

Axions

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Workstattseminar

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Plan:

- 1) Theta-term in QCD
- 2) Strong CP violation
 - $d_n(\bar{\theta})$
- 3) Solutions of strong CP puzzle
- 4) Axions from UV completions
- 5) Axion Cold Dark Matter

1) Theta-term in QCD

Most general gauge invariant
Lagrangian of QCD up to
dim 4 operators:

$$\mathcal{L} = -\frac{1}{4} G_{\mu\nu}^a G^{a,\mu\nu} \\ + \bar{q} (i \not{D} - M) q \\ + \theta \frac{\alpha_s}{8\pi} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu}$$

Theta-term

$$(\tilde{G}_{\mu\nu} = \frac{1}{2} \epsilon_{\mu\nu\lambda\rho} G^{\lambda\rho})$$

Theta-term

- total derivative:

$$G \tilde{G} = \partial_\mu K^\mu$$

↑
Chern-Simons
current

→ does not contribute in
perturbation theory

- K^μ not invariant under
"large", topol. non-trivial

gauge transformations
 $\rightarrow$ Θ angular pw., $-\pi \leq \Theta \leq \pi$
 $\rightarrow$ plays role
 non-perturbatively
 $\rightarrow$ Θ belongs to the
 fundamental parameters
 of QCD, on similar
 footing as α_s and
 the quark masses m_u ,
 $m_d, \dots$, which have to

be determined experimentally.

- In fact, the actual
physical parameter is

$$\bar{\Theta} = \Theta + \omega_j \det M_q$$

$$\mathcal{L}_{\text{QCD}} = \sum_q \bar{\psi}_q (iD - \underbrace{m_q}_{\text{Real quark mass}} e^{i\theta_q} \underbrace{}_{\text{Phase from Yukawa coupling}}) \psi_q - \frac{1}{4} G_{\mu\nu a} G_a^{\mu\nu} - \underbrace{\Theta}_{\text{Angle variable}} \frac{\alpha_s}{8\pi} \underbrace{G_{\mu\nu a} \tilde{G}_a^{\mu\nu}}_{\text{CP-odd quantity} \sim \mathbf{E} \cdot \mathbf{B}}$$

Remove phase of mass term by chiral transformation of quark fields

$$\psi_q \rightarrow e^{-i\gamma_5 \theta_q / 2} \psi_q$$

$$\mathcal{L}_{\text{QCD}} = \sum_q \bar{\psi}_q (iD - m_q) \psi_q - \frac{1}{4} GG - \underbrace{(\Theta - \arg \det M_q)}_{-\pi \leq \bar{\Theta} \leq +\pi} \frac{\alpha_s}{8\pi} G\tilde{G}$$

❖ $\bar{\Theta}$ can be traded between quark phases and $G\tilde{G}$ term

❖ No physical impact if at least one $m_q = 0$

$\bar{\Theta}$ unphysical if at least one $m_q = 0$

- The chiral anomaly

$$\partial_\mu (\bar{q} \gamma_\mu \gamma_5 q)$$

$$= n_f \frac{\alpha_s}{8\pi} \underline{G \tilde{G}} + 2i \left[\underline{\bar{q}_R U q_L} - \text{h.c.} \right]$$

allows to shuffle contributions between $G \tilde{G}$ $\leftrightarrow$ imaginary quark masses

2) Determination of $\bar{\theta}$

- Theta-term ($G \tilde{G} \sim E \cdot B$)
violates P and CP

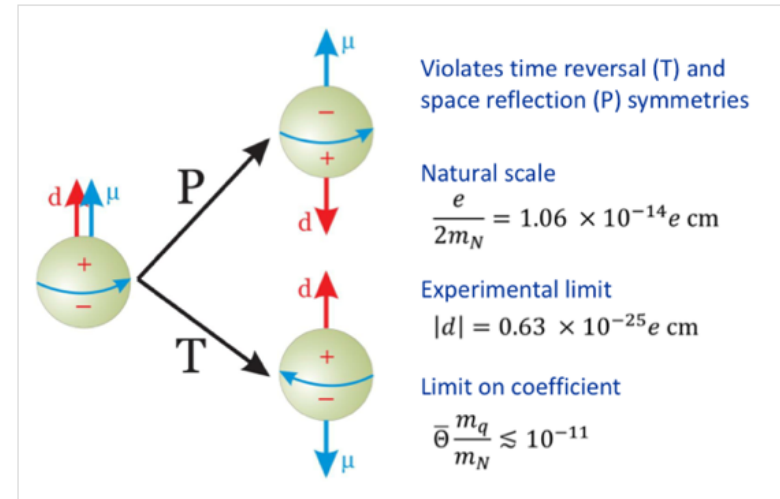
$\rightarrow$ leads to CP violation in flavour conserving interactions in contrast to CKM phase which leads to CP violation in flavour changing interactions

- Electric dipole moment (EDM) of neutron:

very sensitive probe of CP violation in flavour-conserving interactions

- Neutral non-rel. particle placed in E and B field described by

$$H = -\underbrace{\mu}_{\text{MDM}} \vec{B} \cdot \underbrace{\vec{S}}_S - d \underbrace{\vec{E}}_{\text{EDM}} \cdot \vec{S}$$



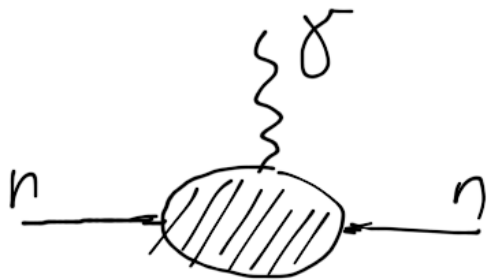
- Nonzero $d_n \rightarrow$ both ~~P~~ and ~~T~~
- Conclusion about CP relies on validity of CPT

- Operator definition:

$$H_{T,P-odd} = -d_n \vec{E} \cdot \vec{S}_n$$

$\Rightarrow$

$$\mathcal{L} = -d_n \frac{i}{2} \bar{\psi}_n \sigma^{\mu\nu} \gamma_5 \psi_n F_{\mu\nu}$$



- Calculations of $d_n(\bar{\theta})$:

• educated guess:

$$d_n(\bar{\theta}) \sim e \bar{\theta} \frac{m_*}{m_n^2} \sim \bar{\theta} (6 \times 10^{-17})_{\text{eom}}$$

with reduced quark mass

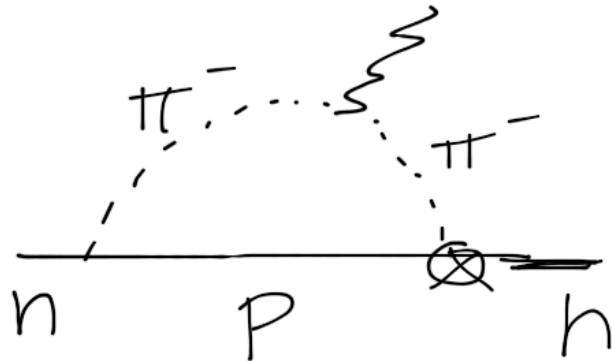
$$m_x = \frac{m_u m_d}{m_u + m_d}$$

- Chiral estimate:

$$d_n(\bar{\theta}) = \frac{e \bar{\theta} m_*}{f_\pi^2} \times$$

[Grewther, di Vecchia, Veneziano, Witten '75]

$$\left(\frac{0.9}{4\pi^2} \ln \left(\frac{\Lambda}{m_\pi} \right) + \mathcal{C} \right)$$



- Sum rules

$$d_n(\bar{\theta}) = 1.2 \pm 0.5 \times 10^{-16} \bar{\theta} \text{ e cm}$$

[Pospelov, Ritz 00]

update:

[Hisano et al. 12]

$$d_n(\bar{\theta}) = 4.2 \times 10^{-17} \bar{\theta} \text{ e cm}$$

• CP puzzle:
expectation

$$d_n(\bar{\theta}) \sim 10^{-16} \bar{\theta} \text{ ecm}$$

experimental limit:

$$|d_n| < 2.9 \times 10^{-26} \text{ ecm.}$$

i.e.:

$$|\bar{\theta}| \lesssim 10^{-10}$$

3) Solutions of strong CP puzzle

- $m_u = 0$

inconsistent with
quark mass ratios
inferred from hadron
phenomenology and
lattice

- Engineering $\bar{\theta} \approx 0$:

assume that at high
scales P and CP exact,

spontaneously broken
at $\Lambda_{P(CP)}$

$$\bar{\theta}_{E > \Lambda_{P(CP)}} \equiv 0$$

Model engineering problem
is then to ensure that
corrections below $\Lambda_{P(CP)}$
to $\bar{\theta}$,

$$\bar{\theta}_{E < \Lambda_{P(CP)}} \sim \arg \det (M_u M_d)$$

are small while still
allowing for $O(1)$ CKM phase,

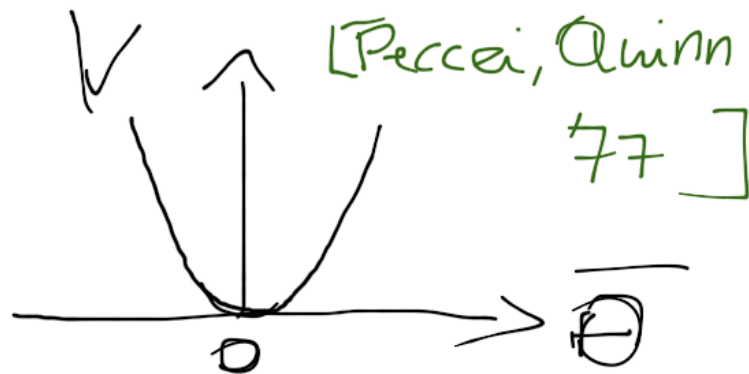
$$\theta_{CKM} \sim \arg \det [M_u M_u^\dagger, M_d M_d^\dagger]$$

Models of this kind:

R. N. Mohapatra and G. Senjanovic, "Natural Suppression Of Strong P And T Non-invariance," Phys. Lett. **B79**, 283 (1978).
A. Nelson, "Naturally Weak CP Violation," Phys. Lett. **136B** (1984) 387.
S. M. Barr, "Solving The Strong CP Problem Without The Peccei-Quinn Symmetry," Phys. Rev. Lett. **53** (1984) 329.
G. Hiller and M. Schmalz, "Solving The Strong CP Problem With Supersymmetry," hep-ph/0105254.
K. S. Babu, B. Dutta and R. N. Mohapatra, "Solving the strong CP and the SUSY phase problems with parity symmetry," Phys. Rev. D **65**, 016005 (2002).

- Dynamical Relaxation

- If $\bar{\theta}$ were a field $\bar{\theta}(x)$ rather than a parameter, then QCD dynamics would lead to $\langle \bar{\theta} \rangle = 0$.



- Effective potential of $\bar{\theta}$ can be obtained from chiral Lagrangian
- Eliminate $\bar{\theta} G \tilde{G}$ in favor of phase of e.g. up quark mass, $m_u \rightarrow m_u e^{i\bar{\theta}}$
- The low energy dynamics of this theory is described by the chiral Lagrangian:

$$\mathcal{L} = \frac{f_\pi^2}{4} \text{tr} [\partial_\mu U \partial^\mu U^{-1}] + \frac{f_\pi^2}{2} \partial_\mu \bar{\Theta} \partial^\mu \bar{\Theta} + \frac{f_\pi^2}{2} \mu \text{tr} [M U + \bar{M} U^{-1}]$$

with $U = \exp \left[2i \frac{\pi}{f_\pi} \right] \in SU(2)_F$

$$\mu = \frac{m_\pi^2}{m_u + m_d}$$

$$M = \begin{pmatrix} m_u e^{i\bar{\Theta}} & 0 \\ 0 & m_d \end{pmatrix}$$

$$V(\bar{\Theta}) =$$

$$\min_{\substack{U \\ \text{for fixed } \bar{\Theta}}} \left[\frac{f_\pi^2}{2} \text{tr} [M U + \bar{M} U^{-1}] \right]$$

$$= \frac{f_\pi^2 m_\pi^2}{2} \frac{m_u m_d}{(m_u + m_d)^2} \bar{\Theta}^{-2} + \mathcal{O}(\bar{\Theta}^4)$$

$$\Rightarrow \text{minimum at } \bar{\Theta} = 0$$

- $\Rightarrow$
- Dynamical $\bar{\theta}(x) \equiv \frac{\bar{a}(x)}{f_a}$
wipes out strong problem;

$$\langle \bar{\theta} \rangle = \frac{\langle \bar{a} \rangle}{f_a} = 0$$

- How to realize a dynamical $\bar{\theta}$ parameter?

Add to SM a boson with
satisfying shift symmetry
 $a(x) \rightarrow a(x) + \text{const.}$

Which is only violated by
axionic coupling to gluons,

$$\mathcal{L} = \frac{1}{2} \partial_\mu a \partial^\mu a + \frac{a}{f_a} \frac{\alpha_s}{8\pi} G \tilde{G}$$

Then the constant $\bar{\theta}$
can be eliminated by

$$\bar{\theta} + \frac{a(x)}{f_a} \equiv \frac{\bar{a}(x)}{f_a} \equiv \bar{\theta}_{\text{eff}}(x)$$

and the QCD dynamics
leads to $\langle \bar{a} \rangle = 0$, as
demonstrated before.

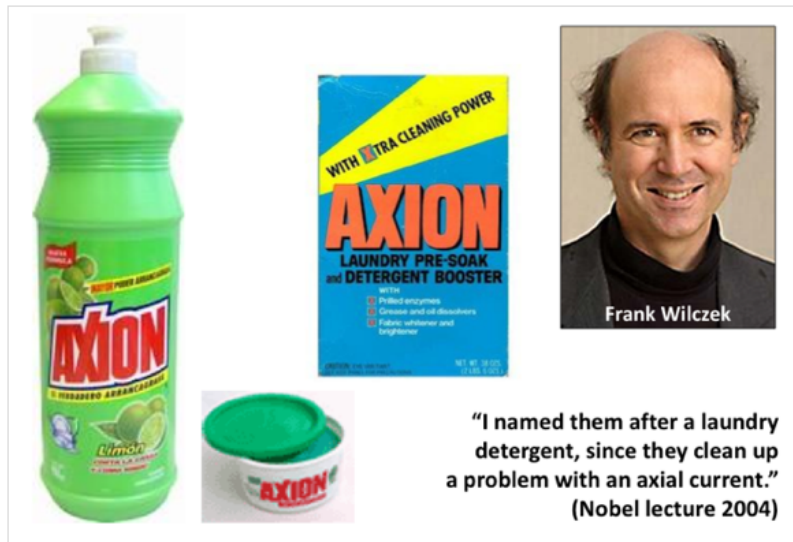
$a(x)$... axion field
 name of detergent in US

[Wilczek 78]

- Plan of elementary particle excitation around $\langle \bar{a} \rangle = 0$, the axion, can be read off the quadratic term in V .

$$m_a = \frac{m_\pi f_\pi}{f_a} \frac{\sqrt{m_u m_d}}{(m_u + m_d)}$$

[Weinberg 78]



Axion Properties		
Gluon coupling (generic)	$L_{aG} = \frac{\alpha_s}{8\pi f_a} G\tilde{G}a$	
Mass (generic)	$m_a = \frac{\sqrt{m_u m_d}}{m_u + m_d} \frac{m_\pi}{f_\pi f_a} \approx \frac{6 \mu\text{eV}}{f_a / 10^{12} \text{ GeV}}$	
Photon coupling	$L_{a\gamma} = -\frac{g_{a\gamma}}{4} F\tilde{F}a = g_{a\gamma} \mathbf{E} \cdot \mathbf{B} a$ $g_{a\gamma} = \frac{\alpha}{2\pi f_a} \left(\frac{E}{N} - 1.92 \right)$	
Pion coupling	$L_{a\pi} = \frac{C_{a\pi}}{f_\pi f_a} (\pi^0 \pi^+ \partial_\mu \pi^- + \dots) \partial^\mu a$	
Nucleon coupling (axial vector)	$L_{aN} = \frac{C_N}{2f_a} \bar{\Psi}_N \gamma^\mu \gamma_5 \Psi_N \partial_\mu a$	
Electron coupling (optional)	$L_{ae} = \frac{C_e}{2f_a} \bar{\Psi}_e \gamma^\mu \gamma_5 \Psi_e \partial_\mu a$	

Constraints from astrophysics:

$$f_a \gtrsim 10^{8-9} \text{ GeV}$$

4) Axion from
UV completions
 - Axion from new Higgs fields.
 Postulate new global

U(1) symmetry, which
 is spontaneously broken
 at scale f_a through
 vev of a Higgs field

$$\phi = \frac{f_a + \rho(x)}{\sqrt{2}} e^{i \frac{a(x)}{f_a}}$$

Engineer that Nambu-Goldstone field $a(x)$ has anomalous coupling $\sim a G \tilde{G}$ arising from triangle graph $\rightarrow a$ is axion.

- [9] J. E. Kim, Weak Interaction Singlet and Strong CP Invariance, Phys. Rev. Lett. 43 (1979) 103.
- [10] M. Dine, W. Fischler, M. Srednicki, A Simple Solution to the Strong CP Problem with a Harmless Axion, Phys. Lett. B 104 (1981) 199.
- [11] M. A. Shifman, A. I. Vainshtein, V. I. Zakharov, Can Confinement Ensure Natural CP Invariance of Strong Interactions?, Nucl. Phys. B 166 (1980) 493.
- [12] A. R. Zhitnitsky, On Possible Suppression of the Axion Hadron Interactions, (In Russian), Sov. J. Nucl. Phys. 31 (1980) 260 [Yad. Fiz. 31 (1980) 497].

Simplest Invisible Axion: KSVZ Model

Ingredients: Scalar field Φ , breaks $U(1)_{PQ}$ spontaneously
 Very heavy colored quark with coupling to Φ , provides $a G \tilde{G}$ term

$$\mathcal{L}_{KSVZ} = \left(\frac{i}{2} \bar{\Psi} \partial_\mu \gamma^\mu \Psi + \text{h.c.} \right) + \partial_\mu \Phi^\dagger \partial^\mu \Phi - V(|\Phi|) - h(\bar{\Psi}_L \Psi_R \Phi + \text{h.c.})$$

Invariant under chiral phase transformations (Peccei Quinn symmetry)
 $\Phi \rightarrow e^{i\alpha} \Phi, \quad \Psi_L \rightarrow e^{i\alpha/2} \Psi_L, \quad \Psi_R \rightarrow e^{-i\alpha/2} \Psi_R$

Mexican hat potential $V(|\Phi|)$, expand fields as

$$\Phi(x) = \frac{f_a + \rho(x)}{\sqrt{2}} e^{ia(x)/f_a}$$

Low-energy Lagrangian

$$\mathcal{L}_{KSVZ} = \left(\frac{i}{2} \bar{\Psi} \partial_\mu \gamma^\mu \Psi + \text{h.c.} \right) + \frac{1}{2} (\partial_\mu a)^2 - m \bar{\Psi} e^{\frac{iy_s a}{f_a}} \Psi, \quad \text{where } m = h f_a / \sqrt{2}$$

Lowest-order interaction term induces $a G \tilde{G}$ term

$$\mathcal{L}_{aG} = -\frac{\alpha_s}{8\pi} \frac{a}{f_a} G \tilde{G}$$

Couples axion to QCD sector



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ISIAPP, Heidelberg, 15 July 2011

Kim

Shifman, Vainshtein, Zakharov

- Axions from String theory:

- 4D low energy EFT predicts natural candidates for axion
- axions and ALPs arise as KK zero modes of anti-symmetric form fields bel. to massless spectrum of bosonic string
- axionic coupling to gauge fields $\propto G\tilde{G}, \propto F\tilde{F}, \dots$, also predicted from dimensional reduction of higher dimensional action to four dimensions.

- Often an axiverse ($\# \text{axions} \sim \# \text{cycles}$): axion + many axion-like particles (ALPs):

$$\mathcal{L} \supset \frac{1}{2} \partial_\mu a_i \partial^\mu a_i - \frac{\alpha_s}{8\pi} \left(\bar{\theta} + C_{ig} \frac{a_i}{f_{a_i}} \right) G_{\mu\nu}^b \tilde{G}^{b,\mu\nu} - \frac{\alpha}{8\pi} C_{i\gamma} \frac{a_i}{f_{a_i}} F_{\mu\nu} \tilde{F}^{\mu\nu} + \sum_\Psi \left[\bar{\Psi} \gamma^\mu \frac{1}{2} (\tilde{X}_{\psi_R}^i + \tilde{X}_{\psi_L}^i) \gamma_5 \Psi + \bar{\Psi} \gamma^\mu \frac{1}{2} (\tilde{X}_{\psi_R}^i - \tilde{X}_{\psi_L}^i) \Psi \right] \frac{\partial_\mu a_i}{f_{a_i}},$$

- $f_a \sim f_{a_i} \sim 10^{9 \div 16} \text{ GeV}$

Review:

AR, 1209.2299

5) Axion Cold Dark Matter ($f_a \gtrsim 10^9 \text{ GeV}$)

- For $f_a > T_{RH}$,

axion produced non-thermally
via vacuum realignment

Mechanism: Preskill et al
Dine, Fischler
Abbott, Sikivie] 83

$$\ddot{a} + 3H(\tau)\dot{a} - m_a^2 a = 0$$

- At early times, where

$$H(\tau) \gtrsim m_a(\tau), \text{ i.e.}$$

$T_{RH} > T \gtrsim 1 \text{ GeV}$, axion field
sits fixed at $a_i = \theta_i f_a$

- At late time, when
 $m_a(\tau) \gtrsim 3H(\tau)$, axion
field stops quickly
oscillating $\hat{=}$ coherent
State of non-relativistic
particles $\hat{=}$ Cold Dark
Matter

Modern values for QCD parameters and temperature-dependent axion mass
imply (Bae, Huh & Kim, arXiv:0806.0497)

$$\Omega_a h^2 = 0.195 \theta_i^2 \left(\frac{f_a}{10^{12} \text{ GeV}} \right)^{1.184} = 0.105 \theta_i^2 \left(\frac{10 \mu\text{eV}}{m_a} \right)^{1.184}$$

- $\theta_i \sim 1$ implies $f_a \sim 10^{12} \text{ GeV}$ and $m_a \sim 10 \mu\text{eV}$
("classic window")
- $f_a \sim 10^{16} \text{ GeV}$ (GUT scale) or larger (string inspired) requires $\theta_i \lesssim 0.003$
("anthropic window")

• For $T_{RH} > f_a$:

axions produced nonthermally
via: - vacuum realignment
- string decay
- domain wall decay

$$\frac{\Omega_{a,VR}}{\Omega_{obs}} \sim \left(\frac{40\mu\text{eV}}{m_a}\right)^{1.184}$$

$$\frac{\Omega_{a,DW+ST}}{\Omega_{obs}} \begin{cases} \sim \left(\frac{40\mu\text{eV}}{m_a}\right)^{1.184} & \text{Sikivie, Harari et al.} \\ \sim \left(\frac{400\mu\text{eV}}{m_a}\right)^{1.184} & \text{Shellard, Davis et al.} \\ & \text{Kawasaki, Hiramatsu et al.} \end{cases}$$

Axion can be dominant part
of CDM for
 $10\mu\text{eV} \leq m_a \lesssim \text{meV}$

Literature:

- Review on $d_n(\bar{\theta})$:

Pospelov, Ritz, Ann. Phys.
 318 (2005) 119 [hep-ph/0504321]

- Review on axion and ALPs:

AR, 1210.5081