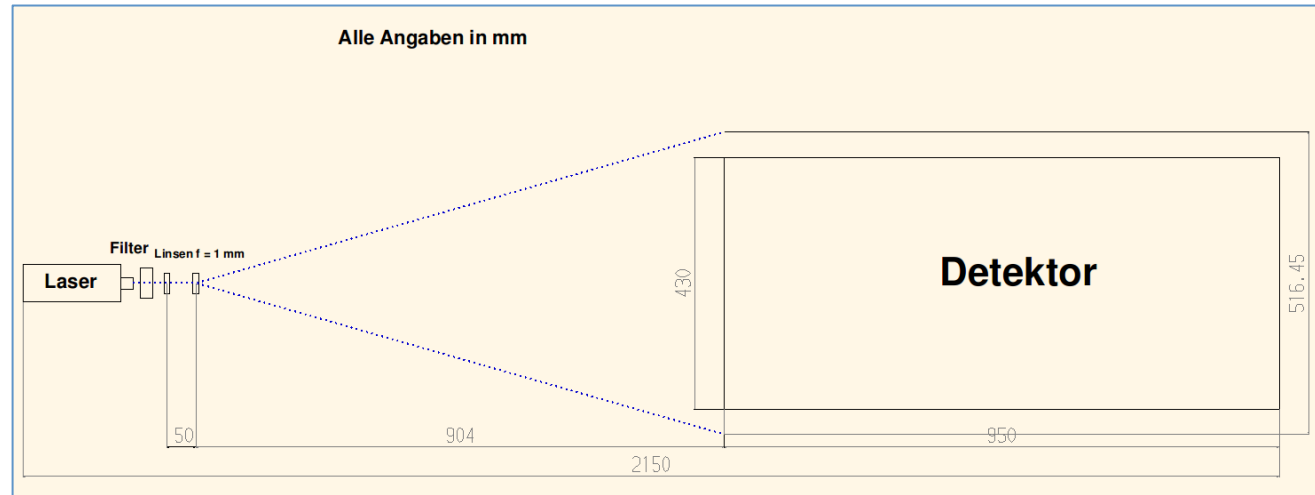


Influence of sensor properties on detector behavior

- Determine influence through Geant4 based Monte Carlo simulations
- Position and energy resolution (Dominikus Hellgartner)
 - Timing uncertainty:
 - First simulations, still fighting some problems with small timing uncertainties
 - First impression: no big influence
 - Dark Noise:
 - No big influence for energies around 1MeV or bigger
 - For 200keV position + energy resolution $\approx 30\%$ worse
- α/β -discrimination (Randolph Möllenberg)
 - Dark Noise:
 - Strong influence on efficiency
 - Late Pulses + Fast Afterpulses
 - Negligible effect
 - Winston Cones (50° opening angle)
 - Improve separation by factor two



Munich photosensor testing facility



Michael Nöbauer

- **Laser:** Edinburgh Instruments EPL-405-mod, ps pulsed diode laser, 403nm, repetition rates 2kHz-2MHz, 48ps FWHM (@2kHz), $\approx 11\mu\text{W}$ average power (@2MHz)
- **Neutral density filters:** Variable attenuation
- **Optics:** 2 focussing lenses with extremely small focal lengths ($\approx 1\text{mm}$)
 - Expand beam radius from $100\mu\text{m}$ (w_0) to $\approx 10\text{m}$ within 90cm, approximately Gaussian beam profile at center
 - **Good homogeneity of beam intensity from $r=0\text{cm}$ to $r=20\text{cm}$**
However: **incident angle $\approx 14^\circ$ @ $r=20\text{cm}$** due to small length of dark box
- **Apertures:** Between + after lenses, each with two layers of black felt attached, stretching to walls, to eliminate stray light
- **Photosensor:** Up to 15" diameter / 12" with light concentrator with $2\times$ area increase
 - PMT holder: PMT can be moved horizontally + vertically and rotated
- **FADC:** Acqiris DC282, 2Ch with 4GHz sampling, 10bit

Was set up in a Diploma thesis by **Michael Nöbauer**

Munich photosensor testing facility

Michael Nöbauer

So far:

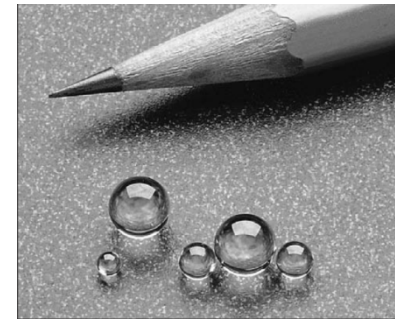
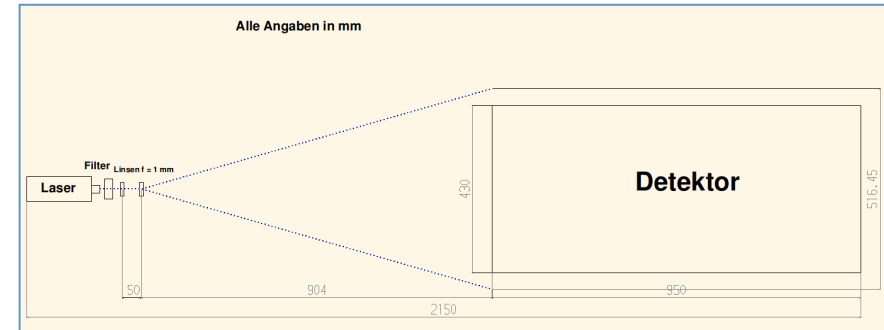
- Eliminate stray light:
 - Covered last aperture, 8" PMT + scaler
→ No time correlated coincidences
- Intensity variations:
 - Contribution from **Gaussian beam profile**, smaller for high maximum incident angle
 - Contribution from **obliquely incident light** at maximum angle, bigger for high max. inc. angle
 - Sum minimal for $\Delta I_{\text{Gauss}} \approx 0.1\%$ and $\Delta I_{\text{oblique}} \approx 3\%$
 - **Test homogeneity** with different lenses and varying focal lengths: ball lenses + GRIN lenses
 - Status: Have adjusted optics with 2 ball lenses ($f=1.1\text{mm}$), 35mm distance
→ Currently measuring homogeneity with 1" PMT

To do:

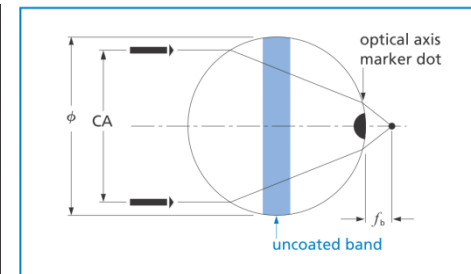
- Finish analysis software
- Improve FADC readout speed
→ *Will be treated in a Bachelor thesis by Christina Frost*
- Long term: include fiber optics
→ Laser in separate dark box, direct surface scan

Goals:

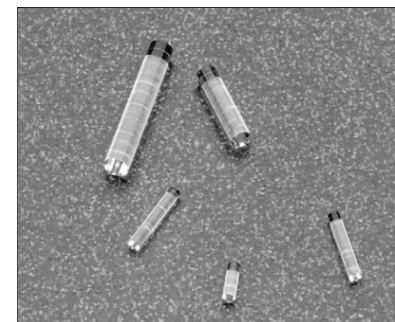
- Measure large area photosensors (with light concentrators), e.g. PMTs
 - With optics: complete area at the same time, for different incident angles
 - Without optics: can scan surface with varying incident angles



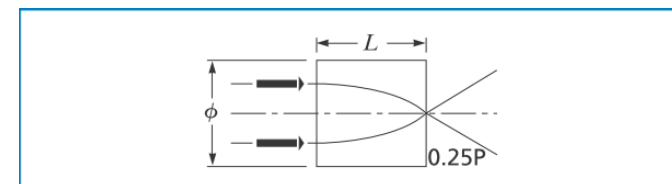
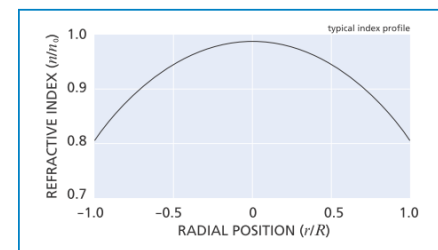
Ball lenses



Spherical ball lens



Gradient Index (GRIN) lenses



SiPMs: Dark count

- Estimation of artificial events through dark noise (very optimistic)
 - Dark noise: 100kHz/mm² @300K
 - Peltier cooler → 230K (-40°C) → goes down by ≈factor 300 (paper by Jozsef Janicsko) → 300Hz/mm²
 - 30% optical coverage, concentrators (area ×2) → ≈1500m² active surface (1 detector, 50kt)
 - Assume photo detection efficiency = 65% → 3× better than PMTs → need only 500m² for comparable photo electron yield
 - Overall dark noise rate = 500 · 10⁶ mm² · 300 Hz/mm² = 1.5 · 10¹¹ Hz
 - Time window needed to look for low energy events:
 - Assumptions: want to be able to see all events in FV, no slow decay component, only sensors at same z as event detect photons → time window = mere transit time through FV

$$\Delta t = \frac{s}{v} = \frac{d_{FV}}{c} = \frac{2 \cdot 11\text{m}}{0.3\text{m/ns}} = 110\text{ns} \approx 100\text{ns}$$

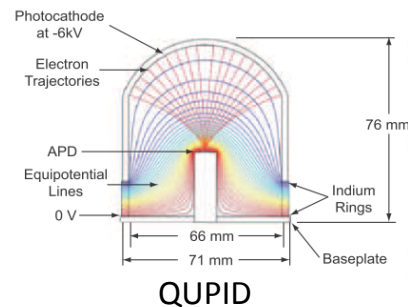
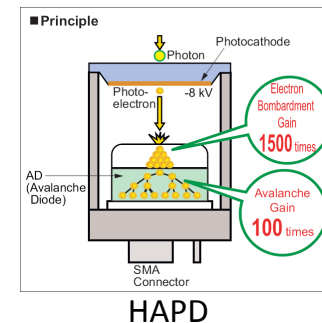
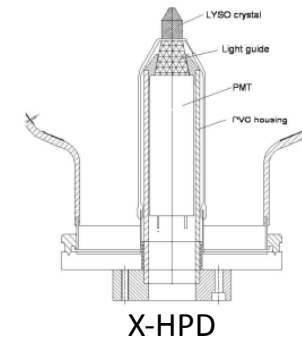
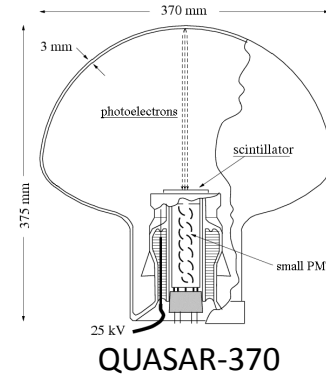
- Average coincidence rate in time window of trigger = 100ns · 1.5 · 10¹¹ Hz = 15000 dark noise pulses
- Light yield ≈ 200p.e./MeV
- Energy threshold set by dark noise = 15000 pulses / 200 pulses/MeV = **75MeV**
- Very rough estimate !
- However: With a trigger configuration like this LENA couldn't be used for low energy physics

→ Reanalyze threshold imposed by SiPM dark count with more appropriate, particle physics like local triggers + reconstruction methods

- Worst case: dark count still too high for low E physics or α/β discrimination
 - Maybe as complimentary sensor for higher energies
 - Maybe in form of hybrid detector

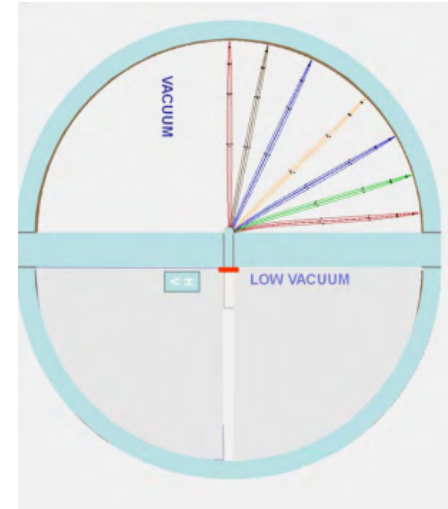
Alternative photosensor types

- Crucial question: Available in high quantities in time for construction?
- Possibly available for first detector:
 - QUASAR (14.6"):**
 - Layout: Photocathode → HV → scintillator crystal → small PMT;
 - Very promising sensor in most regards (tts, DN, AP, ...), are even working to further improve design with faster scintillator + fast small HQE PMT;
 - Drawbacks: currently no manufacturer, dynamic range=?
 - X-HPD (8"):**
 - Layout: basically as QUASAR
 - Drawbacks: high dark rate, 100-10Hz/cm², dyn. range=?
 - HAPD (13"):**
 - Layout: Photocathode → HV → APD
 - Expect commercial availability in spring 2012 (status Jan. 2011)
 - Drawbacks: dyn. range?
 - QUPID (3"):**
 - Layout: same as HAPD
 - Drawbacks: small size, designed for LAr/LXe, dark count @RT=?, QE=?, dyn. range?
- Need to test samples to determine all properties

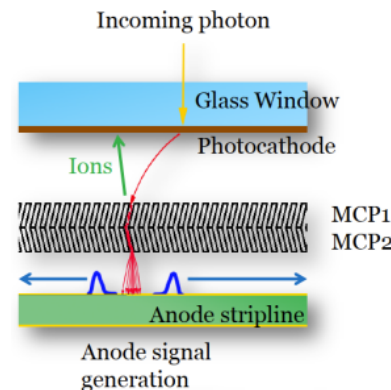


Alternative photosensor types

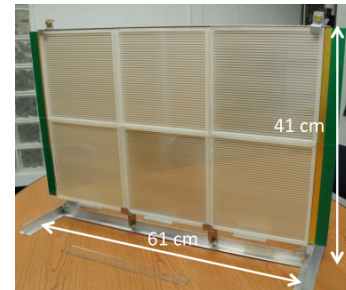
- Probably not available in time:
 - **Abalone** ($\approx 13''$):
 - Layout: Photocathode \rightarrow HV \rightarrow scintillator crystal \rightarrow G-APD
 - Advantages: simple, robust + cheap design
 - Status: Prototypes not yet stable under atmospheric pressure
 - **LAPPD** (scalable):
 - Layout: Photocathode \rightarrow 2 microchannel plates \rightarrow anode striplines read out at both ends
 - Advantages: ps time resolution, large area, position sensitive, cheap(?)
 - Status: working prototypes of MCP sheets + electronics, QE still low, no complete prototype yet



Abalone

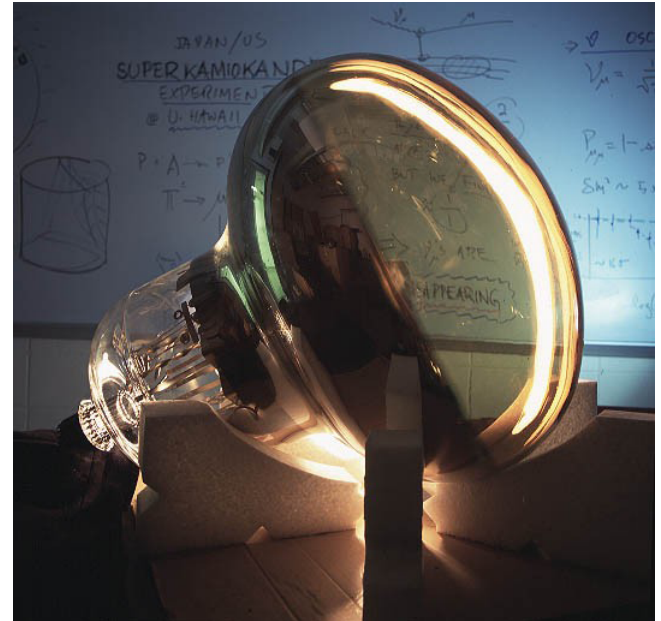


LAPPD



Choice of photosensor: status

- At the moment PMTs favoured option: so far only photosensor which is likely to fulfill all criteria
- Promising alternatives: determine properties
- Keep an eye on new developments



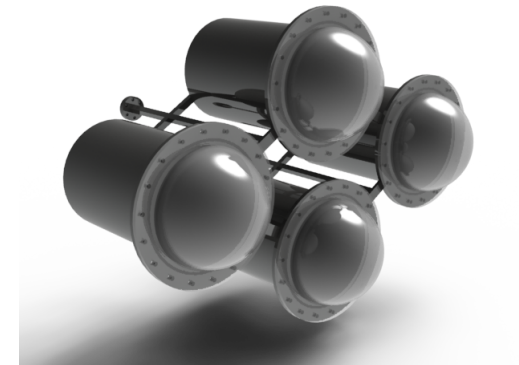
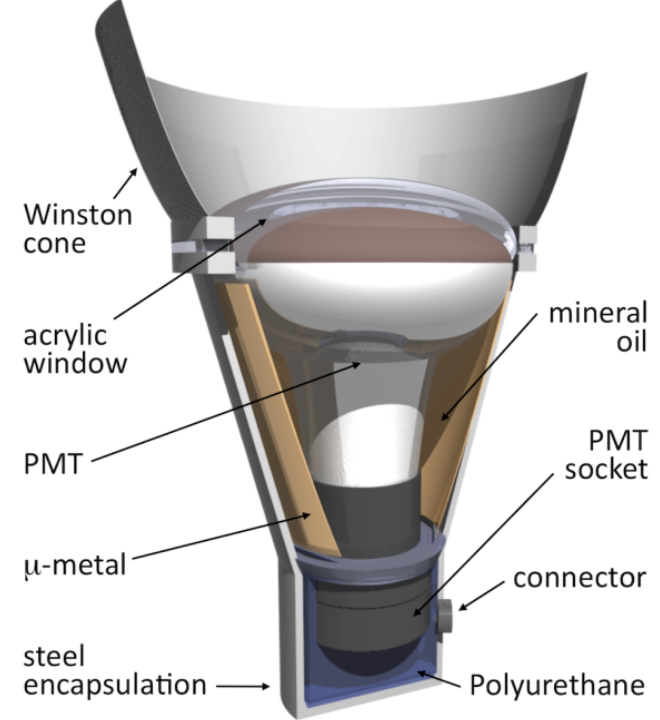
Until when do we have to decide on the photosensor type?



Optical Module for PMTs

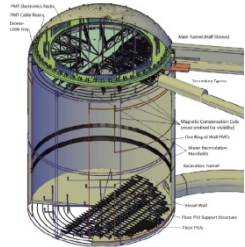
PMT optical module: Layout

- PMT + voltage divider
 - Determine requirements → in progress
 - Measure properties → in progress
 - Selection of best series → to do
 - Modifications? → to do
- Mu metal
- Pressure encapsulation
 - Design (include design of OM) → in progress
 - Simulations → in progress
 - Build prototype → to do
 - Test: pressure tank, radiopurity, long term → to do
- Light concentrator
 - Simulations → in progress
 - Build prototype → to do
 - Test:
 - Optical properties → to do
 - Material compatibility → in progress
- Connections to other PMTs (arrays) + rack/wall

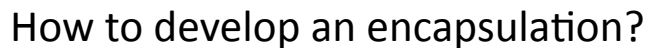
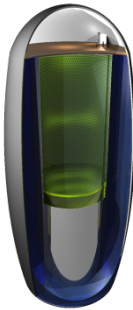


HyperK

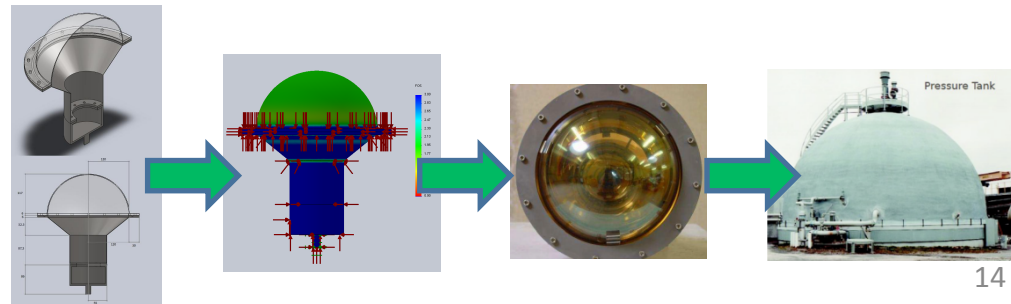
Hyper-Kamiokande detector




LENA



- *Design, pressure simulations*, build prototype, pressure tests

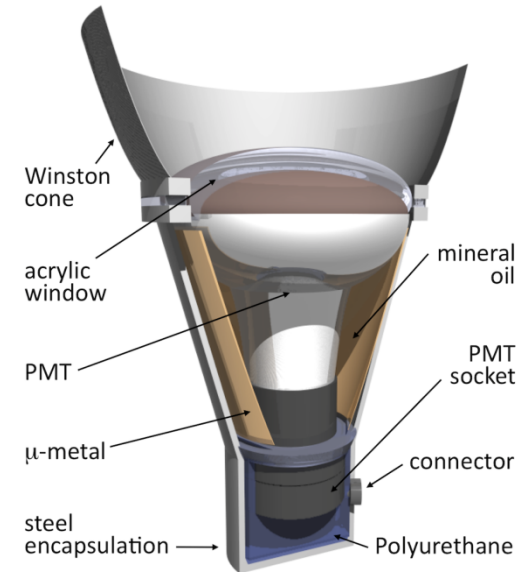


- Next-generation land-based neutrino experiments like HyperK, LBNE or LENA use tanks with heights of 50-100m
 - High pressure at the tank bottom
 - LENA: $\approx 9.8\text{bar}$ (LAB) + safety margin
 - At the moment no available PMT model fulfills requirements
- 
- a) Develop new PMTs (LBNE)
 - **b) House PMTs in encapsulations (LENA)**
 - ✚ No restrictions on PMT model to be used
 - ✚ Cheaper?
 - ✚ Faster development
 - ✚ LENA: certainly possible to fulfill requirements
 - ✖ Introduce radioactivity

Pressure withstanding PMT encapsulations for LENA:

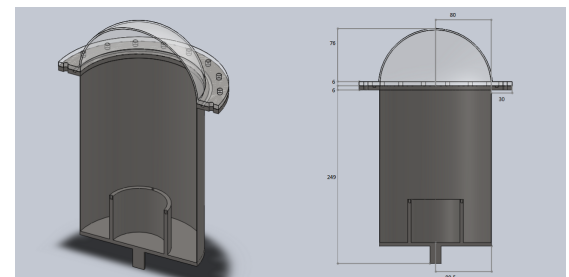
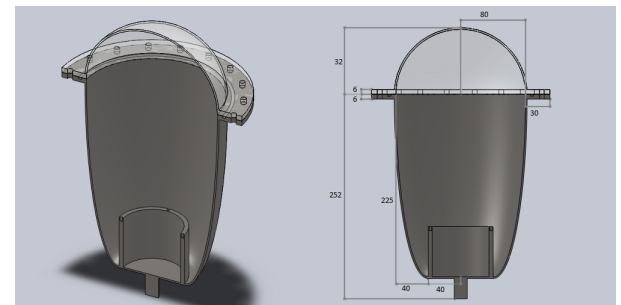
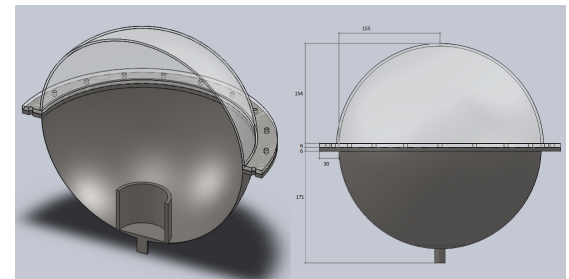
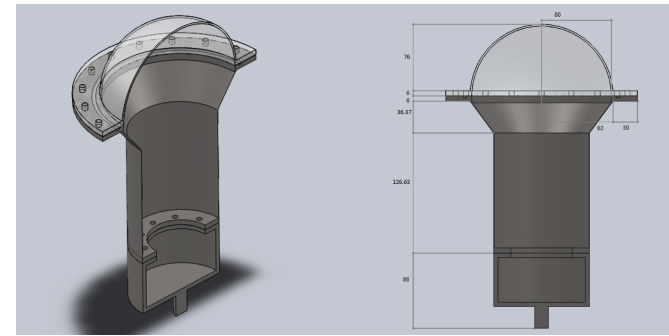
Design

- Configuration
 - Acrylic glass transparent window
 - Stainless steel body housing, one or two parts
 - Also incorporate Mu-metal, Winston Cone and connection to other PMTs + tank
 - *Not crucial for pressure simulations → at a later date*
- Different encapsulation designs
 - Conical
 - Based on Borexino + Double Chooz encapsulation
 - Spherical
 - As in deep sea neutrino telescopes / IceCube
 - Elliptical
 - Cylindrical
- Create engineering drawings with CAD software:
 - SolidWorks Educational Edition Academic Year 2010-2011 SP4.0



Pressure withstanding PMT encapsulations for LENA: Design

- Configuration
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Pressure withstanding PMT encapsulations for LENA: Pressure simulations

- Simulate behaviour under pressure with a Finite Elements Analysis (FEA) simulation software
 - Engineering drawings and FEA pressure simulations were done with same software
- Software: SolidWorks Educational Edition Academic Year 2010-2011 SP4.0, *Simulation Premium package*
- Settings: Linear static study, 12bar pressure, node distance $3\text{mm} \pm 0.15\text{mm}$
- Materials: High impact resistant acrylic glass, 1,4404 stainless steel X2CrNiMo17-12-2
- Computer: Intel i7-2600, 8GB DDR3-RAM, AMD Radeon HD 6450 1GB GDDR3, Win7 Prof. 64bit
- So far designs + simulations for 5 candidate PMTs:
 - Hamamatsu: R7081 (10"), R5912 (8"), R6594 (5")
 - Electron Tubes Enterprises Ltd.: 9354 (8"), 9823 (5")
- *Was treated in a bachelor thesis by German Beischler*
 - *In consultance with Harald Hess (head of workshop + SolidWorks expert of our chair)*
 - *Continues these studies!*



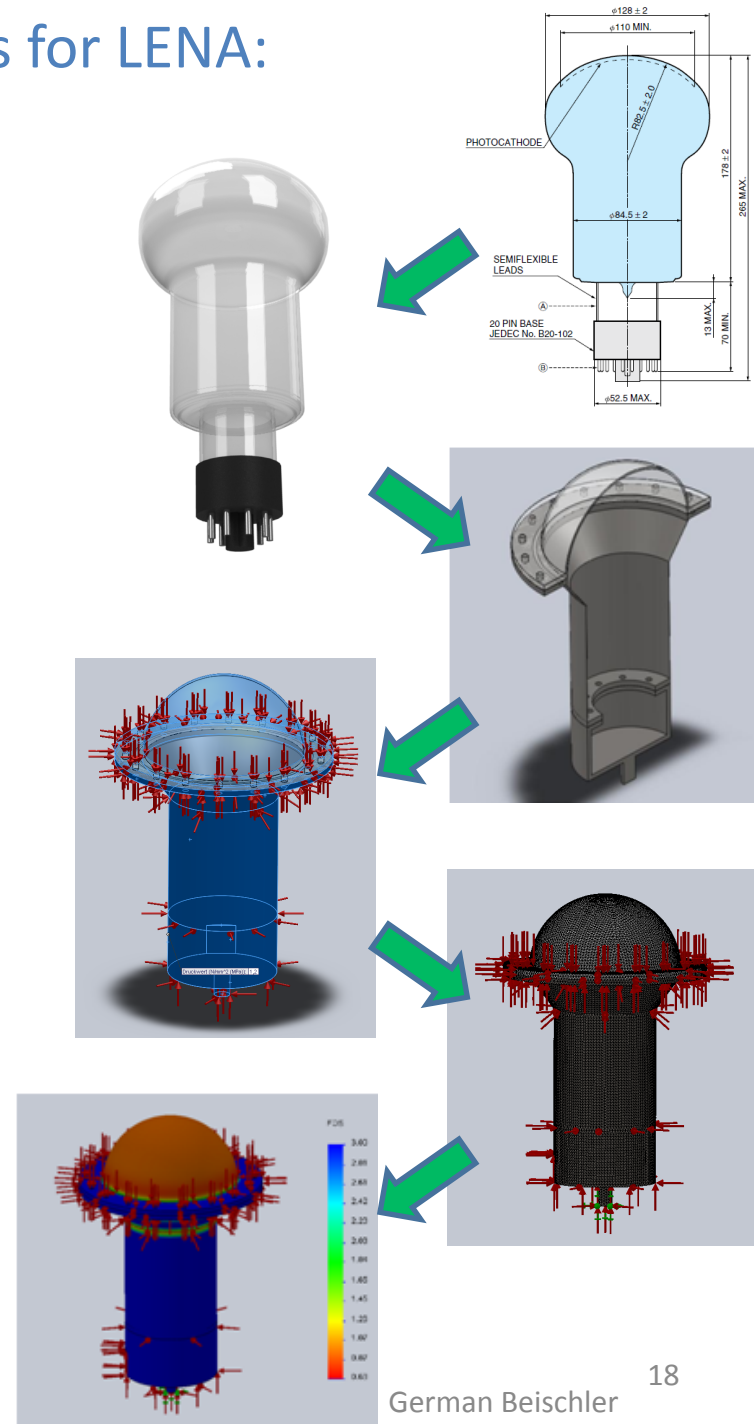
German Beischler

Pressure withstanding PMT encapsulations for LENA:

Pressure simulations

Procedure:

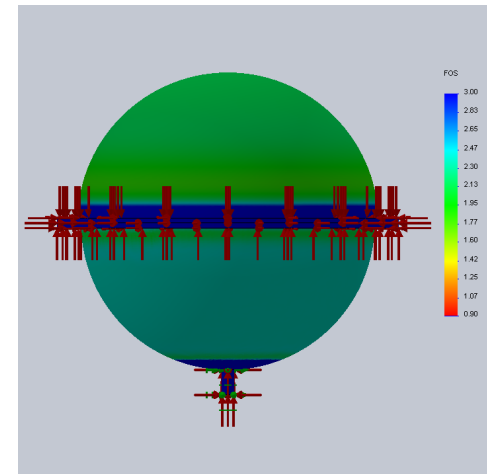
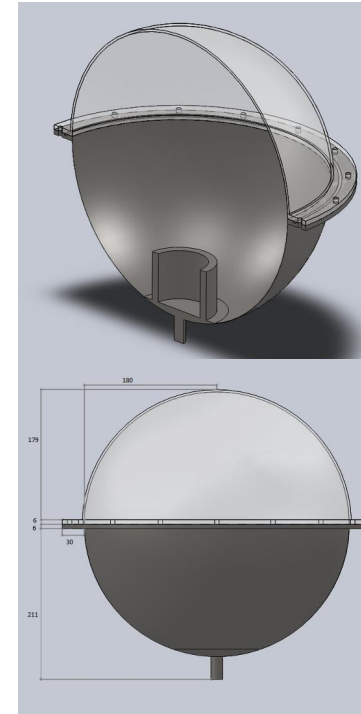
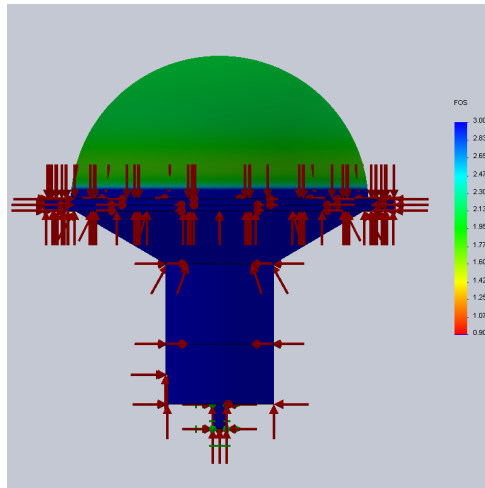
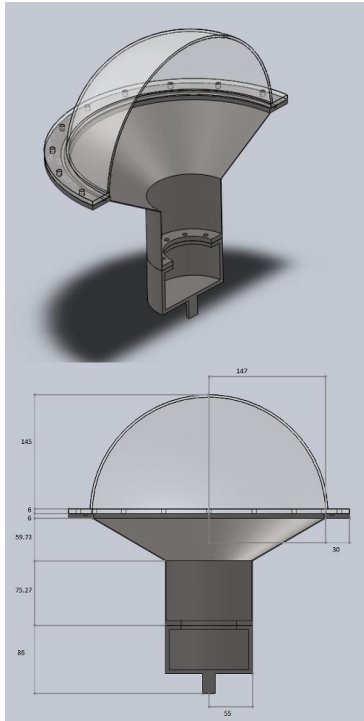
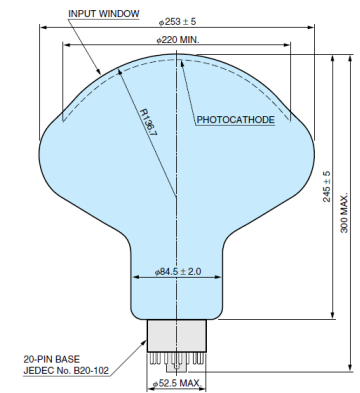
- Import PMT contour from engineering drawing in datasheet
- Rotate to obtain model of PMT
- Construct encapsulation based on PMT dimensions and experience from design of the Borexino + Double Chooz encapsulation
- Simulate encapsulation with 12bar pressure applied
 - Apply forces → meshing → simulate to determine factor of safety
 - Vary thicknesses of acrylic glass + stainless steel to find minimum values
- Compare results for different designs regarding weight (U, Th, K impurities in materials), surface (adsorbed Rn) and construction costs



Pressure withstanding PMT encapsulations for LENA

Pressure simulation results:

Hamamatsu R7081 (10")



Conical encapsulation:

Steel: 2mm thickness, 4.38kg
 Acrylic glass: 4mm thickness, 0.86kg
 Total surface: 0.69m²

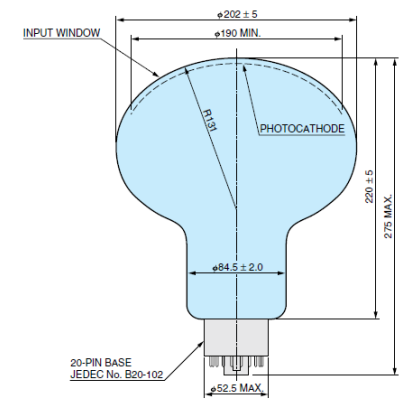
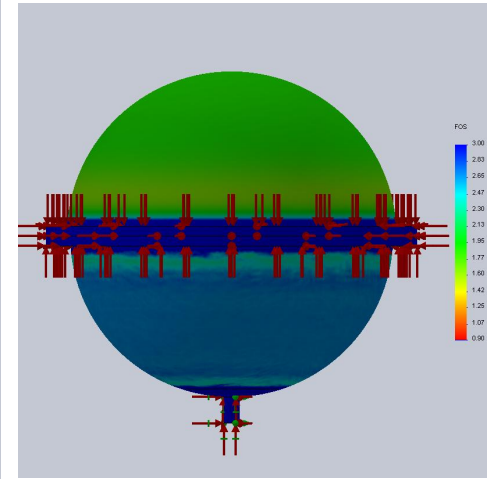
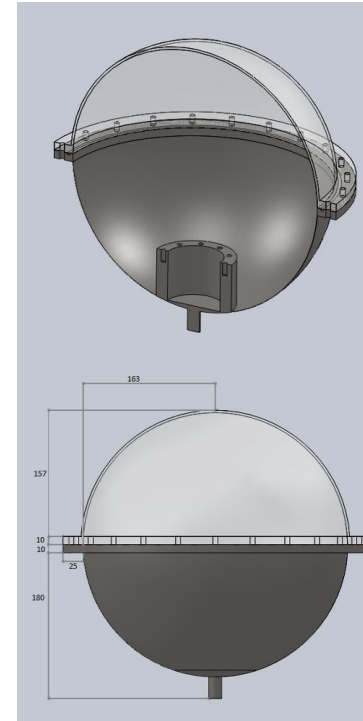
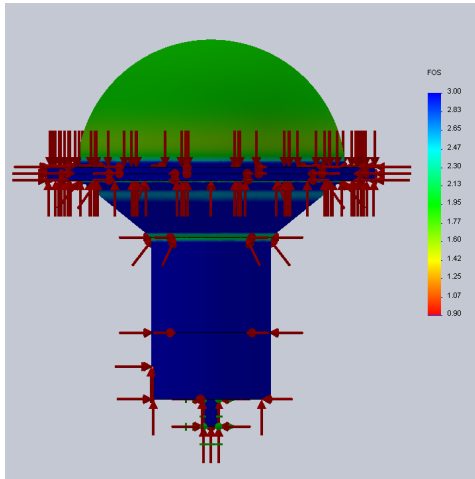
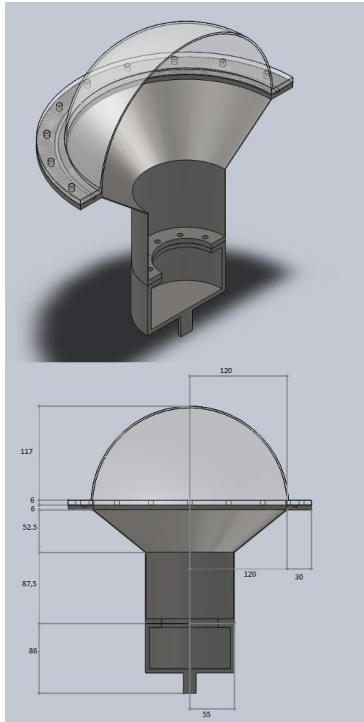
Spherical encapsulation:

Steel: 0.5mm thickness, 4.08kg
 Acrylic glass: 5mm thickness, 1.48kg
 Total surface: 1.01m²

Pressure withstanding PMT encapsulations for LENA

Pressure simulation results:

Hamamatsu R5912 (8")



Conical encapsulation:

Steel: 1mm thickness, 3.24kg
 Acrylic glass: 3mm thickness, 0.50kg
 Total surface: 0.53m²

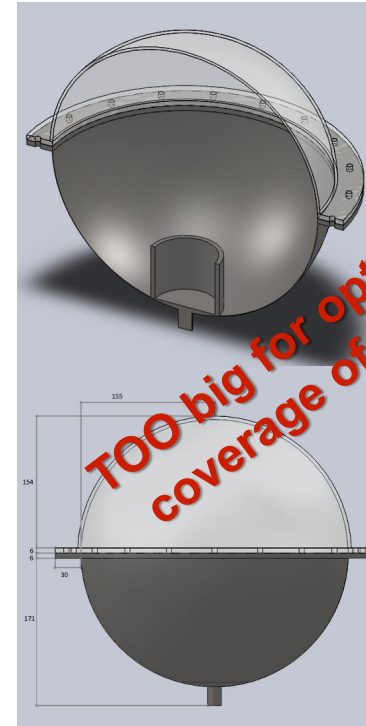
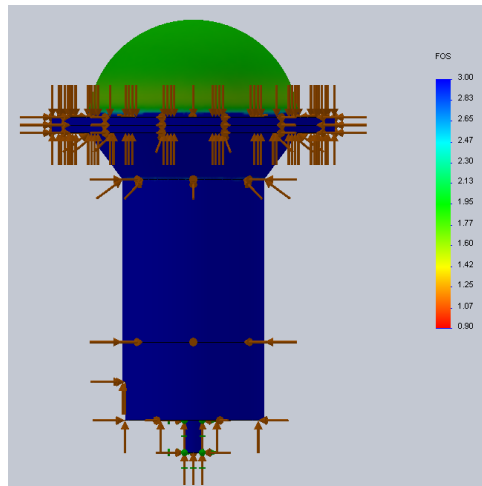
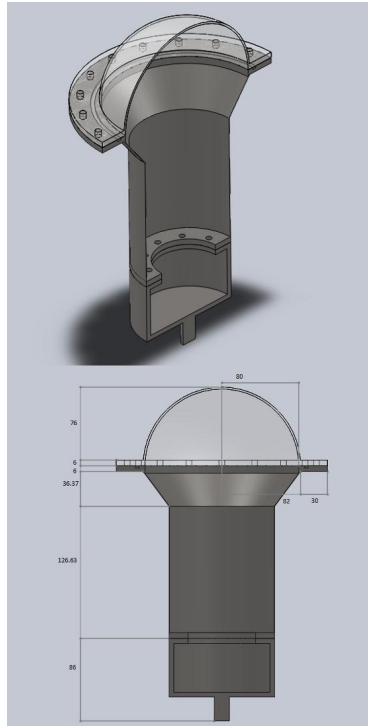
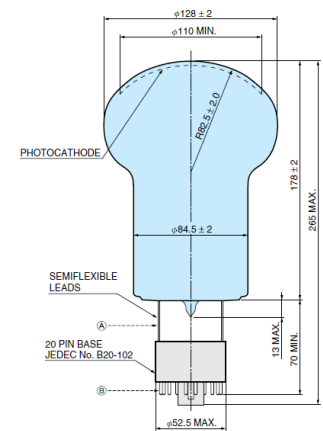
Spherical encapsulation:

Steel: 0.5mm thickness, 4.66kg
 Acrylic glass: 4mm thickness, 1.10kg
 Total surface: 0.83m²

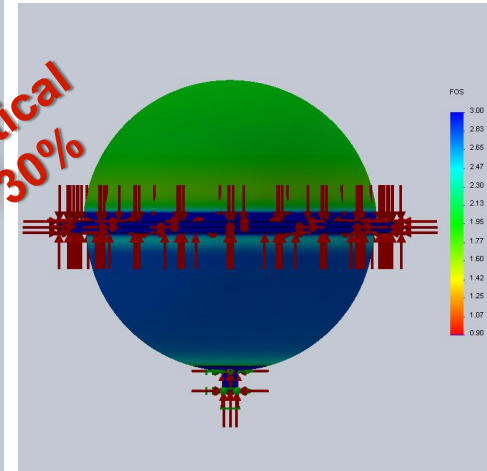
Pressure withstanding PMT encapsulations for LENA

Pressure simulation results:

Hamamatsu R6594 (5")



TOO big for optical coverage of 30%



Conical encapsulation:

Steel: 1mm thickness, **2.77kg**
 Acrylic glass: 2mm thickness, **0.22kg**
 Total surface: **0.37m²**

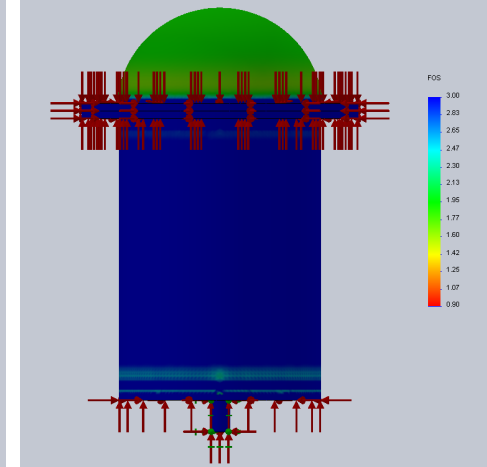
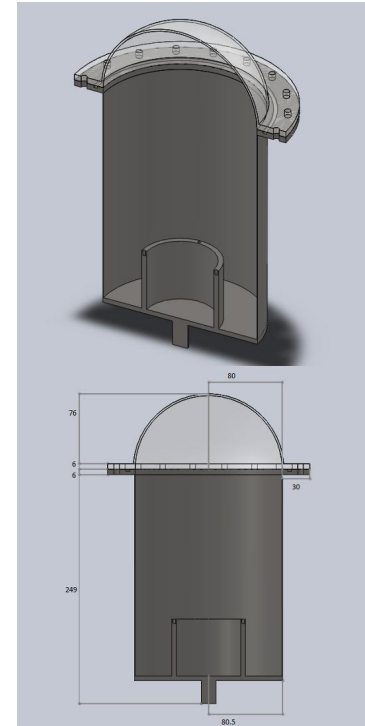
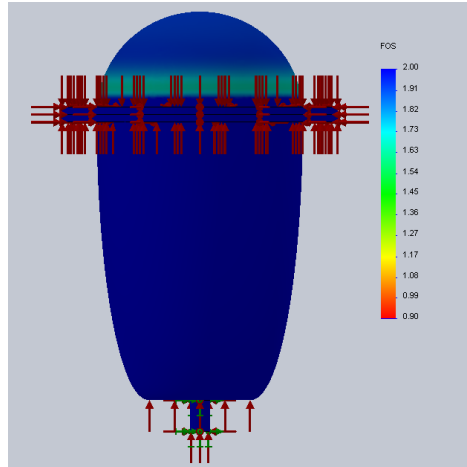
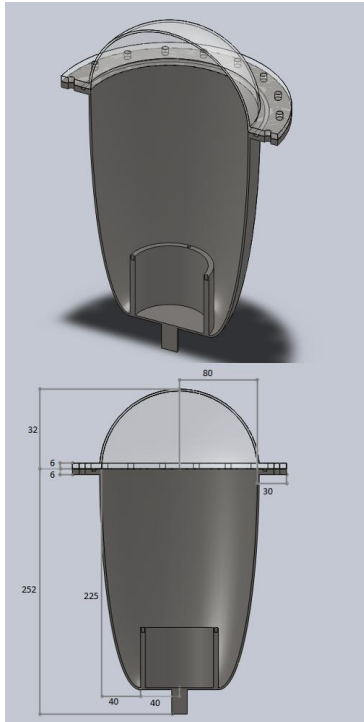
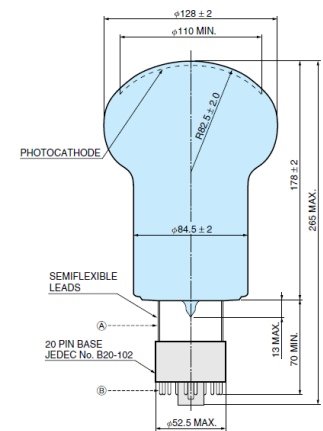
Spherical encapsulation:

Steel: 0.5mm thickness, **2.75kg**
 Acrylic glass: 4mm thickness, **0.94kg**
 Total surface: **0.78m²**

Pressure withstanding PMT encapsulations for LENA

Pressure simulation results:

Hamamatsu R6594 (5")



Elliptical encapsulation:

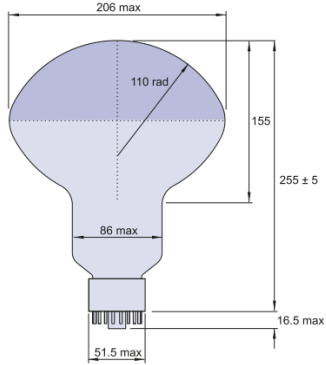
Steel: 2mm thickness, 3.06kg
 Acrylic glass: 2mm thickness, 0.22kg
 Total surface: 0.41m²

Cylindrical encapsulation:

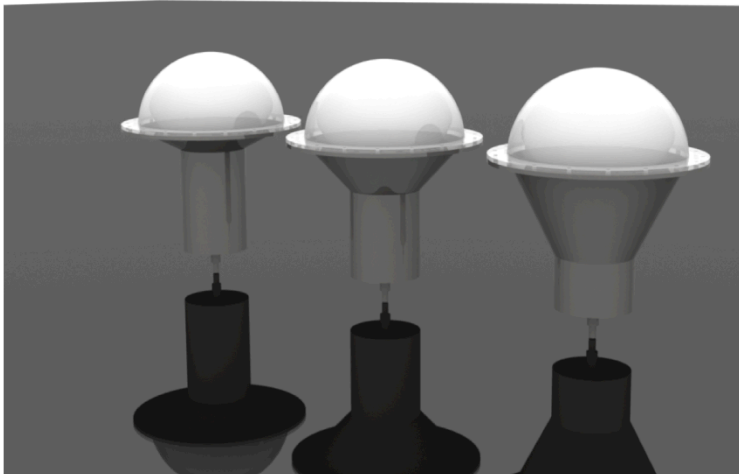
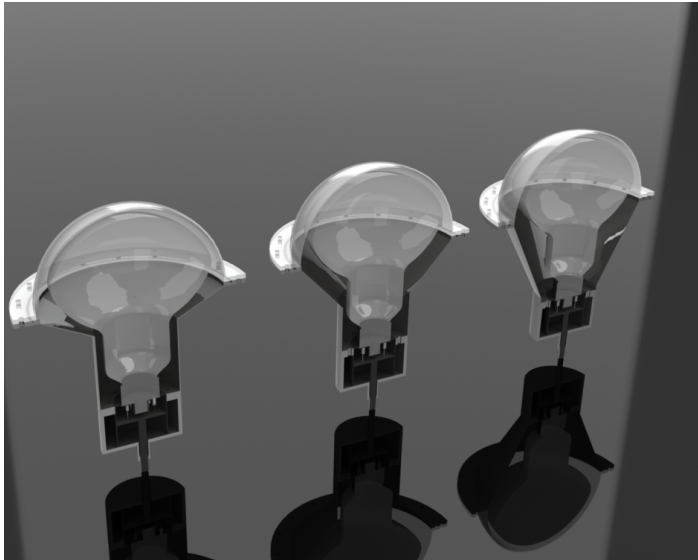
Steel: 0.5mm thickness, 2.61kg
 Acrylic glass: 2mm thickness, 0.22kg
 Total surface: 0.46m²

Pressure withstanding PMT encapsulations for LENA

Pressure simulation results: ETEL 9354 (8")



- For R5912 (8") conical encapsulation was most promising → detailed study for this type for ETEL 9354
- Minimize weight in dependance of height of conical section
 - Thickness steps reduced to 0.1mm, for most lightweight encapsulation 0.01mm
 - Weight minimal for maximum length of conical part



Height of conical section [mm]	Minimal steel mass [kg]	Minimal acrylic glass mass [kg]	Total surface [m ²]
33	3.45	0.44	0.535
54	3.20	0.43	0.534
70	3.14	0.43	0.535
130	2.94	0.43	0.549

Conical encapsulation:

Steel: 0.45mm thickness, 2.94kg

Acrylic glass: 2.40mm thickness, 0.43kg

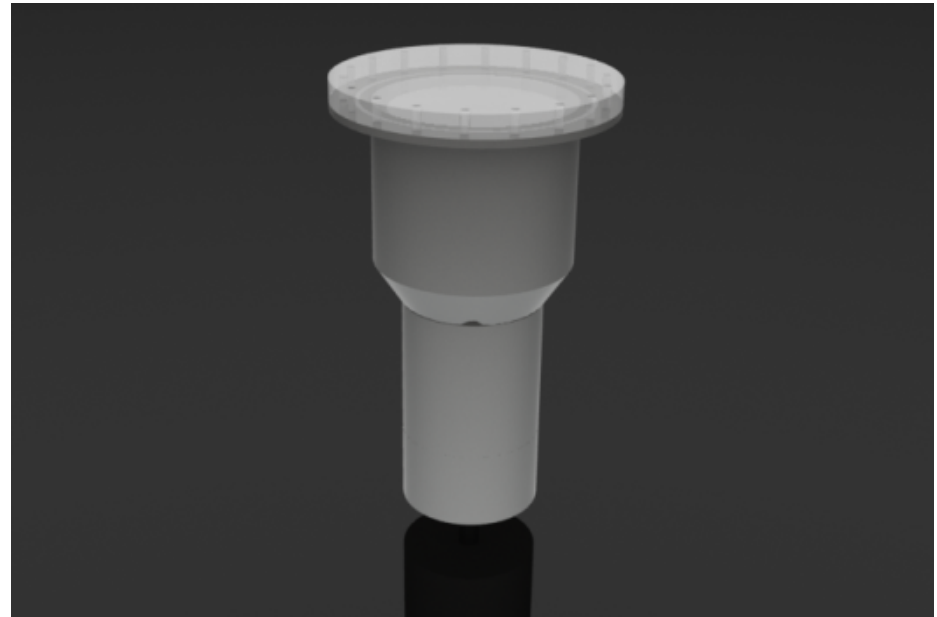
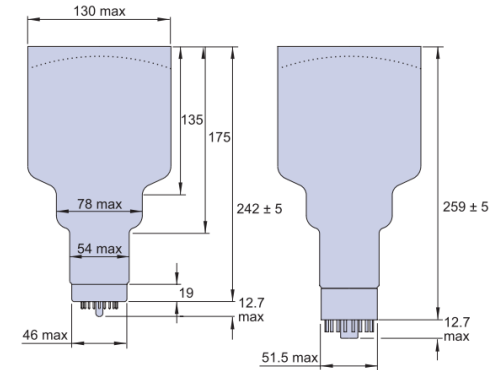
Total surface: 0.55m²

Pressure withstanding PMT encapsulations for LENA

Pressure simulation results:

ETEL 9823 (5")

- Plano-concave photo cathode → try flat acrylic glass window
- Very high thickness necessary
→ Probably less material for spherical acrylic glass window needed



Conical encapsulation:

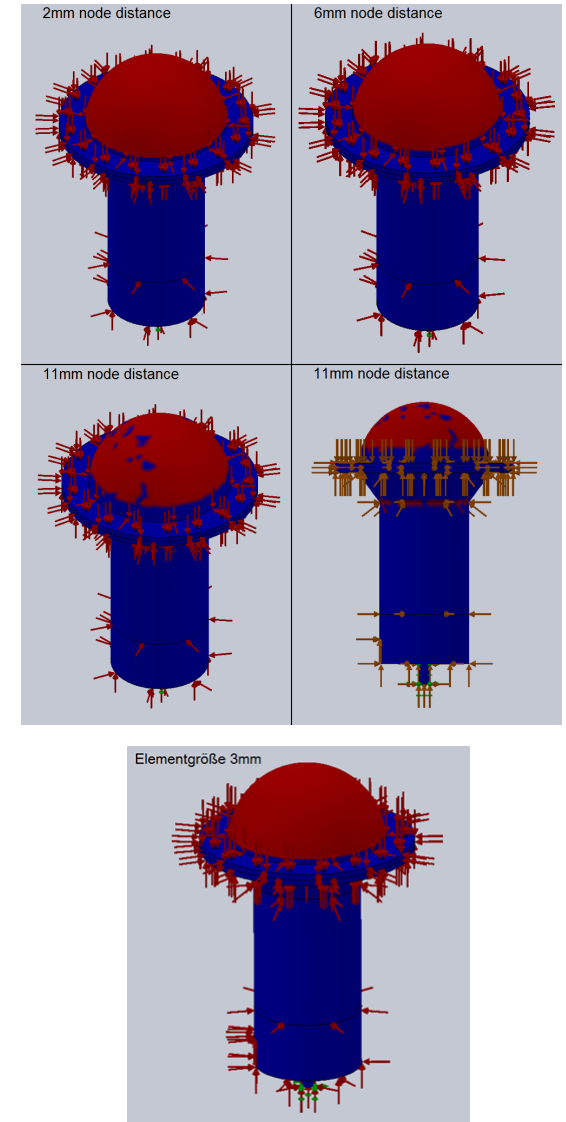
Steel: 0.6mm thickness

Acrylic glass: 17mm thickness

Pressure withstanding PMT encapsulations for LENA

Pressure simulations: cross-check of results

- Reproducibility
 - Repeated same simulation several times →
 - Same results
 - However only on fast computer - *results varied for slow computer!*
- Vary node distance from 2-11mm
 - No big change for 2mm → 3mm
 - For 11mm unphysical results
 - Where possible repeat simulation with 2mm to verify results



Factor of safety distribution:
red areas are unstable (FoS < 1)

Pressure withstanding PMT encapsulations for LENA

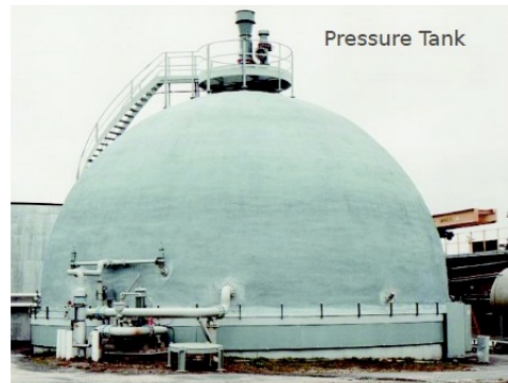
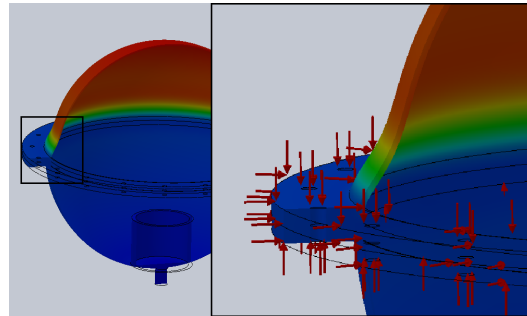
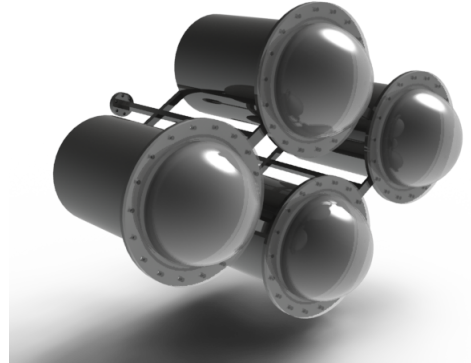
Next steps:

Design + simulations

- Further crosschecks
- More exact simulations: reduce node distance (locally or globally), use adaptive methods
- Complete design (fixture for PMT inside encapsulation, filling valve) + create complete optical module: incorporate Mu-metal, Winston Cones, connections to other PMTs + wall
- Optimize encapsulations for least weight + least production costs
- Create + simulate designs for further PMTs (R6091, 9822, R11780, D784)
- Distortion analysis
- Aging simulation

Build + test
Prototypes

- Build prototype for PMT of choice
- Test in pressure tank
 - Adapt design to meet requirements
 - Influence of PMT implosion on adjacent encapsulations



Pressure encapsulations

- Are they necessary?
- New PMTs being developed for LBNE:
 - Designed for 11bar (81m tank height) + good performance, will have housing around pins (most sensitive area)
 - **Hamamatsu R11780: 12"**
 - Designed from scratch
 - Two independent simulations by Hamamatsu + LBNE → fulfills pressure requirements
 - ≈100 prototypes build → sensor properties look mostly very good by now, will commence pressure tests soon
 - Did pressure tests for R7081 (10"): designed for 7bar, all survived until 10bar, some above 15bar
 - **ETEL D784: 11"**
 - Designed from scratch
 - Simulations → fulfills pressure requirements
- Both manufacturers claim that designs for higher pressure should be possible, problem is not pressure but pressure + high purity water for several 10y

- ***LENA: Do we need pressure encapsulations: for the ID? for the OD?***
 - ID (100m height): LAB → ≈9.8bar
 - OD (100m height): water → ≈11bar + ultrapure water for 30y
 - a) Use encapsulations
 - b) Develop new PMT type which can withstand 13+bar
 - c) Decrease height
- ***Is it an option to incorporate the buffer into pressure encapsulation?***

Light concentrators

- First simulations: Winston Cones with $\approx 49^\circ$ opening angle
→ area increase $\approx 1.75\times$ seem most promising:
 - Field of view limited to FV → reduce ratio scattered photons/detected photons
 - Overall increase of p.e. yield due to larger input aperture → could reduce number of PMTs needed for same p.e. yield
- However: Complete MC of detector response → effective area increase by use of Winston Cones is much smaller than the mathematical one:
For 50° opening angle:
 - Mathematical area increase = 1.70
 - Effective area increase ≈ 1.28



Borexino Winston Cone

Adapt PMT numbers in White Paper accordingly?

Yes! But what are the correct numbers?

- Problem: effective area increase depends on length of optical module (not included in simulations yet)
- Length not yet known (can be estimated though)
- Length depends on PMT diameter
- Need to repeat simulations with varying lengths → time-consuming

Summary

- Photosensor choice:
 - Have started to determine influence of photosensor properties on detector performance with Geant4 Monte Carlo
 - Photosensor test facility in Munich can soon take measurements
 - SiPMs have too high dark count for use with standard trigger configuration → reanalyze using local triggers
 - Some other promising alternative sensors have to be tested
 - So far PMTs favoured option
- Development of PMT optical module:
 - Have completed first designs + FEA simulations of pressure encapsulations → optimize designs, cross-check simulation results
 - Light concentrators apparently have much lower effective area increase → possible reduction of PMT number smaller as expected

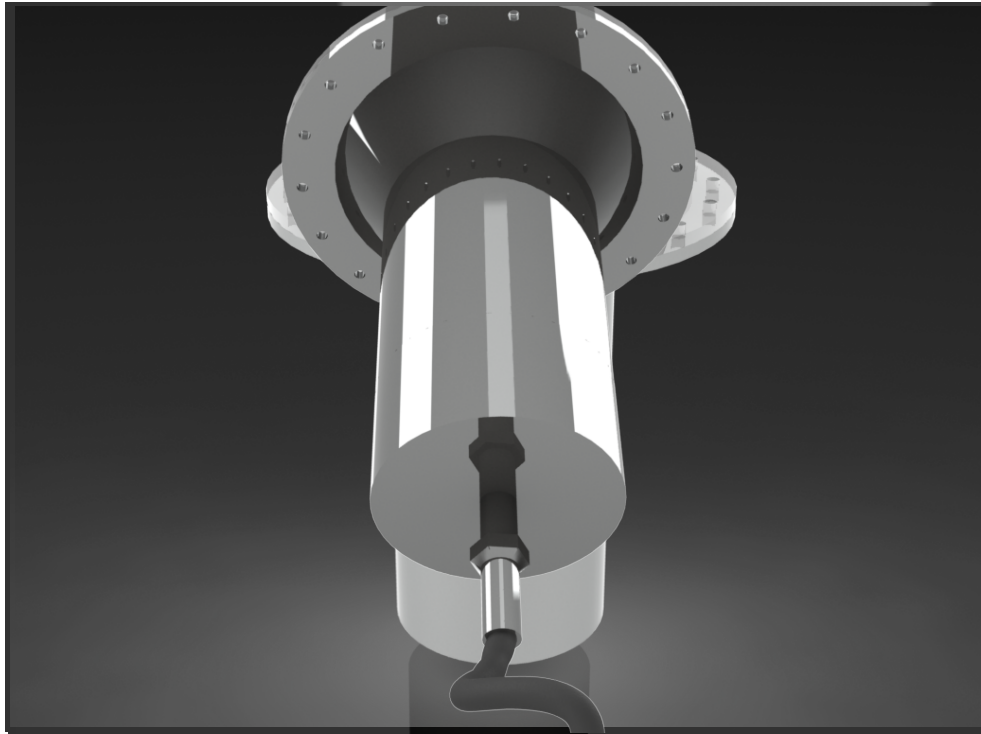
Possible topics for discussion

- White Paper:
 - Update numbers to a FV of 50kt?
 - LAB as favored scintillator?
 - Photosensors:
 - Increase requirements on dynamic range?
 - Already possible to update dark count requirements?
 - Eliminate SiPMs as option from White Paper / update usability?
 - Effective area increase of Winston Cones: Correct numbers of PMTs needed?
- Pressure requirements:
 - Use encapsulations? In ID? In OD?
 - Incorporate buffer into pressure encapsulation?
 - Use LBNE PMT types → tank with decreased height + increased diameter?
- Until when has the the photosensor type to be chosen?

The background is a complex 3D rendering. It features a series of concentric, curved layers of golden, sphere-like objects that create a tunnel-like effect, receding into the distance. The spheres are arranged in a grid-like pattern that follows the curvature of the layers. At the bottom of the image, there is a dark, reflective surface that mirrors the golden structures above it, with some ripples and light reflections. The overall color palette is dominated by dark blues and greys, contrasted with the bright, metallic gold of the spheres.

Backup slides

Assembly of a R6594 conical encapsulation



- Assembly sequence for conical encapsulation:
 1. Solder voltage divider circuit board to socket for PMT pins
 2. Insert into lower part of metal encapsulation / plastic housing
 3. Infuse polyurethane → fixes VD + socket
 4. Bolt down upper part of metal encapsulation + retaining ring to hold down PE
 5. Insert PMT into socket
 6. Attach acrylic glass window (using o-ring seal) + brackets connecting PMTs to modules and attaching them to the walls
 7. Fill up encapsulation with oil

Attachment to wall

