

# Scales of supersymmetry breaking and flavour theories

The new LHC lower bounds on the superpartner masses , stronger for the first two generations of squarks than for the third one,

revive the interest in the flavour dependent sfermion spectrum.

Bounds on the gluino mass  $\sim 1.5$  TeV

Model dependent bounds but lets take them at face value,

Suppose there is some truth in the naturalness arguments and the data will confirm flavour dependent squark spectrum, with the inverted hierarchy pattern.

An obvious interesting question: is the fermion and sfermion flavour dependence linked to each other?

One is tempted to expect that both can be explained by a theory of flavour

(NO MUST, OF COURSE...)

Do „good” fermion mass models hint to inverted hierarchy for sfermions?

SEVERAL SCALES IN SFERMION MASSES?

**Theories of fermion masses** are based on

- horizontal (family) symmetries and Froggatt-Nielsen mechanism
- fermion wave function renormalisation effects, or equivalently overlap of localised fermion wave functions in extra dimension

LET'S FOCUS ON THE FIRST CATEGORY

EARLY PAPERS ON SIMILAR AND RELATED QUESTIONS:

WITH FLAVOUR  $U(2)$  GLOBAL SYMMETRY

**3<sup>rd</sup> generation of sfermions can be light, 1<sup>st</sup> and 2<sup>nd</sup> can be heavier, to reconcile naturalness with FCNC constraints**

Dine, Leigh, Kagan '93

Pomarol, Tommasini '95

Barbieri, Davali, Hall '96

Barbieri, Hall, Romanino '97

ROOM FOR THE SPLITTING BUT IT'S NOT PREDICTED;  
ONE MASS SCALE

WITH ANOMALOUS U(1) (GAUGED) FLAVOUR SYMMETRY

**Fermion mass model-motivated „inverted hierarchy” of sfermion spectrum (follows from fermion hierarchy)**

Dudas, SP, Savoy ' 95

Dudas, Grojean, SP, Savoy ' 96

Nelson, Wright ' 97

Chankowski, Lavignac, SP ' 05 – FCNC phenomenology

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**F-TERM AND D-TERM CONTRIBUTION TO SFERMION MASSES;  
TWO SCALES IF D-TERM SUPERSYMMETRY BREAKING**

## A CLOSER LOOK AT THE TWO CLASSES OF MODELS

- HOW WELL THE TWO CLASSES OF MODELS DO FOR THE FERMION MASSES AND MIXING?
- WHAT ABOUT THE SFERMION SECTOR AND THE FCNC CONSTRAINTS?



# U(2): very predictive; 2 parameters

[19] R. Barbieri, L. Hall and A. Romanino, Phys. Lett. **B401**, 47 (1997)

[22] R. Barbieri, L. Hall and A. Romanino, Nucl. Phys. **B551**, 93 (1999).

[23] R. Barbieri, G. Dvali and L. Hall, Phys. Lett. **B377**, 76 (1996).

$$\cdot \begin{pmatrix} 0 & \epsilon' & 0 \\ \epsilon' & \epsilon & O(\epsilon) \\ 0 & O(\epsilon) & 1 \end{pmatrix}$$

$$\begin{aligned} |V_{us}| &= \left| \sqrt{\frac{m_d}{m_s}} - e^{i\phi} \sqrt{\frac{m_u}{m_c}} \right| \\ \left| \frac{V_{ub}}{V_{cb}} \right| &= \sqrt{\frac{m_u}{m_c}} \quad ? \\ \left| \frac{V_{td}}{V_{ts}} \right| &= \sqrt{\frac{m_d}{m_s}} \end{aligned}$$

(FOR UP, DOWN AND LEPTONS)  
LEFT-RIGHT SYMMETRIC YUKAWAS;

$$Y_{i2} \ll Y_{i3}, i = 2, 3$$

ALL ROTATION ANGLES ARE SMALL

$$\sqrt{m_d/m_s} = 0.22 \pm 0.02$$

$$\sqrt{m_u/m_c} = 0.046 \pm 0.008,$$

$$|V_{us}| = 0.2253 \pm 0.0007$$

$$|V_{ub}/V_{cb}| = 0.085 \pm 0.004$$

$$|V_{td}/V_{ts}| = 0.22$$

U(1) MODELS: LESS PREDICTIVE, MORE PARAMETERS,  
BUT DESCRIBE THE FERMION DATA BETTER

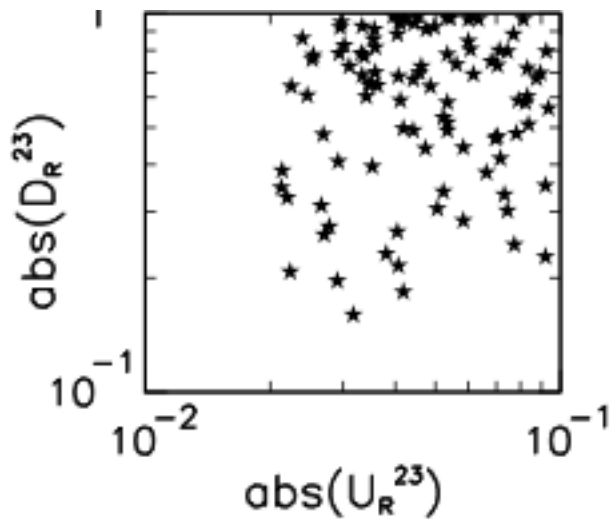
$$q_{L\ 1,2,3} : (3, 2, 0)$$

$$d_{1,2,3}^c : (1, 0, 0)$$

$$u_{1,2,3}^c : (3, 2, 0)$$

$$Y_D = \begin{pmatrix} \epsilon^4 & \epsilon^3 & \epsilon^3 \\ \epsilon^3 & \epsilon^2 & \epsilon^2 \\ \epsilon & 1 & 1 \end{pmatrix}$$

THE DATA DONT LIKE THE HIERARCHY  $Y_{32} \ll Y_{33}$



THE DOWN QUARK YUKAWA  
MATRIX EXHIBITS INTERESTING  
CORRELATION:  
A MATRIX THAT GIVES GOOD FIT TO  
DATA NEEDS LARGE RIGHT-HANDED  
ROTATION ELEMENT  $V_{32}$

FOR  $0.07 < V_{ub}/V_{cb} < 0.1$

EXP: 0.085 (0.004)

A SOLUTION TO THE

$$|V_{ub}/V_{cb}|$$

PROBLEM

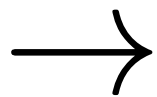
$$\begin{pmatrix} 0 & \epsilon' & 0 \\ \epsilon' & 0 & O(\epsilon) \\ 0 & O(1) & 1 \end{pmatrix}$$

- [21] R. Roberts, A. Romanino, G. Ross, L. Velasco-Sevilla, hep-ph/0104088.

# COMPARISON OF THE TWO CLASSES OF MODELS IN THE SFERMION SECTOR AND FOR THE FCNC

## U(2)

- ALMOST DEGENERATE 1&2 GENERATION SQUARKS
- DIAGONALISATION OF YUKAWA MATRICES WITH ALL ROTATIONS SMALL
- ONE SCALE  $m_1^2 - m_3^3 \approx m_3^2$
- **GLUINO HEAVIER THAN 1.5 TeV !**



VERY WEAK BOUNDS FOR SQUARK MASSES

**OVERALL CONCLUSION: TENSION FOR FERMIONS;  
FINE FOR FCNC WITH LOW SINGLE MASS SCALE**

U(1)

$$\left(\tilde{m}^2\right)^{AB} = c^{AB} m_F^2 \left. \begin{array}{l} |q_A - q_B| \\ + q_A m_D^2 \end{array} \right\} \int_{AB}$$

where  $q_A$  is fixed by fermion masses and

$$m_F^2 \sim m_D^2 \quad \text{or (better)} \quad m_F^2 < m_D^2$$

generated by soft mass  
of  $\tilde{\chi}^-$

~~D~~ - term easy  
breaking



## U(1)

- PREDICT INVERTED HIERARCHY
- LARGE OFF-DIAGONAL (1,2) MATRIX ELEMENTS OF THE SFERMION MASS MATRIX IN THE SCKM BASIS BECAUSE OF THE DIFFERENT U(1) CHARGES OF THE FIRST TWO GENERATIONS
- NEEDS LARGE HIERARCHY,  $m_D/m_F$  TO SATISFY FCNC CONSTRAINTS AND TO KEEP THE 3rd FAMILY LIGHT
- FIRST TWO GENERATIONS  $\sim 50$  TeV!

**OVERALL CONCLUSION: U(1) GOOD FOR THE FERMION SECTOR BUT NOT SATISFACTORY FOR SFERMIONS**

## MINIMAL SET-UP COMBINING THE VIRTUES OF BOTH

$$SU(2)_{global} \times U(1)_{gauged} (\times SU(5)_{GUT})$$

DUDAS, von GERSDORFF, SP, ZIEGLER, TO APPEAR

(see ROBERT's TALK)

SEVERAL INTERESTING FEATURES:

FERMION SECTOR- VERY GOOD DESCRIPTION; CORRECTION TO

$$V_{ub}/V_{cb}$$

CORRELATED WITH LARGE R-HANDED ROTATION

$$V_{32}^d$$

SFERMION SECTOR AND FCNC CONSTRAINTS-  
ANY HINT FOR TWO SCALES?

$$\tilde{m}_{10}^2 = \tilde{m}_D^2 \begin{pmatrix} X_{10} & 0 & 0 \\ 0 & X_{10} & 0 \\ 0 & 0 & 0 \end{pmatrix} + \tilde{m}_F^2 \begin{pmatrix} c_{11}^I & 0 & 0 \\ 0 & c_{11}^I + c_{22}^I \epsilon_\phi^2 & c_{12}^I \epsilon_\phi \epsilon_u \\ 0 & c_{12}^{I*} \epsilon_\phi \epsilon_u & c_{33}^I \end{pmatrix}_{I=q,u,e},$$

$$\tilde{m}_{\bar{5}}^2 = \tilde{m}_D^2 \begin{pmatrix} X_{\bar{5}} & 0 & 0 \\ 0 & X_{\bar{5}} & 0 \\ 0 & 0 & X_3 \end{pmatrix} + \tilde{m}_F^2 \begin{pmatrix} c_{11}^I & 0 & 0 \\ 0 & c_{11}^I + c_{22}^I \epsilon_\phi^2 & c_{12}^I \epsilon_\phi \epsilon_d / \epsilon_3 \\ 0 & c_{12}^{I*} \epsilon_\phi \epsilon_d / \epsilon_3 & c_{33}^I \end{pmatrix}_{I=d,l},$$

APPROXIMATELY DIAGONAL

TAKE THE BOUNDS FROM

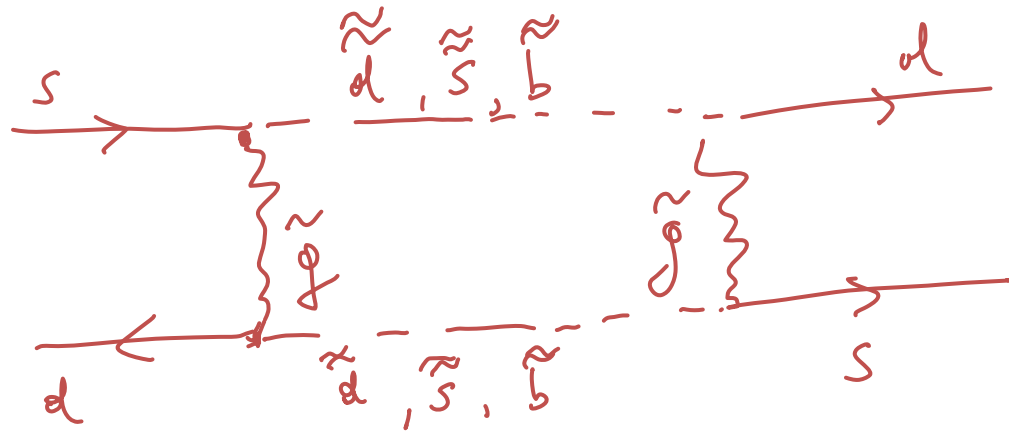
$\epsilon_K$

$$Q_{LL} = C_{LL} \bar{d}_L^\alpha \gamma^\mu s_L^\alpha \bar{d}_L^\beta \gamma_\mu s_L^\beta$$

LL, RR and LLRR OPERATORS

# More about basis

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$\tilde{d}$   
d-mass  
eigenstate

in the squark mass eigenstate basis,

IN THE APPROXIMATION OF DIAGONAL SFERMION MASS MATRICES  
IN THE ORIGINAL BASIS, THE COUPLINGS ARE GIVEN BY THE QUARK  
ROTATION MATRICES

## WILSON COEFFICIENTS C

$$\begin{aligned} & (\sum_i Z_{id} Z_{is}^*) (\sum_i Z_{jd} Z_{js}^*) I(m_i^2, m_j^2) \\ = & (Z_{3d} Z_{3s}^*)^2 [I(m_1^2, m_1^2) - 2I(m_1^2, m_3^2) + I(m_3^2, m_3^2)] \end{aligned}$$

IN THE APPROXIMATION OF DIAGONAL SFERMION MASS MATRICES

$$Z_{3d} Z_{3s}^* = V_{31}^d V_{32}^{*d}$$

MANIFEST SUPERSYMMETRIC GIM (e.g. LALAK, SP, ROSS)

FCNC EFFECT in the (1,2) SECTOR DEPENDS ON THE

$$\tilde{m}_d = \tilde{m}_s \quad \text{AND} \quad \tilde{m}_b \quad \text{SPLITTING}$$



THE SPLITTING IS FIXED BY THE CHARGES AND ORDER  
ONE COEFFICIENTS OF  $m_F$  CONTRIBUTION

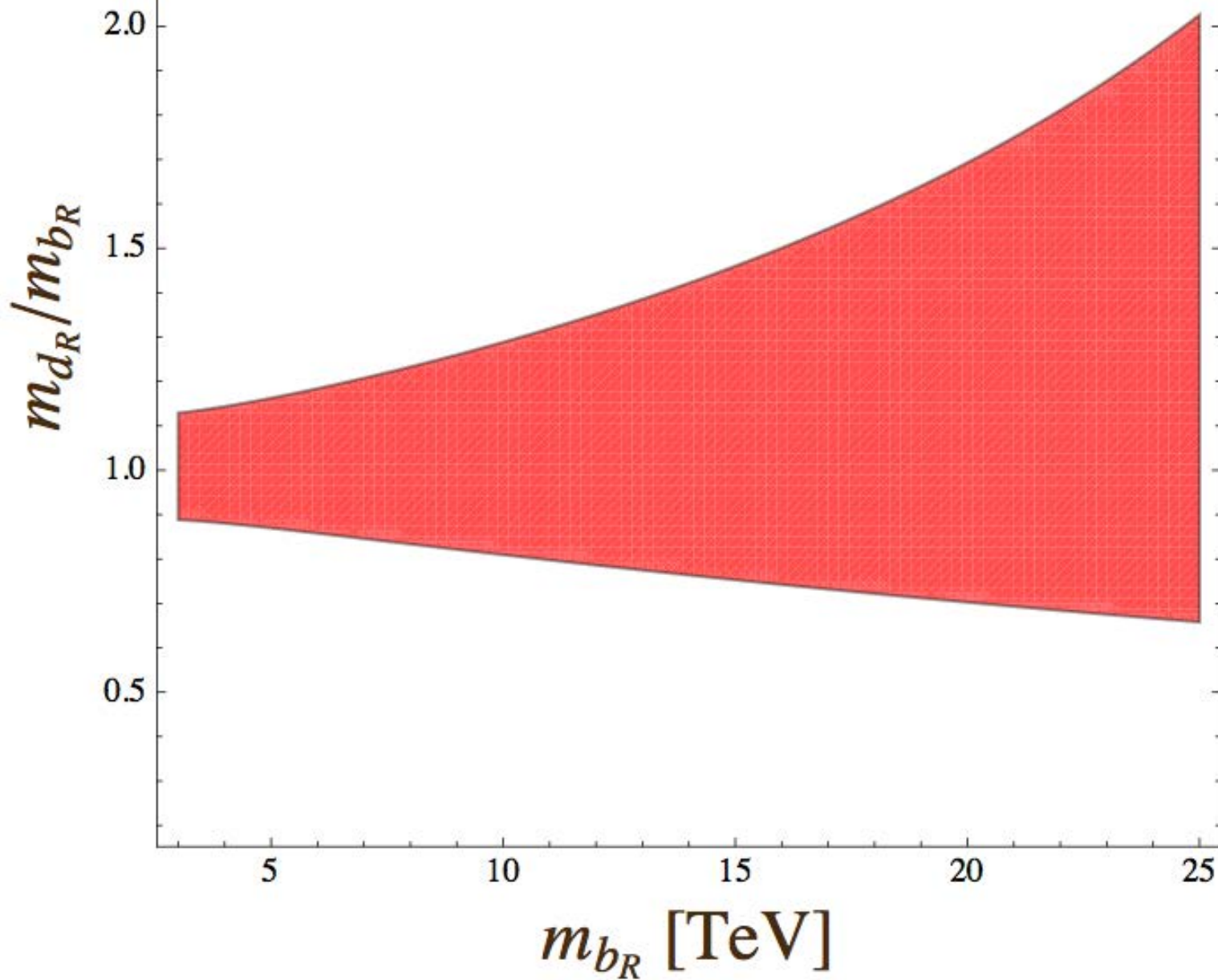
FOR 1.5 TeV GLUINO, NO BOUNDS FROM LL  
SECTOR BECAUSE LEFT HANDED ROTATIONS ARE  
SMALL

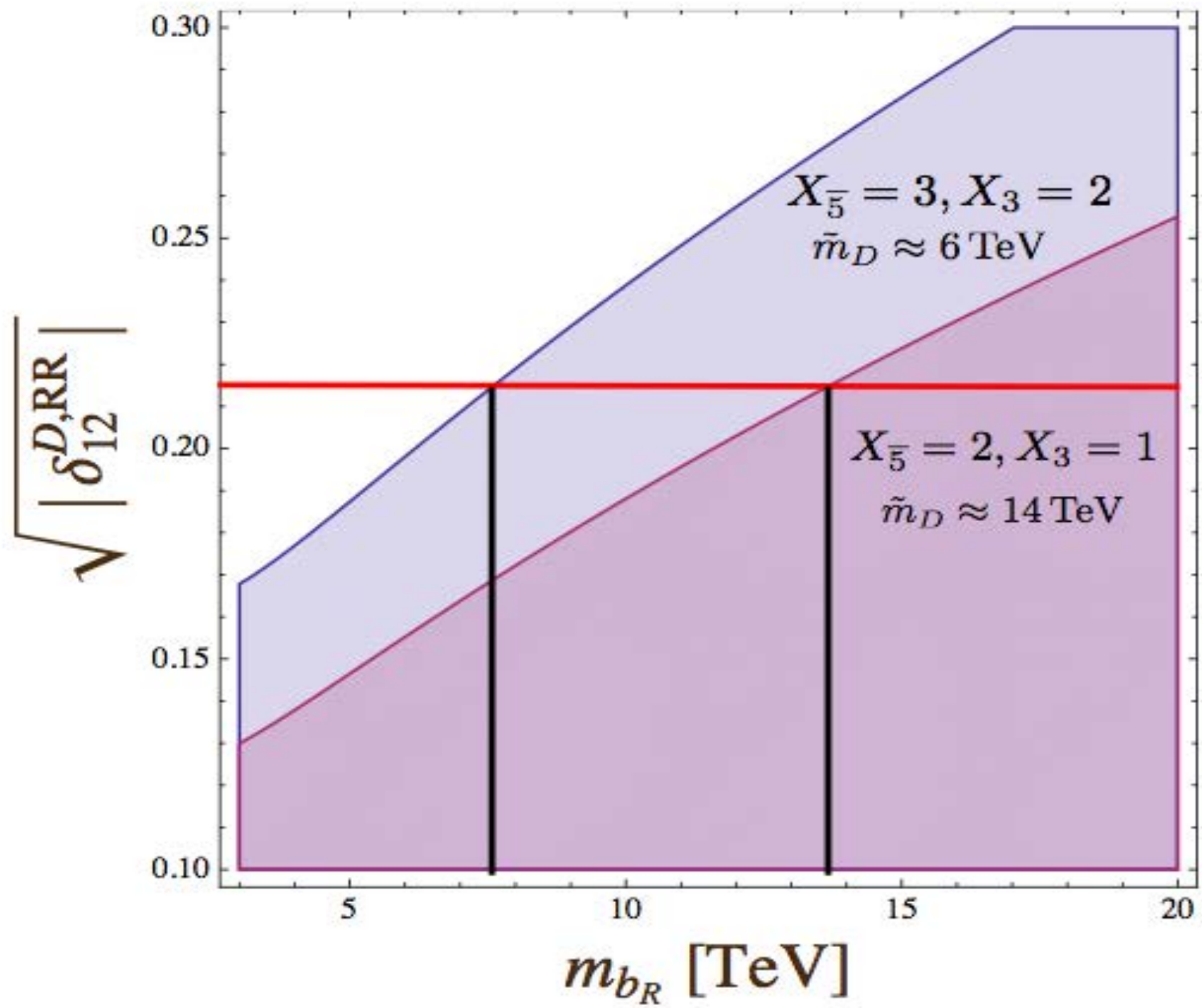
Stop can be light,

INTERESTING BOUNDS FROM RR SECTOR BECAUSE OF  
LARGE R-HANDED ROTATIONS NEEDED FOR A GOOD FIT  
IN THE FERMION SECTOR

NEED FOR TWO SCALES, IF we want to have light stop

$$m_{gluino} = 1.5 TeV$$





## SUMMARY

IN A LARGE CLASS OF MODELS:

- **FERMION SECTOR REQUIRES DOWN QUARK YUKAWA MATRICES THAT NEED LARGE R-HANDED ROTATIONS FOR THEIR DIAGONALISATION IN THE (2,3) SECTOR**
- **THIS DOES IMPLY A TWO SCALE PATTERN FOR SQUARK MASSES, PROVIDED THE STOPS ARE TO REMAIN LIGHT FOR NATURALNESS; THE RIGHT HANDED SBOTTOM HAS TO BE HEAVY**
- **SU(2)xU(1) FLAVOUR SYMMETRY COMBINES THE VIRTUES OF U(2) AND U(1) MODELS, AND AVOIDS THEIR DEFECTS**

END

**BACKUP**

VEVS

$$\langle \phi^a \rangle = \epsilon_\phi \Lambda \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\langle \chi \rangle = \epsilon_\chi \Lambda$$

Free parameters  $\epsilon_\phi = \frac{\langle \phi \rangle}{\Lambda}$

$$\epsilon_\chi = \frac{\langle \chi \rangle}{\Lambda}$$

$\Lambda$  - "flavour" scale

$Y_u$  known matrices

$$Y_u \sim \begin{pmatrix} 0 & \epsilon'_u & 0 \\ \epsilon'_u & \epsilon_u^2 & \epsilon_u \\ 0 & \epsilon_u & 1 \end{pmatrix}$$

$$Y_d \sim Y_e \sim \begin{pmatrix} 0 & \epsilon'_u \epsilon_d / \epsilon_u & 0 \\ \epsilon'_u \epsilon_d / \epsilon_u & \epsilon_u \epsilon_d & \epsilon_3 \epsilon_u \\ 0 & \epsilon_d & \epsilon_3 \end{pmatrix}$$



Very good description of the  
fermion masses and mixings,  
with, e.g.

$$G_{\psi} \sim \epsilon_{\phi} \sim 0.2$$

$$X_{10} = 3 \quad X_{\phi} = -2$$

$$X_{\bar{5}} = 3 \quad X_3 = 1$$

$$t_{\beta} = 10$$

Yukawa matrices depend on 4 small parameters and 4 phases (after using the freedom of phase rotations of the quark fields)

$$E_u = E_\phi E_\chi^{X_{10} + X_\phi}, \quad E_d = E_\phi E_\chi^{X_{\bar{3}} + X_\phi}$$

$$E'_u = E_\chi^{2X_{10}}, \quad E_3 = E_\chi^{X_3}$$

In  $U(2)$  model

$$X_{10} = X_{\bar{5}} = -X_{\phi} = 1$$

$$X_3 = 0, \quad \chi^2 \rightarrow \chi$$

# Simple example

Gauged U(1) family symmetry, spontaneously broken by a vev of a single familon field  $\chi$  with U(1) charge -1

Fermion charges (all  $\geq 0$ ):

left-handed doublets

$$Q_L^i : q_i$$

left-handed singlets

$$U_i^C, D_i^C : u_i, d_i$$

Higgs field

$$q_H = 0$$

$$C_u^{AB} \hat{U}_A^c \hat{Q}_B \hat{H}_u \left( \frac{\hat{\Phi}}{M} \right)^{\bar{u}_A + q_B + h_u} .$$

$$Y_u^{AB} = C_u^{AB} \epsilon^{\bar{u}_A + q_B + h_u} , \quad Y_d^{AB} = C_d^{AB} \epsilon^{\bar{d}_A + q_B + h_d} ,$$

$\mathbb{D}$  - term supersymmetry breaking:  
 a model with two fields  $\chi^-$ ,  $\chi^+$   
 with  $U(1)$  charges  $-1, +1$ ;

$F - \mathbb{D}$  term  $\xi \approx e^2 M_{Pl}^2$

$\mathbb{D}$  - term

$$\frac{g^2}{2} \mathbb{D} = \frac{g^2}{2} \left( \sum_i q_i |Q_i|^2 + |\chi^+|^2 - |\chi^-|^2 + \xi \right)^2$$

we get  $|\chi^-|^2 = \xi \Rightarrow$  unbroken susy

But add

$$W = m \chi^- \chi^+$$

new scale  $m \ll M_{PL}$

Minimization gives

$$\langle \chi^+ \rangle = 0 \quad \langle \chi^- \rangle = \xi - \frac{m^2}{g^2} \quad \text{F-N field}$$

$$F_{\chi^+} = m \sqrt{\xi - \frac{m^2}{g^2}}$$

$$F_{\chi^-} = 0$$

$$\langle D \rangle = \frac{m^2}{g^2}$$

$$\frac{F^2}{M_{PL}^2} \approx \frac{m^2 \xi}{M_{PL}^2} \sim \mathcal{O}(e^2)$$

**Conclusions** for supersymmetric family symmetry models (Lalak, SP, Ross): they can remain consistent with the bounds on FCNC and CP violation for superpartner physical masses  $\leq O(1 \text{ TeV})$  but generically require strong flavour blind renormalisation effects on the squark masses. This requires  $m_{1/2}/m_0 \gtrsim 7$

e.g.  $m_{1/2} = 300 \text{ GeV}, m_0 \sim 50 \text{ GeV}$

$$m_{\tilde{g}} = 900 \text{ GeV}, m_{\tilde{q}} = 800 \text{ GeV}$$

(similar to the fits to the precision data)



$$\begin{aligned}
L_{eff} &= \frac{\alpha_s^2}{216\tilde{m}_{qij}^2} ((\delta_{12LL}^d)^2 (\bar{d}_L \gamma_\mu s_L \bar{d}_L \gamma_\mu s_L) \times f(x) \\
&+ (\delta_{12RR}^d)^2 (\bar{d}_R \gamma_\mu s_R \bar{d}_R \gamma_\mu s_R) \times f'(x) \\
&+ (\delta_{12LL}^d)(\delta_{12RR}^d)(\bar{d}_R s_L \bar{d}_L s_R) \times f''(x) + \dots + \text{h.c.})
\end{aligned}$$

$q$	$ij$	$(\delta_{ij}^q)_{MM}$	$\langle \delta_{ij}^q \rangle$
$d$	12	$0.01 \sim \epsilon^2$	$0.0007 \sim \epsilon^4$
$d$	13	$0.07 \sim \epsilon$	$0.025 \sim \epsilon^2$
$d$	23	$0.21 \sim \epsilon$	$0.07 \sim \epsilon$
$u$	12	$0.035 \sim \epsilon^2$	$0.003 \sim \epsilon^3$

**Experimental  
bounds**

# Fermion mass model-motivated „inverted hierarchy” spectrum

## 3<sup>rd</sup> generation light, 1<sup>st</sup> and 2<sup>nd</sup> heavy

Dudas, SP, Savoy ' 95 – horizontal U(1) and D term breaking

Dudas, Grojean, SP, Savoy ' 96 – horizontal U(1) and D term breaking

Nelson, Wright ' 97 – horizontal U(1) and D term breaking

Chankowski, Lavignac, SP ' 05 – FCNC phenomenology

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Craig, Essig, Franco, Kachru, Torroba ' 10 – dynamical SUSY breaking models

Aharony, Berdichevsky, Berkooz, Hochberg, Robles-Llana ' 10 – CFT models

Craig et al. ' 12 – flavour mediation

DUDAS, GERSDORFF, SP, ZIEGLER, to be published

# Sfermion masses

$$\underbrace{\frac{F^2}{M^2}} \left( \frac{\chi}{M} \right) |q_i - q_j| Q_i^+ Q_j$$

F-term supersymmetry breaking

Hence

$$\left( \tilde{m}^2 \right)^{AB} = C^{AB} m^2 \sim |q_A - q_B|$$

$$m^2 = \frac{F^2}{M^2}, \quad C^{AB} \sim O(1), \quad C^{AA} - C^{BB} \sim O(1)$$

D - terms supersymmetry breaking  
with gauged U(1) (Binetruy, Dubois 1996  
Dvali, Pomarol)

⇒ TWO SCALES ( $m_F$ ,  $m_D$ )  
(F, D) ⇒