

# Towards a quantum treatment of leptogenesis

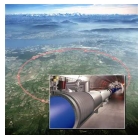
Mathias Garny (DESY, Hamburg)



DESY Theory workshop, September 25 – 28 2012

based on work in collaboration with Andreas Hohenegger, Alexander Kartavtsev  
[1112.6428]

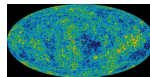
# Physics beyond the Standard Model



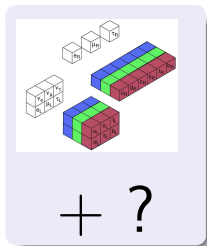
Collider exp.



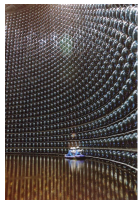
Baryon asymmetry



⋮



⋮



Neutrino exp.



Dark matter



## Towards a quantum treatment of leptogenesis

- Leptogenesis
- Boltzmann approach
- Kadanoff-Baym approach
- Resonant enhancement on the closed time path

# Leptogenesis

Standard Model (SM) extended by three heavy singlet neutrino fields  $N_i = N_i^c$ ,  $i = 1, 2, 3$  with Majorana masses  $\hat{M} = \text{diag}(M_i)$  in the mass eigenbasis

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{2} \bar{N}_i \not{\partial} N - \frac{1}{2} \bar{N} \hat{M} N - \bar{\ell} \tilde{\phi} h P_R N - \bar{N} P_L h^\dagger \tilde{\phi}^\dagger \ell$$

Light neutrino masses via seesaw mechanism

$$m_\nu = -v_{EW}^2 h \hat{M}^{-1} h^T \quad \rightarrow \quad \text{TeV} \lesssim M_i \lesssim M_{GUT} \text{ for } m_e/v_{EW} < h_{ij} < 1$$

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Baryogenesis via leptogenesis

*Fukugita, Yanagida 86*

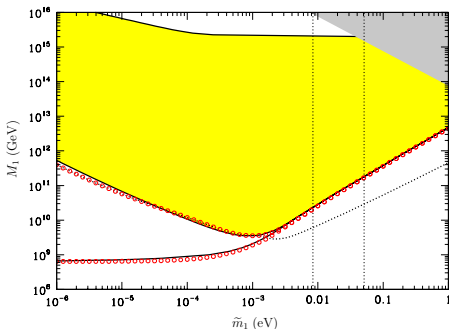
- B-violation via L-violating Majorana masses  $M_i$
- CP-violation via Yukawa couplings  $\text{Im}[(h^\dagger h)_{ij}] \neq 0$
- Out-of-equilibrium (inverse) decay  $N_i \leftrightarrow \ell \phi^\dagger$  and  $N_i \leftrightarrow \ell^c \phi$

$$\begin{aligned} (\Gamma_i/H)|_{T=M_i} &\simeq \tilde{m}_i/\text{meV} \sim \mathcal{O}(1) && \text{where } \tilde{m}_i = v_{EW}^2 (h^\dagger h)_{ii}/M_i \\ (\Gamma_{SM}/H)|_{T=M_i} &\sim g^4 M_{pl}/M_i \gg 1 && \text{for } M_i \ll 10^{16} \text{ GeV} \end{aligned}$$

# Leptogenesis

Vanilla leptogenesis for hierarchical spectrum  $M_1 \ll M_{2,3}$  requires large values of the reheating temperature  $T_R \gtrsim \mathcal{O}(M_1) \gtrsim 10^9 \text{ GeV}$

*Hamaguchi, Murayama, Yanagida; Davidson, Ibarra*



*Buchmüller, Di Bari, Plümacher*

Gravitino production

$$\Omega_{3/2}^{th} h^2 \simeq 0.27 \left( \frac{T_R}{10^9 \text{ GeV}} \right) \left( \frac{10 \text{ GeV}}{m_{3/2}} \right) \left( \frac{m_{\tilde{g}}}{1 \text{ TeV}} \right)^2$$

*Moroi, Murayama, Yamaguchi, 93; Bolz, Brandenburg, Buchmüller, 01; Pradler, Steffen, 06; Rychkov, Strumia, 07*

L-violating decay of heavy right-handed neutrino  $N_i$

$$\mathcal{M}_{N_i \rightarrow \ell_\alpha h^\dagger} = \text{---} \begin{array}{c} \nearrow \text{---} \\ \searrow \text{---} \end{array} \begin{array}{c} y_{i\alpha} \\ \end{array} + \dots$$

$$\mathcal{M}_{N_i \rightarrow \ell_\alpha^c h} = \text{---} \begin{array}{c} \nearrow \text{---} \\ \searrow \text{---} \end{array} \begin{array}{c} y_{i\alpha}^* \\ \end{array} + \dots$$

# Leptogenesis

L-violating decay of heavy right-handed neutrino  $N_i$

$$\mathcal{M}_{N_i \rightarrow \ell_\alpha h^\dagger} = \text{tree} + \text{loop} + \dots$$

$$\mathcal{M}_{N_i \rightarrow \ell_\alpha^c h} = \text{tree} + \text{loop} + \dots$$

Matter-antimatter (CP) asymmetry

$\Leftrightarrow$  interference of tree and **loop** processes

$$\Gamma(N_i \rightarrow \ell_\alpha h^\dagger) - \Gamma(N_i \rightarrow \ell_\alpha^c h) \sim \text{Im}(y_{i\alpha} y_{i\beta} y_{j\alpha}^* y_{j\beta}^*) \cdot \text{Im} \left( \text{tree} + \text{loop} \right)$$

Sakharov: asymmetry from out-of-equilibrium  $N_i$  decay/inverse decay



$$N_L(t) = \int d^3x \sqrt{|g|} \int \frac{d^3p}{(2\pi)^3} \sum_{\ell} [f_{\ell}(t, \mathbf{x}, \mathbf{p}) - f_{\bar{\ell}}(t, \mathbf{x}, \mathbf{p})]$$

# Standard Boltzmann approach

$$N_L(t) = \int d^3x \sqrt{|g|} \int \frac{d^3p}{(2\pi)^3} \sum_{\ell} [f_{\ell}(t, \mathbf{x}, \mathbf{p}) - f_{\bar{\ell}}(t, \mathbf{x}, \mathbf{p})]$$

$$p^{\mu} \mathcal{D}_{\mu} f_{\ell}(t, \mathbf{x}, \mathbf{p}) = \sum_i \int d\Pi_{N_i} d\Pi_h$$

$$\times (2\pi)^4 \delta(p_{\ell} + p_h - p_{N_i})$$

$$\times \left[ |\mathcal{M}|_{N_i \rightarrow \ell h}^2 f_{N_i} (1 - f_{\ell}) (1 + f_h) + \dots \right. \\ \left. - |\mathcal{M}|_{\ell h \rightarrow N_i}^2 f_{\ell} f_h (1 - f_{N_i}) + \dots \right]$$



$f_{\psi}(t, \mathbf{x}, \mathbf{p})$  : distribution function of **on-shell** particles

$|\mathcal{M}|^2$  : matrix elements computed in *vacuum*, **off-shell** effects

# Corrections within Boltzmann framework

- Bose-enhancement, Pauli-Blocking; kinetic (non-)equilibrium

- quantum statistical factors  $1 \pm f_k$
- non-integrated Boltzmann equations

*Hannestad, Basbøll 06; Garayoa, Pastor, Pinto, Rius, Vives 09; Hahn-Woernle, Plümacher, Wong 09*

- Thermal corrections via thermal QFT

- medium correction to CP-violating parameter  $\epsilon = \epsilon^{vac} + \delta\epsilon^{th}$
- thermal masses, dispersion relation
- production rate from a thermal plasma for  $T \gg M_1$ ,  $T \ll M_1$

*Covi, Rius, Roulet, Vissani 98; Giudice, Notari, Raidal, Riotto, Strumia 04; Besak, Bödeker 10*

*Kiessig, Thoma, Plümacher 10; Salvio Lodone Strumia 11, Anisimov, Besak, Bödeker 11; Laine, Schroder 11; Besak, Bodeker 12. . .*

- Flavour effects

*Nardi, Nir, Roulet, Racker 06; Abada, Davidson, Ibarra, Josse-Micheaux, Losada, Riotto 06; de Simone, Riotto 06; Blanchet, diBari 06; . . .*

- Spectator processes, scatterings,  $N_2$ , . . .

# Double Counting Problem

Naive contribution from decay/inverse decay

$$\begin{aligned} |\mathcal{M}|_{N_i \rightarrow \ell h^\dagger}^2 &= |\mathcal{M}_0|^2(1 + \epsilon_i) & |\mathcal{M}|_{\ell h^\dagger \rightarrow N_i}^2 &= |\mathcal{M}_0|^2(1 - \epsilon_i) \\ |\mathcal{M}|_{N_i \rightarrow \ell^c h}^2 &= |\mathcal{M}_0|^2(1 - \epsilon_i) & |\mathcal{M}|_{\ell^c h \rightarrow N_i}^2 &= |\mathcal{M}_0|^2(1 + \epsilon_i) \end{aligned}$$

$$\begin{aligned} \frac{dN_{B-L}}{dt} &\propto (|\mathcal{M}|_{N_i \rightarrow \ell h^\dagger}^2 - |\mathcal{M}|_{N_i \rightarrow \ell^c h}^2) N_{N_i} \\ &\quad - (|\mathcal{M}|_{\ell h^\dagger \rightarrow N_i}^2 - |\mathcal{M}|_{\ell^c h \rightarrow N_i}^2) N_{N_i}^{\text{eq}} \end{aligned}$$

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⇒ spurious generation of asymmetry even in equilibrium

Origin: Double Counting Problem



→ need real intermediate state subtraction

... derivation from first principles / generalization ?

# Leptogenesis - resonant enhancement

Efficiency of leptogenesis depends on CP-violating parameter, which is one-loop suppressed

$$\epsilon_{N_i} = \frac{\Gamma(N_i \rightarrow \ell\phi^\dagger) - \Gamma(N_i \rightarrow \ell^c\phi)}{\Gamma(N_i \rightarrow \ell\phi^\dagger) + \Gamma(N_i \rightarrow \ell^c\phi)} \propto \text{Im} \left( \text{triangle diagram} + \text{self-energy diagram} \right)$$

Self-energy (or 'wave') contribution to CP-violating parameter features a resonant enhancement for a quasi-degenerate spectrum  $M_1 \simeq M_2 \ll M_3$

$$\epsilon_{N_i}^{\text{wave}} = \frac{\text{Im}[(h^\dagger h)_{12}^2]}{8\pi(h^\dagger h)_{ii}} \times \frac{M_1 M_2}{M_2^2 - M_1^2}$$

*Flanz Paschos Sarkar 94/96; Covi Roulet Vissani 96;*

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On-shell initial  $N_1: p^2 = M_1^2$       Internal  $N_2: \frac{i}{p^2 - M_2^2}$

# Resonant leptogenesis

- *Flanz Paschos Sarkar Weiss 96*; effective Hamiltonian approach

$$\epsilon_{N_i} = - \frac{\text{Im}[(h^\dagger h)_{12}^2]}{16\pi(h^\dagger h)_{ii}} \frac{M_1(M_2 - M_1)}{(M_2 - M_1)^2 + M_1^2(\text{Re}(h^\dagger h)_{12}/(16\pi))^2}$$

- *Covi Roulet 96*; CP violating decay of mixing scalar fields described by effective mass matrix; formalism as in Liu Segre 93
- *Pilaftsis 97; Pilaftsis Underwood 03*; Pole mass expansion of resummed propagators

$$\epsilon_{N_i} = \frac{\text{Im}[(h^\dagger h)_{ij}^2]}{(h^\dagger h)_{ii}(h^\dagger h)_{jj}} \frac{(M_i^2 - M_j^2)M_i\Gamma_j}{(M_i^2 - M_j^2)^2 + M_i^2\Gamma_j^2}$$

- *Buchmüller Plümacher 97*; Diagonalization of resummed propagators

$$\epsilon_{N_i} = \frac{\text{Im}[(h^\dagger h)_{12}^2]}{8\pi(h^\dagger h)_{ii}} \frac{M_1 M_2 (M_2^2 - M_1^2)}{(M_2^2 - M_1^2 - \frac{1}{\pi}\Gamma_i M_i \ln \frac{M_2^2}{M_1^2})^2 + (M_1\Gamma_1 - M_2\Gamma_2)^2}$$

- *Rangarajan Mishra 99*; comparison of different approaches
- *Anisimov Broncano Plümacher 05*; Reconciliation of diagonalization approach with the pole mass expansion approach
- Invariant quantity  $M_1 M_2 (M_2^2 - M_1^2) \text{Im}(h^\dagger h)_{12}^2$  related to CP violation appears in the numerator



# Resonant leptogenesis

The results can be summarized (neglecting log-corrections) as

$$\epsilon_{N_i} = \frac{\text{Im}[(h^\dagger h)_{12}^2]}{8\pi(h^\dagger h)_{ii}} \times R, \quad R \equiv \frac{M_1 M_2 (M_2^2 - M_1^2)}{(M_2^2 - M_1^2)^2 + A^2}$$

Different calculations correspond to different expressions for the 'regulator'  $A^2$

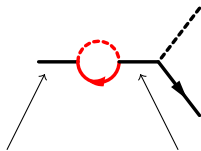
$$A^2 = \begin{cases} \frac{1}{4}(M_1 + M_2)^4 \left( \frac{\text{Re}(h^\dagger h)_{12}}{16\pi} \right)^2 & \text{Flanz Paschos Sarkar Weiss 96} \\ M_i^2 \Gamma_j^2 & \text{Pilaftsis 97; Pilaftsis Underwood 03} \\ (M_1 \Gamma_1 - M_2 \Gamma_2)^2 & \text{Buchmüller Plümacher 97;} \\ \dots & \text{Anisimov Broncano Plümacher 05; ...} \end{cases}$$

The regulator is relevant for determining the maximal possible resonant enhancement, which occurs for  $M_2^2 - M_1^2 = \pm A$ , and is given by

$$R_{\max} = \frac{M_1 M_2}{2|A|}$$

# Resonant leptogenesis

The origin of the regulator is the finite width of  $N_1$  and  $N_2$

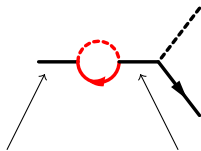


Off-shell initial  $N_1$ :  $p^2 = M_1^2 + iM_1\Gamma_1$

Internal  $N_2$ :  $\frac{i}{p^2 - M_2^2 - iM_2\Gamma_2}$

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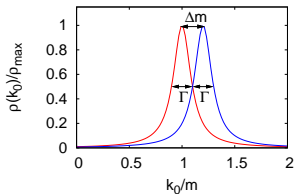
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In the maximal resonant case  $M_2 - M_1 = \mathcal{O}(\Gamma_i)$ , the spectral functions overlap



deviation from equilibrium is essential

$\Rightarrow$  desirable to go beyond the quasi-particle approximation which underlies the conventional semi-classical Boltzmann approach.

## Goal(s)

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- derivation of kinetic equations starting from first principles
- on-/off-shell treated in a unified way (avoid double-counting)

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- coherent flavor transitions, finite-width effects, ...

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(... incomplete list) Buchmüller, Fredenhagen hep-ph/004145; De Simone, Riotto hep-ph/0703175, 0705.2183  
Anisimov, Buchmüller, Drewes, Mendizabal 1001.3856, 1012.5821

MG, Kartavtsev, Hohenegger, Lindner 0909.1559, 0911.4122

Beneke, Fidler, Garbrecht, Herranen, Schwaller 1002.1326, 1007.4783

Garbrecht 1011.3122; Gagnon, Shaposhnikov 1012.1126

MG, Kartavtsev, Hohenegger 1002.0331, 1005.5385, [1112.6428](#)

Drewes, Mendizabal, Weniger 1202.1301

Garbrecht, Herranen 1112.5954; Garbrecht 1202.5126; Garbrecht, Drewes 1206.5537

see also Fidler, Herranen, Kainulainen, Rakhila 1108.2309; Canetti, Drewes, Shaposhnikov 1204.4186

# Going beyond the Boltzmann picture

Statistical propagator  $S_F^{ij}(x, y) = \langle N_i(x)\bar{N}_j(y) - \bar{N}_j(y)N_i(x) \rangle / 2$

Spectral function  $S_\rho^{ij}(x, y) = i\langle N_i(x)\bar{N}_j(y) + \bar{N}_j(y)N_i(x) \rangle$

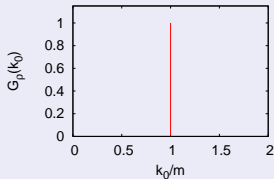
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## Boltzmann limit

- on-shell quasi-stable particles



$$S_\rho^{ij}(k) \sim \delta^{ij}\delta(k^2 - m_i^2)$$

- equilibrium-like  
fluctuation-dissipation relation

$$S_F^{ij}(t, k) = \left( \frac{1}{2} - f_k^i(t) \right) S_\rho^{ij}(k)$$



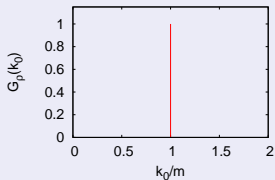
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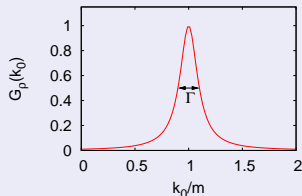
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## Propagation beyond Boltzmann

- spectrum with (thermal) width



$$S_\rho^{ij}(t, k) \propto \frac{\delta^{ij} 2k_0 \Gamma_i(t)}{(k^2 - m_{th,i}^2(t))^2 + k_0^2 \Gamma_i(t)^2} + \dots$$

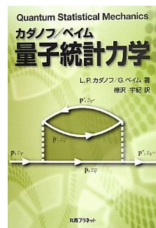
- coherent  $N_1 - N_2$  transitions

$$S_F^{ij}(t, k) = \begin{pmatrix} S_F^{11} & S_F^{12} \\ S_F^{21} & S_F^{22} \end{pmatrix}$$

# Kadanoff-Baym equations

$$\begin{aligned} ((i\partial_x - M_i)\delta^{ik} - \delta\Sigma_N(x)^{ik}) S_F^{kj}(x, y) &= \int_0^{x^0} dz^0 \int d^3z \Sigma_{N\rho}^{ik}(x, z) S_F^{kj}(z, y) \\ &\quad - \int_0^{y^0} dz^0 \int d^3z \Sigma_{N\rho}^{ik}(x, z) S_\rho^{kj}(z, y) \\ ((i\partial_x - M_i)\delta^{ik} - \delta\Sigma_N(x)^{ik}) S_\rho^{kj}(x, y) &= \int_{y^0}^{x^0} dz^0 \int d^3z \Sigma_{N\rho}^{ik}(x, z) S_\rho^{kj}(z, y) \end{aligned}$$

- **Statistical propagator** encodes time-evolution of the state
- **Spectral function** includes off-shell effects self-consistently
- **Memory integrals**



Lepton current

$$j_L^\mu(x) = \left\langle \sum_\alpha \bar{\ell}_\alpha(x) \gamma^\mu \ell_\alpha(x) \right\rangle = -\text{tr} \left[ \gamma^\mu S_\ell^{\alpha\beta}(x, x) \right]$$

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Lepton asymmetry

$$n_L(t) = \frac{1}{V} \int_V d^3x j_L^0(t, \mathbf{x})$$

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Equation of motion

$$\frac{dn_L}{dt} = \frac{1}{V} \int_V d^3x \partial_\mu j_L^\mu(x) = -\frac{1}{V} \int_V d^3x \text{tr} \left[ \gamma_\mu (\partial_x^\mu + \partial_y^\mu) S_\ell^{\alpha\beta}(x, y) \right]_{x=y}$$

# CTP/Kadanoff-Baym approach to leptogenesis

Lepton current

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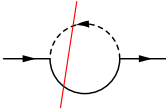
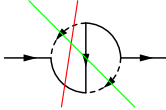
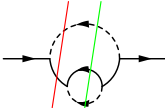
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$$\frac{dn_L}{dt} = \frac{1}{V} \int_V d^3x \partial_\mu j_L^\mu(x) = -\frac{1}{V} \int_V d^3x \text{tr} \left[ \gamma_\mu (\partial_x^\mu + \partial_y^\mu) S_\ell^{\alpha\beta}(x, y) \right]_{x=y}$$

Use KB equations for leptons on the right-hand side  $\Rightarrow$

$$\begin{aligned} \frac{dn_L}{dt} = & i \int_0^t dt' \int \frac{d^3p}{(2\pi)^3} \text{tr} \left[ \Sigma_{\ell_{\rho\mathbf{p}}}^{\alpha\gamma}(t, t') S_{F_{\mathbf{p}}}^{\gamma\beta}(t', t) - \Sigma_{\ell_{F_{\mathbf{p}}}}^{\alpha\gamma}(t, t') S_{\rho\mathbf{p}}^{\gamma\beta}(t', t) \right. \\ & \left. - S_{\rho\mathbf{p}}^{\alpha\gamma}(t, t') \Sigma_{\ell_{F_{\mathbf{p}}}}^{\gamma\beta}(t', t) + S_{F_{\mathbf{p}}}^{\alpha\gamma}(t, t') \Sigma_{\rho\mathbf{p}}^{\gamma\beta}(t', t) \right] \end{aligned}$$

# CTP/Kadanoff-Baym approach to leptogenesis

			
$N \leftrightarrow l\phi^\dagger$ $N \leftrightarrow l^c\phi$	$ tree ^2$	tree $\times$ vertex-corr.	tree $\times$ wave-corr.
$l\phi^\dagger \leftrightarrow l^c\phi$		s $\times$ t	s $\times$ s, t $\times$ t

- unified description of CP-violating decay, inverse decay, scattering

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- unified description of CP-violating **decay**, **inverse decay**, **scattering**
- $dn_L/dt$  vanishes in equilibrium due to KMS relations

$$S_F^{eq} = \frac{1}{2} \tanh\left(\frac{\beta k^0}{2}\right) S_\rho^{eq} \quad \Sigma_F^{eq} = \frac{1}{2} \tanh\left(\frac{\beta k^0}{2}\right) \Sigma_\rho^{eq}$$

$\Rightarrow$  consistent equations free of double-counting problems

*MG, Kartavtsev, Hohenegger, Lindner 09;*

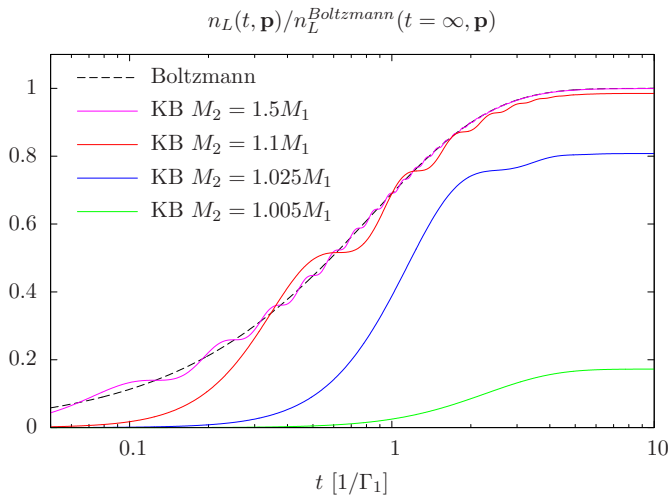
*Beneke, Garbrecht, Herranen, Schwaller 10;*



# Resonant enhancement

Solve KB eqs for resummed neutrino propagator  $S^{ij}(t, \mathbf{p})$  in  $N_{1,2}$  flavor space,  
treat lepton/Higgs as thermal bath

*MG, Kartavtsev, Hohenegger 1112.6428*



$$\Gamma_1 = 0.01M_1, \Gamma_2 = 0.015M_1, \Gamma_{\ell\phi} \rightarrow 0$$

Resonant enhancement within the Boltzmann approach

$$R^{\text{Boltzmann}} \equiv \frac{M_1 M_2 (M_2^2 - M_1^2)}{(M_2^2 - M_1^2)^2 + A^2}$$

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Resonant enhancement within the Kadanoff-Baym approach, including coherent contributions ( $|(h^\dagger h)_{12}| \ll (h^\dagger h)_{ii}$ )

$$R^{\text{KB}}(t) = \frac{M_1 M_2 (M_2^2 - M_1^2)}{(M_2^2 - M_1^2)^2 + (M_1 \Gamma_1 - M_2 \Gamma_2)^2} \times (1 - f_{\text{coherent}}(t))$$

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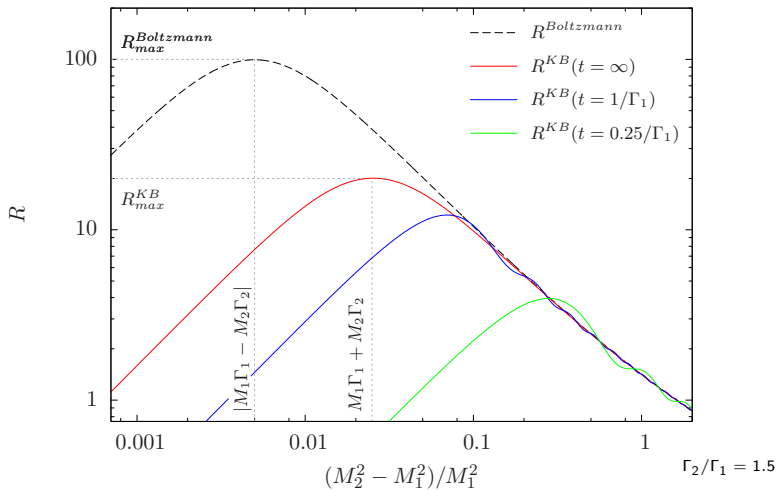
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Partial cancellation of Boltzmann- and coherent contribution cuts off the enhancement in the doubly degenerate limit  $M_1 \rightarrow M_2$  and  $\Gamma_1 \rightarrow \Gamma_2$

$$R^{KB}(t)|_{t \gtrsim 1/\Gamma_{pl}} \simeq \frac{M_1 M_2 (M_2^2 - M_1^2)}{(M_2^2 - M_1^2)^2 + (M_1 \Gamma_1 + M_2 \Gamma_2)^2}$$

# Resonant enhancement

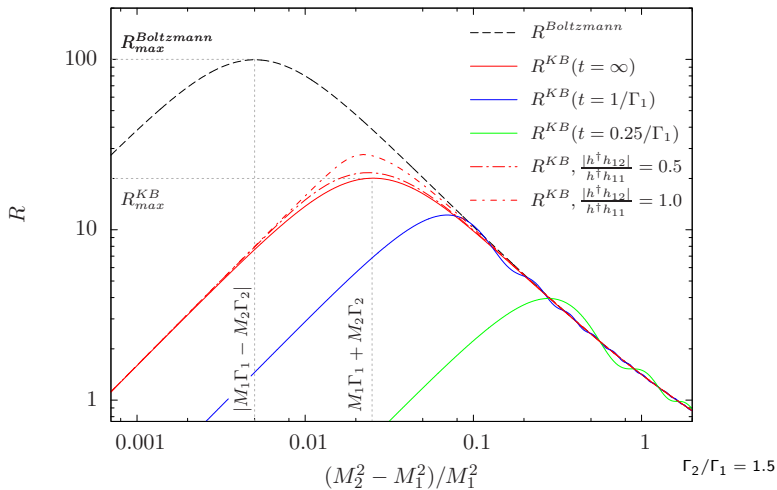
MG, Kartavtsev, Hohenegger 1112.6428



$$R_{max}^{Boltzmann} = M_1 M_2 / (2|\Gamma_1 M_1 - \Gamma_2 M_2|), \quad R_{max}^{KB} = M_1 M_2 / (2(\Gamma_1 M_1 + \Gamma_2 M_2))$$

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MG, Kartavtsev, Hohenegger 1112.6428



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## Towards a quantum treatment of leptogenesis

- First-principles methods like Kadanoff-Baym equations are helpful to scrutinize classical approximations
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  - valid for  $\Delta M \sim \Gamma$ , effective width/mass, flavor coherence
  - smaller enhancement than Boltzmann

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- numerical solution for  $|h^\dagger h|_{12} \sim h^\dagger h_{ii}$  (check BW appr.)
- relevant e.g. for flavor symmetries ( $\Delta M/M, \Delta\Gamma/\Gamma \ll 1$ )

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thank you!



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Goal: obtain analytical result by taking essential features into account  
(width, coherent flavor-mixing, memory integrals)  
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$$S_{Fp}^{ij}(t, t') = S_{Fp}^{ij\text{th}}(t - t') + \Delta S_{Fp}^{ij}(t, t')$$

- Second step: Lepton asymmetry

$$n_L(t) = i(h^\dagger h)_{ji} \int_0^t dt' \int_0^{t'} dt'' \int \frac{d^3p}{(2\pi)^3} \\ \text{tr} \left[ P_R \left( \underbrace{\Delta S_{Fp}^{ij}(t', t'') - \Delta \bar{S}_{Fp}^{ij}(t', t'')} \right) P_L S_{\ell\phi\rho p}(t'' - t') \right] \\ \propto \text{Deviation from equilibrium, CP-violation}$$

$$\Delta \bar{S}_{Fp}^{ij}(t', t'') = CP \Delta S_{Fp}^{ij}(t'', t')^T (CP)^{-1}$$

lepton-Higgs loop  $S_{\ell\phi} = S_\ell \Delta_\phi$

# Result for the lepton asymmetry

$$n_L(t) = \int \frac{d^3 p d^3 q d^3 k}{(2\pi)^9 2q 2k} (2\pi)^3 \delta(\mathbf{p} - \mathbf{k} - \mathbf{q}) \sum_{l, J=1,2} \sum_{\epsilon_n=\pm 1} F_{Jl}^{\epsilon_n} L_{lJ}^{\epsilon_n}(t)$$

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Coefficients  $F$  depend on Yukawa couplings, thermal distributions of lepton and Higgs, resummed ret/adv propagators and initial conditions

$$F_{JI}^{\epsilon_n} = \sum_{ijkl=1,2} (h^\dagger h)_{ji} \left( \left( \frac{1}{2} + f_\phi(q) \right) + \epsilon_2 \epsilon_3 \left( \frac{1}{2} - f_\ell(k) \right) \right) \text{tr} \left[ P_L (|\mathbf{k}\rangle \gamma_0 + \epsilon_2 \mathbf{k} \gamma) \right. \\ \left. \times \left( S_{RI}^{ik\epsilon_4} \gamma_0 \Delta S_{F\mathbf{p}}^{kl} (0, 0) \gamma_0 S_{AJ}^{lj\epsilon_1} - \bar{S}_{RI}^{jk\epsilon_4} \gamma_0 \Delta \bar{S}_{F\mathbf{p}}^{kl} (0, 0) \gamma_0 \bar{S}_{AJ}^{li\epsilon_1} \right) \right]$$

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Time-dependence: flavor diagonal and off-diagonal contributions:

$$L_{II}^\pm(t) = \frac{1 - e^{-\Gamma_{pl} t}}{\Gamma_{pl}} \text{Re} \left( \frac{\Gamma_{\ell\phi}}{(\omega_{pl} - k - q + i\Gamma_{pl}/2)^2 + \Gamma_{\ell\phi}^2/4} \right) \\ L_{21}^\pm(t) = \frac{1 - e^{\mp i(\omega_{p1} - \omega_{p2})t} e^{-(\Gamma_{p1} + \Gamma_{p2})t/2}}{\Gamma_{p1} + \Gamma_{p2} \pm 2i(\omega_{p1} - \omega_{p2})} \left( \frac{\Gamma_{\ell\phi}}{(\omega_{p1} - k - q \pm i\Gamma_{p1}/2)^2 + \Gamma_{\ell\phi}^2/4} \right. \\ \left. + \frac{\Gamma_{\ell\phi}}{(\omega_{p2} - k - q \mp i\Gamma_{p2}/2)^2 + \Gamma_{\ell\phi}^2/4} \right) = L_{12}^\pm(t)^*$$

Comparison KB – Boltzmann: hierarchical limit

$$\begin{aligned}
 n_L(t) &= \frac{\text{Im}[(h^\dagger h)_{12}^2]}{8\pi} \frac{M_1}{M_2} \int \frac{d^3 p d^3 q d^3 k}{(2\pi)^9 \omega_{p1} 2q 2k} 4k \cdot p_1 \\
 &\quad (2\pi)^3 \delta(\mathbf{p} - \mathbf{k} - \mathbf{q}) \times \text{Re} \frac{\Gamma_{\ell\phi}}{(\omega_{p1} - k - q + i\Gamma_{p1}/2)^2 + \Gamma_{\ell\phi}^2/4} \\
 &\quad \times (1 + f_\phi(q) - f_\ell(k)) f_{FD}(\omega_{p1}) \frac{1 - e^{-\Gamma_{p1}t}}{\Gamma_{p1}}
 \end{aligned}$$

$$\begin{aligned}
 n_L^{\text{Boltzmann}}(t) &= \frac{\text{Im}[(h^\dagger h)_{12}^2]}{8\pi} \frac{M_1}{M_2} \int \frac{d^3 p d^3 q d^3 k}{(2\pi)^9 \omega_{p1} 2q 2k} 4k \cdot p_1 \\
 &\quad (2\pi)^3 \delta(\mathbf{p} - \mathbf{k} - \mathbf{q}) \times 2\pi \delta(\omega_{p1} - k - q) \\
 &\quad \times (1 + f_\phi(q) - f_\ell(k)) f_{FD}(\omega_{p1}) \frac{1 - e^{-\Gamma_{p1}t}}{\Gamma_{p1}} .
 \end{aligned}$$

The thermal width of lepton and Higgs  $\Gamma_{\ell\phi} = \Gamma_\ell + \Gamma_\phi$  leads to a replacement of the on-shell delta function in the Boltzmann equations by a Breit-Wigner curve, in accordance with *Anisimov, Buchmüller, Drewes, Mendizabal 10*

The coherent contributions are suppressed with  $\Gamma_{p1}/\omega_{p1}$

# Resonant enhancement

Comparison KB – Boltzmann: degenerate case

$$\begin{aligned}
 n_L(t) = & \frac{\text{Im}[(h^\dagger h)_{12}^2]}{8\pi} \frac{M_1 M_2 (M_2^2 - M_1^2)}{(M_2^2 - M_1^2)^2 + (M_1 \Gamma_1 - M_2 \Gamma_2)^2} \int \frac{d^3 p d^3 q d^3 k}{(2\pi)^9 \omega_p 2q 2k} 4k \cdot p \\
 & \times (2\pi)^3 \delta(\mathbf{p} - \mathbf{k} - \mathbf{q}) \frac{\Gamma_{\ell\phi}}{(\omega_p - k - q)^2 + \Gamma_{\ell\phi}^2/4} (1 + f_\phi - f_\ell) f_{FD}(\omega_p) \\
 & \times \left[ \sum_{l=1,2} \frac{1 - e^{-\Gamma_{pl}t}}{\Gamma_{pl}} - 4 \text{Re} \left( \frac{1 - e^{-i(\omega_{p1} - \omega_{p2})t} e^{-(\Gamma_{p1} + \Gamma_{p2})t/2}}{\Gamma_{p1} + \Gamma_{p2} + 2i(\omega_{p1} - \omega_{p2})} \right) \right]
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- Regulator  $M_1 \Gamma_1 - M_2 \Gamma_2$  is confirmed
- Additional oscillating contribution due to **coherent  $N_1 - N_2$  transitions**

# Majorana neutrino propagator

Retarded and advanced propagators

$$\begin{aligned}S_R(x, y) &= \Theta(x^0 - y^0)S_\rho(x, y) \\S_A(x, y) &= -\Theta(y^0 - x^0)S_\rho(x, y)\end{aligned}$$

The Kadanoff-Baym equation for the statistical propagator can be written as

$$\begin{aligned}\int_0^\infty d^4 z \left[ \left( (i\cancel{\partial}_x - M_i) \delta^{ik} - \delta \Sigma_N^{ik}(x) \right) \delta(x - z) - \Sigma_{NR}^{ik}(x, z) \right] S_F^{kj}(z, y) \\= \int_0^\infty d^4 z \Sigma_{NF}^{ik}(x, z) S_A^{kj}(z, y)\end{aligned}$$

Special solution of the inhomogeneous equation

$$S_F^{ij}(x, y)_{inhom} = - \int_0^\infty d^4 u S_R^{ik}(x, u) \int_0^\infty d^4 z \Sigma_{NF}^{kl}(u, z) S_A^{lj}(z, y)$$

General solution of the homogeneous equation

$$S_F^{ij}(x, y)_{hom} = - \int d^3 u S_R^{ik}(x, (0, \mathbf{u})) \int d^3 v A^{kl}(\mathbf{u}, \mathbf{v}) S_A^{lj}((0, \mathbf{v}), y)$$

where  $A^{kl}(\mathbf{u}, \mathbf{v})$  is a free function

# Majorana neutrino propagator

Treating lepton/Higgs as a thermal bath, the Majorana neutrino propagator is given by

$$S_{Fp}^{ij}(t, t') = S_{Fp}^{ij\,th}(t - t') + \underbrace{S_{Rp}^{ik}(t)\gamma_0\Delta S_{Fp}^{kl}(0, 0)\gamma_0 S_{Ap}^{lj}(-t')}_{\equiv \Delta S_{Fp}^{ij}(t, t')}$$

The resummed retarded and advanced functions can be obtained by solving a SD equation (we use  $\overline{MS}$  at  $T = 0$  and  $\mu = (M_1 + M_2)/2$ )

$$\left[ (\not{p} - M_i) \delta^{ik} - \delta\Sigma_N^{ik}(p) - \Sigma_{NR(A)}^{ik}(p) \right] S_{R(A)}^{kj}(p) = -\delta^{ij}$$

$$\Sigma_{NR(A)}^{ij}(p) = -2 \left[ (h^\dagger h)_{ij} P_L + (h^\dagger h)_{ji} P_R \right] S_{\ell\phi R(A)}(p)$$

$$S_{\ell\phi R(A)}^{\text{vac}}(p) = \frac{1}{32\pi^2} \left( \frac{1}{\epsilon} - \gamma_E + \ln(4\pi) + 2 - \ln \left( \frac{|p^2|}{\mu^2} \right) \pm i\pi\Theta(p^2)\text{sign}(p_0) \right) \not{p}$$

$$S_{\ell\phi R(A)}^{\text{th}}(p) \simeq -T^2 \not{p}/(12p^2) \pm 2i\not{p}/(e^{|\not{p}^0|/T} - 1)\Theta(p^2)\text{sign}(p_0)/(32\pi)$$

# Majorana neutrino propagator

Solve SD equation for  $S_{R(A)}(p)$  in Breit-Wigner approximation:

$$S_{R(A)}^{ij}(p) \simeq \frac{Z_{1R(A)}^{ij}}{p^2 - x_1} + \frac{Z_{2R(A)}^{ij}}{p^2 - x_2}$$

with residua  $Z_{lR(A)}^{ij}$  and complex poles  $x_l$  (basis independent)

$$x_{1,2} = \frac{(V \pm W)^2}{4Q^2} \equiv \left( \omega_{pl} - i \frac{\Gamma_{pl}}{2} \right)^2 - \mathbf{p}^2$$

where

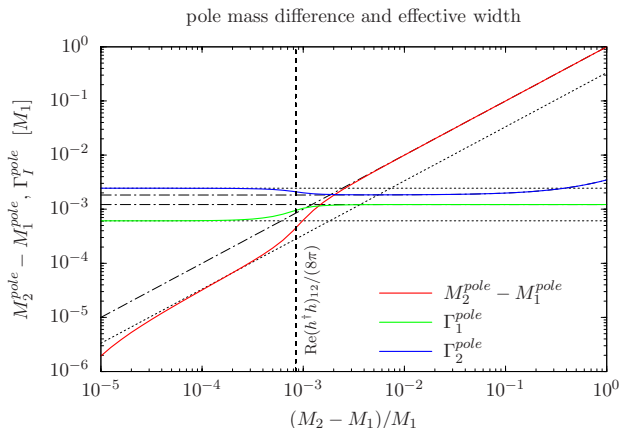
$$V = \sqrt{(\eta_1 M_1 (1 + i\gamma_{22}) - \eta_2 M_2 (1 + i\gamma_{11}))^2 - 4\eta_1 \eta_2 M_1 M_2 (\text{Re}\gamma_{12})^2}$$

$$W = \sqrt{(\eta_1 M_1 (1 + i\gamma_{22}) + \eta_2 M_2 (1 + i\gamma_{11}))^2 + 4\eta_1 \eta_2 M_1 M_2 (\text{Im}\gamma_{12})^2}$$

$$Q = \det \Omega_{LR} = \det \Omega_{RL} = (1 + i\gamma_{11})(1 + i\gamma_{22}) + |\gamma_{12}|^2$$

$$\gamma_{ij} \simeq (h^\dagger h)_{ij} \left[ \frac{\Theta(p^2) \text{sign}(p_0)}{16\pi} \left( 1 + \frac{2}{e^{|p_0|/T} - 1} \right) + i \left( \frac{\ln \frac{|p^2|}{\mu^2}}{16\pi^2} + \frac{T^2}{6p^2} - \frac{T^2}{6\mu^2} \right) \right]$$

# Majorana neutrino propagator



Effective masses  $M_i^{pole} \equiv \omega_{pI}|_{p=0}$  and widths  $\Gamma_i^{pole} \equiv \Gamma_{pI}|_{p=0}$  of the sterile Majorana neutrinos extracted from complex poles of resummed ret/adv prop. for  $(h^\dagger h)_{11} = 0.03$ ,  $(h^\dagger h)_{22} = 0.045$ ,  $(h^\dagger h)_{12} = 0.03 \cdot e^{i\pi/4}$  and  $T = 0.25M_1$ .

# Majorana neutrino propagator

- Regime  $(M_2 - M_1)/M_1 \gtrsim \text{Re}(h^\dagger h)_{12}/(8\pi)$

$$M_i^{pole} \simeq M_i,$$

$$\Gamma_i^{pole} \simeq \Gamma_i \equiv \frac{(h^\dagger h)_{ii}}{8\pi} M_i \left( 1 + \frac{2}{e^{M_i/T} - 1} \right)$$

- Regime  $(M_2 - M_1)/M_1 \lesssim \text{Re}(h^\dagger h)_{12}/(8\pi)$

$$M_i^{pole} \simeq \frac{M_1 + M_2}{2} \pm \frac{(M_2 - M_1)((h^\dagger h)_{22} - (h^\dagger h)_{11})}{2\sqrt{((h^\dagger h)_{22} - (h^\dagger h)_{11})^2 + 4(\text{Re}(h^\dagger h)_{12})^2}},$$

$$\Gamma_i^{pole} \simeq \frac{M_i}{16\pi} \left( 1 + \frac{2}{e^{M_i/T} - 1} \right) \left( (h^\dagger h)_{11} + (h^\dagger h)_{22} \pm \sqrt{((h^\dagger h)_{22} - (h^\dagger h)_{11})^2 + 4(\text{Re}(h^\dagger h)_{12})^2} \right)$$

The relation between the mass- and Yukawa coupling matrices at zero and finite temperature is

$$\begin{aligned} M(T) &= (P_L Z(T)^T + P_R Z(T)^\dagger) M(T=0) (P_L Z(T) + P_R Z(T)^*), \\ (h^\dagger h)(T) &= Z(T)^T (h^\dagger h)(T=0) Z(T)^*, \end{aligned}$$

where  $Z_{ij}(T) \equiv V_{ik}(T)(\delta_{kj} + (h^\dagger h)_{kj} T^2/(6\mu^2))$ ,  $V(T)^\dagger V(T) = 1$