

NLO+PS matching methods and systematic uncertainties

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[arXiv:1111.1220](https://arxiv.org/abs/1111.1220), [arXiv:1201.5882](https://arxiv.org/abs/1201.5882)
[JHEP04\(2011\)024](https://arxiv.org/abs/1104.024), [JHEP08\(2011\)123](https://arxiv.org/abs/1108.123)*

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Introduction

Importance of matching NLO calculations with parton showers

- exclusive final states
- observable independent combination of fixed order and resummation
- problem double counting: both NLO and PS are approximations to higher order corrections

Two methods appeared in the literature: MC@NLO and POWHEG

- two sides of one medal
- differ in choices of division of resummation and fixed-order part

Uncertainties of NLO+PS matching

- usual μ_R and μ_F variation as in NLO calculations
- also μ_Q -variation as in analytic resummations

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General NLO calculations

- NLO calculation with subtraction methods

Frixon, Kunszt, Signer Nucl.Phys.B467(1996)399-442

Catani, Seymour Nucl.Phys.B485(1997)291-419

$$\begin{aligned}
 \langle O \rangle^{\text{NLO}} &= \int d\Phi_B \left[B(\Phi_B) + V(\Phi_B) + I(\Phi_B) \right] O(\Phi_B) \\
 &+ \int d\Phi_R \left[\sum_i D_i^{(A)}(\Phi_R) O(\Phi_{B_i}) - \sum_i D_i^{(S)}(\Phi_R) O(\Phi_{B_i}) \right] \\
 &+ \int d\Phi_R \left[R(\Phi_R) - \sum_i D_i^{(A)}(\Phi_R) \right] O(\Phi_R)
 \end{aligned}$$

- introduce second set of subtraction functions $D_i^{(A)}$
- $D_i^{(A)}$ and $D_i^{(S)}$ need to have same momentum maps and IR limit
- $D_i^{(A)}$ as resummation kernels

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POWHEG

Special choices:

Nason JHEP11(2004)040, Frixione et.al. JHEP11(2007)070

- exponentiation kernel $D_i^{(A)} = \rho_i \cdot R$ with $\rho_i = D_i^{(S)} / \sum_i D_i^{(S)}$
 → each $\rho_i \cdot R$ contains only one divergence structure as defined by $D_i^{(S)}$

Consequences:

- no \mathbb{H} -events, resummation scale μ_Q^2 at kinematic limit $\frac{1}{2} s_{\text{had}}$
- in CS-subtraction instabilities in ρ_i due to different cuts on R and $D_i^{(S)}$
- exponentiation of R through matrix element corrected parton shower
 NLO accuracy depends crucially on presence of exact same terms in subtraction and parton shower

Modifications:

- introduce suppression function $f(p_\perp) = h^2 / (p_\perp^2 + h^2)$ Alioli et.al. JHEP04(2009)002
 → $D_i^{(A)} = \rho_i \cdot R \cdot f(p_\perp)$
 → continuous dampening of resummation kernel at large p_\perp

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Mc@NLO – traditional scheme

Special choices:

Frixione, Webber JHEP06(2002)029

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Consequences:

- resummation scale $\mu_Q^2 = t_{\max}$ parton shower starting scale
- in general, $D_i^{(A)}$ only leading colour approximation
NLO accuracy depends crucially on correctness of IR-limit

Modifications:

Frixione, Nason, Webber JHEP08(2003)007

- introduce soft modification function $f(p_\perp)$ such that

$$\sum B \cdot \mathcal{K}_i \cdot f(p_\perp) \xrightarrow{p_\perp \rightarrow 0} \sum D_i^{(S)}$$

- $f(p_\perp)$ process dependent in general

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MC@NLO – $D_i^{(A)} = D_i^{(S)}$ scheme

Special choices:

SH, FK, MS, FS arXiv:1111.1220

- exponentiation kernel $D_i^{(A)} = D_i^{(S)}$

Consequences:

- simplification of $\bar{B}^{(A)}$ -integral
- resummation scale $\mu_Q^2 = t_{\max}$ set by phase space limitation of subtraction terms
 - subtraction constrained in parton shower t needed for physical resummation
 - instructive example: use α_{cut} to explore effects [Nagy PRD68\(2003\)094002](#)
- integrate difference of α_{cut} and t_{\max} numerically
- trivially NLO correct independent of the process without arbitrary parameter choices

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Full-colour parton showering

- resummation kernels $D_i^{(A)}$ need to have correct full-colour soft-collinear limit in order for modified subtraction to work
→ conventional large- N_C shower kernels not suitable
- independent of precise definition of $D_i^{(A)}$ (POWHEG or MC@NLO)
- implemented algorithm to incorporate all subleading-colour dipoles in Catani-Seymour dipole shower independent of sign
→ similar to [Plätzer, Sjö Dahl Eur.Phys.J.Plus 127\(2012\)26, arXiv:1201.0260](#)

Full-colour parton showering

Implemented in SHERPA – full-colour first parton shower emission

Tricky point: $D_i^{(A)} < 0$ e.g. for subleading colour dipoles

Use modified Sudakov veto algorithm

SH, FK, MS, FS arXiv:1111.1220

- Assume $f(t)$ as function to be generated, and overestimate $g(t)$
Standard probability for *one* acceptance with n rejections

$$\frac{f(t)}{g(t)} g(t) \exp \left\{ - \int_t^{t_1} d\bar{t} g(\bar{t}) \right\} \prod_{i=1}^n \left[\int_{t_{i-1}}^{t_{i+1}} dt_i \left(1 - \frac{f(t_i)}{g(t_i)} \right) g(t_i) \exp \left\{ - \int_{t_i}^{t_{i+1}} d\bar{t} g(\bar{t}) \right\} \right]$$

- Can split weight into MC and **analytic** part using auxiliary function $h(t)$

$$\frac{f(t)}{g(t)} h(t) \exp \left\{ - \int_t^{t_1} d\bar{t} h(\bar{t}) \right\} \prod_{i=1}^n \left[\int_{t_{i-1}}^{t_{i+1}} dt_i \left(1 - \frac{f(t_i)}{g(t_i)} \right) h(t_i) \exp \left\{ - \int_{t_i}^{t_{i+1}} d\bar{t} h(\bar{t}) \right\} \right]$$

$$w(t, t_1, \dots, t_n) = \frac{g(t)}{h(t)} \prod_{i=1}^n \frac{g(t_i) h(t_i) - f(t_i)}{h(t_i) g(t_i) - f(t_i)}$$

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$$w(t, t_1, \dots, t_n) = \frac{g(t)}{h(t)} \prod_{i=1}^n \frac{g(t_i)}{h(t_i)} \frac{h(t_i) - f(t_i)}{g(t_i) - f(t_i)}$$

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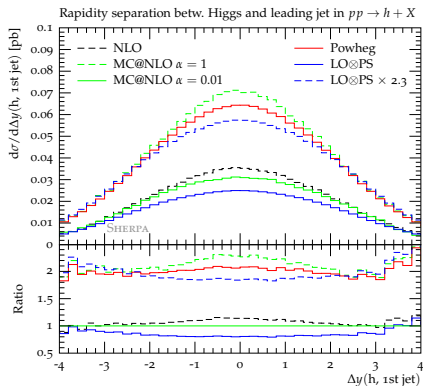
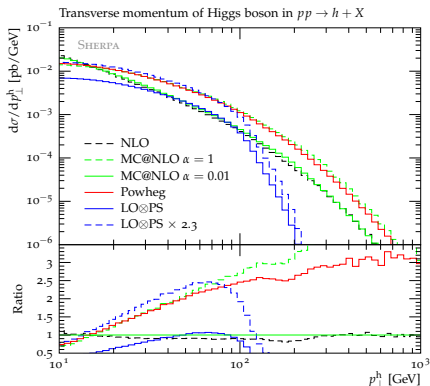
Identify $f(t)$, $g(t)$, $h(t)$:

- $f(t)$ determined by MC@NLO $\Rightarrow D_i^{(A)}$
- $h(t)$ determined by parton shower $\Rightarrow D_i^{(PS)}$
- $g(t)$ **can be chosen freely** $\Rightarrow \text{const.} \cdot f$
constraints: $\text{sign}(f) = \text{sign}(g)$, $|f| \leq |g|$

Automation in SHERPA framework

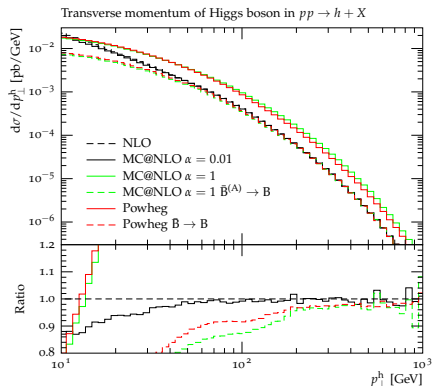
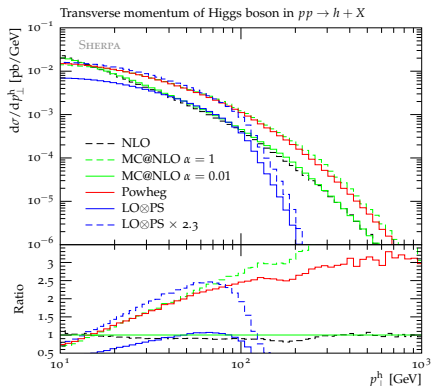
- easy to automate and process independent
 - only virtual correction V needs to be supplied
- leading order pieces and phase space generation taken care of by well-tested automated tree-level matrix element generators AMEGIC++ and/or COMIX
 - [Krauss, Kuhn, Soff JHEP02\(2002\)044](#), [Höche, Gleisberg JHEP12\(2008\)039](#)
- based on Catani-Seymour subtraction [Catani, Seymour Nucl.Phys.B485\(1997\)291-419](#)
 - [Nagy PRD68\(2003\)094002](#), [Gleisberg, Krauss EPJC53\(2008\)501-523](#)
- using dipole-like parton shower [Schumann, Krauss JHEP03\(2008\)038](#)
 - ⇒ phase space restriction of dipoles = starting scale for parton shower
- parton showers are easy to correct with matrix elements
 - $D_i^{(A)}/B$ always non-zero and close to pure parton shower result
 - larger analytic weights in soft-gluon regime limited by t_0

Results – $pp \rightarrow h + X$ in gl. fusion



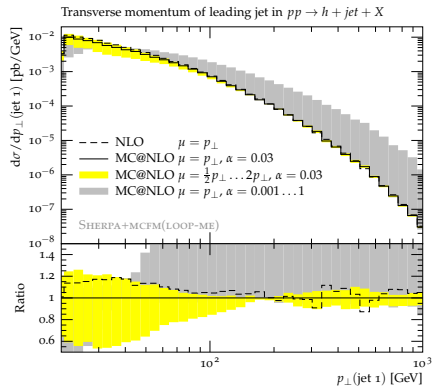
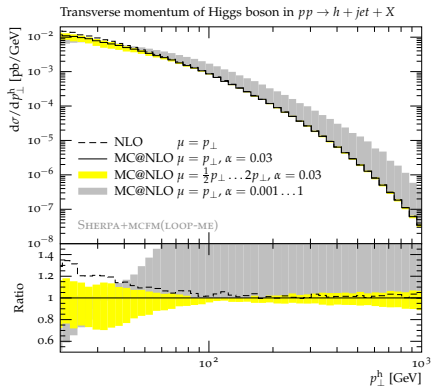
- NLO K-factor distributed over phase space filled by \mathbb{S} -events
- POWHEG resums up to scale $\frac{1}{2} \sqrt{s_{had}}$
 → generates $\sim 10\%$ Sudakov suppression at m_h ,
 as does MC@NLO when resumming up to $\frac{1}{2} \sqrt{s_{had}}$
- MC@NLO w. restricted res. phase space follows fixed order result at large p_{\perp}

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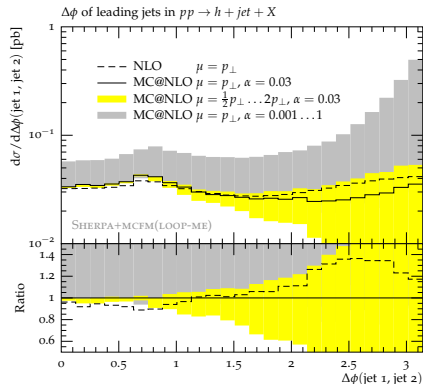
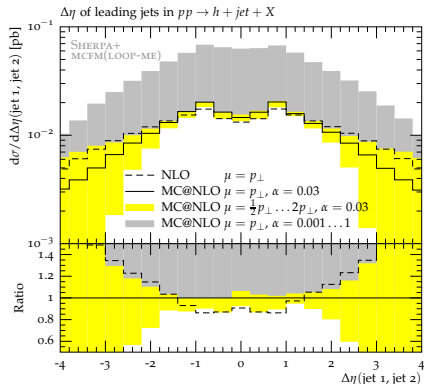
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Results – $pp \rightarrow h + \text{jet} + X$ production in gl. fusion



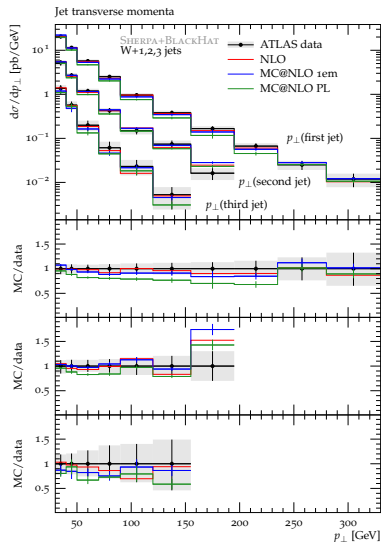
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- large dependence on exponentiated phase space
- unphysical results for $\mu_Q^2 \rightarrow \frac{1}{4} s_{\text{had}}$

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Results – $pp \rightarrow W + n \text{ jet} + X$ production



$W + 1, 2, 3$ jets at LHC (ATLAS data)

SH, FK, MS, FS arXiv:1201.5882

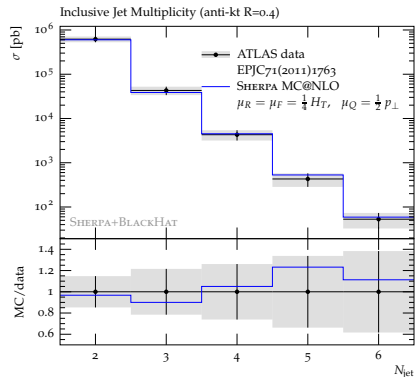
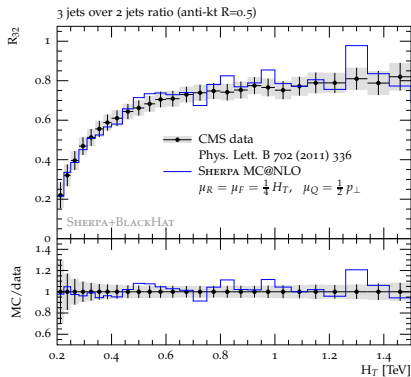
- complexity not a problem
- speed limited by the virtual amplitude in $W + 3\text{jet}$
- scales:

$$\mu_R = \mu_F = \frac{1}{2} \hat{H}'_T$$

$$\mu_R^{\text{exp}} = \frac{1}{(1/p_{\perp}^2 + 1/\mu_R^2)^{\frac{1}{2}}}$$

- fixed order behaviour at high p_{\perp}
→ smoother transition to TH-events

Results – $pp \rightarrow \text{jet jet} + X$ (preliminary)



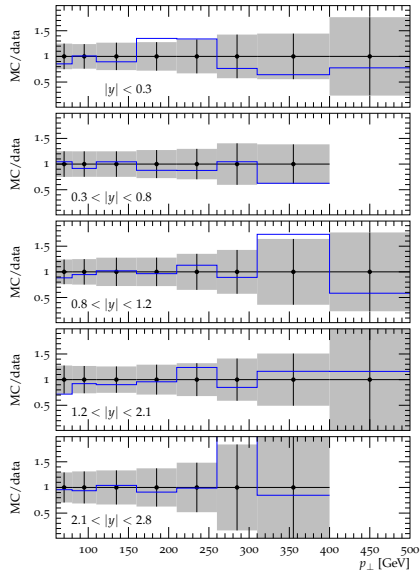
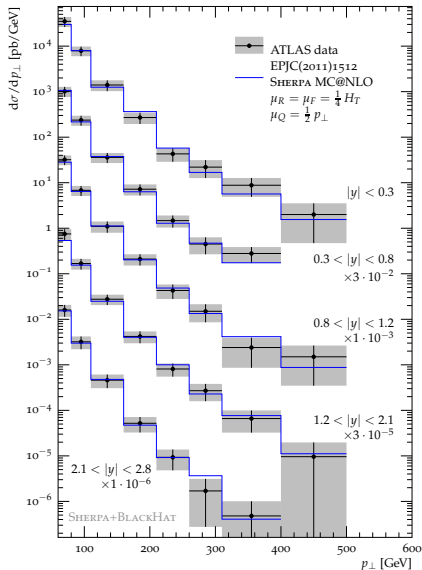
- scales:

$$\mu_R = \mu_F = \frac{1}{4} H_T = \frac{1}{2} p_\perp \quad \mu_R^{\text{exp}} = \min\{p_\perp, \mu_R\}$$

$$\mu_Q^2 = \frac{1}{2} p_\perp$$

Results – $pp \rightarrow \text{jet jet} + X$ (preliminary)

Inclusive jet transverse momenta in different rapidity ranges



Associated uncertainties

Assessment of uncertainties

- limit discussion to $gg \rightarrow h$ because effects are largest and cleanest here (large NLO k-factor, very simple colour/dipole structure)
→ large rate difference for \mathbb{S} and \mathbb{H} events
- setup: k_{\perp} -ordered parton shower based on Catani-Seymour dipoles
→ highlights what happens at resummation scale $\mu_Q = \mathbf{k}_{\perp}^{\max}$
- renormalisation scale $\mu_R = m_h$, $\mu_R^{\exp} = \min\{p_{\perp}, \mu_R\} \xrightarrow{p_{\perp} \rightarrow \infty} \mu_R$
($\mu_R^{\exp} = \sqrt{\frac{1}{1/p_{\perp}^2 + 1/\mu_R^2}}$ gives similar results)

• vary resummation scale $\mu_Q = \mathbf{k}_{\perp}^{\max}$, i.e. starting conditions of MC@NLO-PS

- starting conditions of POWHEG-shower fixed at $\mathbf{k}_{\perp}^{\max} = \frac{1}{2}\sqrt{s_{\text{had}}}$
 - effect of suppression function not investigated
 - introduces arbitrary free parameter (not fixed to be of order of m_h)
 - uncertainties as large as with α variation
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⇒ uncertainties in (N)LL-LO matching in MC@NLO and POWHEG

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- ⇒ uncertainties in (N)LL-LO matching in Mc@NLO and POWHEG

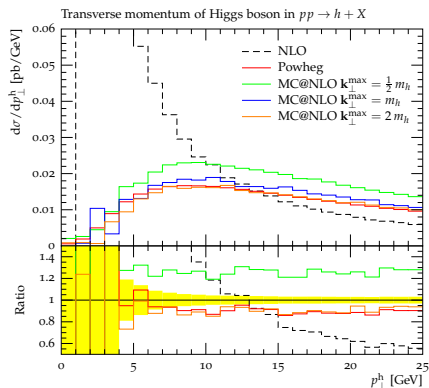
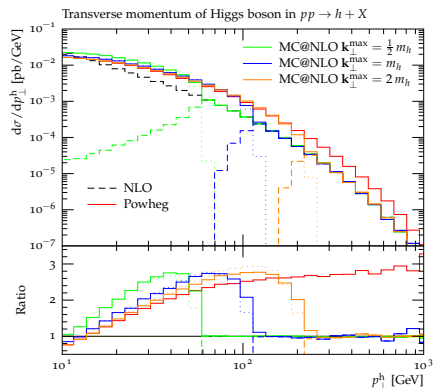
Associated uncertainties

Assessment of uncertainties

- limit discussion to $gg \rightarrow h$ because effects are largest and cleanest here (large NLO k-factor, very simple colour/dipole structure)
→ large rate difference for \mathbb{S} and \mathbb{H} events
 - setup: k_{\perp} -ordered parton shower based on Catani-Seymour dipoles
→ highlights what happens at resummation scale $\mu_Q = \mathbf{k}_{\perp}^{\max}$
 - renormalisation scale $\mu_R = m_h$, $\mu_R^{\exp} = \min\{p_{\perp}, \mu_R\} \xrightarrow{p_{\perp} \rightarrow \infty} \mu_R$
($\mu_R^{\exp} = \sqrt{\frac{1}{1/p_{\perp}^2 + 1/\mu_R^2}}$ gives similar results)
 - vary resummation scale $\mu_Q = \mathbf{k}_{\perp}^{\max}$, i.e. starting conditions of MC@NLO-PS
 - starting conditions of POWHEG-shower fixed at $\mathbf{k}_{\perp}^{\max} = \frac{1}{2} \sqrt{s_{\text{had}}}$
 - effect of suppression function not investigated
 - introduces arbitrary free parameter (not fixed to be of order of m_h)
 - uncertainties as large as with α variation
→ investigated in [Alioli et.al. JHEP04\(2009\)002](#)
- ⇒ uncertainties in (N)LL-LO matching in MC@NLO and POWHEG

Choice of splitting kernel – $D^{(A)}/B$

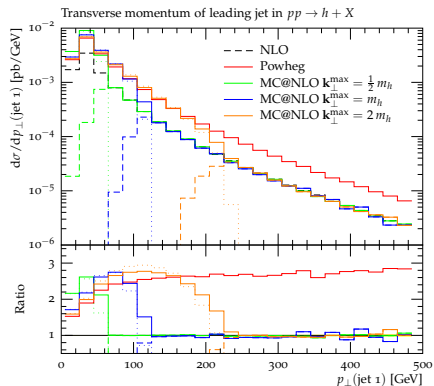
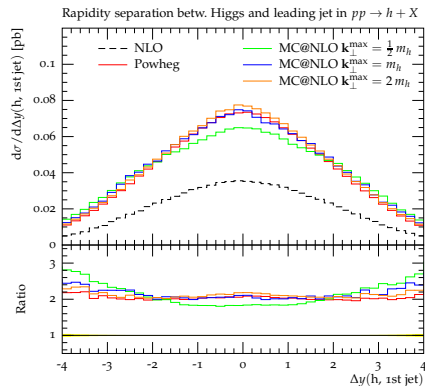
traditional MC@NLO and POWHEG choices of splitting kernels



- ▶ large uncertainties when varying k_{\perp}^{\max}
- ▶ driven by diff. in normalisation of \mathbb{S} - and \mathbb{H} -events and size of $\ln^2(k_{\perp}^2/\mu_Q^2)$
- ▶ shape difference driven by unitarity constraint

Choice of splitting kernel – $D^{(A)}/B$

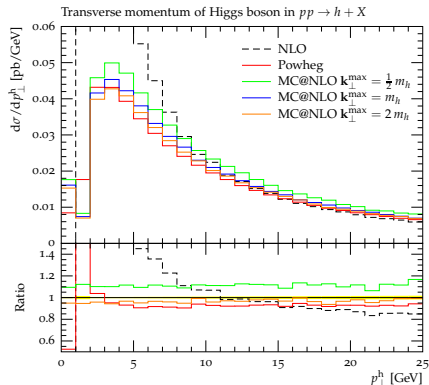
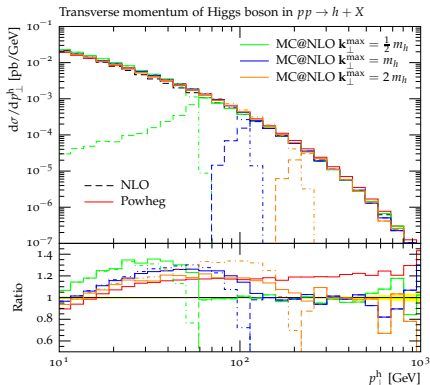
traditional MC@NLO and POWHEG choices of splitting kernels



- uncertainty on jet rates with $p_{\perp} \sim 100\text{GeV}$: 2.5
- no dip in $\Delta y \rightarrow$ originates in HERWIG's radiation pattern

Choice of splitting kernel – $D^{(A)}/\bar{B}^{(A)}$

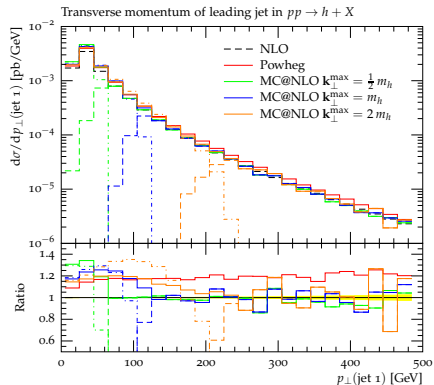
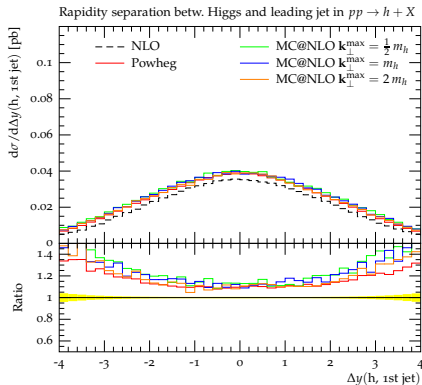
here: change splitting kernels $\bar{K} \rightarrow (1 + \alpha_s \cdot \text{const.}) \bar{K}$



- uncertainties much lower, smooth transition at μ_Q^2
- much closer to NLO fixed order result for “hard” emissions
- price of spuriously large LL prefactor \rightarrow Sudakov peak differs

Choice of splitting kernel – $D^{(A)}/\bar{B}^{(A)}$

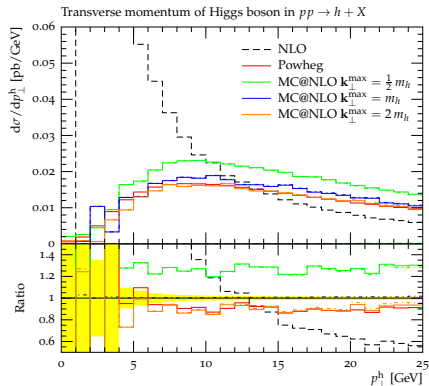
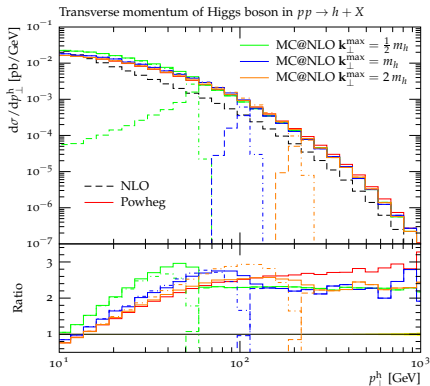
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Choice of higher order correction – $\bar{B}^{(A)}/B \cdot \mathbb{H}$

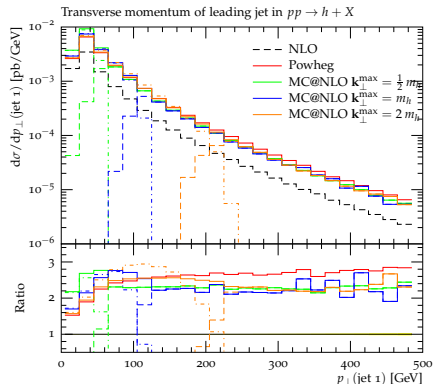
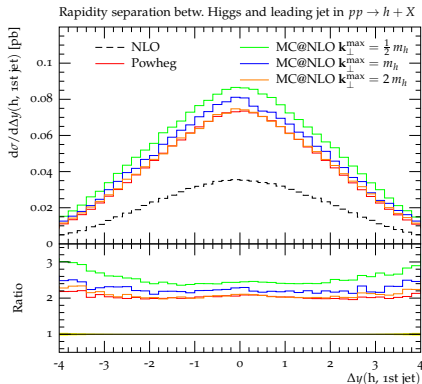
here: modify \mathbb{H} -term with arbitrary higher order corrections $\mathbb{H} \rightarrow \frac{\bar{B}^{(A)}}{B} \mathbb{H}$



- ▶ PS resummation left void of higher order terms
- ▶ equivalent to MENLOPS prescription in [Höche et.al. JHEP04\(2011\)024](#)
- ▶ uncontrolled $\mathcal{O}(\alpha_s^2)$ terms in \mathbb{H} -events

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Conclusions

- NLO+PS is LO+(N)LL matching
- uncertainties studied occur in every process and are inherent to methods
→ $gg \rightarrow h$ just presents a clean environment
- exploit freedom left at the respective level of accuracy
→ each with merits and drawbacks
- choices constrained by adding higher order calculations
 - (N)NLL resummation
 - NNLO corrections
 - NLO \otimes NLO merging with $Q_{\text{cut}} < \mu_Q^2$

Conclusions

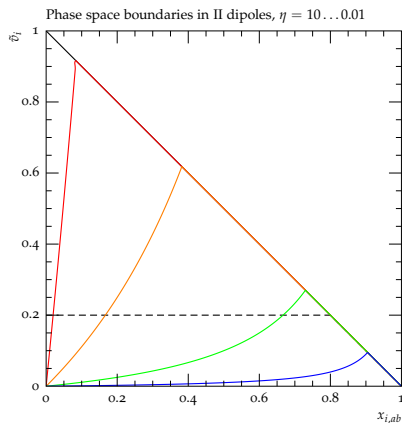
- MC@NLO in $D_i^{(A)} = D_i^{(S)}$ scheme with resummation phase space restriction in α_{cut} included in release SHERPA-1.4.0
- MC@NLO in $D_i^{(A)} = D_i^{(S)}$ scheme with resummation phase space restriction in k_{\perp}^{max} in upcoming release SHERPA-1.4.1
→ first NLO+PS implementation to study dependence on resummation scale

<http://www.sherpa-mc.de>

- new Minimum Bias model (Khoze, Martin, Ryskin) also included
- tune will be available with upcoming SHERPA-1.4.1

Thank you for your attention!

Results – $pp \rightarrow h + X$ in gl. fusion



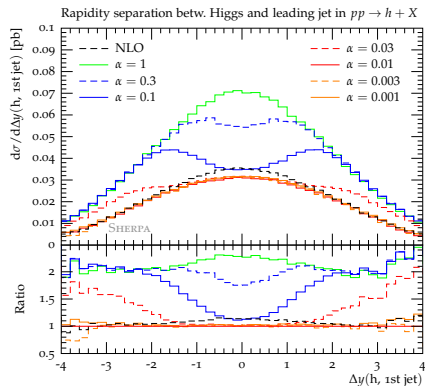
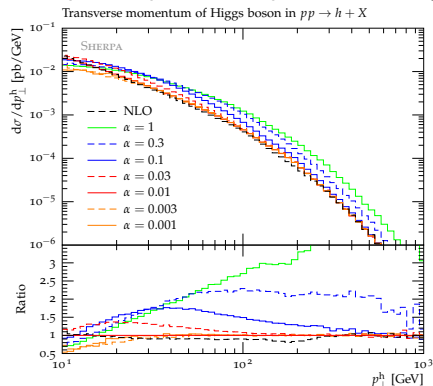
Real emission phase space

$$\tilde{v}_i = \frac{p_a \cdot k}{p_a \cdot p_b} \quad x_{i,ab} = 1 - \frac{(p_a + p_b) \cdot k}{p_a \cdot p_b}$$

- restriction in α permits very hard ($x_{i,ab} \rightarrow 0$), not too collinear radiation at larger \tilde{v}_i
- restriction in $\mathbf{k}_\perp^2 = Q^2 \tilde{v}_i \frac{1-x_{i,ab}}{x_{i,ab}}$ permits only very soft ($x_{i,ab} \rightarrow 1$) radiation at larger \tilde{v}_i

Results – $pp \rightarrow h + X$ in gl. fusion

limit phase space for exponentiation by α_{cut}

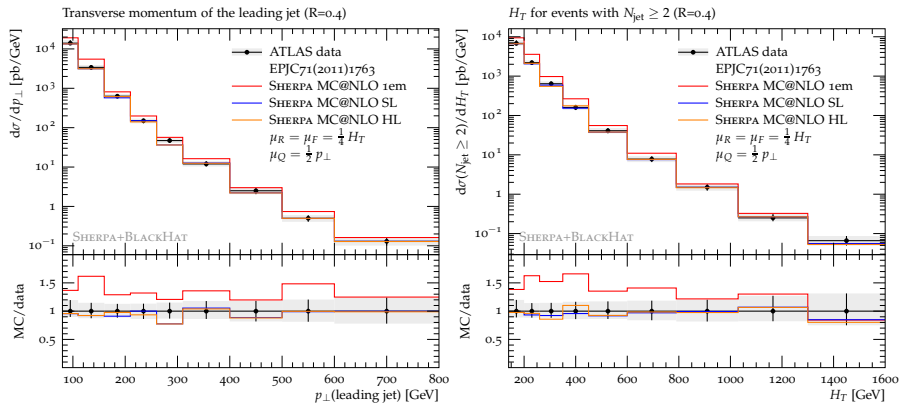


however, α_{cut} no sensible parameter w.r.t. exponentiation region

→ restricts emissions to small opening angle wrt. beam

→ bias towards hard collinear emissions

Different levels of MC@NLO simulation



1em MC@NLO emission only

SL MC@NLO and parton shower

HL MC@NLO, parton shower, hadronisation and multiple interactions