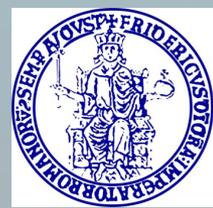




LEXI meeting
11-12 October 2012



Active-sterile neutrino oscillations in the Early Universe with dynamical neutrino asymmetries

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Based on : *A. Mirizzi, N.S., G. Miele, P.D. Serpico; PRD 86, 053009 (2012)*

Experimental anomalies & sterile ν interpretation

Experimental data in tension with the standard 3 ν scenario:

1. $\bar{\nu}_e$ appearance signals

- excess of $\bar{\nu}_e$ originated by initial $\bar{\nu}_\mu$: **LSND/ MiniBooNE**
(but no ν_e excess signal from $\nu_\mu \rightarrow \nu_e$)
A. Aguilar et al., 2001
A. Aguilar et al., 2010

2. $\bar{\nu}_e$ and ν_e disappearance signals

- deficit in the $\bar{\nu}_e$ fluxes from **nuclear reactors** (at short distance)
Mention et al. 2011
- reduced solar ν_e event rate in **Gallium experiments**
Acero, Giunti and Lavder, 2008

All these anomalies, if interpreted as oscillation signals, point towards the possible existence of **1** (or more) **sterile neutrino** with $\Delta m^2 \sim O(\text{eV}^2)$ and $\theta_s \sim O(0.1)$

Many analysis have been performed \rightarrow 3+1, 3+2 schemes

Kopp, Maltoni & Schwetz 2011
Giunti and Laveder, 2012
Abazajian et al., 2012
(white paper)

Extra radiation

Sterile neutrinos can be produced via oscillations with active neutrinos in Early Universe

→ possible contribution to extra degrees of freedom ΔN

$$\underbrace{\varepsilon_\nu + \varepsilon_x}_{\text{non-e.m. energy density}} = \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 N_\nu^{\text{eff}} = \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 (N_{SM}^{\text{eff}} + \Delta N) = \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 (3.046 + \Delta N)$$

Mangano et al. 2005

non-e.m. energy density

Extra d.o.f. rebound on the cosmological observables :

- BBN (through the expansion rate H and the direct effect of ν_e and $\bar{\nu}_e$ on the n-p reactions)
- CMB & LSS (sound horizon, anisotropic stress, equality redshift, damping tail)

Cosmological hints for extra radiation

- Current precision cosmological data show a preference for extra relativistic d.o.f :

✓ **BBN (standard)** → $N_{eff} \leq 4$ (at 95% C.L)

Mangano and Serpico, 2011
Hamman et al., 2011
Pettini and Cooke, 2012

with only a small significance preference for $N^{eff} > \text{stand.value}$

✓ **CMB & LSS** → $N_{eff} > 3.046$ (at 98% C.L for Λ CDM + Neff)

Many models → central value $N_{eff} \sim 4$

WMAP7+ACT+ACBAR+H0+BAO

Hou, Keisler, Knox, et al. 2011

Exact numbers depend on the cosmological model and on the combination of data used

Extra radiation VS lab ν_s

The mass and mixing parameters preferred by experimental anomalies lead to the production and **thermalization** of ν_s (i.e., $\Delta N = 1, 2$) in the Early Universe via ν_a - ν_s oscillations + ν_a scatterings

Barbieri & Dolgov 1990, 1991
Di Bari, 2002
Melchiorri et al 2009

Problem:

not easy to link the extra radiation with the lab-sterile ν in the simplest scenarios

Indeed:

– 3+2: **Too many for BBN** (3+1 minimally accepted)

Hamman et al., 2010
Hamman et al., 2011

– 3+1, 3+2: **Too heavy for CMB/LSS** $\rightarrow m_s < 0.48$ eV (at 95% C.L.)

versus lab best-fit $m_s \sim 1$ eV

*It is possible to find an escape
route to reconcile sterile ν 's
with cosmology?*

A possible answer: primordial neutrino asymmetry

Foot and Volkas, 1995

Introducing $L = \frac{n_\nu - n_{\bar{\nu}}}{n_\gamma}$ \rightarrow *Suppress the thermalization of sterile neutrinos (Effective ν_a - ν_s mixing reduced by a large matter term $\propto L$)*

Caveat : L can also generate MSW-like resonant flavor conversions among active and sterile neutrinos enhancing their production

A lot of work has been done in this direction.....

Enqvist et al., 1990, 1991, 1992; Foot, Thomson & Volkas, 1995; Bell, Volkas & Wong, 1998; Dolgov, Hansen, Pastor & Semikoz, 1999; Di Bari & Foot, 2000; Di Bari, Lipari and Lusignoli, 2000; Kirilova & Chizhov, 2000; Di Bari, Foot, Volkas & Wong, 2001; Dolgov & Villante, 2003; Abazajian, Bell, Fuller, Wong, 2005; Kishimoto, Fuller, Smith, 2006; Chu & Cirelli, 2006; Abazajian & Agrawal, 2008;

How large should be the value of L in order to have a significant reduction of the sterile neutrino abundance?

In Chu and Cirelli 2006, in a $3 + 1$ scenario, was found that $L \sim 10^{-4}$ is enough

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WARNING:



- L taken constant during the flavor evolution
- equations of motion solved only for ν
- only a single a-s mixing angle considered

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- only a single a-s mixing ~~angle~~ considered \rightarrow also the other flavors take part into oscillations

2 recent complementary papers on thermalization of ν_s

Hannestad, Tamborra and Tram, 2012

1+1, “multi-momenta”

- ✓ possibility to scan a broad range of ν_s mass-mixing parameters

$$\delta m_s^2 \approx [10^{-3}, 10] eV^2, \quad \sin^2 2\theta_s \approx [10^{-4}, 10^{-1}]$$

- ✗ very simplified scenario: Not possible to incorporate effects due to the mixing of the ν_s with different ν_a

Mirizzi, N.S., Miele and Serpico 2012

3+1, 2+1, “average momentum approx.”

$$\langle p \rangle = 3.15T$$

- ✓ realistic account of the ν - ν coupling
- ✓ opportunity to explore several scenarios and effects:
 - different and opposite asymmetries
 - CP violation

- ✗ multi-momentum features (e.g. distortions of the ν distributions) cannot be revealed

Advantages

Disadvantage

Equations of Motion

- Describe the ν ensemble in terms of 4x4 density matrix $\varrho(x, y) = \begin{pmatrix} \varrho_{ee} & \varrho_{e\mu} & \varrho_{e\tau} & \varrho_{es} \\ \varrho_{\mu e} & \varrho_{\mu\mu} & \varrho_{\mu\tau} & \varrho_{\mu s} \\ \varrho_{\tau e} & \varrho_{\tau\mu} & \varrho_{\tau\tau} & \varrho_{\tau s} \\ \varrho_{se} & \varrho_{s\mu} & \varrho_{s\tau} & \varrho_{ss} \end{pmatrix}$

- introduce the dimensionless variables $x \equiv ma$, $y \equiv pa$, $z \equiv T_\gamma a$, with $m = 1$ eV,

$a =$ scale factor, $a(t) \rightarrow 1/T$

- denote the time derivative $d_t = \partial_t - Hp\partial_p = Hx\partial_x$, with H the Hubble parameter

- restrict to an “average momentum” approx. $\langle y \rangle$, based on $\varrho(x, y) \rightarrow f_{FD}(y) \rho(x)$

➤ The EoM become

$$i \frac{d\rho}{dx} = \frac{1}{2Hx} \left\langle \frac{1}{y} \right\rangle [M^2, \rho] - \frac{\sqrt{2}G_F}{Hx} \left[\frac{8\langle y \rangle}{3m_w^2} E_l, \rho \right] + \frac{\sqrt{2}G_F}{Hx} \left[\left(-\frac{8\langle y \rangle}{3m_z^2} E_\nu + N_\nu \right), \rho \right] + \frac{C[\rho]}{Hx}$$

Sigl and Raffelt 1993;
McKellar & Thomson, 1994
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$$i \frac{d\rho}{dx} = \frac{1}{2Hx} \left\langle \frac{1}{y} \right\rangle \underbrace{\left[M^2, \rho \right]}_{\text{Vacuum term}} - \frac{\sqrt{2}G_F}{Hx} \left[\frac{8\langle y \rangle}{3m_w^2} E_l, \rho \right] + \frac{\sqrt{2}G_F}{Hx} \left[\left(-\frac{8\langle y \rangle}{3m_z^2} E_\nu + N_\nu \right), \rho \right] + \frac{C[\rho]}{Hx}$$

Vacuum term

with M neutrino mass matrix

$$\mathbf{U}^\dagger \mathbf{M}^2 \mathbf{U}$$

Sigl and Raffelt 1993;

McKellar & Thomson, 1994

Dolgov et al., 2002

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“symmetric” matter effect
(2th order term)

$$E_l = \text{diag}(\varepsilon_e, 0, 0, 0)$$

Sigl and Raffelt 1993;
McKellar & Thomson, 1994
Dolgov et al., 2002

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$\nu-\nu$ term

➔ non-linear term

given by to the symmetric and asymmetric terms

Sigl and Raffelt 1993;
McKellar & Thomson, 1994
Dolgov et al., 2002

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symmetric term

$$\propto (\rho + \bar{\rho})$$

Sigl and Raffelt 1993;
McKellar & Thomson, 1994
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asymmetric term

$\propto (\rho - \bar{\rho}) \rightarrow L$

Sigl and Raffelt 1993;
McKellar & Thomson, 1994
Dolgov et al., 2002

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Collisional term

$$\propto G_F^2$$

Sigl and Raffelt 1993;
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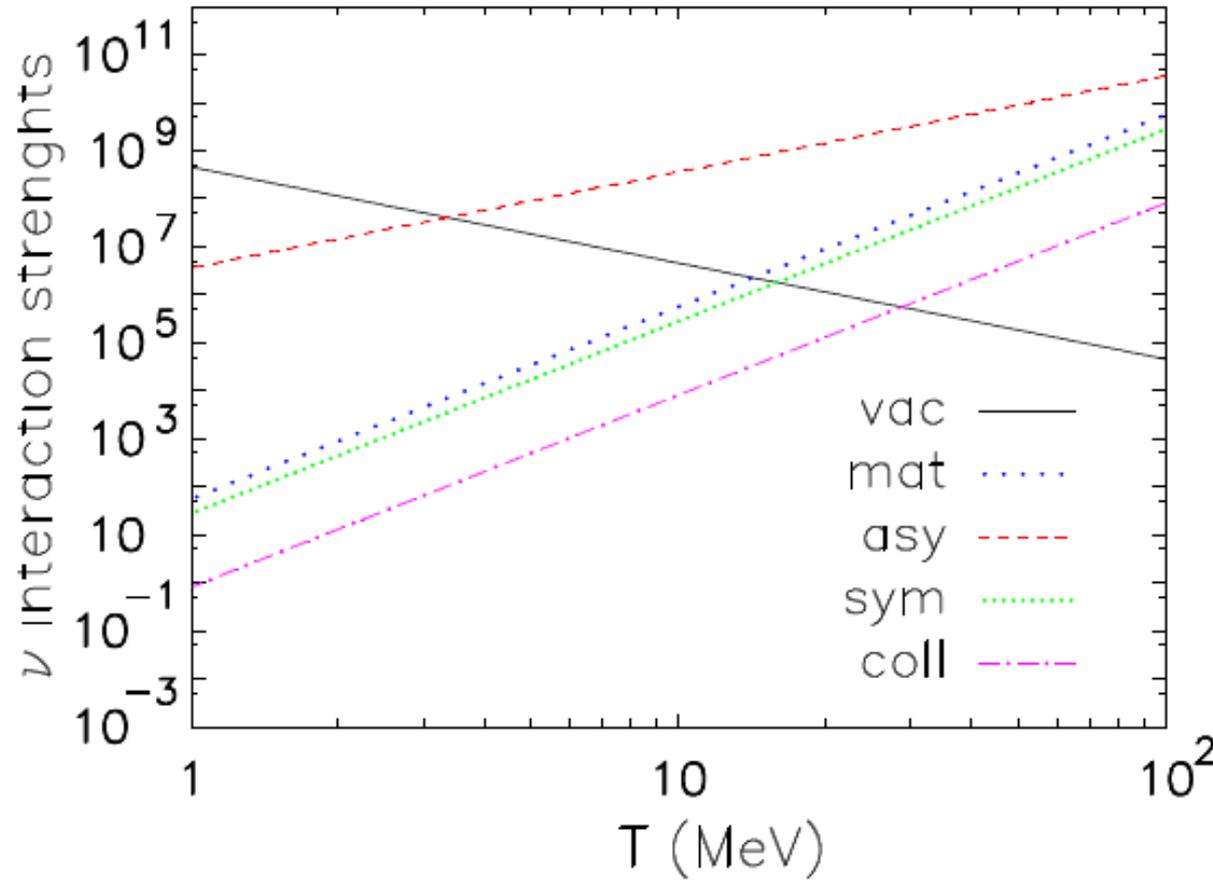
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$$i \frac{d\bar{\rho}}{dx} = -\frac{1}{2Hx} \left\langle \frac{1}{y} \right\rangle [M^2, \bar{\rho}] + \frac{\sqrt{2}G_F}{Hx} \left[\frac{8\langle y \rangle}{3m_w^2} E_l, \bar{\rho} \right] + \frac{\sqrt{2}G_F}{Hx} \left[\left(+\frac{8\langle y \rangle}{3m_z^2} E_\nu + N_\nu \right), \bar{\rho} \right] + \frac{C[\bar{\rho}]}{Hx}$$

Strength of the different interactions

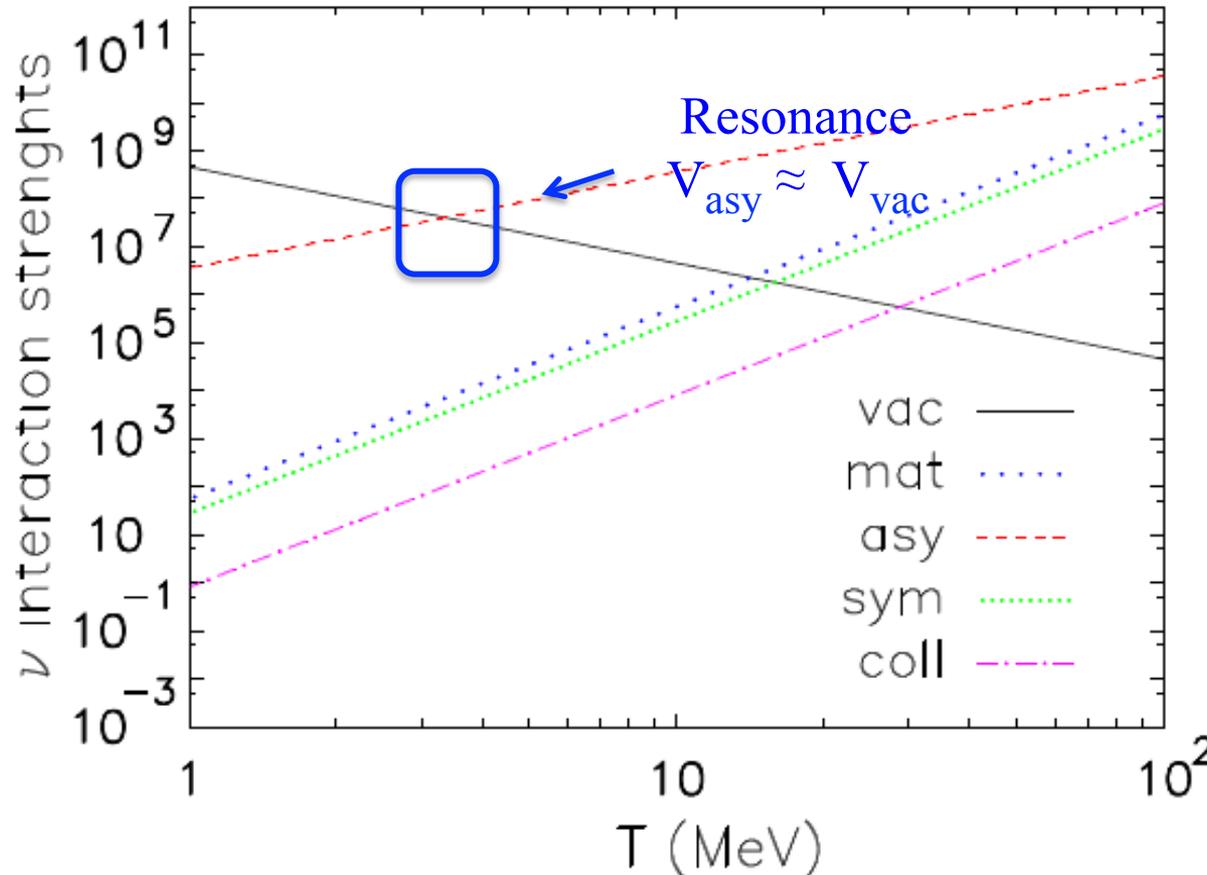
Mirizzi, N.S., Miele, Serpico 2012



$L = -10^{-4}$
kept constant

Strength of the different interactions

Mirizzi, N.S., Miele, Serpico 2012



$L = -10^{-4}$
kept constant

MSW effect on ν - ν asymmetric interaction term (V_{asy})

- For $L < 0 \rightarrow$ resonance occurs in the anti- ν channel
- For $L > 0 \rightarrow$ resonance occurs in the ν channel

Best-fit parameters in the active and sterile sectors

Global 3ν oscillation analysis, in terms of best-fit values

Parameter	Best fit
$\delta m^2 / 10^{-5} \text{ eV}^2$ (NH or IH)	7.54
$\sin^2 \theta_{12} / 10^{-1}$ (NH or IH)	3.07
$\Delta m^2 / 10^{-3} \text{ eV}^2$ (NH)	2.43
$\Delta m^2 / 10^{-3} \text{ eV}^2$ (IH)	2.42
$\sin^2 \theta_{13} / 10^{-2}$ (NH)	2.41
$\sin^2 \theta_{13} / 10^{-2}$ (IH)	2.44
$\sin^2 \theta_{23} / 10^{-1}$ (NH)	3.86
$\sin^2 \theta_{23} / 10^{-1}$ (IH)	3.92
δ / π (NH)	1.08
δ / π (IH)	1.09

Fogli et al., 2012

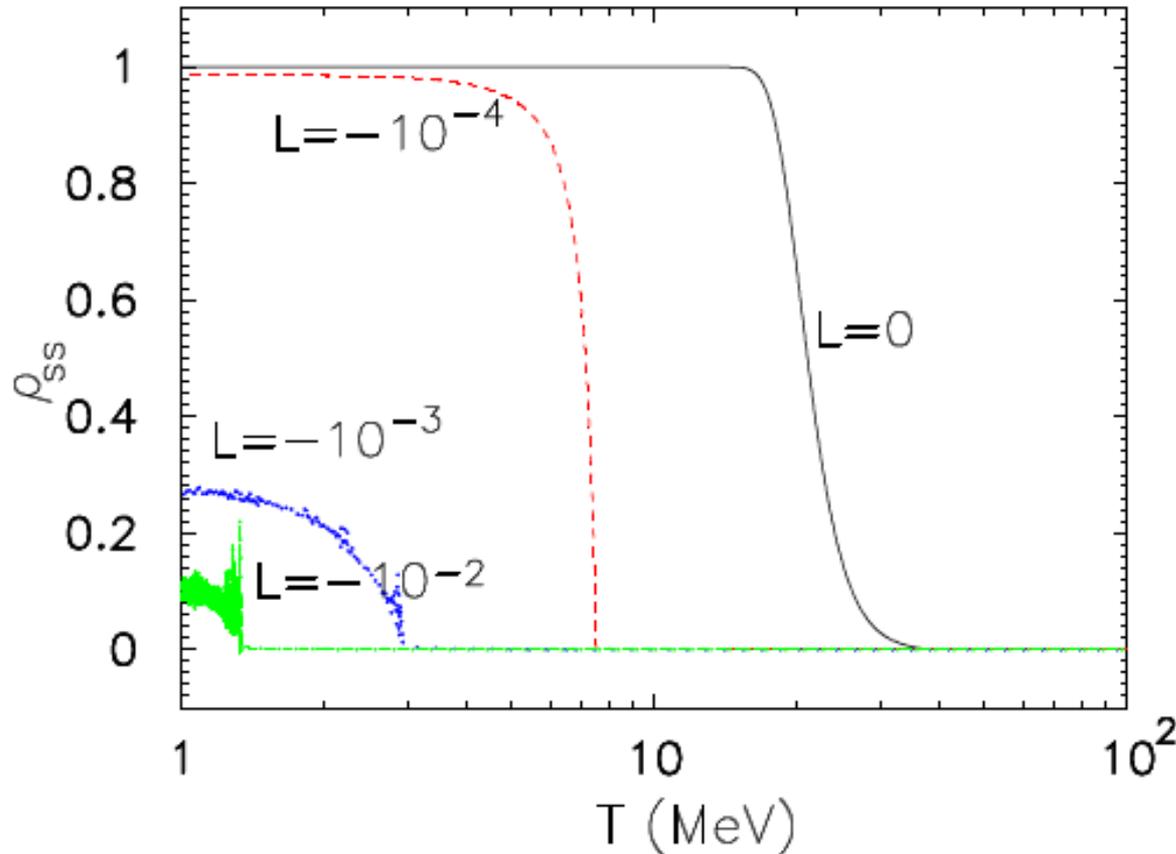
Best-fit values of the mixing parameters in $3+1$ fits of short-baseline oscillation data.

	3+1
χ_{\min}^2	100.2
NDF	104
GoF	59%
$\Delta m_{41}^2 [\text{eV}^2]$	0.89
$ U_{e4} ^2$	0.025
$ U_{\mu 4} ^2$	0.023
$\Delta m_{51}^2 [\text{eV}^2]$	
$ U_{e5} ^2$	
$ U_{\mu 5} ^2$	
η	
$\Delta \chi_{\text{PG}}^2$	24.1
NDF _{PG}	2
PGoF	6×10^{-6}

Giunti and Laveder, 2011

3 + 1 Scenario

Mirizzi, N.S., Miele, Serpico 2012



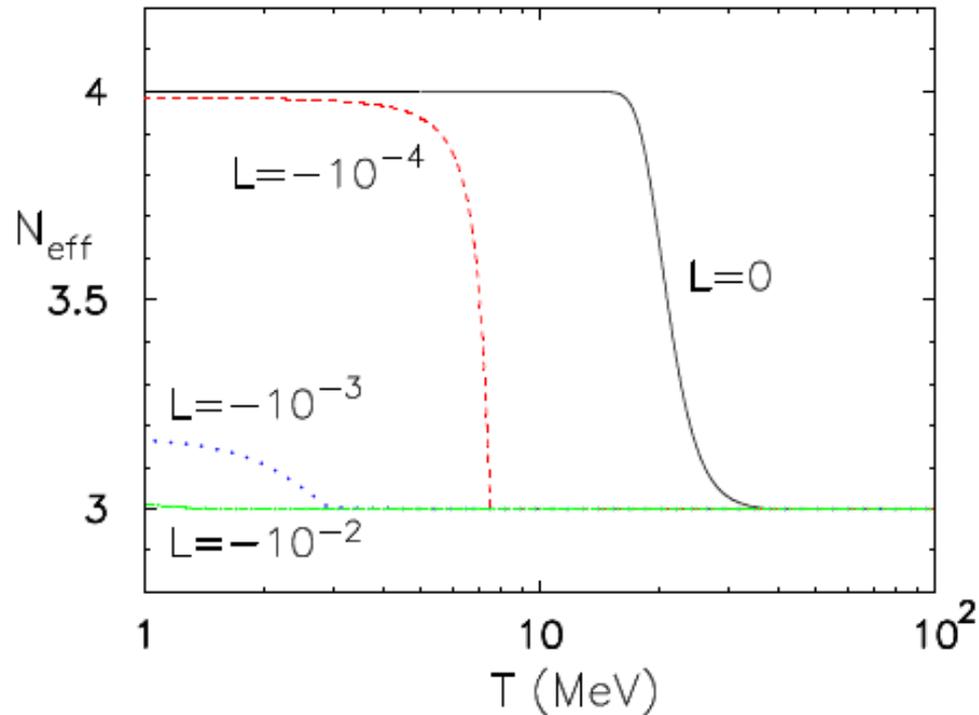
- $L = 0 \rightarrow \nu_s$ copiously produced at $T \leq 30\text{MeV}$ (not resonantly)
- $L \neq 0 \rightarrow \nu_s$ are produced “resonantly” when $V_{\text{asy}} \approx V_{\text{vac}}$

Increasing L , the position of the resonance shifts towards lower $T \rightarrow$ less adiabatic resonance $\rightarrow \nu_s$ production less efficient (Adiabaticity parameter scales as T)

Di Bari and Foot 2002

Consequences on N_{eff}

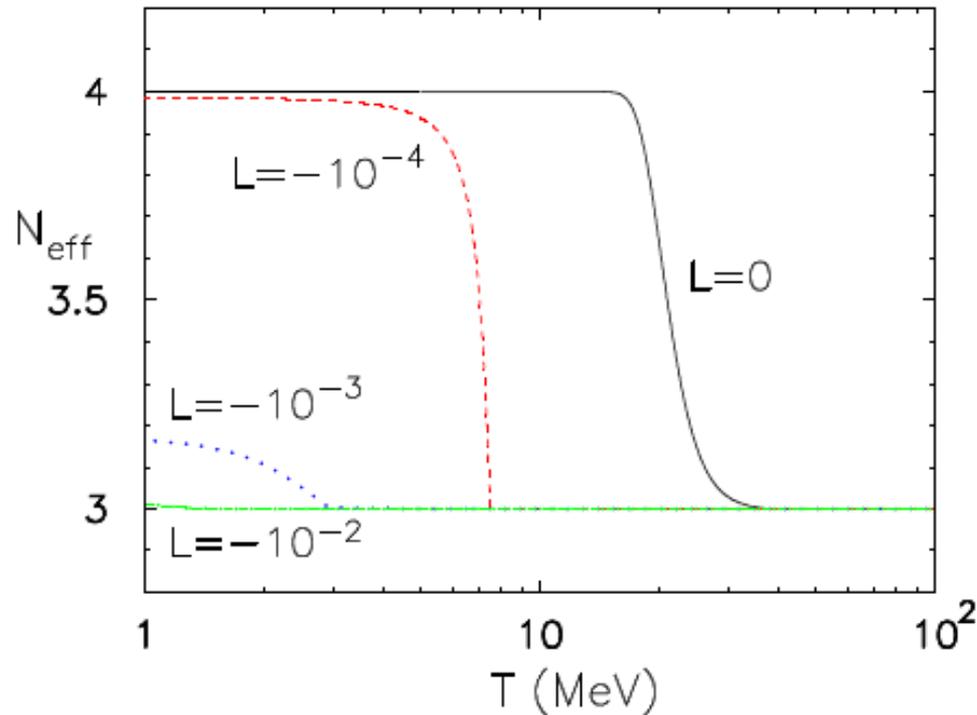
Mirizzi, N.S., Miele, Serpico 2012



- $|L| \leq 10^{-4}$, ν_s fully populated and the ν_a repopulated by collisions $\rightarrow N_{\text{eff}} \sim 4$
 \rightarrow tension with cosmological mass bounds (and with BBN data)
- $|L| = 10^{-3}$, ν_s produced close to ν -decoupling ($T_d \sim 2-3$ MeV) where ν_a less repopulated \rightarrow effect on N_{eff} less prominent.
If $\Delta N_{\text{eff}} > 0.2$ it will be detected by Planck (public data release expected early 2013).
- $L > 10^{-2}$, no repopulation of ν_a
 \rightarrow negligible effect on N_{eff} even if ν_s slightly produced.
Possible future extra-radiation should be explained by some other physics (hidden photons, sub-eV thermal axions etc.?)

Consequences on N_{eff}

Mirizzi, N.S., Miele, Serpico 2012



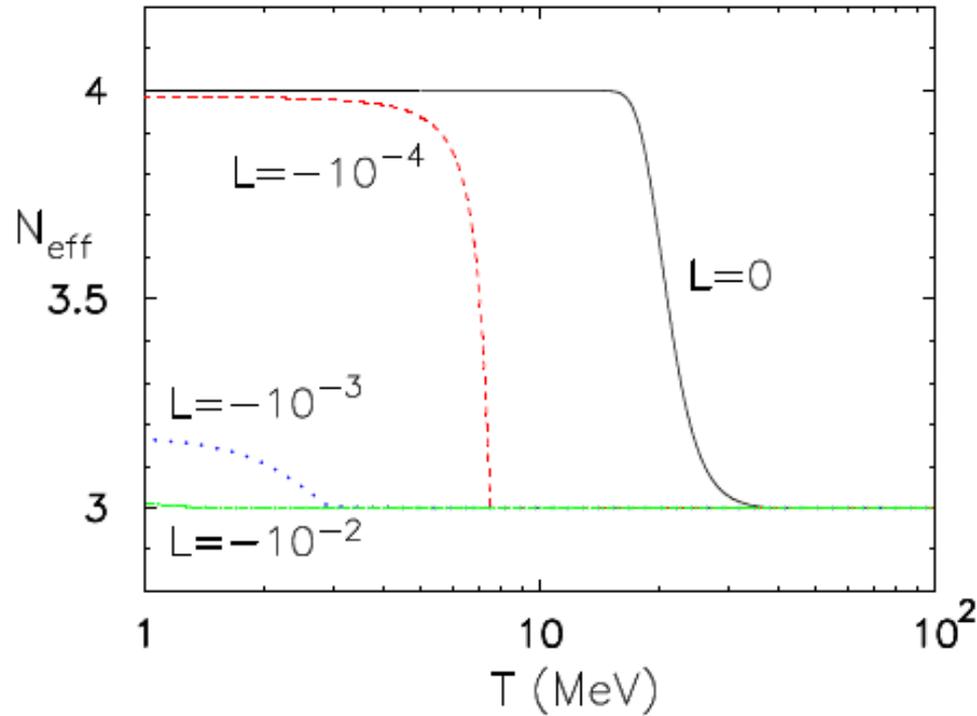
Attention:

The lack of repopulation of ν_e would produce distorted distributions, which can anticipate the n/p freeze-out and hence increase the ${}^4\text{He}$ yield \rightarrow Possible impact on the BBN (Multi-momentum treatment necessary!)

- $|L| \leq 10^{-4}$, ν_s fully populated and the ν_a repopulated by collisions $\rightarrow N_{\text{eff}} \sim 4$
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Qualitative estimate of the effects on BBN

Mirizzi, N.S., Miele, Serpico 2012



$$T_F \propto \left(\frac{\sqrt{22/7 + N_{\text{eff}}}}{1 + \rho_{ee}} \right)^{1/3}$$



$$\frac{\delta Y_p}{Y_p} = 0.044 \delta N_{\text{eff}} - 0.27 \delta \rho_{ee}$$

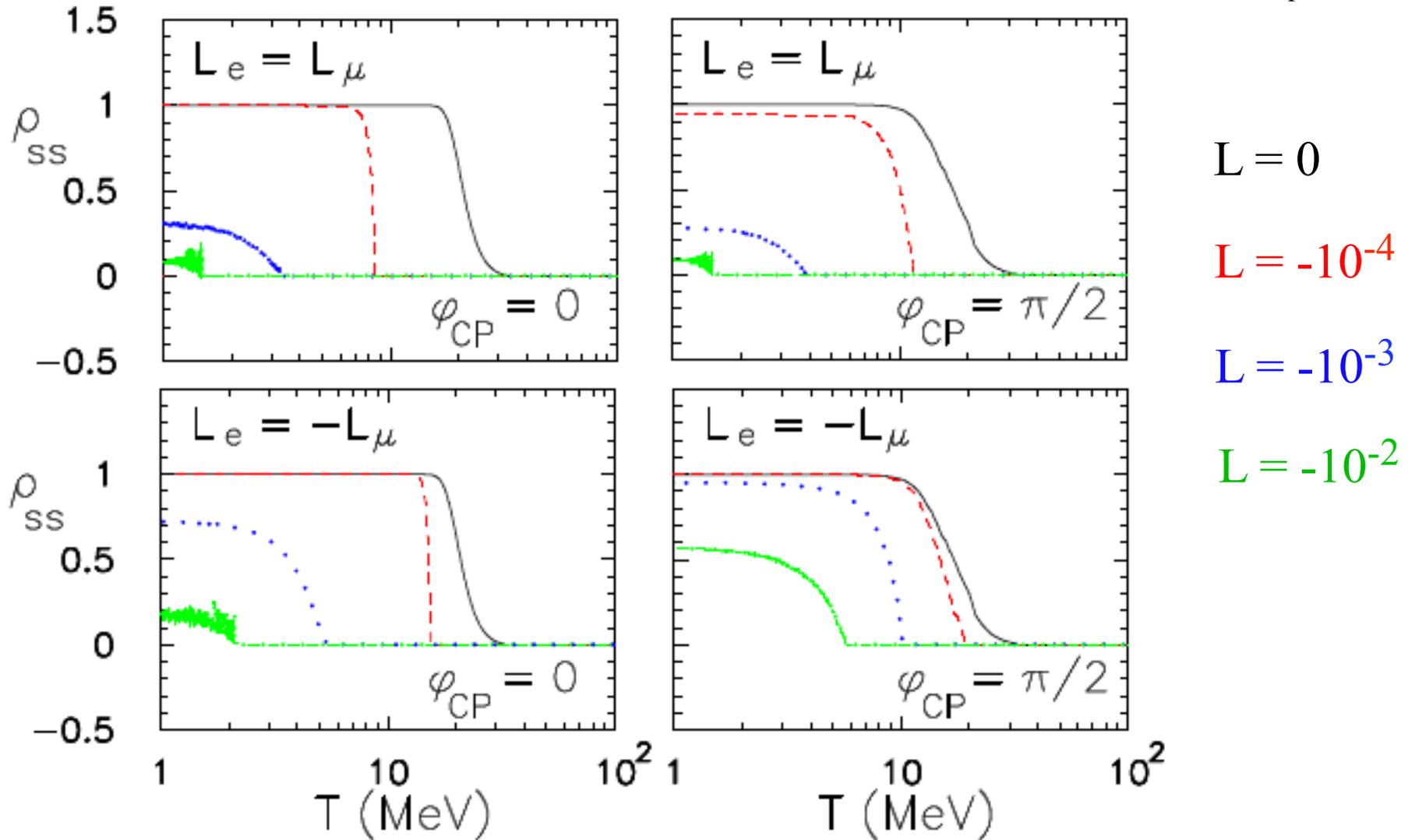
Dolgov and Villante, 2002

- $L=0$: $\delta N_{\text{eff}}=1$ and $\delta \rho_{ee}=0 \rightarrow$ variation in ${}^4\text{He}$ of $\sim 4\%$ *barely allowed*
- $L=|10|^{-2}$: $\delta N_{\text{eff}} \sim 0$ and $\delta \rho_{ee} = -5\% \rightarrow$ variation in ${}^4\text{He}$ of $\sim 1\%$
- $L=|10|^{-3}$: $\delta N_{\text{eff}} \sim 1\%$ and $\delta \rho_{ee} \sim 1\% \rightarrow$ variation in ${}^4\text{He}$ of $\sim 2\%$

} Large effects on BBN

2 + 1 Scenario

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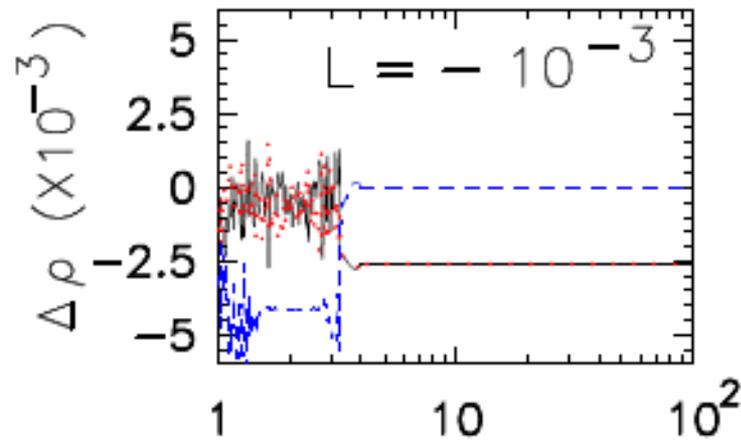
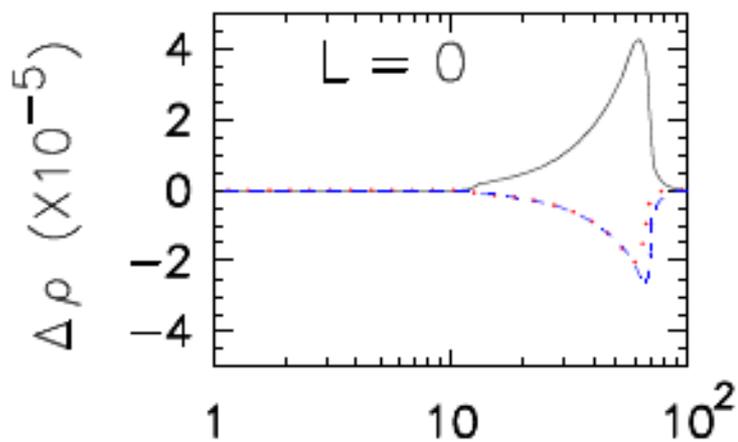


$L \sim 10^{-3}$ conservative limit \rightarrow Suppression crucially depends on the scenario considered

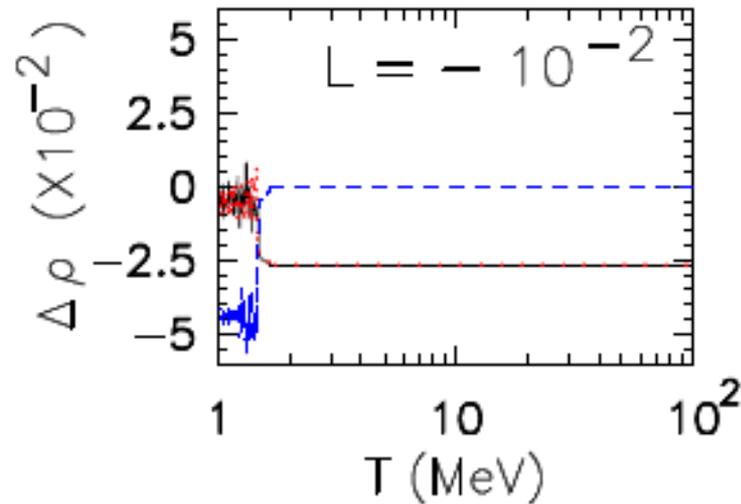
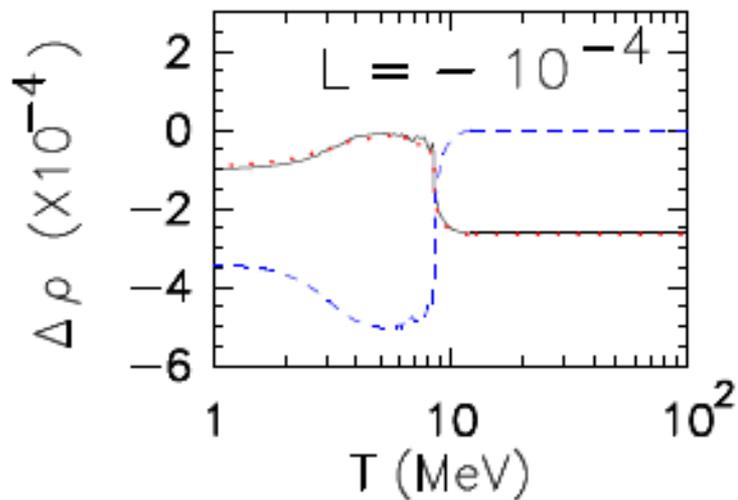
Neutrino asymmetry evolution

(2+1) with $L=L_e=L_\mu$ and $\phi_{cp} = 0$ $\Delta\rho \propto L$

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ν_e
 ν_μ
 ν_s



Conclusions

- ✓ Current precision cosmological data show a preference for extra relativistic degrees of freedom (beyond 3 active neutrinos).
- ✓ ν_s interpretation of lab neutrino anomalies does not quite fit into the simplest picture. Necessary to suppress the sterile neutrino production in the Early Universe.
- ✓ A possibility to reconcile cosmological and laboratory data would be the introduction of a neutrino asymmetry.
- ✓ Solving the non-linear EOM for ν_a - ν_s oscillations in a 3+1 scenario, we find that $L > 10^{-3}$ necessary to suppress the sterile neutrino production.
(Suppression crucially depends on the scenario considered).
- ✓ However, $L > 10^{-3}$ could leave a significant imprint on BBN through the depletion of ν_e and $\bar{\nu}_e$
(multi-momentum treatment of the EOM necessary)

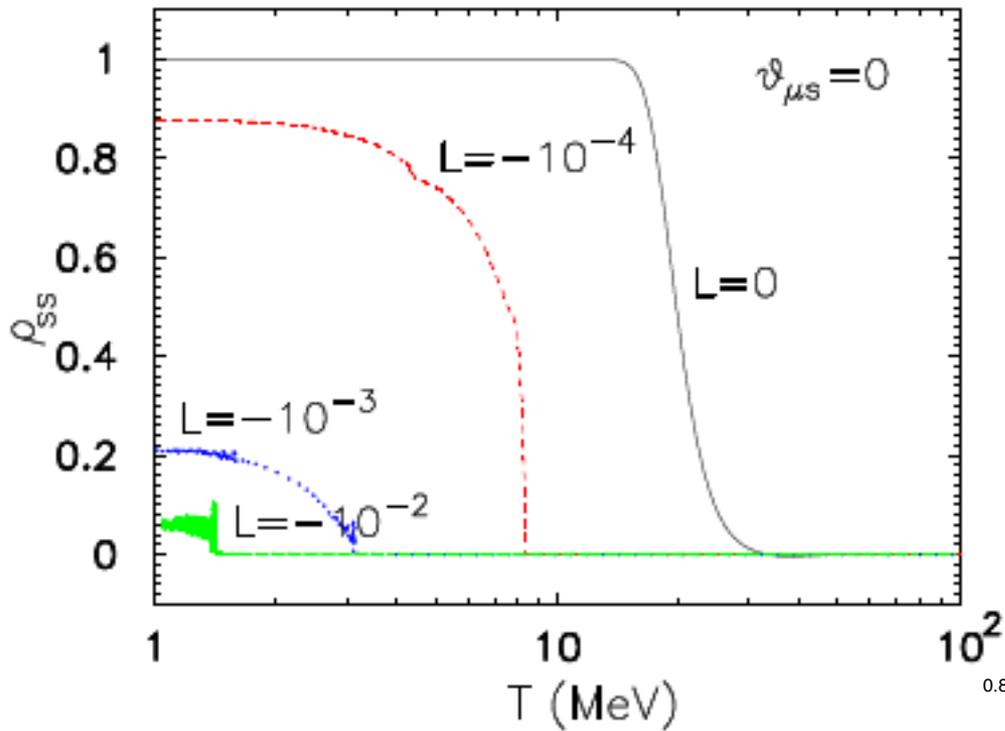
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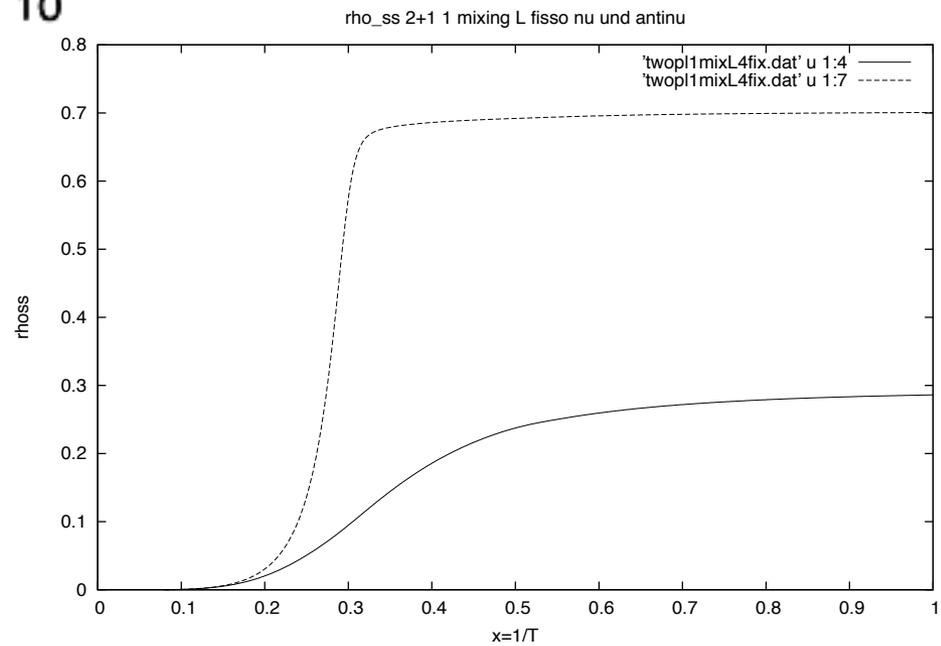
Not too easy to mask sterile neutrinos in cosmology!

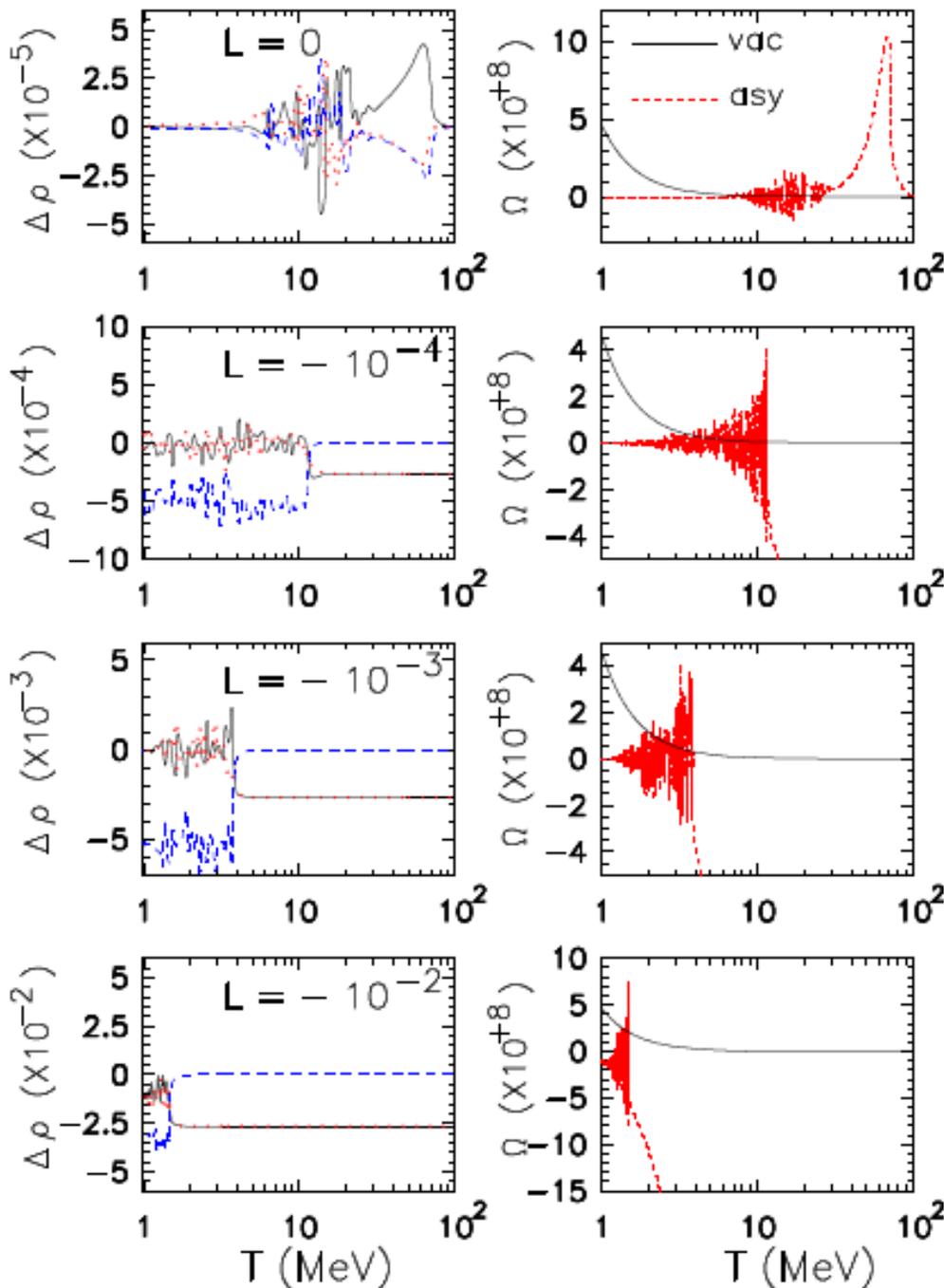


Thank you



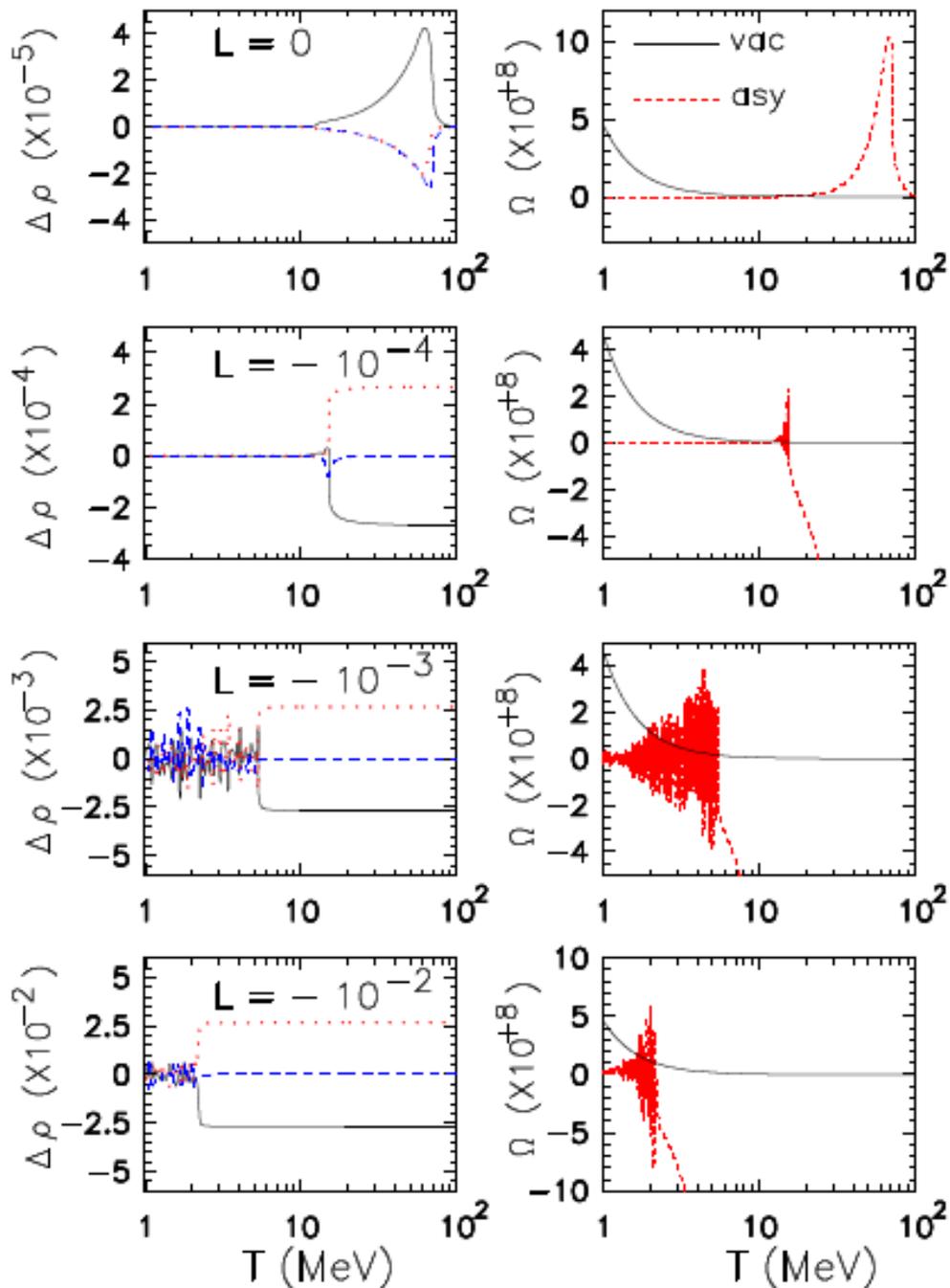
$$\nu_{\mu s} = 0$$





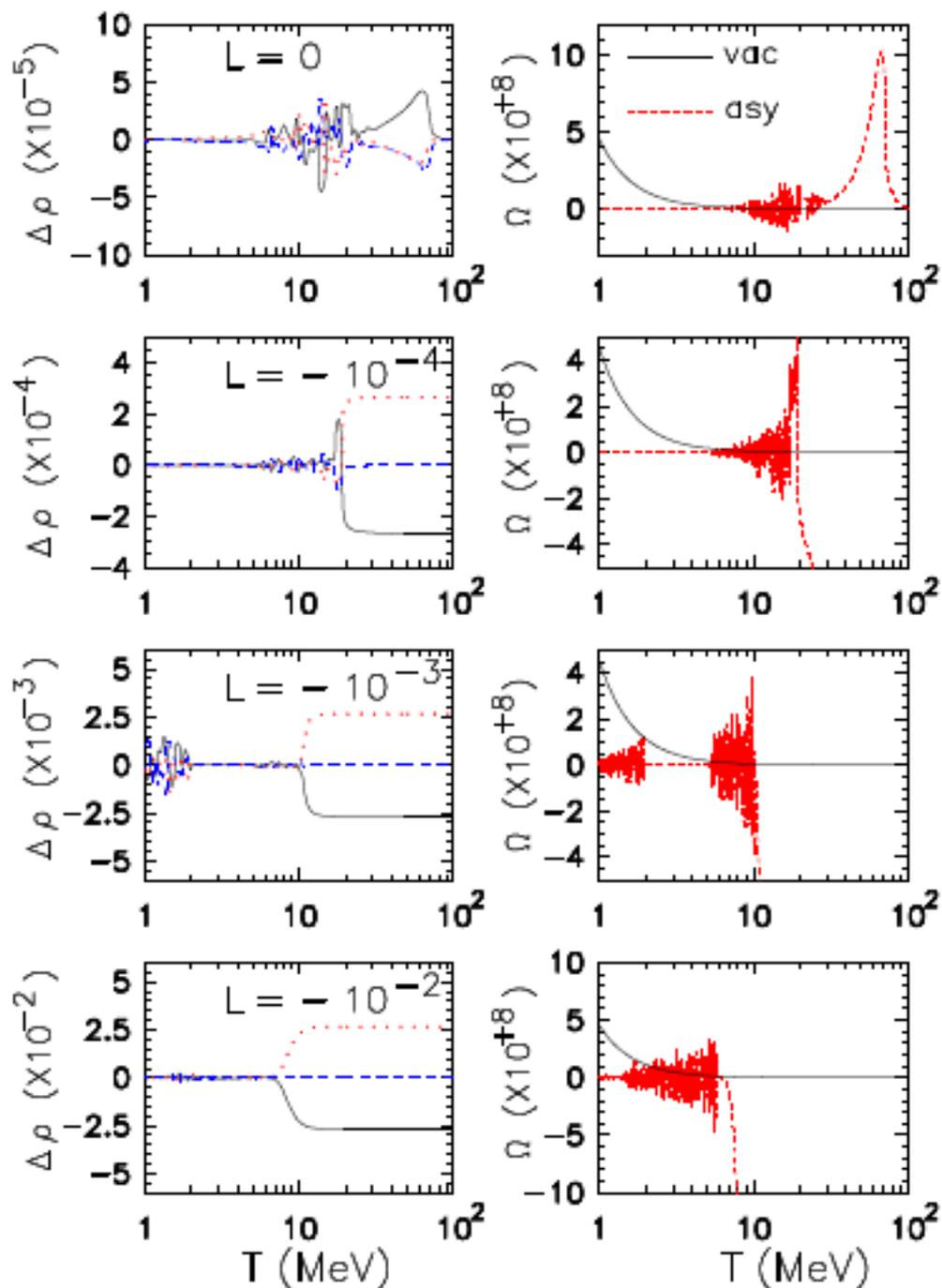
(2+1) case with $L = L_e = L_\mu$

$$\varphi_{\text{CP}} = \pi/2$$



(2+1) case with $L = L_e = -L_\mu$

and $\varphi_{\text{CP}} = 0$



(2+1) case with $L = L_e = -L_\mu$

$$\varphi_{\text{CP}} = \pi/2$$