

Precision gauge unification from strings



Michael Ratz



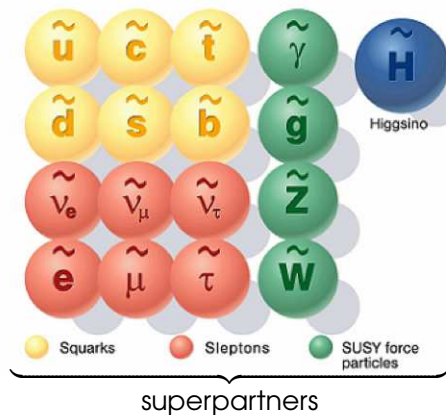
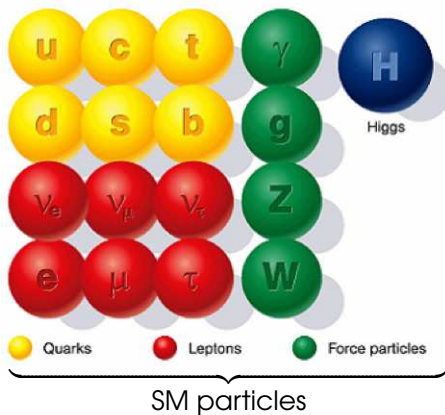
String Pheno 2013, July 18, 2013

Based on:

- M. Blaszczyk, S. Groot Nibbelink, M.R., F. Ruehle, M. Trapletti & P. Vaudrevange, Phys. Lett. **B683**, 340 (2010)
- S. Raby, M.R. & K. Schmidt-Hoberg, Phys. Lett. **B687**, 342-348 (2010)
- R. Kappl, B. Petersen, S. Raby, M.R., R. Schieren & P. Vaudrevange, Nucl. Phys. **B847**, 325-349 (2011)
- S. Krippendorf, H.P. Nilles, M.R. & M. Winkler, Phys. Lett. **B712**, 87 (2012)
- M. Fischer, M.R., J. Torrado & P. Vaudrevange, **JHEP** 1301 (2013) 084
- S. Krippendorf, H.P. Nilles, M.R. & M. Winkler, arXiv:1306.0574
- M. Fischer et al., in preparation

(Minimal) supersymmetric standard model

☞ The minimal supersymmetric standard model (MSSM) provides an attractive scheme for physics beyond the SM

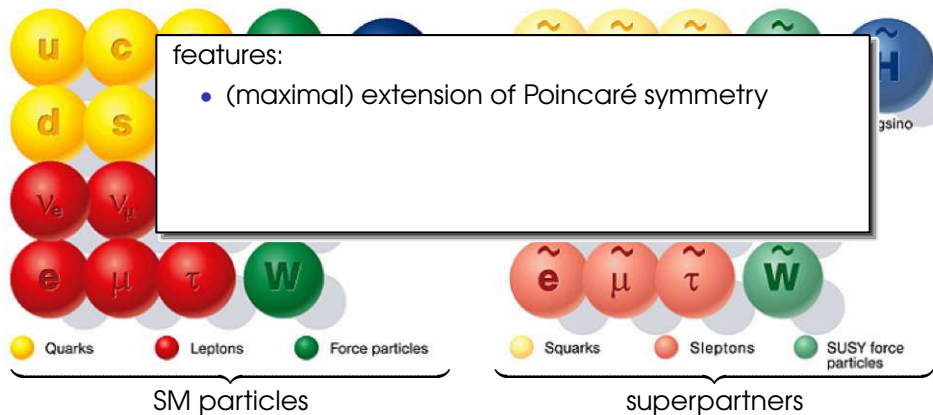


(Minimal) supersymmetric standard model

- 👉 The minimal supersymmetric standard model (MSSM) provides an attractive scheme for physics beyond the SM

features:

- (maximal) extension of Poincaré symmetry

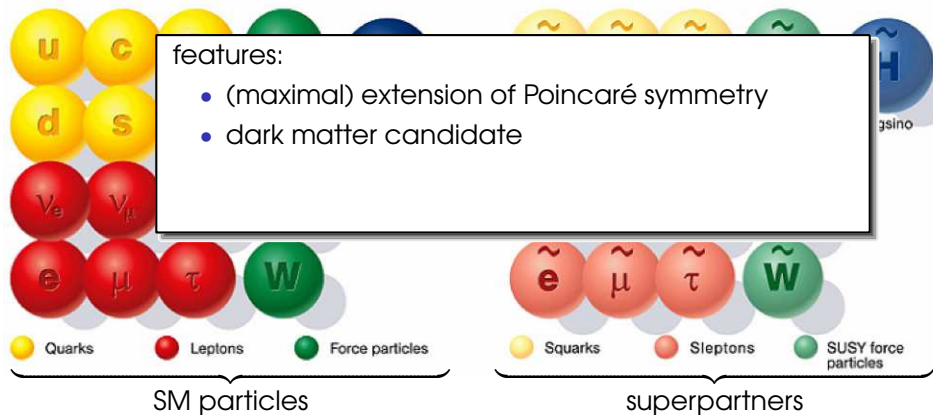


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- dark matter candidate

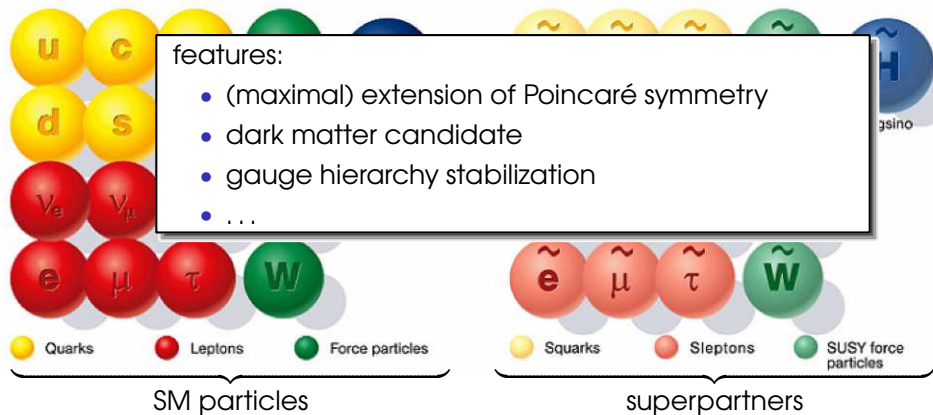


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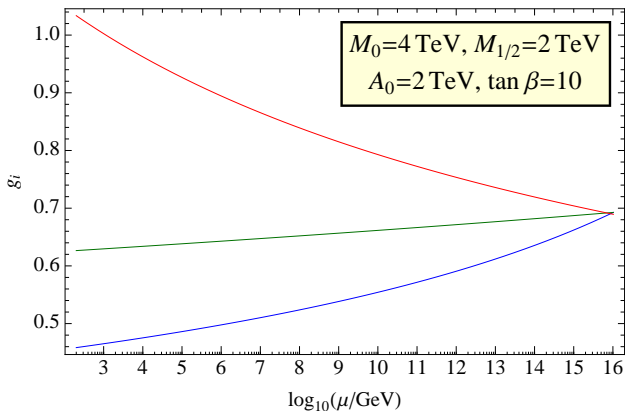
- (maximal) extension of Poincaré symmetry
- dark matter candidate
- gauge hierarchy stabilization
- ...



Gauge coupling unification in the MSSM

- ➡ Running couplings in the (minimal) **supersymmetric** standard model (MSSM)

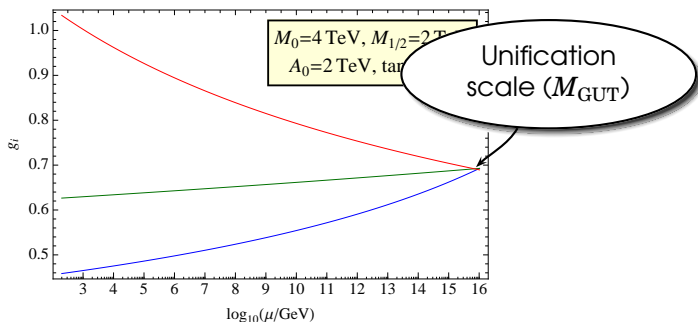
Dimopoulos, Raby & Wilczek (1981)



Gauge coupling unification in the MSSM

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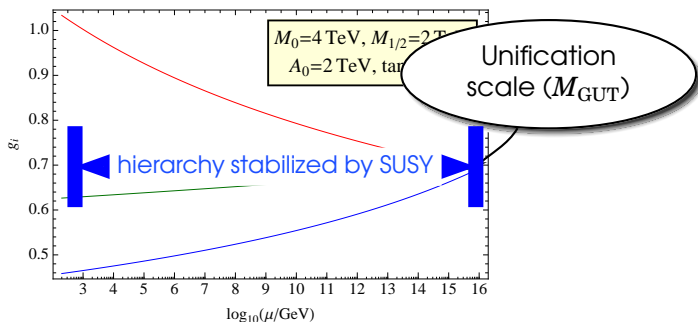


- ↳ Gauge coupling unification might be a consequence of $G_{\text{SM}} = \mathbf{SU(3)} \times \mathbf{SU(2)} \times \mathbf{U(1)} \subset \mathbf{SU(5)} \subset \dots \subset \mathbf{E_8}$

Gauge coupling unification in the MSSM

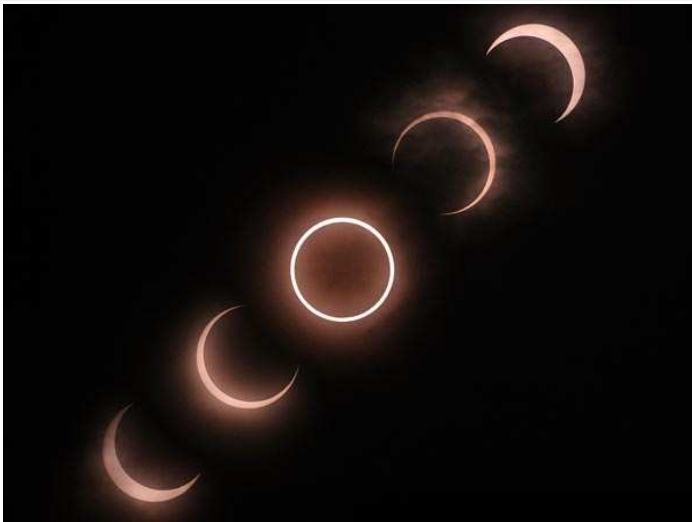
- Running couplings in the (minimal) supersymmetric standard model (MSSM)

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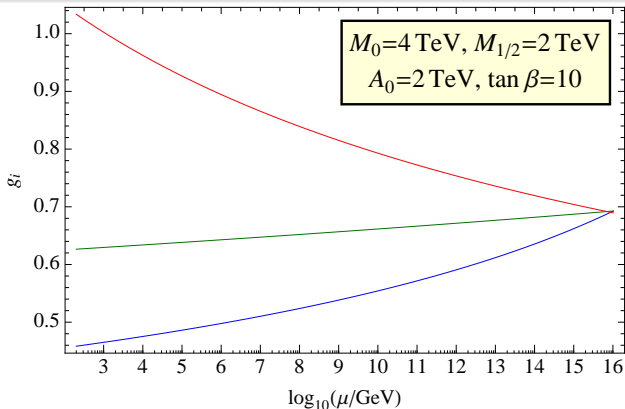


- Gauge coupling unification might be a consequence of
- $$G_{\text{SM}} = \text{SU}(3) \times \text{SU}(2) \times \text{U}(1) \subset \text{SU}(5) \subset \dots \subset \text{E}_8$$

Accidents in Nature

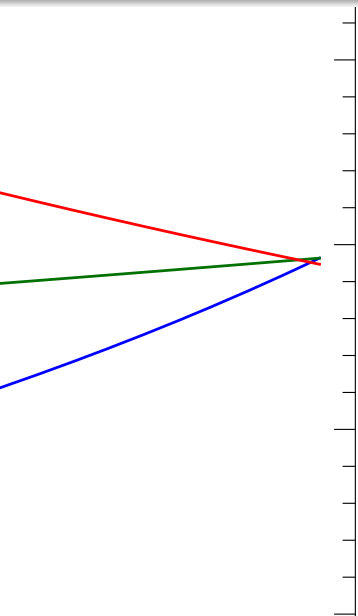


Gauge coupling unification in the MSSM

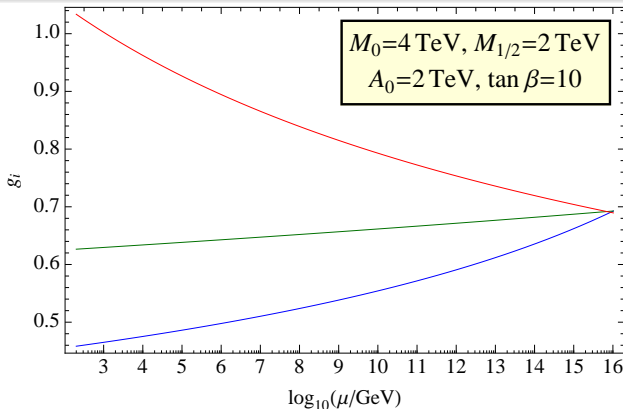


👉 Main assumption: this is **not** an accident

Gauge coupling unification in the MSSM



Gauge coupling unification in the MSSM



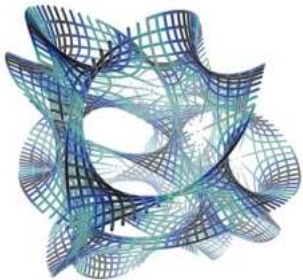
- ☞ Main assumption: this is **not** an accident
- ☞ **Note:** gauge unification not precise with 'traditional' patterns of soft masses

Local vs. non-local GUT breaking

Gauge symmetry breaking in heterotic models

☞ Traditional prejudice

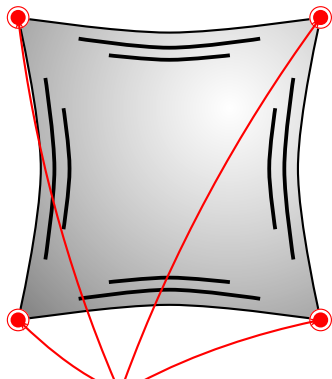
Calabi–Yau compactification



non-local breaking

cf. the models in talks by Andre & Burt

orbifold compactification



local breaking

Gauge symmetry breaking in heterotic models

➡ Traditional prejudice: $\left\{ \begin{array}{ll} \text{CY} & : \text{non-local} \\ \text{orbifold} & : \text{local} \end{array} \right\}$ breaking

➡ Local vs. non-local breaking

feature	non-local	local
local GUTs	X	✓

explain
matter
in GUT
irreps

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fractionally charged exotics	✗	✓



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local GUTs	X	✓
fractionally charged exotics	X	✓
precision gauge unification	✓	X

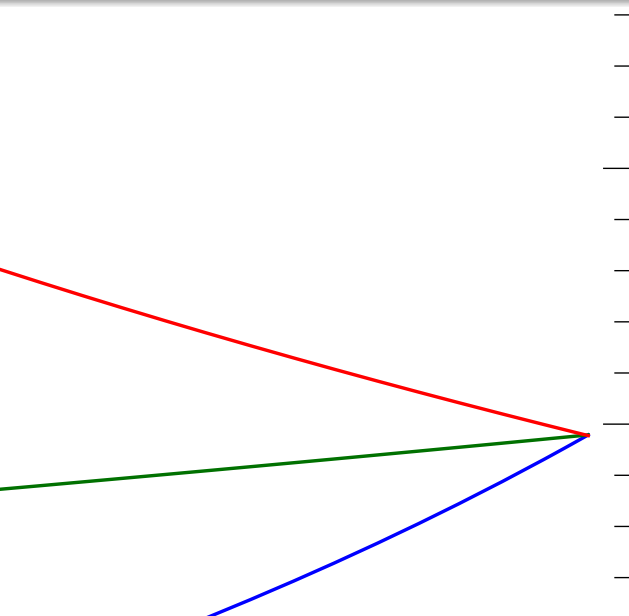
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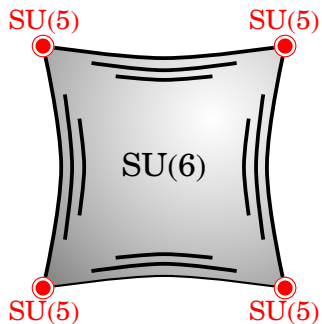
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precision gauge unification	✓	X

obvious question:

Can we have a hybrid scheme?

Local vs. non-local GUT breaking in field theory

Hall, Murayama & Nomura (2002) ; Hebecker (2004)

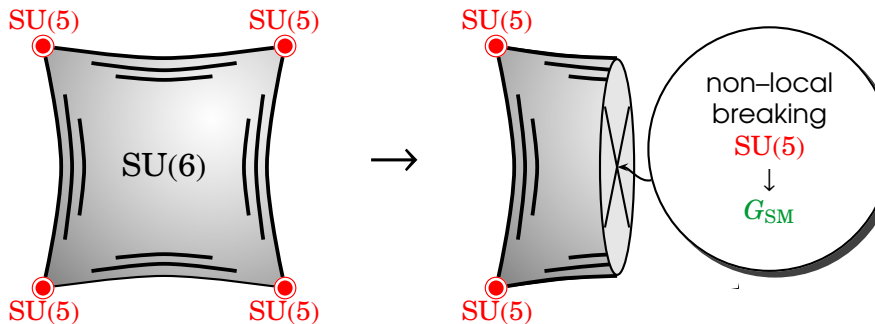


- ① step: construct $\mathbb{T}^2/\mathbb{Z}_2$ orbifold which breaks SU(6) **locally** to SU(5)

$$\mathbb{Z}_2 : (x_5, x_6) \rightarrow (-x_5, -x_6)$$

Local vs. non-local GUT breaking in field theory

Hall, Murayama & Nomura (2002) ; Hebecker (2004)



- 1 step: construct $\mathbb{T}^2/\mathbb{Z}_2$ orbifold which breaks $SU(6)$ locally to $SU(5)$
- 2 step: mod out a freely acting \mathbb{Z}'_2 symmetry which breaks $SU(5) \rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y$

$$\mathbb{Z}'_2 : (x_5, x_6) \rightarrow (-x_5 + \pi R_5, -x_6 + \pi R_6)$$

Non-local breaking in 6D

Anandakrishnan & Raby (2013)

☞ Eigenstates and parity operations

$$\mathbb{Z}_2 : \phi_{\pm\hat{\pm}}(x_\mu, -x_5, -x_6) = \pm \phi_{\pm\hat{\pm}}(x_\mu, x_5, x_6)$$

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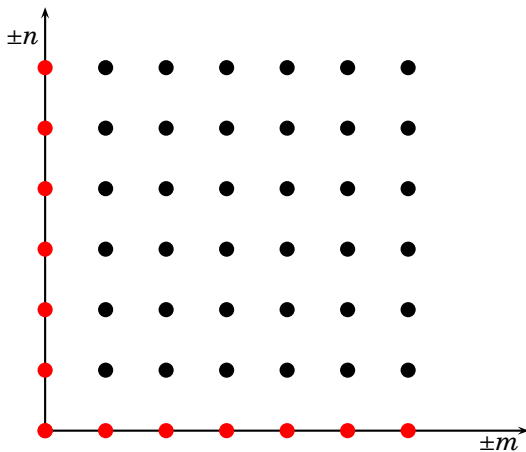
General mode expansion

$$\phi_{\pm\hat{\pm}}(x, x_5, x_6) = \frac{1}{4 \sqrt{2R_5 R_6}} \cdot \sum_{m,n} [(\phi^{(m,n)} \pm \phi^{(-m,-n)}) \hat{\pm} (-1)^{m-n} (\phi^{(-m,n)} \pm \phi^{(m,-n)})] \cdot \exp \left[i \left(\frac{m}{R_5} x_5 + \frac{n}{R_6} x_6 \right) \right]$$

Modes for $\mathbb{T}^2/\mathbb{Z}_2$ (local breaking)

☞ Non-zero $\phi^{(m,n)}$ for + modes

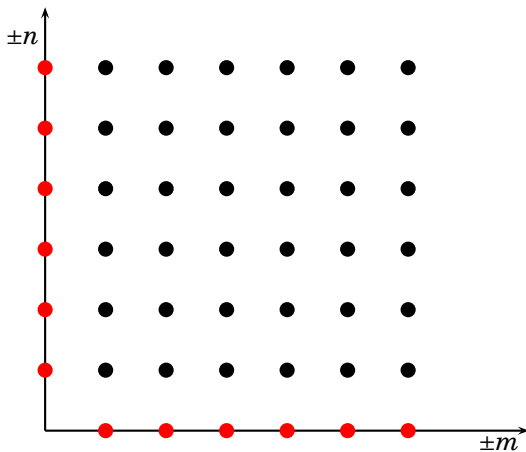
Trappetti (2006)



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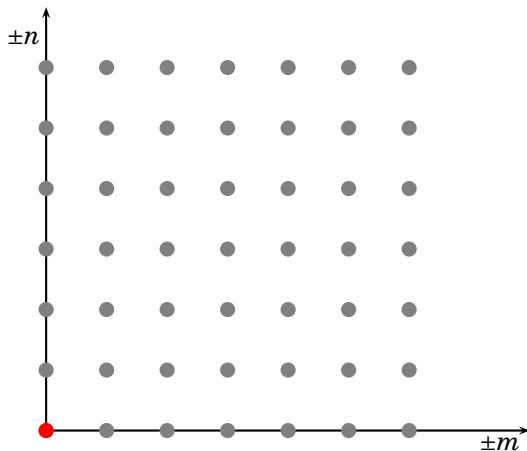
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Modes for $\mathbb{T}^2/\mathbb{Z}_2$ (local breaking)

👉 Mismatch

Trappetti (2006)

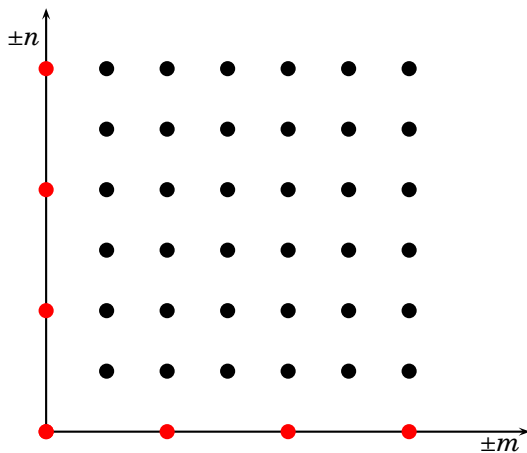


Running does not stop at the compactification scale

Modes for non-local breaking

👉 Non-zero $\phi^{(m,n)}$ for $+\hat{+}$ modes

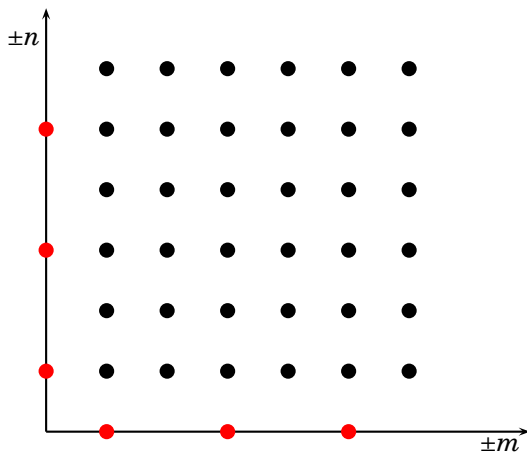
Anandakrishnan & Raby (2013)



Modes for non-local breaking

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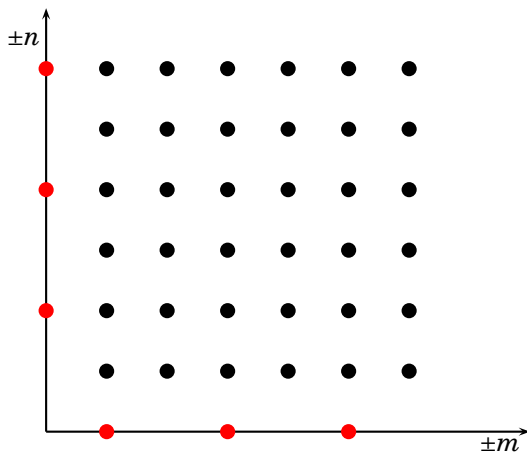
Anandakrishnan & Raby (2013)



Modes for non-local breaking

👉 Non-zero $\phi^{(m,n)}$ for $-\hat{+}$ modes

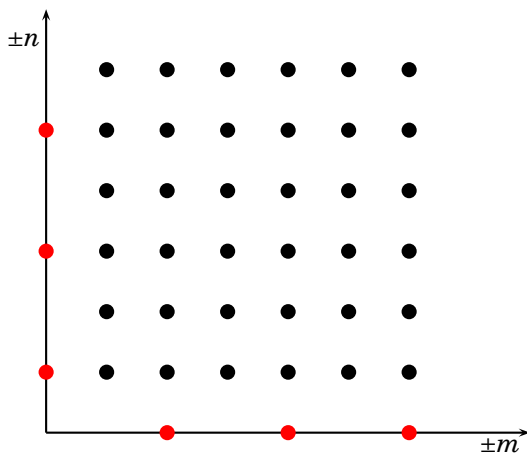
Anandakrishnan & Raby (2013)



Modes for non-local breaking

👉 Non-zero $\phi^{(m,n)}$ for $-\hat{\Delta}$ modes

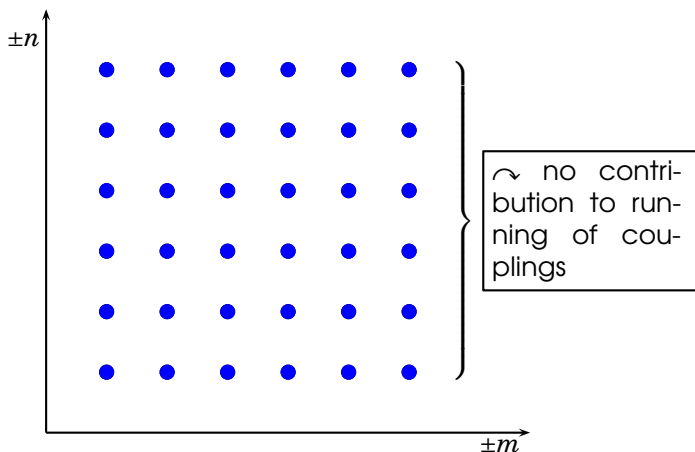
Anandakrishnan & Raby (2013)



Modes for non-local breaking

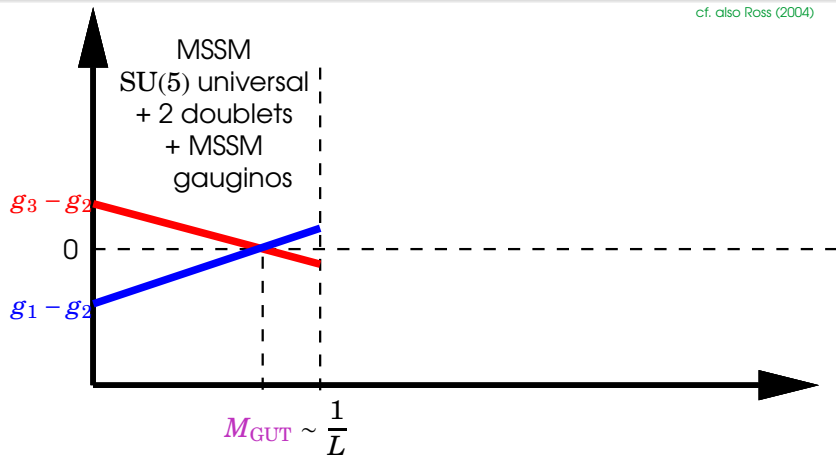
👉 Non-zero $\phi^{(m,n)}$ for **all** modes

Anandakrishnan & Raby (2013)



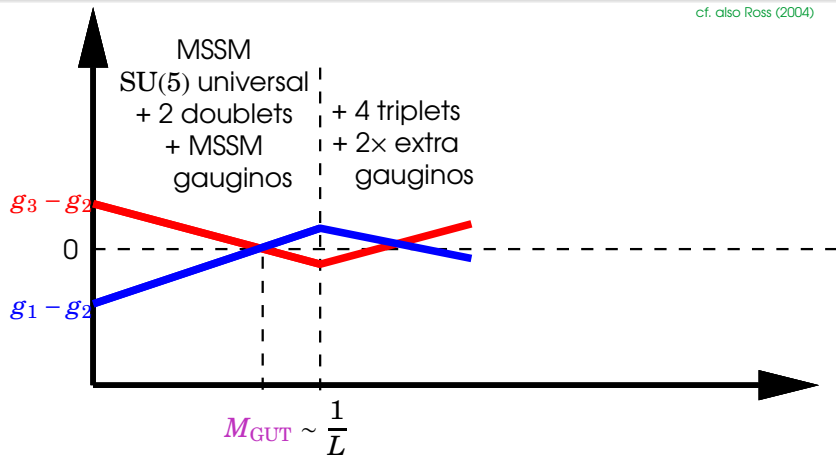
Gauge unification: non-local GUT breaking

cf. also Ross (2004)



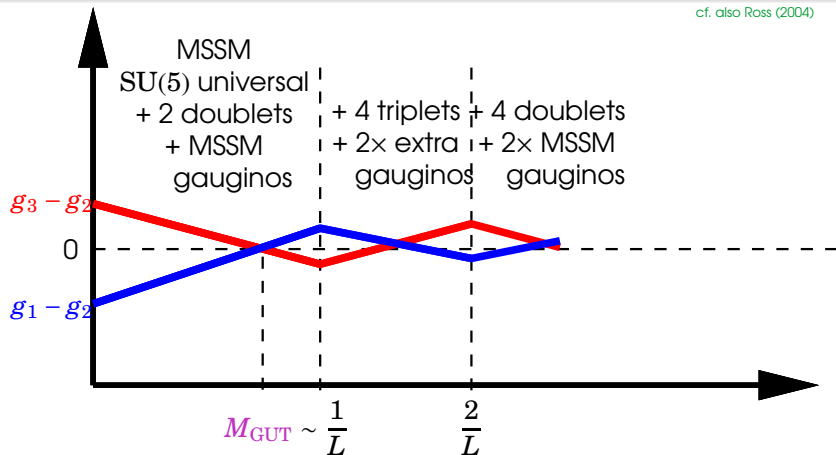
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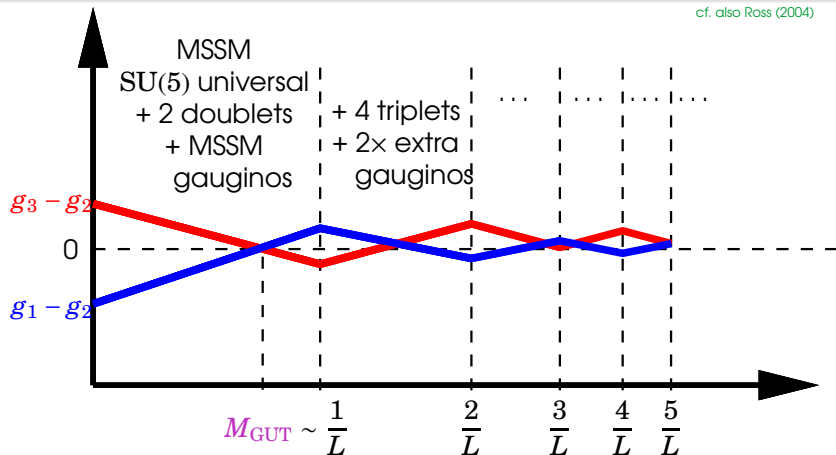
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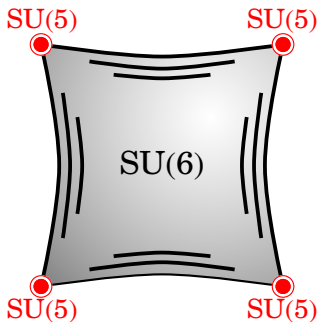


Effect can be absorbed in a slight shift of the GUT scale:

$$M_{\text{GUT}} \sim \frac{5}{6} \cdot \frac{1}{L}$$

$\mathbb{Z}_2 \times \mathbb{Z}_2$ orbifold example

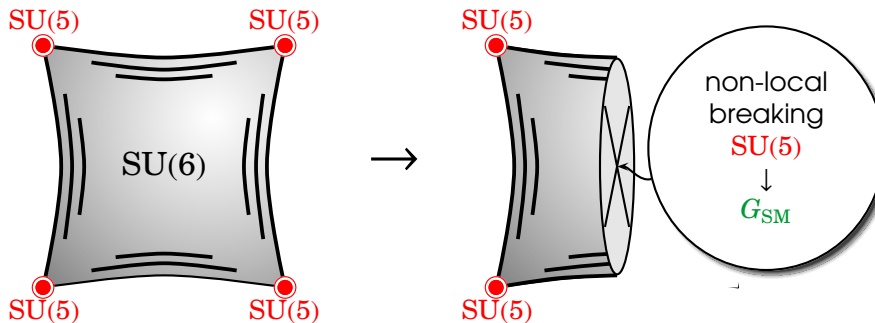
Blaszczyk, Groot Nibbelink, M.R., Ruehle, Trapletti & Vaudrevange. (2010) ; Kappl, Petersen, Raby, M.R., Schieren & Vaudrevange (2011)



step ① : 6 generation $\mathbb{Z}_2 \times \mathbb{Z}_2$ model with $SU(5)$ symmetry

$\mathbb{Z}_2 \times \mathbb{Z}_2$ orbifold example

Blażczyk, Groot Nibbelink, M.R., Ruehle, Trapletti & Vaudrevange. (2010) ; Kappl, Petersen, Raby, M.R., Schieren & Vaudrevange (2011)



step ① : 6 generation $\mathbb{Z}_2 \times \mathbb{Z}_2$ model with SU(5) symmetry

step ② : mod out a freely acting \mathbb{Z}_2 symmetry which:

- breaks SU(5) \rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y
- reduces the number of generations to 3

analogous mechanism in CY MSSMs Bouchard & Donagi (2006)

Braun, He, Ovrut & Pantev (2005)

Main features

Blaszczyk, Groot Nibbelink, M.R., Ruehle, Trapletti & Vaudrevange. (2010) ; Kappl, Petersen, Raby, M.R., Schieren & Vaudrevange (2011)

- 1 GUT symmetry breaking **non-local**
↷ (almost) no 'logarithmic running above the GUT scale'

Hebecker & Trapletti (2005) ; Anandakrishnan & Raby (2013)

Main features

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- 1 GUT symmetry breaking **non-local**
- 2 **No localized flux** in **hypercharge** direction
↪ complete blow-up without breaking SM gauge symmetry in principle possible

Main features

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Main features

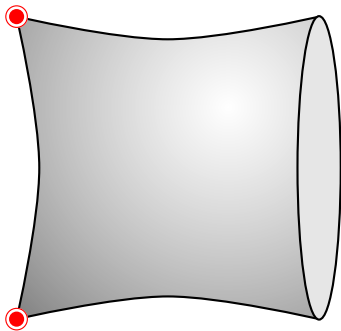
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- ① GUT symmetry breaking **non-local**
- ② **No localized flux** in **hypercharge** direction
- ③ No fractionally charged exotics
- ④ Vacua with
 - **exact MSSM spectrum**
 - \mathbb{Z}_4^R symmetry \leadsto $\left\{ \begin{array}{l} \text{solution to } \mu \text{ problem} \\ \text{realistic proton life-time} \end{array} \right.$
 - **almost all moduli fixed in a supersymmetric way**
 - gauge-top unification
 - ...

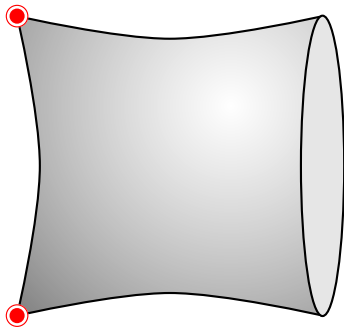
⇒ recent re-analysis of R symmetries in orbifolds

→ talks by M. Schmitz & D. Pena
Bizet, Kobayashi, Pena, Parameswaran, Schmitz & Zavala (2013)

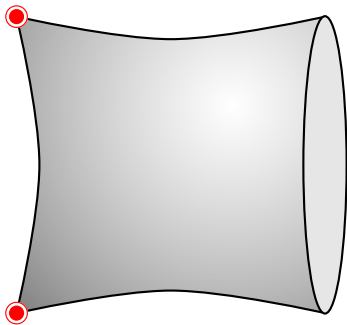
Anisotropic orbifold compactifications

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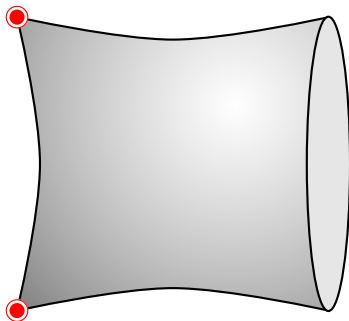
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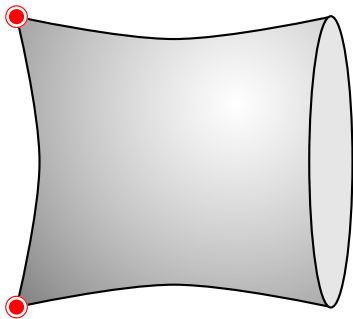
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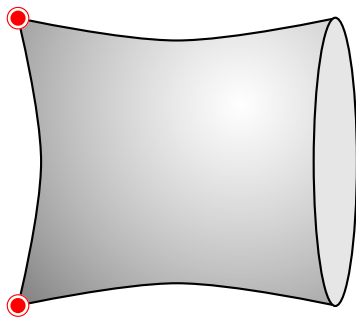
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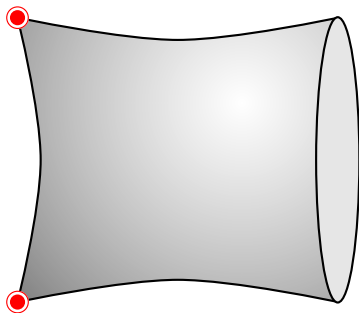
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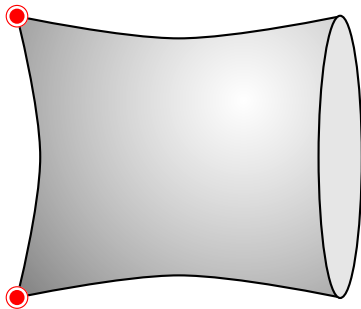
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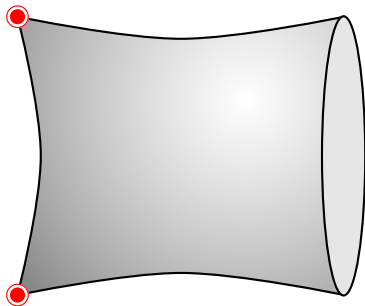
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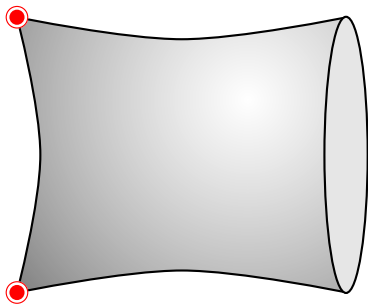
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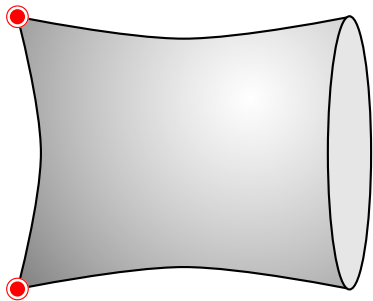
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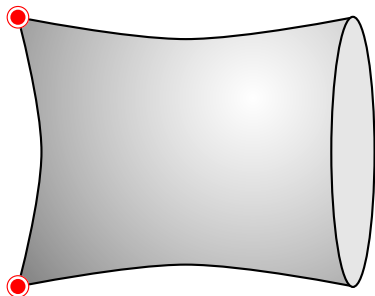
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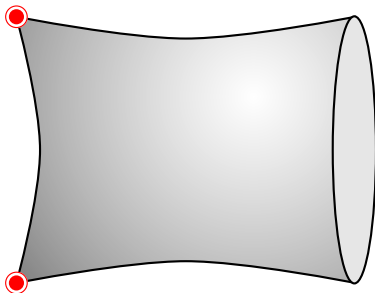
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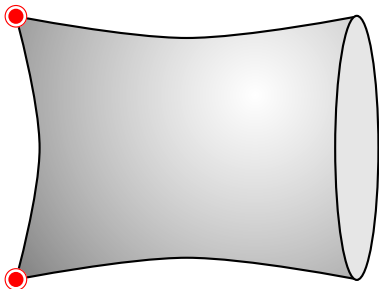
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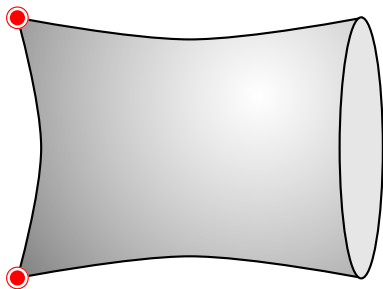
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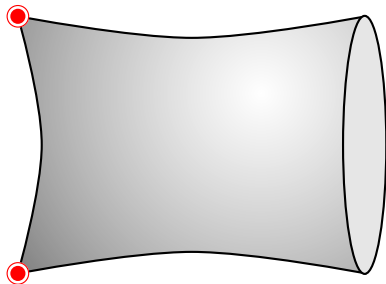
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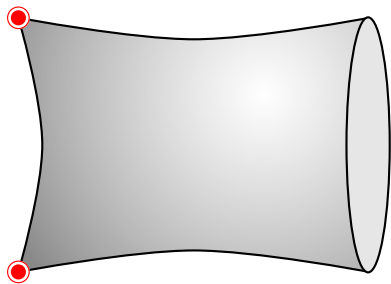
Anisotropic orbifold compactifications

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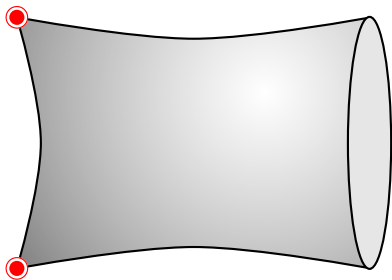
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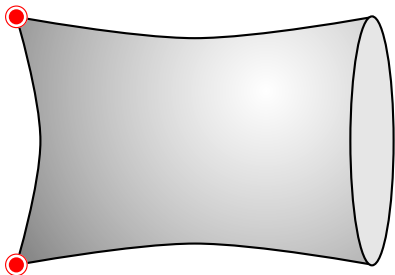
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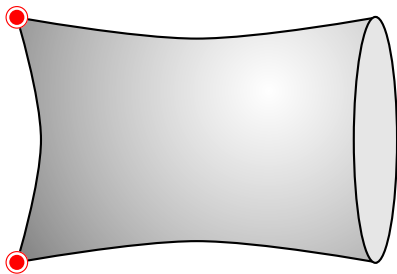
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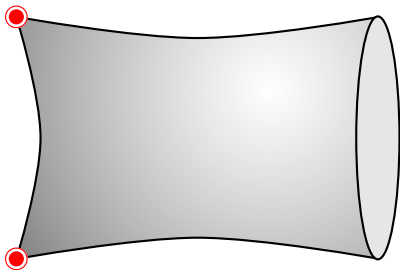
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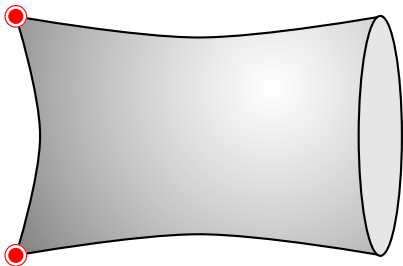
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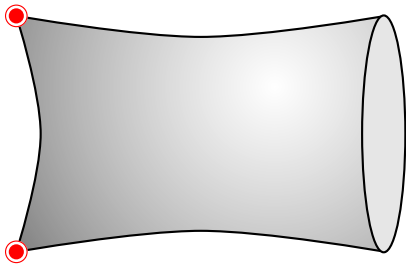
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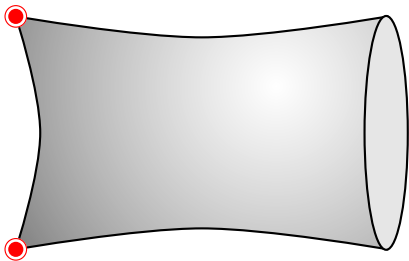
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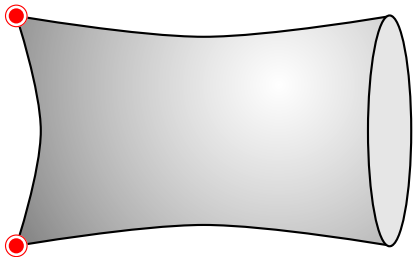
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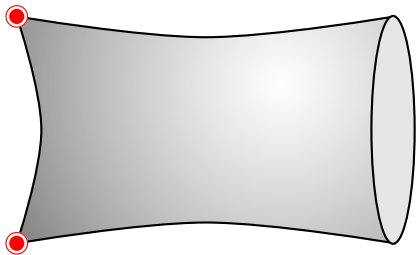
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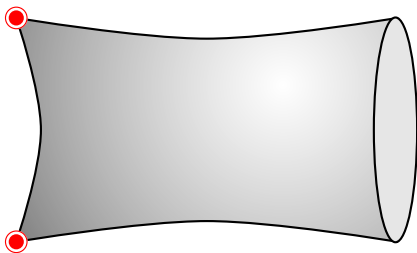
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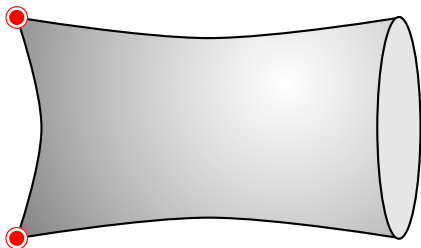
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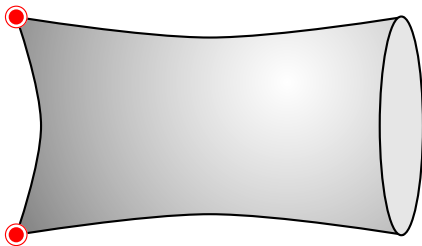
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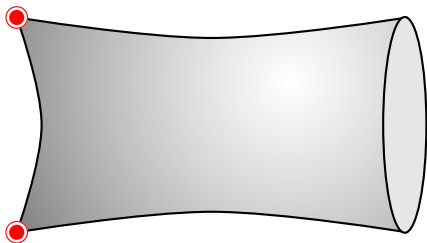
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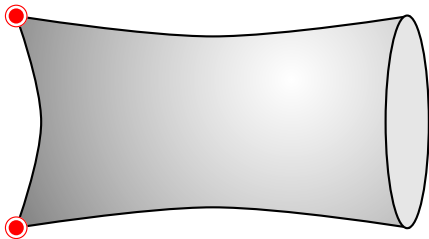
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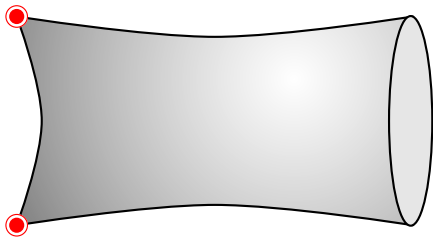
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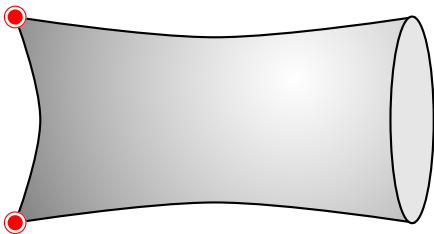
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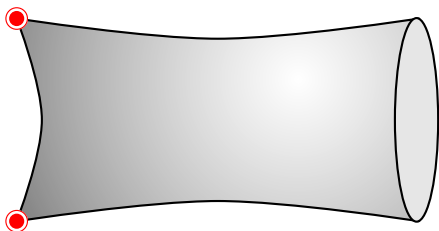
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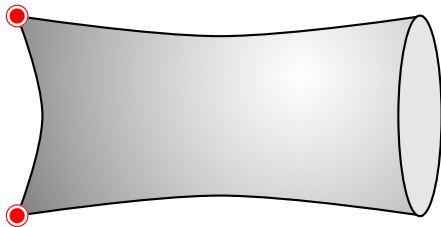
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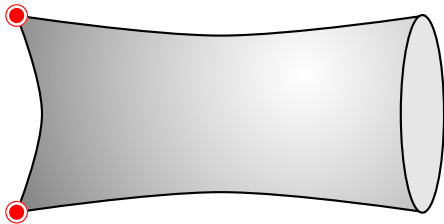
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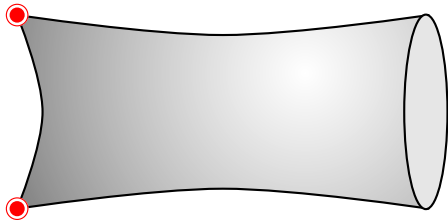
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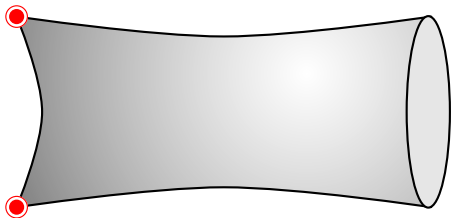
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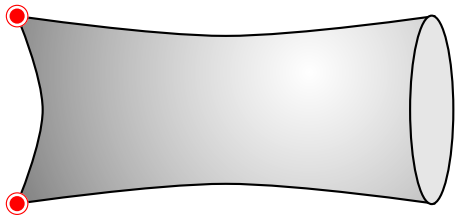
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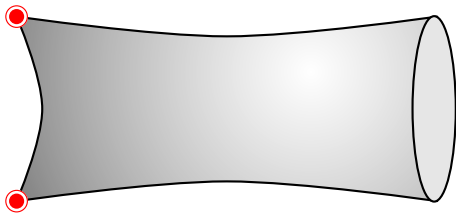
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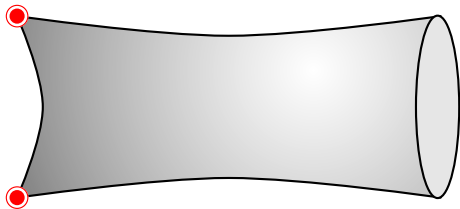
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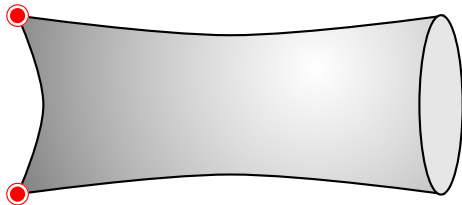
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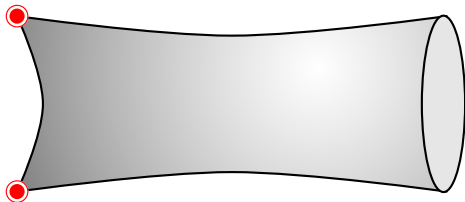
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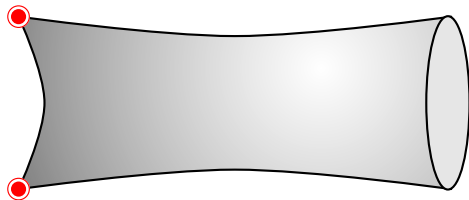
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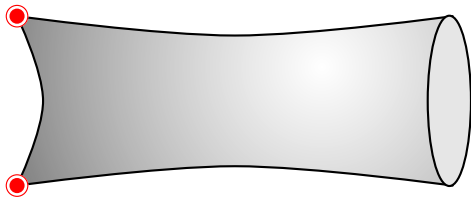
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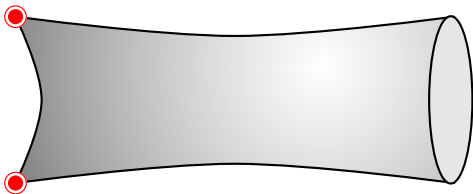
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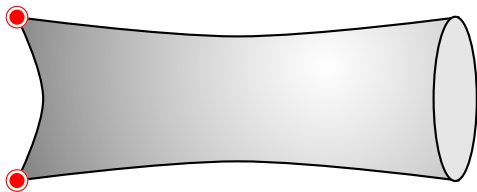
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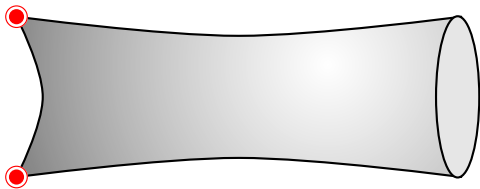
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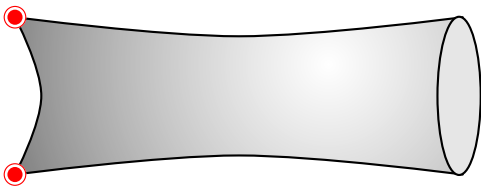
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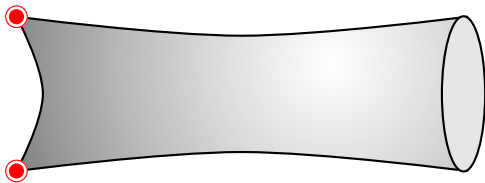
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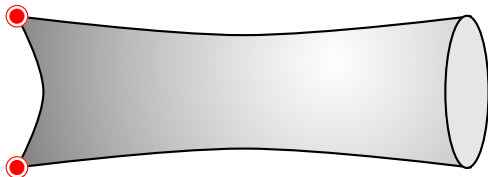
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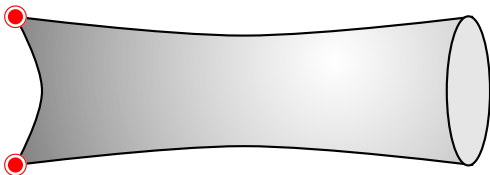
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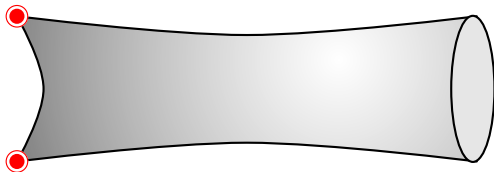
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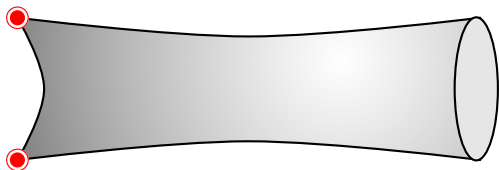
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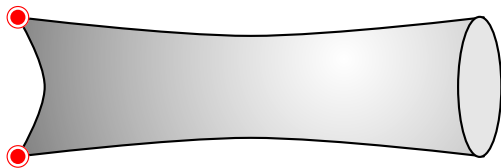
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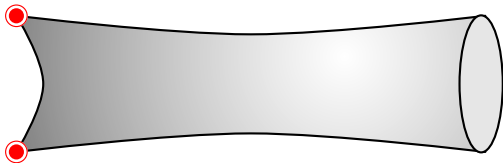
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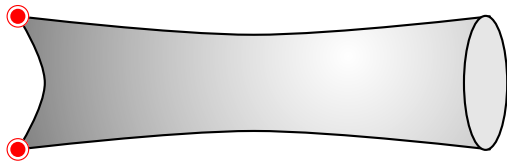
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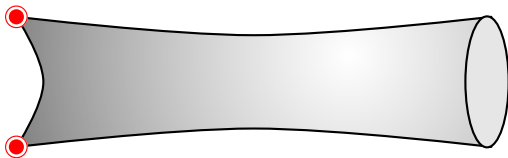
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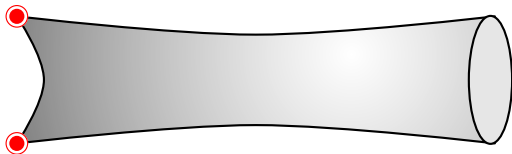
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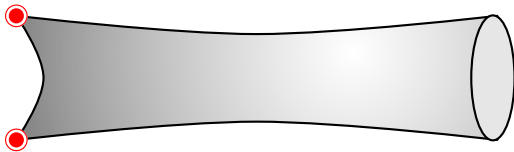
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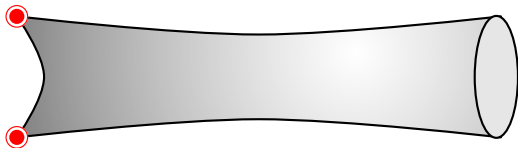
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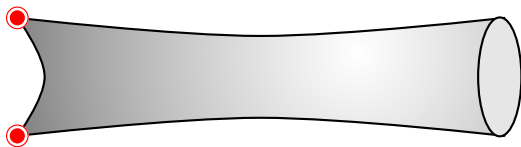
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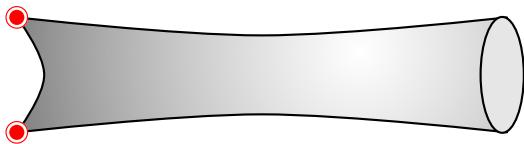
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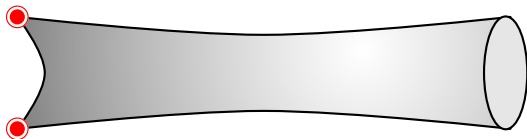
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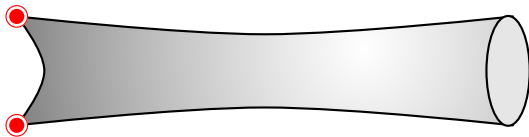
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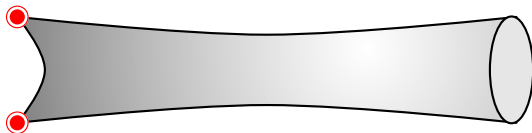
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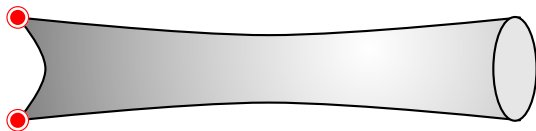
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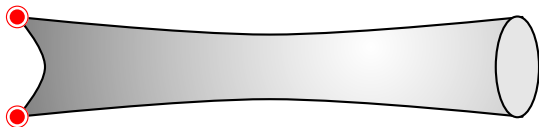
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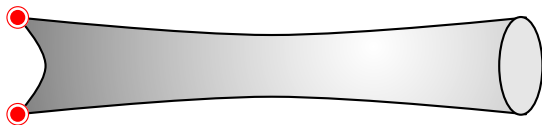
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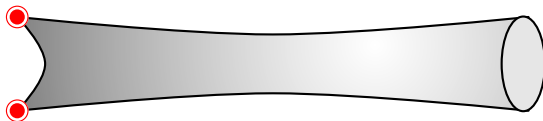
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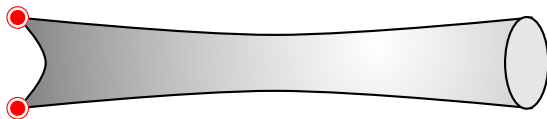
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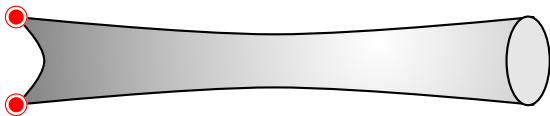
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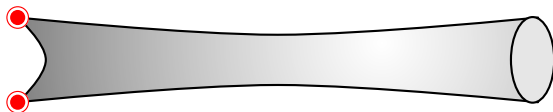
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Anisotropic orbifold compactifications

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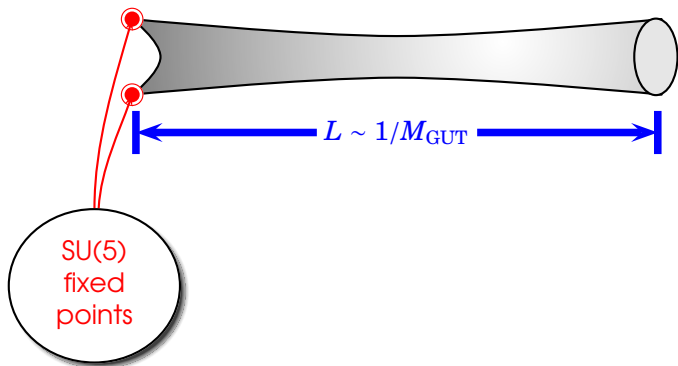
Anisotropic orbifold compactifications

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Anisotropic orbifold compactifications

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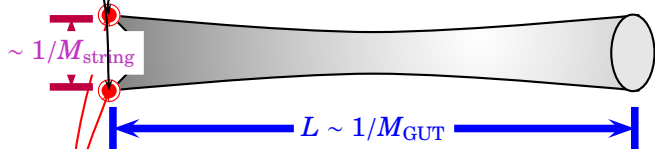
Anisotropic orbifold compactifications

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Anisotropic orbifold compactifications

"stringy"
description
needed

▶ back



SU(5)
fixed
points

Asymmetric orbifold compactifications

“stringy”
description
needed

$\sim 1/M_{\text{string}}$

SU(5)
fixed
points

$L \sim 1/M_{\text{GUT}}$

▶ back

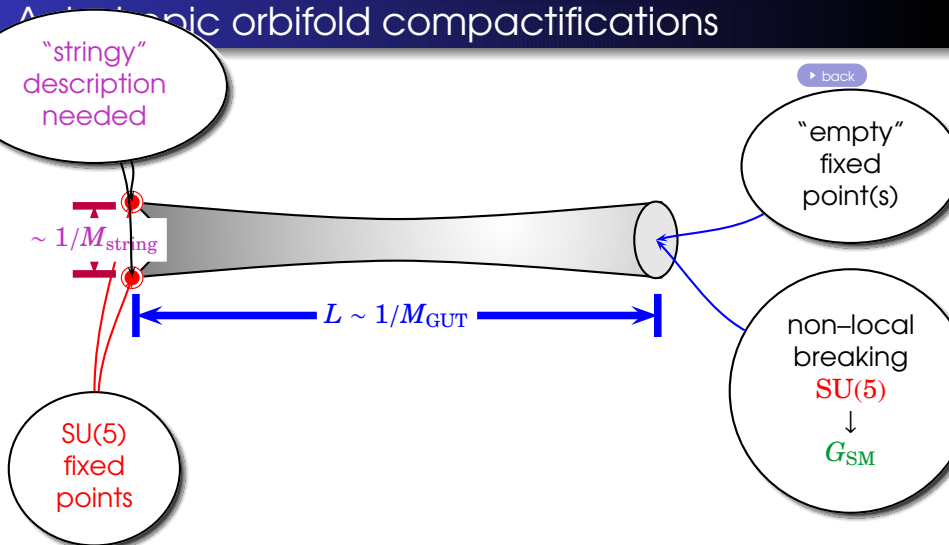
“empty”
fixed
point(s)

non-local
breaking

SU(5)

↓

G_{SM}



Asymmetric orbifold compactifications

"stringy"
description
needed

$\sim 1/M_{\text{string}}$

SU(5)
fixed
points

$L \sim 1/M_{\text{GUT}}$

▶ back

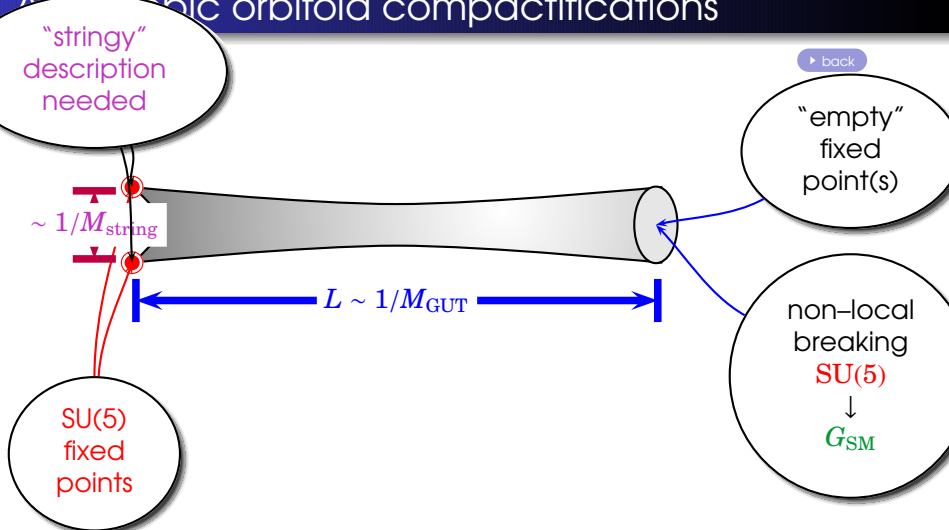
"empty"
fixed
point(s)

non-local
breaking

SU(5)

↓

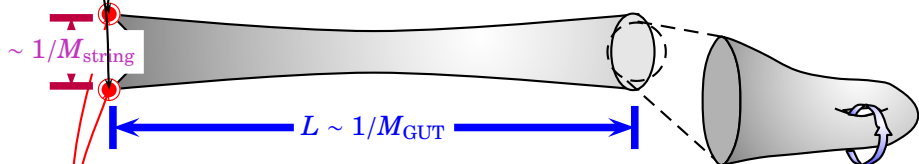
G_{SM}



Anisotropic orbifold compactifications

"stringy"
description
needed

▶ back



SU(5)
fixed
points

Anisotropic orbifold compactifications

"stringy"
description
needed

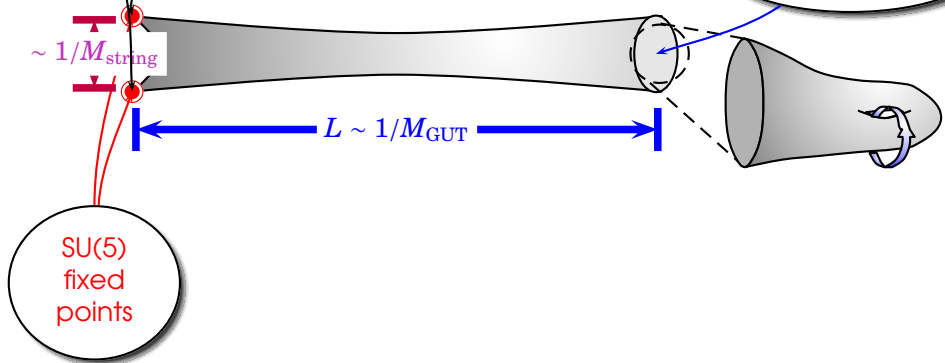
$\sim 1/M_{\text{string}}$

SU(5)
fixed
points

$L \sim 1/M_{\text{GUT}}$

▶ back

no 1D or 2D
picture



Anisotropic orbifold compactifications

“stringy”
description
needed

$\sim 1/M_{\text{string}}$

SU(5)
fixed
points

bottom-line:

Anisotropic compactifications provide a solution to the GUT vs. string scale problem but require a stringy description of the small directions

$L \sim 1/M_{\text{GUT}}$

▶ back

“empty”
fixed
point(s)

non-local
breaking

SU(5)

↓
 G_{SM}

Non-local GUT breaking in heterotic orbifolds

Fischer, M.R., Torrado & Vaudrevange (2013b) → talk by M. Fischer

👉 **Complete** classification of (symmetric) heterotic orbifolds

👉 more detailed analysis of non-Abelian orbifolds

Konopka (2012) ; Fischer, Ramos-Sánchez & Vaudrevange (2013a) → talk by S. Ramos-Sánchez

👉 recent progress in asymmetric orbifolds

Beye, Kobayashi & Kuwakino (2013)

Non-local GUT breaking in heterotic orbifolds

Fischer, M.R., Torrado & Vaudrevange (2013b) → talk by M. Fischer

- ☞ **Complete** classification of (symmetric) heterotic orbifolds
- ☞ 31 geometries with **non-trivial fundamental groups** (after orbifolding!) with point groups $\mathbb{Z}_2 \times \mathbb{Z}_2$, $\mathbb{Z}_2 \times \mathbb{Z}_4$ and $\mathbb{Z}_3 \times \mathbb{Z}_3$
- ☞ 38 additional geometries with **non-trivial fundamental groups** in non-Abelian orbifolds

Fischer, Ramos-Sánchez & Vaudrevange (2013a) → talk by S. Ramos-Sánchez

- ☞ some models are non-chiral but chirality may be achieved by adding fluxes

Groot Nibbelink & Vaudrevange (2013) → talk by S. Groot-Nibbelink

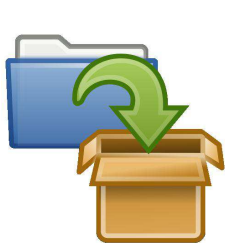
- ☞ recent analysis of $\mathbb{Z}_2 \times \mathbb{Z}_4$ models w/ local GUT breaking

Pena, Nilles & Oehlmann (2012) → talk by P. Oehlmann

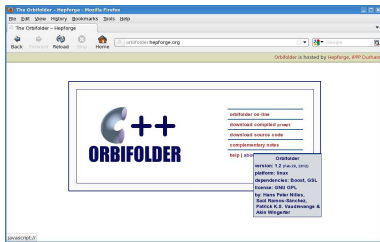
Non-local GUT breaking in heterotic orbifolds

Fischer, M.R., Torrado & Vaudrevange (2013b) → talk by M. Fischer

- 👉 **Complete** classification of (symmetric) heterotic orbifolds
- 👉 31 geometries with **non-trivial fundamental groups** (after orbifolding!) with point groups $\mathbb{Z}_2 \times \mathbb{Z}_2$, $\mathbb{Z}_2 \times \mathbb{Z}_4$ and $\mathbb{Z}_3 \times \mathbb{Z}_3$
- 👉 Geometries online and ready to use



<http://einrichtungen.ph.tum.de/T30e/codes/ClassificationOrbifolds/>



<http://orbifolder.hepforge.org>

Nilles, Ramos-Sánchez, Vaudrevange & Wingerter (2012)

Non-local GUT breaking in heterotic orbifolds

Fischer, M.R., Torrado & Vaudrevange (2013b) → talk by M. Fischer

- 👉 **Complete** classification of (symmetric) heterotic orbifolds
- 👉 31 geometries with **non-trivial fundamental groups** (after orbifolding!) with point groups $\mathbb{Z}_2 \times \mathbb{Z}_2$, $\mathbb{Z}_2 \times \mathbb{Z}_4$ and $\mathbb{Z}_3 \times \mathbb{Z}_3$
- 👉 Geometries online and ready to use with the C++ orbifolder
- ➡ Many promising models w/ non-local GUT breaking

Fischer et al. (in preparation)

Implications for the LHC

Implications for the LHC

- ☞ All (most) moduli fixed in a supersymmetric way in MSSM vacua with residual (discrete and/or approximate) R symmetries

Kappl, Petersen, Raby, M.R., Schieren & Vaudrevange (2011)
- ☞ Approximate R symmetries can explain an effective small constant in the superpotential

Kappl, Nilles, Ramos-Sánchez, M.R., Schmidt-Hoberg & Vaudrevange (2009)
- ☞ Approximate/discrete R symmetries provide us with a solution to the μ problem

Brümmer, Kappl, M.R. & Schmidt-Hoberg (2010) ;
Lee, Raby, M.R., Ross, Schieren, Schmidt-Hoberg & Vaudrevange (2011) ; ...
- ☞ Approximate/discrete R symmetries provide us with a solution to the proton decay problems of the MSSM

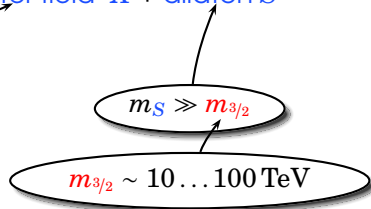
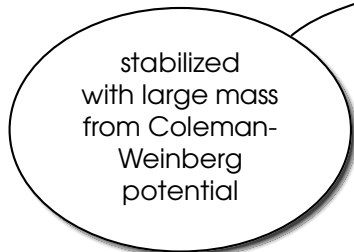
Lee, Raby, M.R., Ross, Schieren, Schmidt-Hoberg & Vaudrevange (2011) ; ...

Implications for the LHC

- Al(most al) moduli fixed in a supersymmetric way in MSSM vacua with residual (discrete and/or approximate) R symmetries

Kapli, Petersen, Raby, M.R., Schieren & Vaudrevange (2011)

- Scenario with ~~SUSY~~ by 'matter field' X + dilaton S



Lebedev, Nilles & M.R. (2006) ; ...

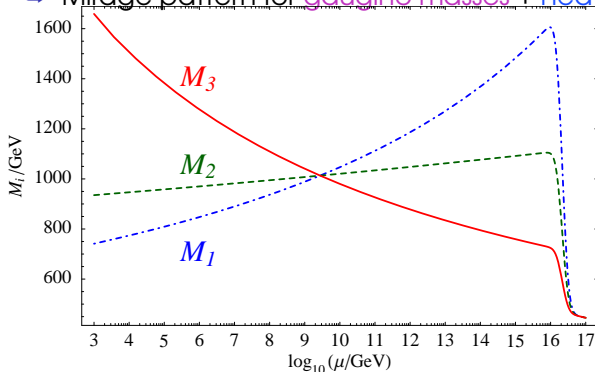
Implications for the LHC

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➔ Mirage pattern for gaugino masses + heavy sfermions



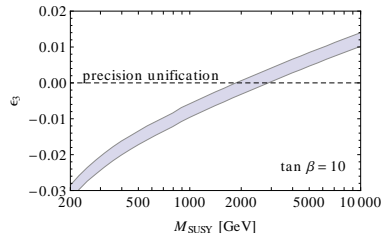
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- Yields natural scenario for precision gauge unification (PGU)
Carena, Ciavelli, Matalliotakis, Nilles & Wagner (1993) ... Raby, M.R. & Schmidt-Hoberg (2010) Krippendorf, Nilles, M.R. & Winkler (2013)

$$\epsilon_3 = \frac{g_3^2(M_{\text{GUT}}) - g_{1,2}^2(M_{\text{GUT}})}{g_{1,2}^2(M_{\text{GUT}})}$$

$$M_{\text{SUSY}} = \frac{m_{\tilde{W}}^{32/19} m_{\tilde{h}}^{12/19} m_H^{3/19}}{m_{\tilde{g}}^{28/19}} X_{\text{sfermion}}$$

$$X_{\text{sfermion}} \sim 1$$



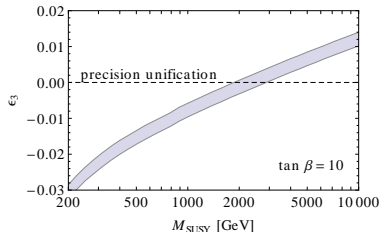
Implications for the LHC: Highlights

PGU is consistent w/ small μ

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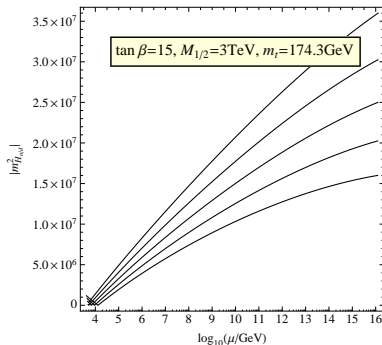
Implications for the LHC: Highlights

- PGU is consistent w/ small μ
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- H_u, Q_L & t_R bulk fields
- Coinciding boundary conditions at high scale
- ‘Focus point’

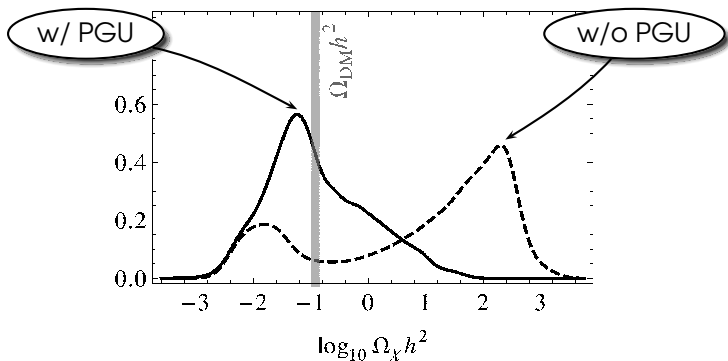
Feng, Matchev & Moroi (2000)

Krippendorff, Nilles, M.R. & Winkler (2012)



Implications for the LHC: Highlights

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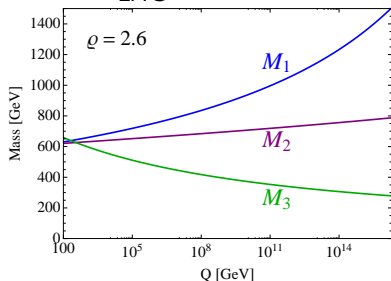
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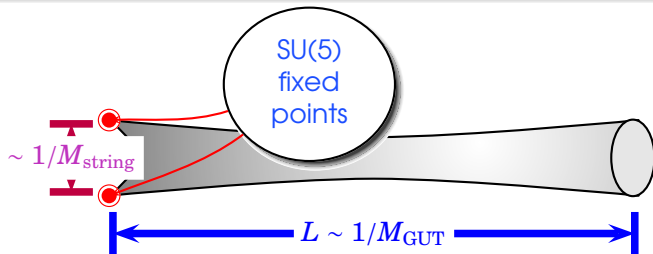
👉
$$\rho = \frac{3N - M}{2}$$
 for hidden $SU(N)$ w/ M fundamentals

Badziak, Krippendorf, Nilles & Winkler (2013)

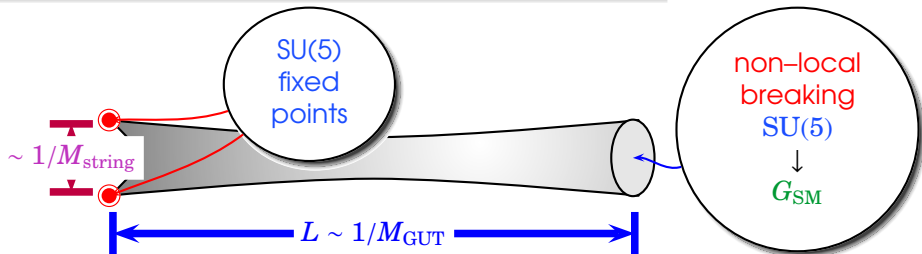
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- Rather long-lived **gluino**

Summary

'Hybrid breaking' of $E_8 \rightarrow G_{SM}$ 

- ① Local breaking $E_8 \rightarrow SU(5)$
- Local GUTs explain complete matter representations
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 - No fractionally charged exotics
 - Precision gauge unification

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- ☞ Interesting correlations between PGU and relic LSP abundance

**Thank you
very much!**

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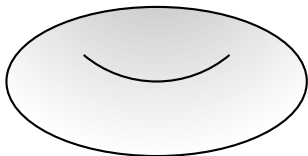
Backup slides

- Orbifolds and Wilson lines
- Blaszczyk model
- SUSY vacua with residual R symmetries

Orbifolds & Wilson lines

Ibáñez, Nilles & Quevedo (1987) ; Hall, Murayama & Nomura (2002)

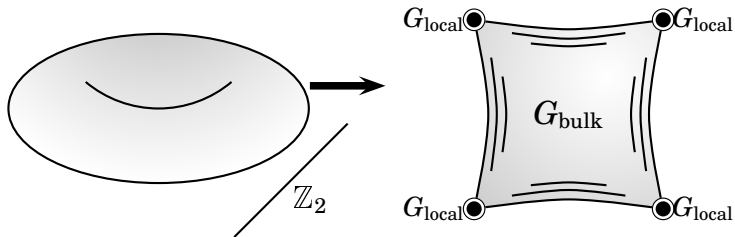
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Orbifolds & Wilson lines

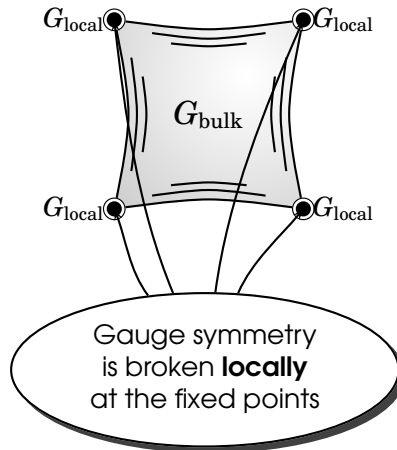
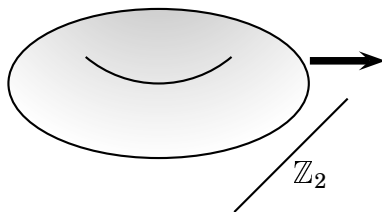
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Orbifolds & Wilson lines

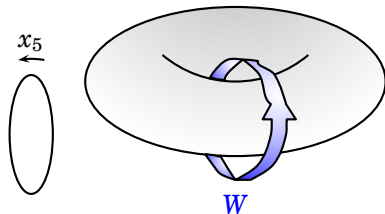
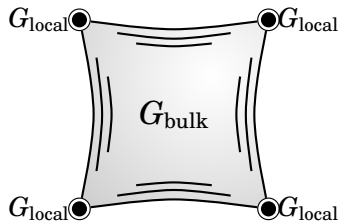
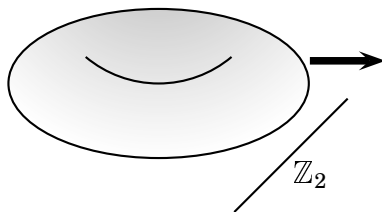
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Orbifolds & Wilson lines

Ibáñez, Nilles & Quevedo (1987) ; Hall, Murayama & Nomura (2002)

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Discrete Wilson line:

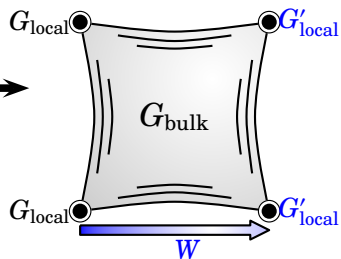
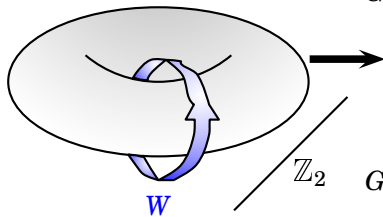
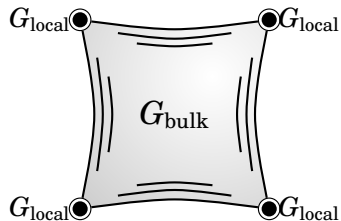
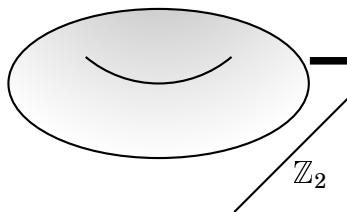
going once around the torus leads to a non-trivial phase

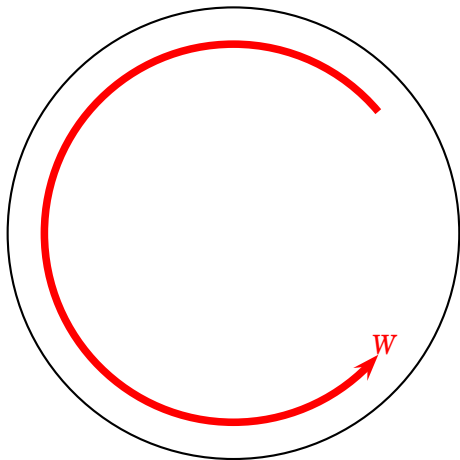
$$W = \oint dx_5 A_5$$

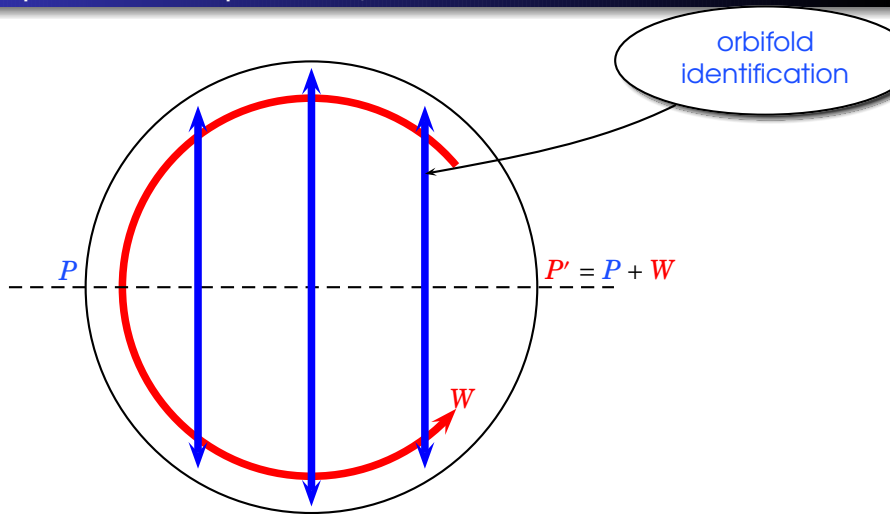
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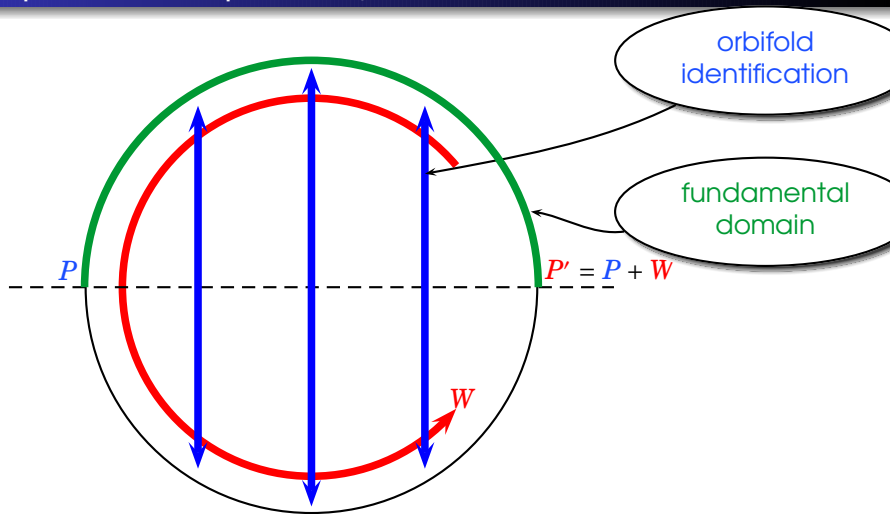
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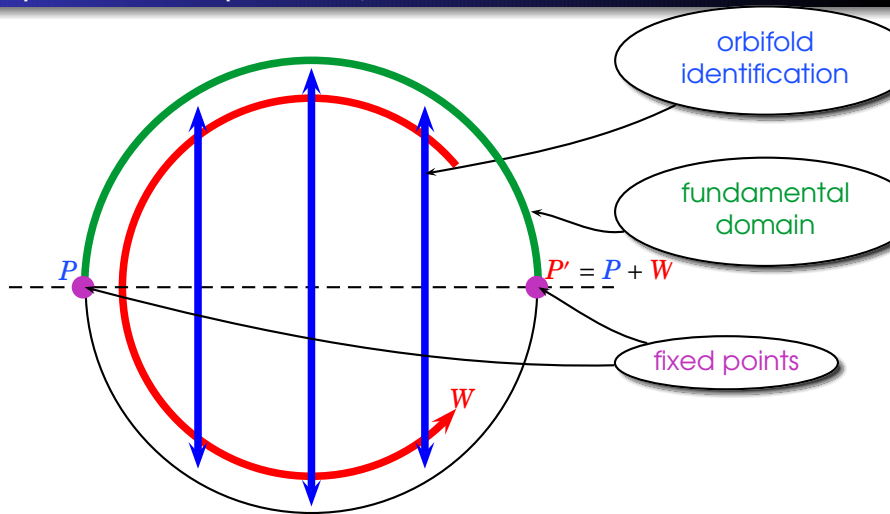
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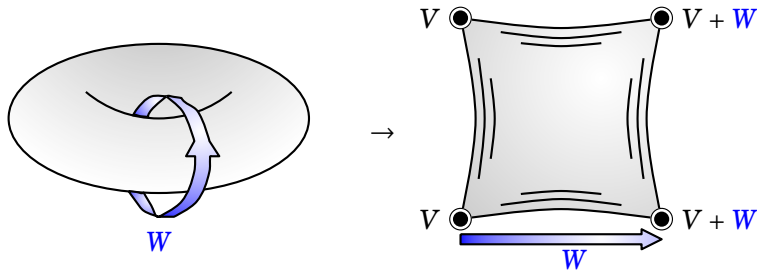
Simplest example : S^1/\mathbb{Z}_2 with \mathbb{Z}_2 Wilson line

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Orbifolds & Wilson lines



Main message:

Discrete Wilson lines on the underlying torus leads to different boundary conditions at the fixed points

\mathbb{Z}_4^R from a $\mathbb{Z}_2 \times \mathbb{Z}_2$ orbifold model

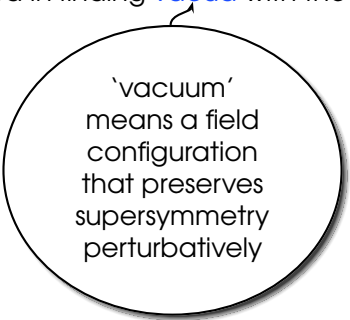
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- ➡ We constructed models with the **exact MSSM spectrum** based on $\mathbb{Z}_2 \times \mathbb{Z}_2$ orbifolds

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- ☞ We succeeded in finding **vacua** with the \mathbb{Z}_4^R **symmetry**



'vacuum'
means a field
configuration
that preserves
supersymmetry
perturbatively

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 - ✓ non-local GUT breaking

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\mathbb{Z}_4^R from a $\mathbb{Z}_2 \times \mathbb{Z}_2$ orbifold model

Blaszczyk, Groot Nibbelink, M.R., Ruehle, Trapletti & Vaudrevange. (2010) ; Kappl, Petersen, Raby, M.R., Schieren & Vaudrevange (2011)

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 - ✓ non-trivial full-rank Yukawa couplings

\mathbb{Z}_4^R from a $\mathbb{Z}_2 \times \mathbb{Z}_2$ orbifold model

Blaszczyk, Groot Nibbelink, M.R., Ruehle, Trapletti & Vaudrevange. (2010) ; Kappl, Petersen, Raby, M.R., Schieren & Vaudrevange (2011)

- ☞ We constructed models with the **exact MSSM spectrum** based on $\mathbb{Z}_2 \times \mathbb{Z}_2$ orbifolds
- ☞ We succeeded in finding **vacua** with the **\mathbb{Z}_4^R symmetry**
- 😊 Various good features
 - ✓ non-local GUT breaking
 - ✓ no 'fractionally charged exotics'
 - ✓ (most) exotics decouple at the linear level in SM singlets, i.e. just MSSM below GUT scale with masslessness of Higgs fields ensured by \mathbb{Z}_4^R
 - ✓ non-trivial full-rank Yukawa couplings
 - ✓ gauge-top unification

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☹ However:

- SU(5) Yukawa relations also for light generations
- hidden sector gauge group only SU(3)

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bottom-line:

Successful string embedding of \mathbb{Z}_4^R possible!

SUSY vacua with \mathbb{Z}_4^R

☞ Recall: situation for gauge theories with generic superpotential

e.g. Luty & Taylor (1996)

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SUSY vacua with \mathbb{Z}_4^R (cont'd)[▶ back](#)

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- ↪ expect solutions for $N \geq M$
- ↪ M non-trivial mass terms (also for T - and Z -moduli!)
- ↪ Have identified configurations with $N \geq M$ in our $\mathbb{Z}_2 \times \mathbb{Z}_2$ model(s)