20 years of DIS @HERA - June 19th, 2012

# The spin-momentum structure of the nucleon --highlights from the hermes collaboration--



Gunar.Schnell @ desy.de



## 20 years ago ...

gunar.schnell @ desy.de

20 years of DIS@HERA - June 19<sup>th</sup>, 2012



### first DIS events at H1 and Zeus

gunar.schnell @ desy.de

## 20 years ago ...

- first DIS events at H1 and Zeus
- a tiny and asymmetric spin-flip amplitude in synchrotron radiation opens door for polarized DIS at HERA

## 20 years ago ...

- first DIS events at H1 and Zeus
- a tiny and asymmetric spin-flip amplitude in synchrotron radiation opens door for polarized DIS at HERA



Fig. 4: Observation of rise time at E= 26.71 GeV.

 demonstration of lepton polarization at HERA under realistic running conditions



gunar.schnell @ desy.de

## HERMES

• October 1992, PRC:

"Recommend the DESY directorate to approve HERMES"

## HERMES

- October 1992, PRC:
  - "Recommend the DESY directorate to approve HERMES"
- 9 October 1992
  - (conditional) approval of the HERMES experiment

## HERMES

- October 1992, PRC:
  - "Recommend the DESY directorate to approve HERMES"
- 9 October 1992
  - (conditional) approval of the HERMES experiment
- November 1992, Scientific Council
  - "Parallel running of ep and HERMES?"
- June 15, 1993
  - full approval of HERMES
- March 31, 1995

### HERMES interlock set

gunar.schnell @ desy.de

## The HERMES experiment

pure gas targets:

- internal to lepton ring
- unpolarized (<sup>1</sup>H ... Xe)
- Iongitudinally polarized: <sup>1</sup>H, <sup>2</sup>H
- transversely polarized: <sup>1</sup>H











• Inclusive DIS from longitudinally polarized Deuterium target:  $\Delta \Sigma = 0.330 \pm 0.025 \text{ (exp.)} \pm 0.011 \text{ (theory)} \pm 0.028 \text{ (evol.)}$ PRD 75 (2007) 012007



- Inclusive DIS from longitudinally polarized Deuterium target:  $\Delta \Sigma = 0.330 \pm 0.025 \text{ (exp.)} \pm 0.011 \text{ (theory)} \pm 0.028 \text{ (evol.)}$ PRD 75 (2007) 012007
- High- $p_T$  hadrons at HERMES:

 $\Delta G/G = 0.071 \pm 0.034^{(stat)} \pm 0.010^{(sys-exp)} +0.127^{+0.127}_{-0.105}$  (sys-model)



- Inclusive DIS from longitudinally polarized Deuterium target:  $\Delta \Sigma = 0.330 \pm 0.025 \text{ (exp.)} \pm 0.011 \text{ (theory)} \pm 0.028 \text{ (evol.)}$ PRD 75 (2007) 012007
- High-p<sub>T</sub> hadrons at HERMES:

 $\Delta G/G = 0.071 \pm 0.034^{(stat)} \pm 0.010^{(sys-exp)} + 0.127^{+0.127}_{-0.105} (sys-model)$ 

## ... during the same time

- HERMES measures non-vanishing AUL in semi-inclusive DIS [1]
  - naive-T-odd not expected from collinear pQCD
  - transversity?
  - disguised Sivers effect -> orbital angular momentum?



Gunar Schnell

## ... during the same time

0.4

0.2

-0.2

-0.4

\*) GPDs=generalized parton distribution

- HERMES measures non-vanishing Aul in semi-inclusive DIS [1]
  - naive-T-odd not expected from collinear pQCD
  - transversity?
  - disguised Sivers effect -> orbital angular momentum?
- Ji (1997): relates moments of GPDs\*) to total angular
   momentum
  - accessible in DVCS
  - HERMES measures ALU in DVCS [2]

[1] PRL 84 (2000) 4047 [2] PRL 87 (2001) 182001

Gunar Schnell



confirm existence of Sivers effect -> asymmetry in transverse-momentum distribution of partons in nucleon



- confirm existence of Sivers effect -> asymmetry in transverse-momentum distribution of partons in nucleon
- demonstrate spin-dependence in fragmentation (Collins effect, 2-hadron fragmentation)



## HERA II: transvers $\int_{-1}^{q} = \frac{1}{2} \Delta q + \frac{L^{q}}{2}$ program

- confirm existence  $\partial f_2^q$  Sivers  $\partial f \in E_1^q(x)$  asymmetry in transverse-momentum distribution of partons in nucleon
- demonstrate spin-dependence in fragmentation  $E^q(x,0,0) = \kappa_q/N_q$ (Collins effect, 2-hadron fragmentation)
- first attempts to constrain (in very model-dependent way) angular momentum of quarks via DVCS



#### CIPANP 2012, June 2<sup>nd</sup>, 2012

 $M_{2}^{q} =$ 

- confirm existence of Sivers effect -> asymmetry in transverse-momentum distribution of partons in nucleon
- demonstrate spin-dependence in fragmentation (Collins effect, 2-hadron fragmentation)
- first attempts to constrain (in very model-dependent way) angular momentum of quarks via DVCS
- add one more piece to the structure-function landscape:  $g_2$















## Results on A<sub>2</sub> and xg<sub>2</sub>



gunar.schnell @ desy.de

20 years of DIS@HERA - June 19<sup>th</sup>, 2012

... going 3D

## Spin-Momentum Structure of the Nucleon

$$\frac{1}{2} \operatorname{Tr} \left[ (\gamma^{+} + \lambda \gamma^{+} \gamma_{5}) \Phi \right] = \frac{1}{2} \left[ f_{1} + S^{i} \epsilon^{ij} k^{j} \frac{1}{m} f_{1T}^{\perp} + \lambda \Lambda g_{1} + \lambda S^{i} k^{i} \frac{1}{m} g_{1T} \right]$$

$$\frac{1}{2} \operatorname{Tr} \left[ (\gamma^{+} - s^{j} i \sigma^{+j} \gamma_{5}) \Phi \right] = \frac{1}{2} \left[ f_{1} + S^{i} \epsilon^{ij} k^{j} \frac{1}{m} f_{1T}^{\perp} + s^{i} \epsilon^{ij} k^{j} \frac{1}{m} h_{1}^{\perp} + s^{i} S^{i} h_{1} \right]$$

$$-s^{i}(2k^{i}k^{j} - \mathbf{k}^{2}\delta^{ij})S^{j}\frac{1}{2m^{2}}h_{1T}^{\perp} + \Lambda s^{i}k^{i}\frac{1}{m}h_{1L}^{\perp}$$

quark pol.

		U	L	Т
eon pol.	U	$f_1$		$h_1^\perp$
	L		$g_{1L}$	$h_{1L}^{\perp}$
nuc]	Т	$f_{1T}^{\perp}$	$g_{1T}$	$h_1,  h_{1T}^\perp$

- each TMD describes a particular spinmomentum correlation
- functions in black survive integration over transverse momentum
- functions in green box are chirally odd
- functions in red are naive T-odd

## Spin-Momentum Structure of the Nucleon

$$\frac{1}{2} \operatorname{Tr} \left[ (\gamma^{+} + \lambda \gamma^{+} \gamma_{5}) \Phi \right] = \frac{1}{2} \left[ f_{1} + S^{i} \epsilon^{ij} k^{j} \frac{1}{m} f_{1T}^{\perp} + \lambda \Lambda g_{1} + \lambda S^{i} k^{i} \frac{1}{m} g_{1T} \right]$$

$$\frac{1}{2} \operatorname{Tr} \left[ (\gamma^{+} - s^{j} i \sigma^{+j} \gamma_{5}) \Phi \right] = \frac{1}{2} \left[ f_{1} + S^{i} \epsilon^{ij} k^{j} \frac{1}{m} f_{1T}^{\perp} + s^{i} \epsilon^{ij} k^{j} \frac{1}{m} h_{1}^{\perp} + s^{i} S^{i} h_{1} \right]$$

helicity

U

L

Τ

nucleon pol.

Sivers

worm

guriui .schnen & desy.de

U

 $f_1$ 

 $f_{1T}^{\perp}$ 

quark pol.

L

 $g_{1L}$ 

 $g_{1T}$ 

Т

 $h_1^{\perp}$ 

 $h_{1L}^{\perp}$ 

 $h_1, h_{1T}^{\perp}$ 

transversity

$$+ s^{i} (2k^{i}k^{j} - \mathbf{k}^{2}\delta^{ij})S^{j} \frac{1}{2m^{2}} h_{1T}^{\perp} + \Lambda s^{i}k^{i} \frac{1}{m} h_{1L}^{\perp}$$

- each TMD describes a particular spin Boer-Mulders
  - functions in black survive integration over transverse momentum
  - functions in green box are chirally odd
     pretzelosity red are naive T-odd

## Probabilistic interpretation



## Probabilistic interpretation



## Probabilistic interpretation







## Sivers function

- correlates transverse momentum of quarks with transv. mom. of hadron
- candidate for large (30-50%) asymmetries in p<sup>†</sup>p->hX



## Sivers function

- correlates transverse momentum of quarks with transv. mom. of hadron
- candidate for large (30-50%) asymmetries in p<sup>1</sup>p->hX
- HERMES: u-quark Sivers<0 and d-quark Sivers>0



gunar.schnell @ desy.de



## Sivers function

- correlates transverse momentum of quarks with transv. mom. of hadron
- candidate for large (30-50%) asymmetries in p<sup>p</sup>->hX
- HERMES: u-quark Sivers<0 and d-quark Sivers>0
- (naive) T-odd structure: S<sub>N</sub>·(p<sub>⊥</sub> x P<sub>N</sub>) -- requires ISI/FSI
- leads to peculiar calculable universality breaking (DIS vs. Drell-Yan)



gunar.schnell @ desy.de
### Process dependence

simple QED +example DIS: attractive Drell-Yan: repulsive

### Process dependence

simple QED example



add color: QCD







Sivers effect

# naively T-odd distributions "Wilson-line physics"



Sivers effect

# naively T-odd distributions "Wilson-line physics"





### Unpolarized Drell-Yan



### Unpolarized Drell-Yan



#### failure of collinear pQCD

gunar.schnell @ desy.de

### Unpolarized Drell-Yan



failure of collinear pQCD

possible source: Boer-Mulders effect





**Boer-Mulders effect:** 



spin-effect in unpolarized reactions



**Boer-Mulders effect:** 

$$h_{1}^{\perp} = \underbrace{\bullet}_{\mathsf{S}_{\mathsf{q}}} \cdot (\mathsf{p}_{\perp} \times \mathsf{P}_{\mathsf{N}})$$

- spin-effect in unpolarized reactions
- "QCD Sokolov-Ternov effect" transverse polarization of "orbiting" quarks



**Boer-Mulders effect:** 

$$h_{1}^{\perp} = \underbrace{\bullet}_{\mathsf{S}_{\mathsf{q}}} \cdot (\mathsf{p}_{\perp} \times \mathsf{P}_{\mathsf{N}})$$

- spin-effect in unpolarized reactions
- "QCD Sokolov-Ternov effect" transverse polarization of "orbiting" quarks
- QCD: sign change for DIS vs. Drell-Yan



**Boer-Mulders effect:** 

$$h_{1}^{\perp} = \underbrace{\bullet}_{\mathsf{S}_{\mathsf{q}}} \cdot (\mathsf{p}_{\perp} \times \mathsf{P}_{\mathsf{N}})$$

- spin-effect in unpolarized reactions
- "QCD Sokolov-Ternov effect" transverse polarization of "orbiting" quarks
- QCD: sign change for DIS vs. Drell-Yan
- up to now little data from DIS

HERMES with most comprehensive data set

gunar.schnell @ desy.de

20 years of DIS@HERA - June 19<sup>th</sup>, 2012

### Cross section without polarization

$$\frac{d^{5}\sigma}{dxdydzd\phi_{h}dP_{h\perp}^{2}} \propto \left(1 + \frac{\gamma^{2}}{2x}\right) \{F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1 - \epsilon)}F_{UU}^{\cos\phi_{h}}\cos\phi_{h} + \epsilon F_{UU}^{\cos2\phi_{h}}\cos2\phi_{h}\}$$

$$F_{XY,Z} = F_{XY,Z} (x, y, z, P_{h\perp})$$

$$\begin{split} \gamma &= \frac{2Mx}{Q} \\ \varepsilon &= \frac{1-y-\frac{1}{4}\gamma^2 y^2}{1-y+\frac{1}{2}y^2+\frac{1}{4}\gamma^2 y^2} \end{split}$$

[see, e.g., Bacchetta et al., JHEP 0702 (2007) 093]

gunar.schnell @ desy.de

20 years of DIS@HERA - June 19th, 2012

### Cross section without polarization

$$\frac{d^{5}\sigma}{dxdydzd\phi_{h}dP_{h\perp}^{2}} \propto \left(1 + \frac{\gamma^{2}}{2x}\right) \{F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1 - \epsilon)}F_{UU}^{\cos\phi_{h}}\cos\phi_{h} + \epsilon F_{UU}^{\cos2\phi_{h}}\cos2\phi_{h} \cos2\phi_{h}\}$$

$$+ \sqrt{2\epsilon(1 - \epsilon)}F_{UU}^{\cos\phi_{h}}\cos\phi_{h} + \epsilon F_{UU}^{\cos2\phi_{h}}\cos2\phi_{h} \cos2\phi_{h}\}$$

$$\overset{\text{beading twist}}{F_{UU}^{\cos2\phi_{h}}} \propto c \left[-\frac{2(\hat{P}_{h\perp}\cdot\vec{k}_{T})(\hat{P}_{h\perp}\cdot\vec{p}_{T}) - \vec{k}_{T}\cdot\vec{p}_{T}}{MM_{h}} + \prod_{l=1}^{K} \int_{M}^{K} \int_{M}^{K} \int_{M}^{L} \int_{M}^{L} \int_{M}^{K} \int_{M}^{L} \int_{M}^{K} \int_{M}^{L} \int_{M}^{K} \int_{M}^{L} \int_{M}^{K} \int_{M}^{K} \int_{M}^{K} \int_{M}^{K} \int_{M}^{L} \int_{M}^{K} \int$$

(Implicit sum over quark flavours)

## Extraction of cosing modulations

 Fully differential analysis in (x,y,z,P<sub>h⊥</sub>, φ)

 Multi-dimensional unfolding: correction for finite acceptance, QED radiation, kinematic smearing, detector resolution

x bin=2bin=3 x bin=4 x bin=5First y bin **Ф**<sub>h</sub> probability that an event generated with a certain kinematics is measured with a different kinematics  $n_{EXP} = S n_{BORN} + n_{Bg}$ 

$$n_{BORN} = S^{-1} [n_{EXP} - n_{Bg}]$$

includes the events smeared into the acceptance

W

20 years of DIS@HERA - June 19<sup>th</sup>, 2012



Cahn effect only does not describe data



- Cahn effect only does not describe data
- opposite sign for charged pions with larger magnitude for  $\pi^-$  (as expected)
  - -> same-sign BM-function for valence quarks





no dependence on hadron charge expected for Cahn effect

- flavor dependence of transverse momentum
- ⇒ sign of Boer-Mulders in cosφ modulation (indeed, overall pattern resembles B-M modulations)
- → additional "genuine" twist-3?

	U	L	Т
U	$f_1$		$h_1^\perp$
L		$g_{1L}$	$h_{1L}^{\perp}$
Т	$f_{1T}^{\perp}$	$g_{1T}$	$h_1, h_{1T}^{\perp}$



## "strange" results

	U	L	Т
U	$f_1$		$h_1^\perp$
L		$g_{1L}$	$h_{1L}^{\perp}$
Т	$f_{1T}^{\perp}$	$g_{1T}$	$h_1, h_{1T}^{\perp}$



	U	L	Т
U	$f_1$		$h_1^\perp$
L		$g_{1L}$	$h_{1L}^{\perp}$
Т	$f_{1T}^{\perp}$	$g_{1T}$	$h_1,  h_{1T}^\perp$



## Exclusive reactions

### nAnother 3D1 picture of the



= U

[filinit]

b [fm]

### nAnother 3D1 picture of the



-0.6 -0.4 -0.2

0

0

gunar.schnell @ desy.de

### nAnother 3D1 picture of the



correlated info on transverse position and longitudinal momentum

gunar.schnell @ desy.de

-0.6 - 0.4 - 0.2

0





**x**: average longitudinal momentum fraction of active quark (usually not observed &  $x \neq x_B$ )

 $\xi$ : half the longitudinal momentum change  $\approx x_B/(2-x_B)$ 





(+ 4 more chiral-odd functions)

E

helicity flip







(+ 4 more chiral-odd functions)

E

nucleon

helicity flip

 $\overline{\widetilde{E}}$ 





gunar.schnell @ desy.de

20 years of DIS@HERA - June 19th, 2012

### **Real-photon production**



## Real-photon production



## Real-photon production



### Azimuthal dependences in DVCS/BH

- beam polarization P<sub>B</sub>
- beam charge CB
- here: unpolarized target

Fourier expansion for  $\phi$ :

$$|\mathcal{T}_{\mathsf{BH}}|^{2} = \frac{K_{\mathsf{BH}}}{\mathcal{P}_{1}(\phi)\mathcal{P}_{2}(\phi)} \sum_{n=0}^{2} c_{n}^{\mathsf{BH}} \cos(n\phi)$$



# calculable in QED (using FF measurements)
## Azimuthal dependences in DVCS/BH

 $\dot{k}$ 

- beam polarization  $P_B$
- beam charge CB
- here: unpolarized target

Fourier expansion for  $\phi$ :

$$|\mathcal{T}_{\mathsf{BH}}|^{2} = \frac{\mathcal{K}_{\mathsf{BH}}}{\mathcal{P}_{1}(\phi)\mathcal{P}_{2}(\phi)} \sum_{n=0}^{2} c_{n}^{\mathsf{BH}} \cos(n\phi)$$
$$\mathcal{T}_{\mathsf{DVCS}}|^{2} = \mathcal{K}_{\mathsf{DVCS}} \left[ \sum_{n=0}^{2} c_{n}^{\mathsf{DVCS}} \cos(n\phi) + \mathcal{P}_{B} \sum_{n=1}^{1} s_{n}^{\mathsf{DVCS}} \sin(n\phi) \right]$$

## Azimuthal dependences in DVCS/BH

k

- beam polarization  $P_B$
- beam charge CB
- here: unpolarized target

Fourier expansion for  $\phi$ :

$$\begin{aligned} |\mathcal{T}_{\mathsf{BH}}|^2 &= \frac{\mathcal{K}_{\mathsf{BH}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \sum_{n=0}^2 c_n^{\mathsf{BH}} \cos(n\phi) \\ |\mathcal{T}_{\mathsf{DVCS}}|^2 &= \mathcal{K}_{\mathsf{DVCS}} \left[ \sum_{n=0}^2 c_n^{\mathsf{DVCS}} \cos(n\phi) + P_B \sum_{n=1}^1 s_n^{\mathsf{DVCS}} \sin(n\phi) \right] \\ \mathcal{I} &= \frac{\mathcal{C}_B \mathcal{K}_{\mathcal{I}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \left[ \sum_{n=0}^3 c_n^{\mathcal{I}} \cos(n\phi) + P_B \sum_{n=1}^2 s_n^{\mathcal{I}} \sin(n\phi) \right] \end{aligned}$$

## Azimuthal dependences in DVCS/BH

 $\dot{k}$ 



- beam charge  $C_B$
- here: unpolarized target

Fourier expansion for  $\phi$ :

$$\begin{aligned} |\mathcal{T}_{\mathsf{BH}}|^2 &= \frac{\kappa_{\mathsf{BH}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \sum_{n=0}^2 c_n^{\mathsf{BH}} \cos(n\phi) \\ |\mathcal{T}_{\mathsf{DVCS}}|^2 &= \kappa_{\mathsf{DVCS}} \left[ \sum_{n=0}^2 c_n^{\mathsf{DVCS}} \cos(n\phi) + \mathcal{P}_B \sum_{n=1}^1 s_n^{\mathsf{DVCS}} \sin(n\phi) \right] \\ \mathcal{I} &= \frac{C_B \kappa_{\mathcal{I}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \left[ \sum_{n=0}^3 c_n^{\mathcal{I}} \cos(n\phi) + \mathcal{P}_B \sum_{n=1}^2 s_n^{\mathcal{I}} \sin(n\phi) \right] \end{aligned}$$

bilinear ("DVCS") or linear in GPDs









# A wealth of azimuthal amplitudes



Beam-charge asymmetry: GPD H Beam-helicity asymmetry: GPD H

PRD 75 (2007) 011103 NPB 829 (2010) 1 JHEP 11 (2009) 083 PRC 81 (2010) 035202 PRL 87 (2001) 182001

Transverse target spin asymmetries: GPD E from proton target JHEP 06 (2008) 066

PLB 704 (2011) 15

Longitudinal target spin asymmetry: GPD H Double-spin asymmetry: GPD H

# A wealth of azimuthal amplitudes



Beam-charge asymmetry: GPD H Beam-helicity asymmetry: GPD H PRD 75 (2007) 011103 NPB 829 (2010) 1 JHEP 11 (2009) 083 PRC 81 (2010) 035202 PRL 87 (2001) 182001

Transverse target spin asymmetries: GPD E from proton target JHEP 06 (2008) 066

PLB 704 (2011) 15

Longitudinal target spin asymmetry: GPD H Double-spin asymmetry: GPD H complete data set!

# Beam-charge asymmetry

[Airapetian et al., accept. by JHEP; arXiv:1203.6287]



gunar.schnell @ desy.de

# A wealth of azimuthal amplitudes



Beam-charge asymmetry: GPD H Beam-helicity asymmetry: GPD H

PRD 75 (2007) 011103 NPB 829 (2010) 1 JHEP 11 (2009) 083 PRC 81 (2010) 035202 PRL 87 (2001) 182001

Transverse target spin asymmetries: GPD E from proton target JHEP 06 (2008

JHEP 06 (2008) 066 PLB 704 (2011) 15

Longitudinal target spin asymmetry: GPD  $\widetilde{H}$ Double-spin asymmetry: GPD  $\widetilde{H}$ NPB 842 (2011) 265 GPD  $\widetilde{H}$ 

#### Beam-spin asymmetry

complete data set!



gunar.schnell @ desy.de





33

gunar.schnell @ desy.de

# HERMES detector (2006/07)





- All particles in final state detected  $\rightarrow$  4 constraints from energy-momentum conservation

- Selection of pure BH/DVCS ( $ep \rightarrow ep \gamma$ ) with high efficiency (~83%)
- Allows to suppress background from associated and semi-inclusive processes to a negligible level (<0.2%) gunar.schnell @ desy.de 34 20 years of DIS@HERA - June 19<sup>th</sup>, 2012

#### DVCS with recoil detector



## DVCS with recoil detector



KM10 - K. Kumericki and D. Müller, Nucl. Phys. B 841 (2010) 1 VGG - M. Vanderhaeghen et al., Phys. Rev. D 60 (1999) 094017

gunar.schnell @ desy.de

36

# Associated DVCS with recoil detector



- asymmetry amplitudes consistent with zero

- consistent with pure DVCS results (e.g., dilution in traditional analysis) gunar.schnell @ desy.de 37 20 years of DIS@HERA - June 19<sup>th</sup>, 2012

## Exclusive meson production



# SDMEs for phi production



- (decay) angular distributions reveal helicity transitions
- similar to rho production: helicity-conserving SDMEs dominate
- hardly any violation of SCHC observed for phi



