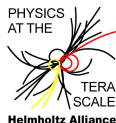


Monte Carlos — Part V

Stefan Gieseke

Institut für Theoretische Physik
KIT

GK Mass Spectra Symmetry
Spring Block Course 2013, 7-11 Apr 2013

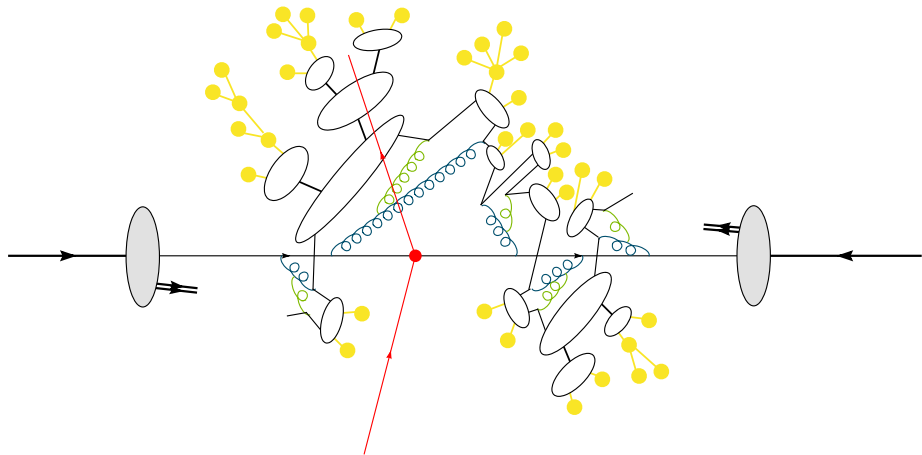


- ▶ Part I — Basics
 - ▶ Introduction
 - ▶ Monte Carlo techniques
- ▶ Part II — Perturbative physics
 - ▶ Hard scattering
 - ▶ Parton showers
- ▶ Part III — Merging/Matching
 - ▶ Matrix element corrections
 - ▶ Merging multiple tree level MEs with parton showers
 - ▶ Matching NLO and parton showers
- ▶ Part IV — Non-perturbative physics
 - ▶ Hadronization
 - ▶ Hadronic decays
 - ▶ Comparison to data
- ▶ Part V — Multiple Partonic Interactions
 - ▶ Minimum Bias/Underlying Event in data
 - ▶ Modelling

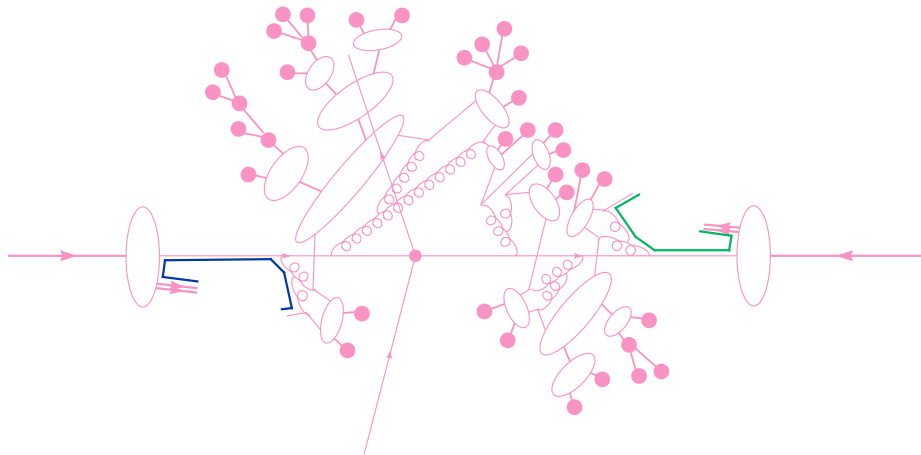
- ▶ Collider cross sections
- ▶ Zero bias, Min bias, Underlying event
- ▶ Inclusive \rightarrow exclusive. The structure of underlying events.
- ▶ Multiple interactions.

- ▶ Follow history of Herwig UE modelling.
- ▶ Modelling in Pythia/Sherpa somewhat similar.
- ▶ Most recent developments differ.
 - ▶ Pythia: interleaved showering/MPI.
 - ▶ Sherpa: current model similar
new development: BFKL chains, integration with Diffraction.

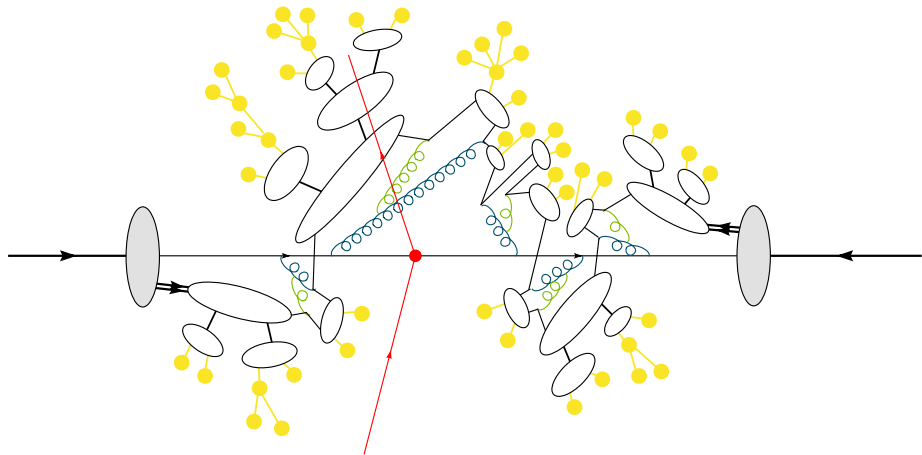
pp Event Generator



pp Event Generator



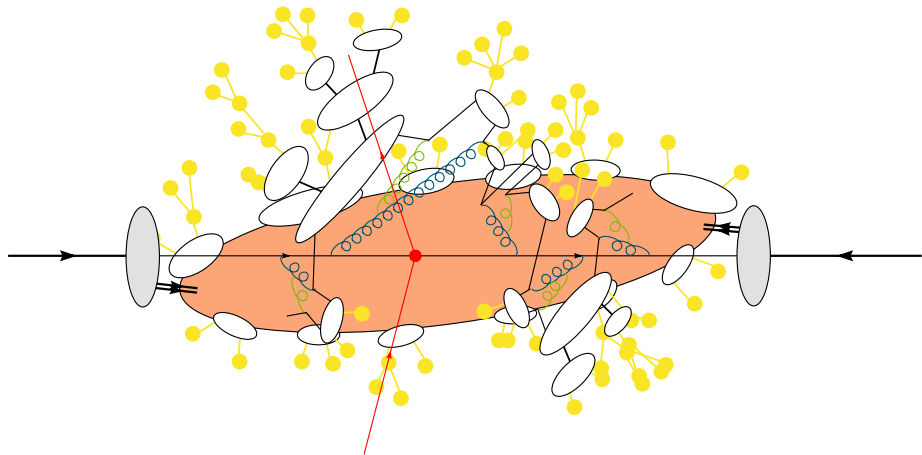
pp Event Generator



Just remnant clusters

- ▶ Simplest model?
- ▶ Connects loose colour ends and produces some N_{ch} .
- ▶ No extra transverse energy.
- ▶ Fails.

pp Event Generator

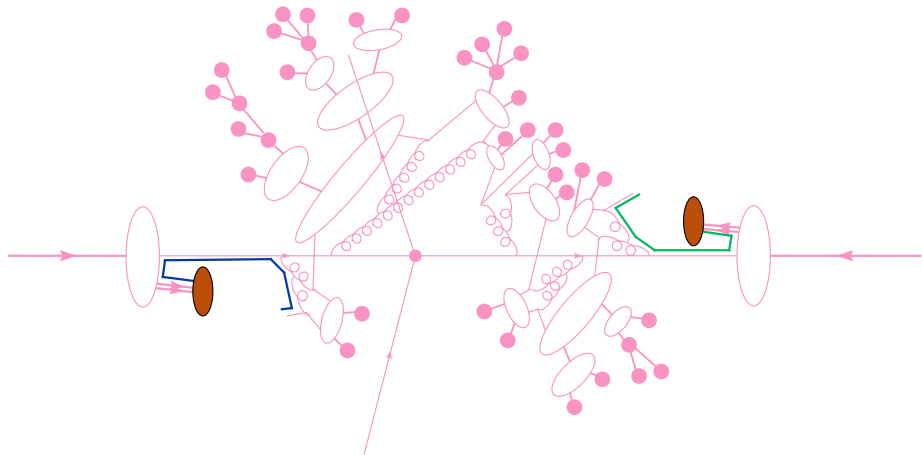


UA5 model

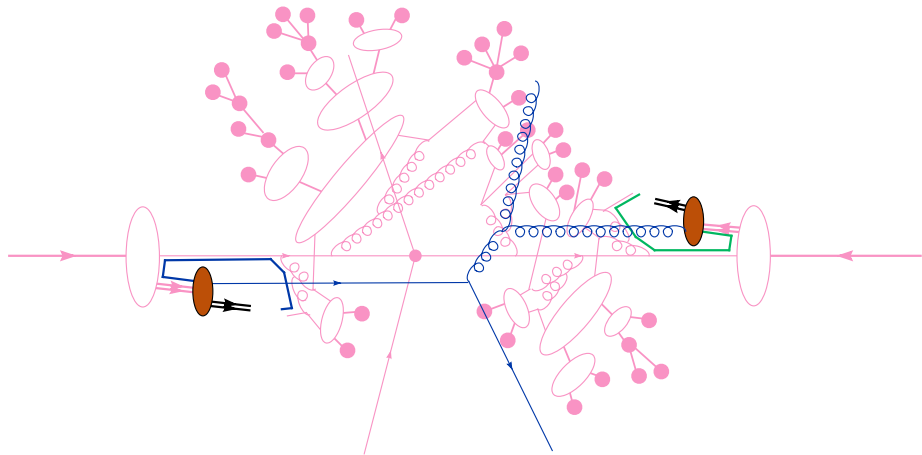
- ▶ Produce $\langle n \rangle$ extra clusters, flat in y , with soft p_{\perp} spectrum.
- ▶ Included from Herwig++ 2.0. [\[Herwig++, hep-ph/0609306\]](#)
- ▶ Little predictive power.
- ▶ Only gets averages right, not large (and interesting!) fluctuations \rightarrow mini jets.
- ▶ Was default in fHerwig. Superseded by JIMMY.

[\[JM Butterworth, JR Forshaw, MH Seymour, ZP C72 637 \(1996\)\]](#)

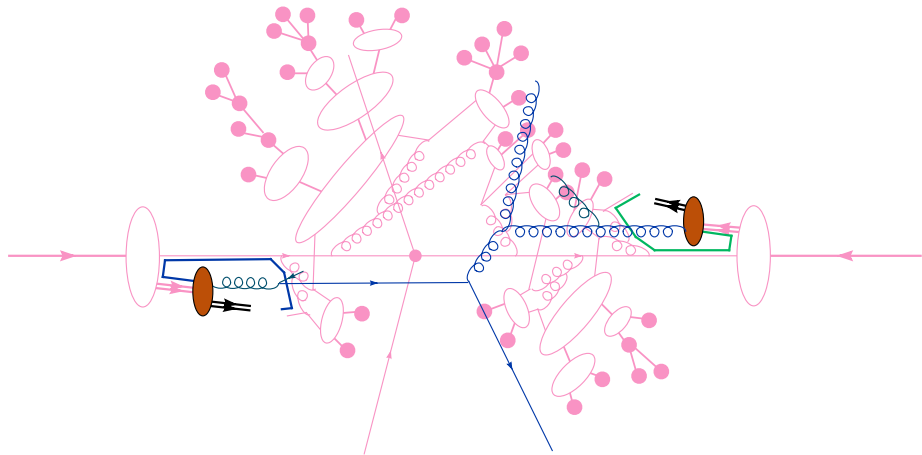
pp Event Generator



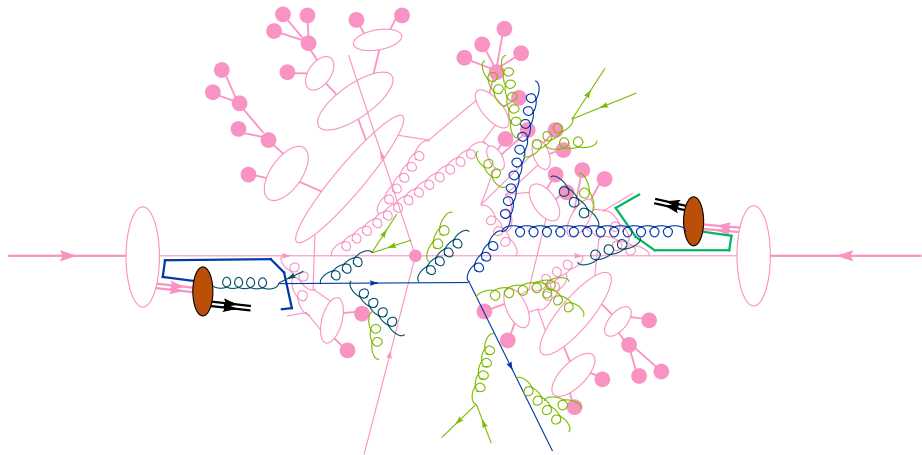
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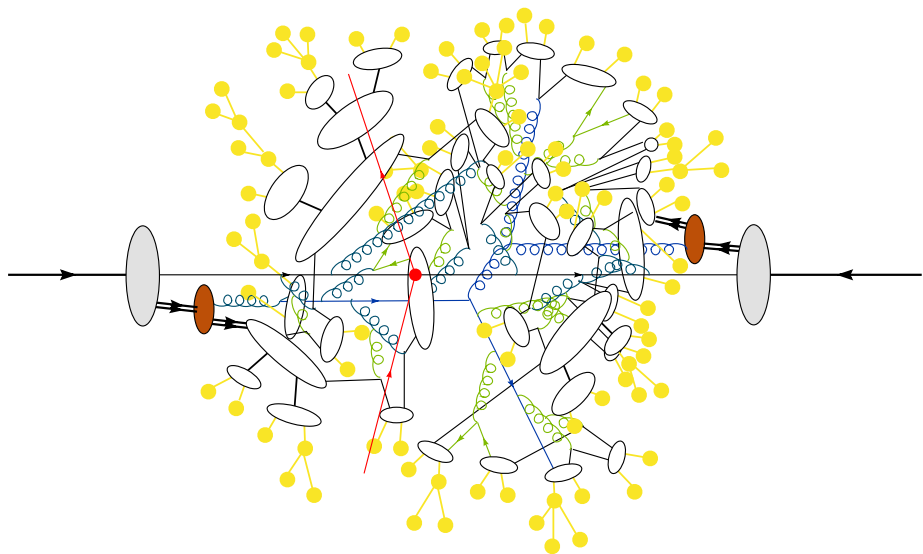
pp Event Generator



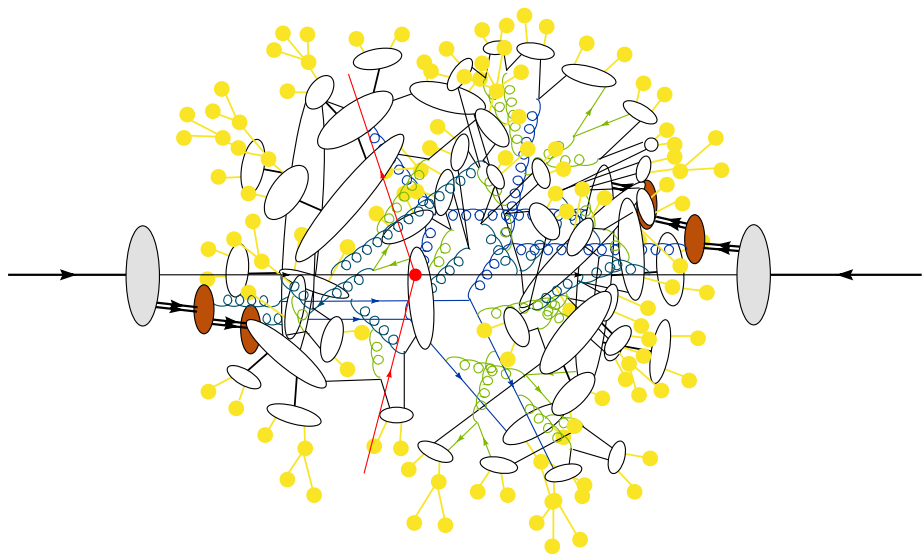
pp Event Generator



pp Event Generator



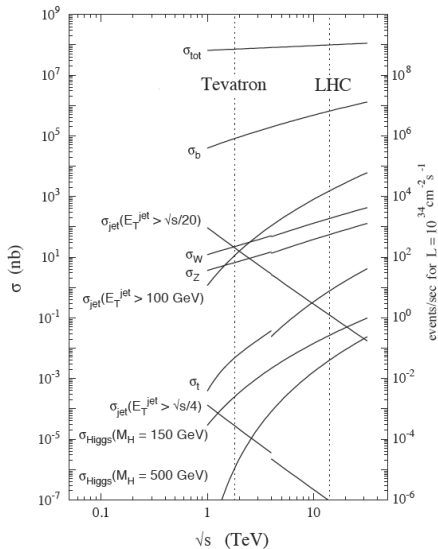
pp Event Generator



Min Bias/Underlying event in data

$$\sigma_{\text{tot}} = \sigma_{\text{el}} + \sigma_{\text{SD}} + \overbrace{\sigma_{\text{DD}} + \underbrace{\sigma_{\text{soft}} + \sigma_{\text{hard}}}_{\sigma_{\text{ND}}}}^{\sigma_{\text{NSD}}}$$

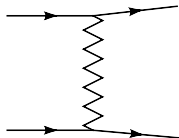
Collider cross sections



What is the Underlying event?

$$\sigma_{\text{tot}} = \sigma_{\text{el}} + \sigma_{\text{SD}} + \overbrace{\sigma_{\text{DD}} + (\sigma_{\text{soft}} + \sigma_{\text{hard}})}^{\sigma_{\text{NSD}}}$$

σ_{ND}

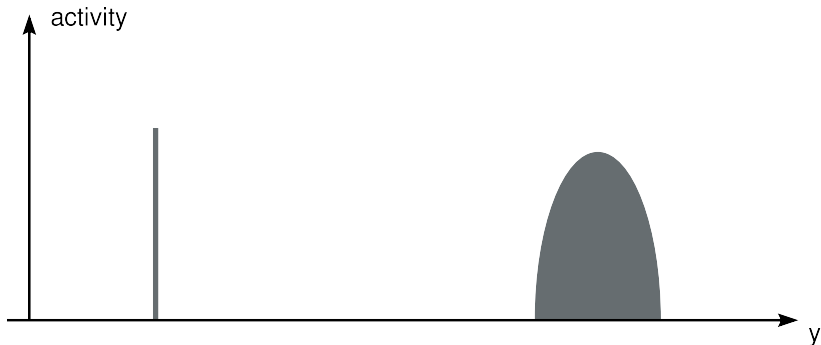
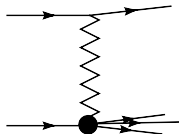


elastic

What is the Underlying event?

$$\sigma_{\text{tot}} = \sigma_{\text{el}} + \sigma_{\text{SD}} + \overbrace{\sigma_{\text{DD}} + (\sigma_{\text{soft}} + \sigma_{\text{hard}})}^{\sigma_{\text{NSD}}}$$

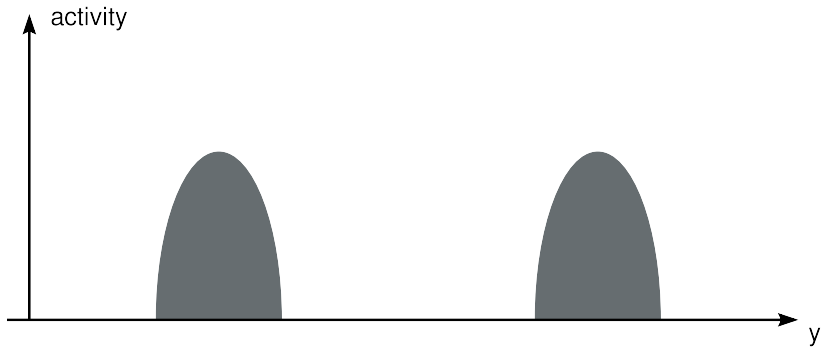
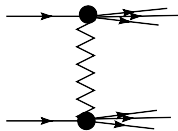
σ_{ND}



single diffractive

What is the Underlying event?

$$\sigma_{\text{tot}} = \sigma_{\text{el}} + \sigma_{\text{SD}} + \underbrace{\sigma_{\text{DD}} + (\sigma_{\text{soft}} + \sigma_{\text{hard}})}_{\sigma_{\text{ND}}} + \sigma_{\text{NSD}}$$

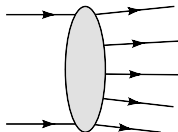


double diffractive

What is the Underlying event?

$$\sigma_{\text{tot}} = \sigma_{\text{el}} + \sigma_{\text{SD}} + \overbrace{\sigma_{\text{DD}} + (\sigma_{\text{soft}} + \sigma_{\text{hard}})}^{\sigma_{\text{NSD}}}$$

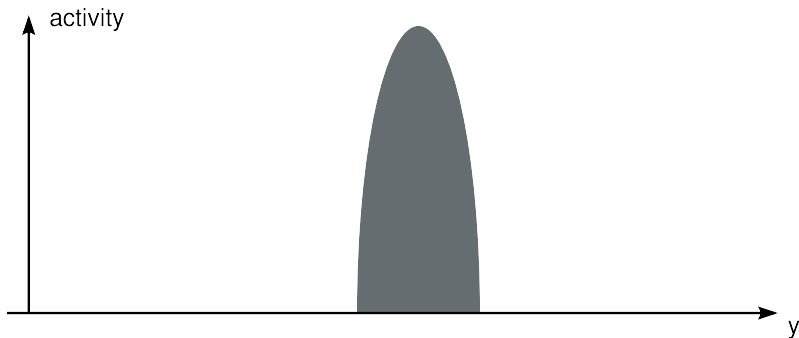
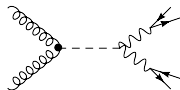
σ_{ND}



(multiple/soft) interactions

What is the Underlying event?

$$\sigma_{\text{tot}} = \sigma_{\text{el}} + \sigma_{\text{SD}} + \underbrace{\sigma_{\text{DD}} + \underbrace{(\sigma_{\text{soft}} + \sigma_{\text{hard}})}_{\sigma_{\text{ND}}}}_{\sigma_{\text{NSD}}}$$

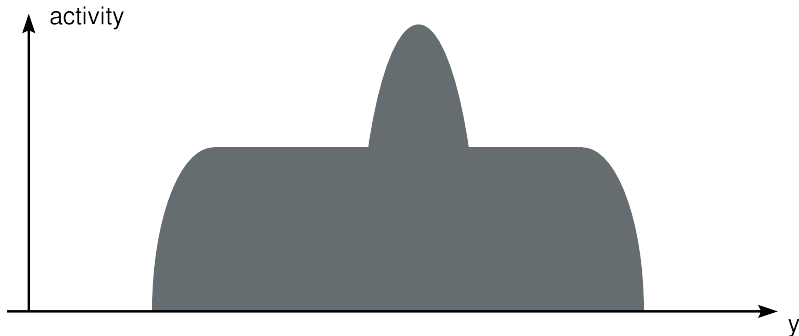


hard scattering

What is the Underlying event?

$$\sigma_{\text{tot}} = \sigma_{\text{el}} + \sigma_{\text{SD}} + \overbrace{\sigma_{\text{DD}} + (\sigma_{\text{soft}} + \sigma_{\text{hard}})}^{\sigma_{\text{NSD}}}$$

σ_{ND}



hard scattering + underlying event

What is the Underlying event?

“Everything except the process of interest.”

- ▶ Experimentalist: “includes parton showers etc.”
- ▶ MC author: “everything on top of primary hard process.”

The Underlying event (UE) is everywhere in the detector.

- ▶ Cannot select UE
- ▶ May spoil measurements.
- ▶ What characteristics?
- ▶ Hard?
- ▶ Soft?

Why should I learn about it?

- ▶ UE comes with every event.
- ▶ Can't trigger/select it away.
- ▶ Gives additional tracks and calorimeter hits, in the same cells as your signal.
- ▶ Jet energy scale determination.
- ▶ Important systematic error.
- ▶ Jets where your signal shouldn't give any (VBF).

- ▶ Zero bias
 - ▶ *Every* event in a perfect 4π detector.

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 - ▶ *Every* event in a perfect 4π detector.
- ▶ Minimum bias (MB)
 - ▶ Require “some activity”
 - ▶ At least have to distinguish from noise/cosmics.
 - ▶ small number of tracks of charged tracks (e.g. 1, 2, 6),
 - ▶ forward calorimeter hits,
 - ▶ → with some minimum p_{\perp} .
 - ▶ Often want non–single–diffractive

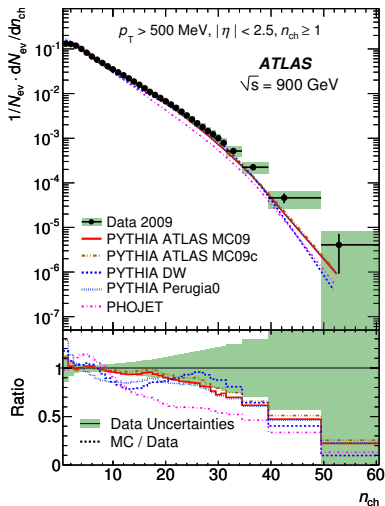
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- ▶ Hard scattering
 - ▶ Very selective trigger
 - ▶ BUT accompanied by soft stuff → **underlying event.**

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Physics in MB and UE very similar.

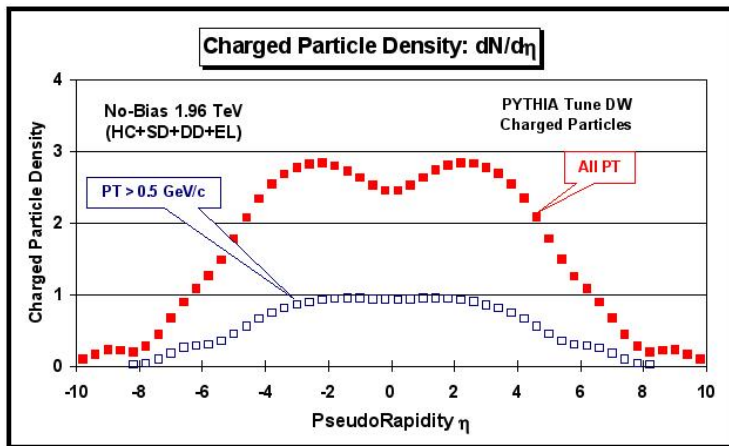
Characteristics of MB events

N_{ch}



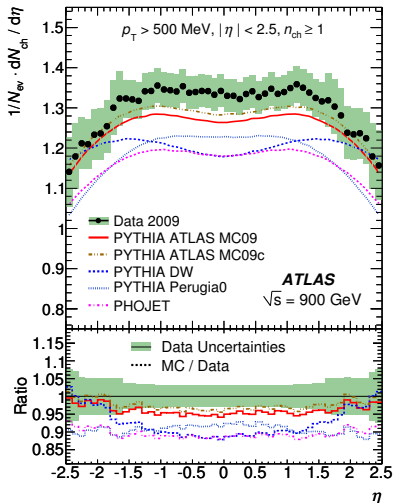
Characteristics of MB events

$dN/d\eta$ Zero bias vs min bias (Tevatron)



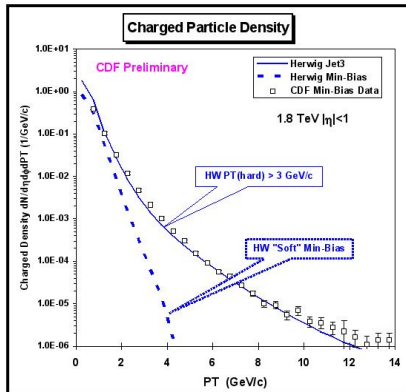
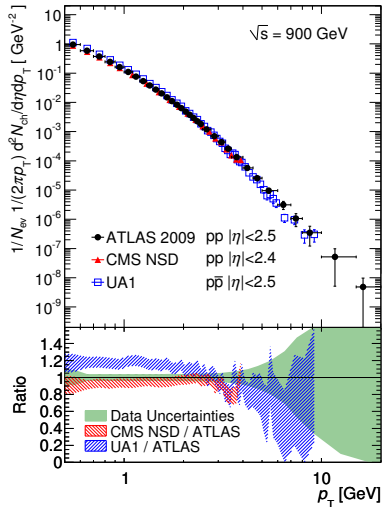
Characteristics of MB events

$dN/d\eta$ ATLAS



Characteristics of MB events

p_{\perp} spectra of all particles



Characteristics of MB events

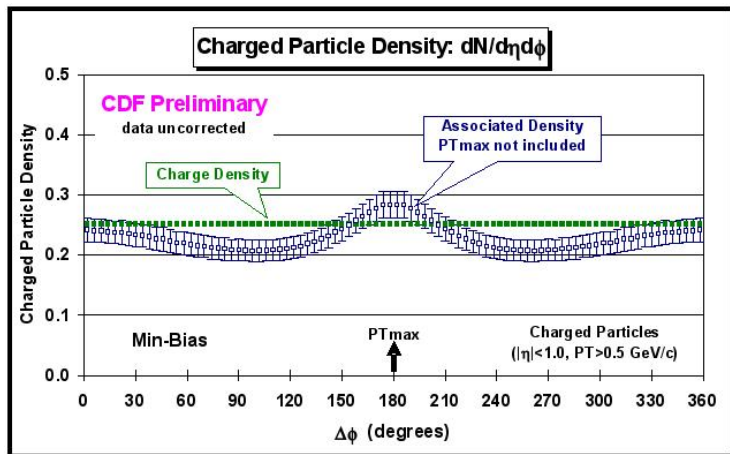
- ▶ Inclusive quantities have to be correct, of course.
- ▶ Already show, that soft component is important in modelling.

Characteristics of MB events

- ▶ Inclusive quantities have to be correct, of course.
- ▶ Already show, that soft component is important in modelling.
- ▶ Don't tell much about morphology of event.
- ▶ → look at distributions inside detector.
- ▶ → leading particles.

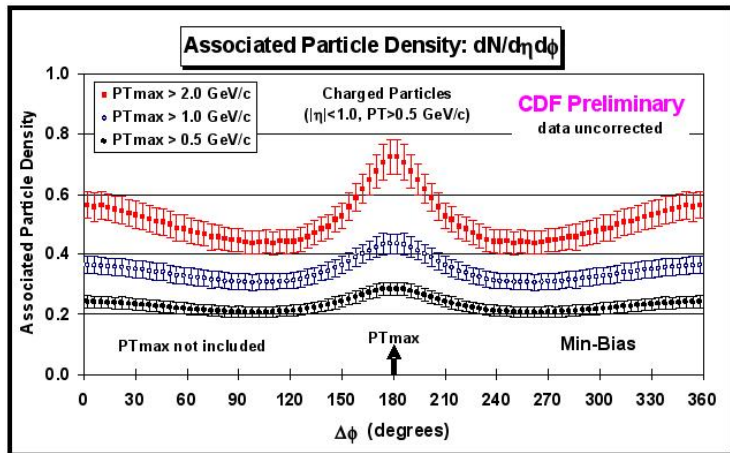
Azimuthal distributions

Measure $\Delta\phi$ relative to leading particle/jet/track.



Azimuthal distributions

Measure $\Delta\phi$ relative to leading particle/jet/track.



Observation:

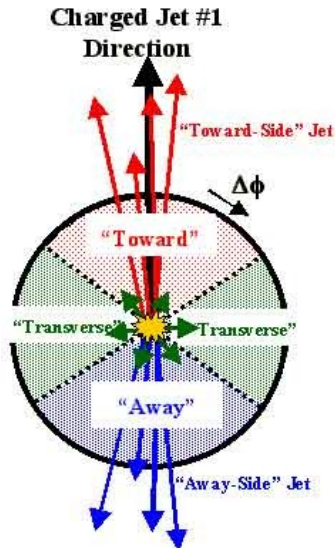
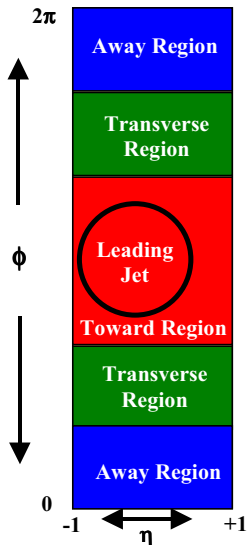
- ▶ Events not flat. Have 'leading object'.
- ▶ Harder leading object:
 - harder recoil.
 - more activity everywhere, also transverse.

Trigger: The harder leading object, the more jets are inclusively just below this threshold (pedestal effect).

Closer look at transverse region!

"Rick Field analysis".

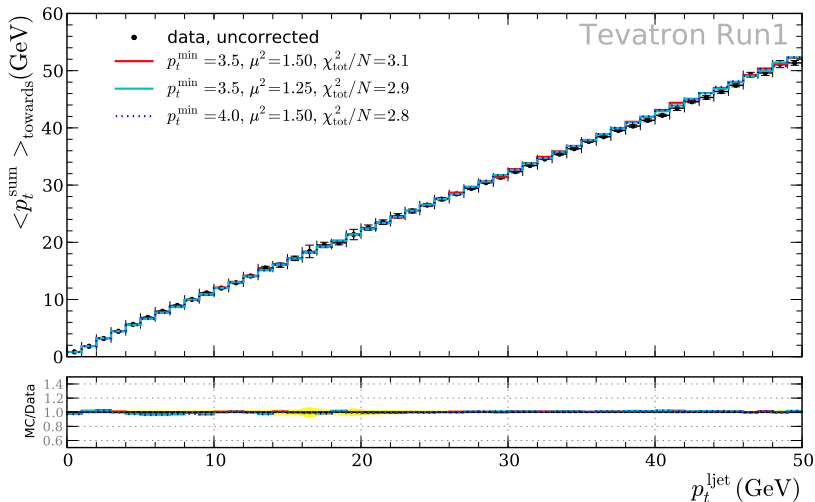
Towards, away, transverse



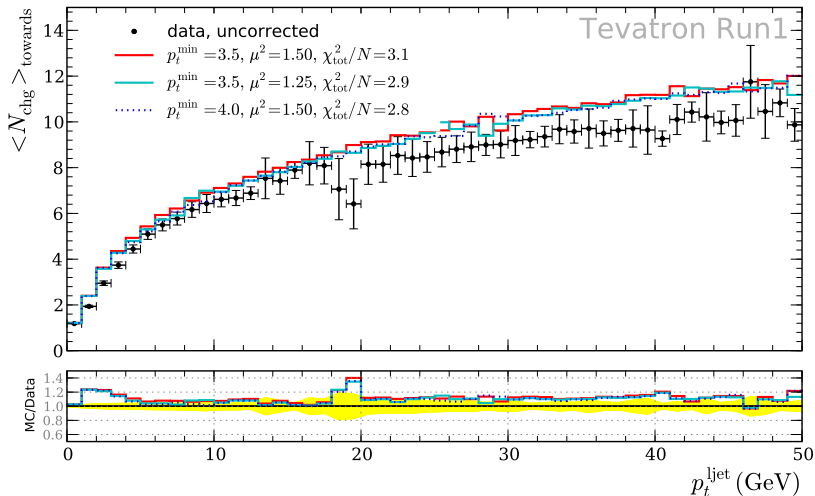
Measurements of the UE: separate from hard bit of event.

- ▶ How big is the 'activity' in the different regions?
- ▶ How does it depend on the leading object?
- ▶ If UE is really *underlying*, should decouple from leading event.

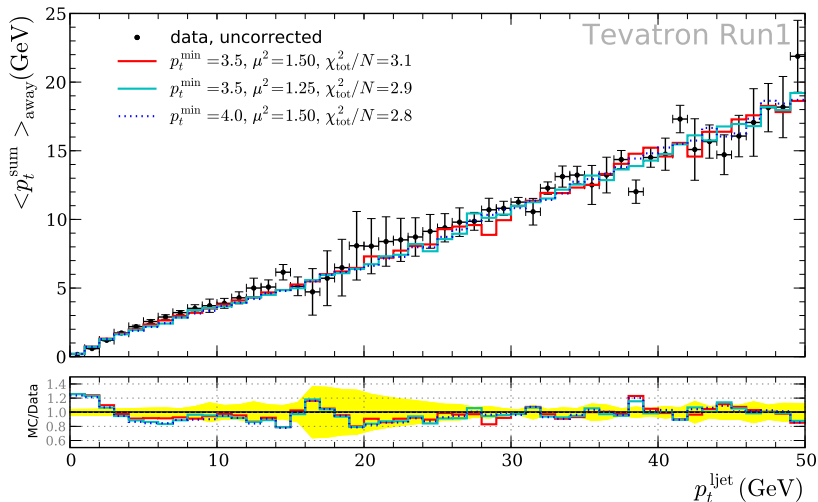
Detailed look at observables: Towards Region



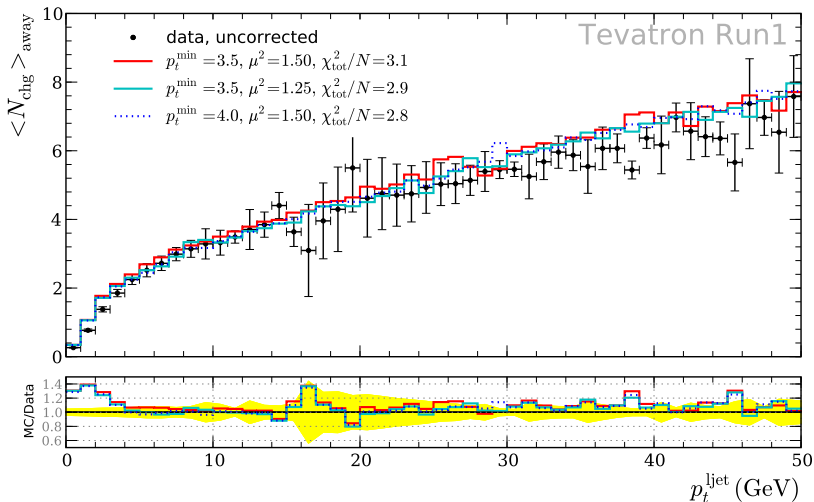
Detailed look at observables: Towards Region



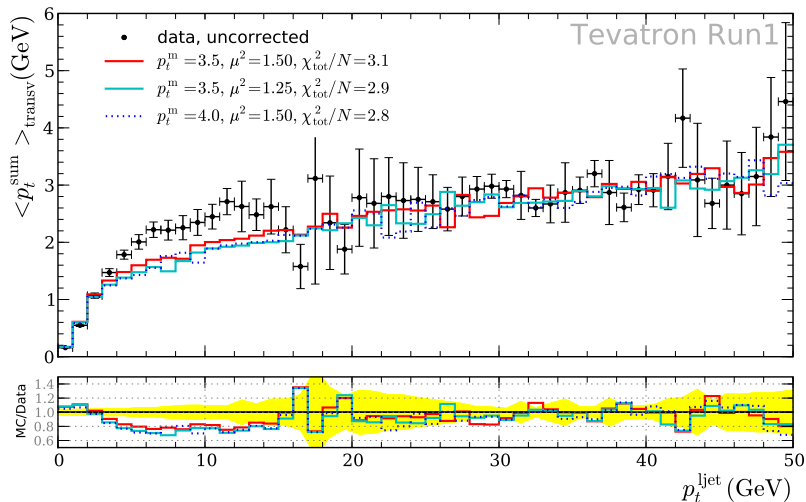
Detailed look at observables: Away Region



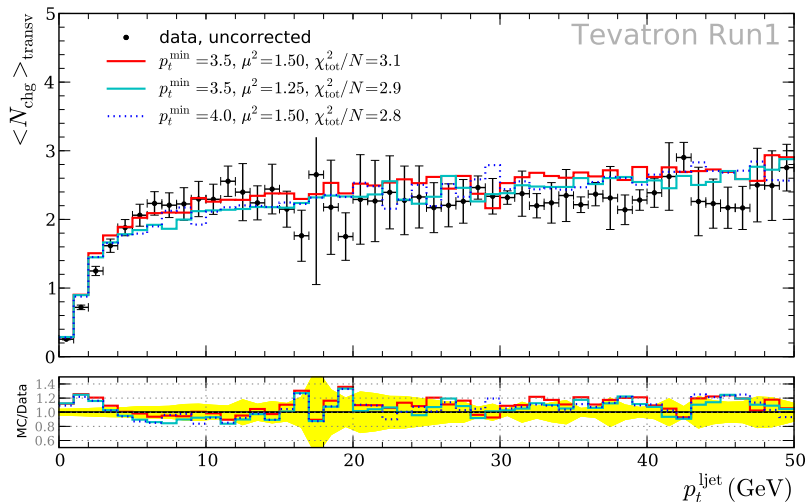
Detailed look at observables: Away Region



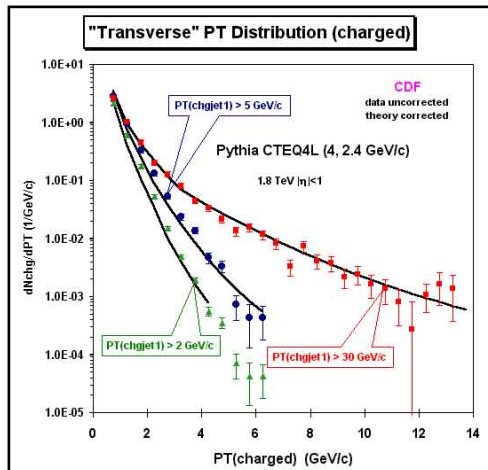
Detailed look at observables: Transverse Region



Detailed look at observables: Transverse Region

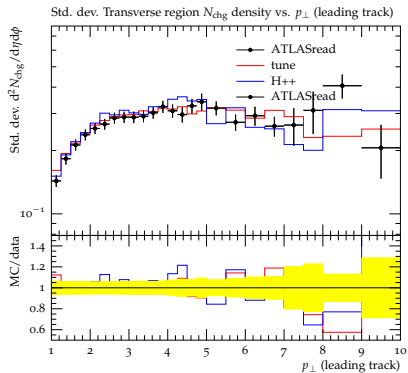
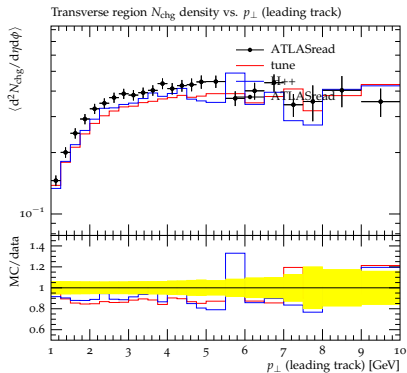


Spectrum in transverse region

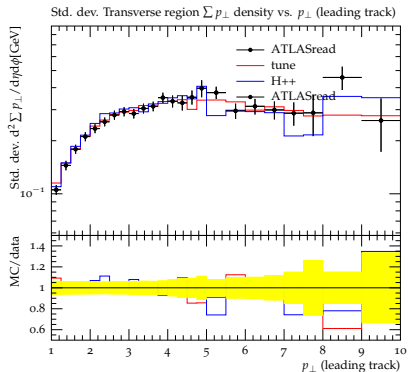
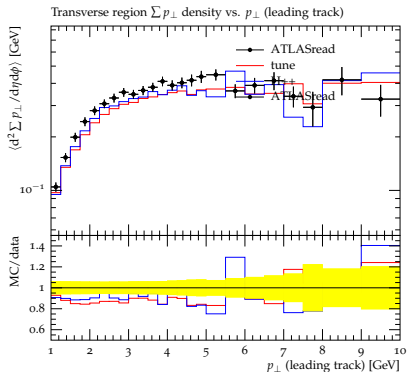


Not only average important. The UE has a jetty substructure!

Also include Std deviation!

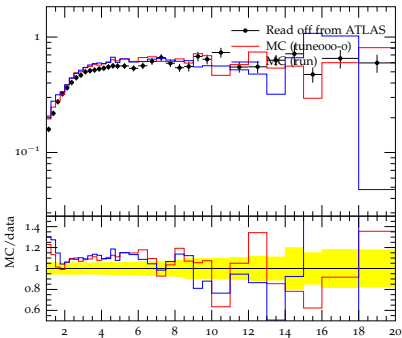
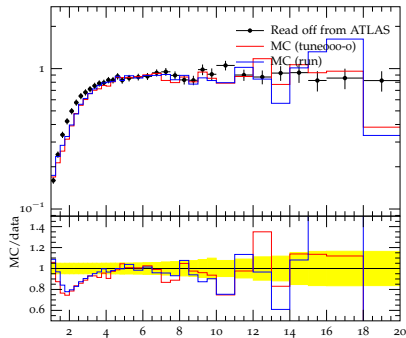


Also include Std deviation!



Underlying Event (ATLAS 7 TeV)

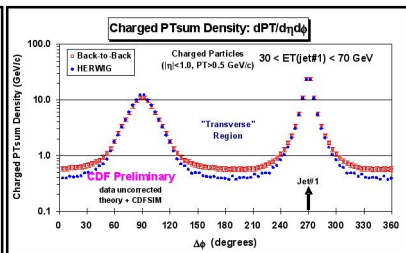
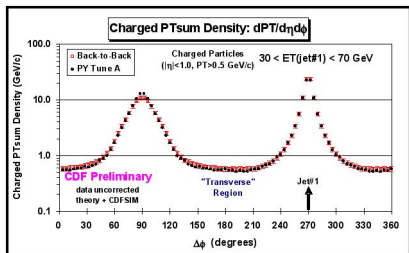
$N_{\text{ch}}/\text{StdDev}$ transverse vs $p_t^{\text{lead}}/\text{GeV}$.



- ▶ Idea of decoupling UE from hard event seems to hold.
- ▶ UE has jetty structure.
- ▶ Must contain hard physics as well.

More azimuthal distributions

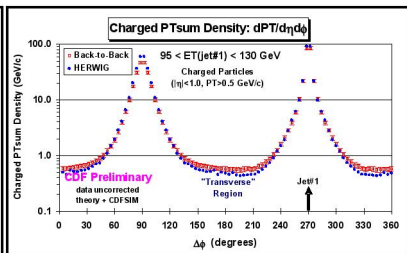
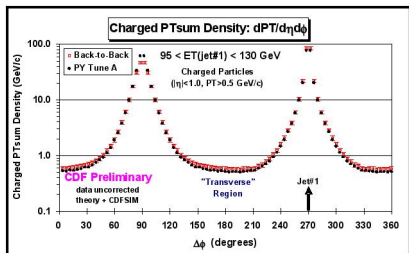
Require at least two nearly b2b jets.
Dominated by hard physics.



Old Herwig soft model not sufficient.

More azimuthal distributions

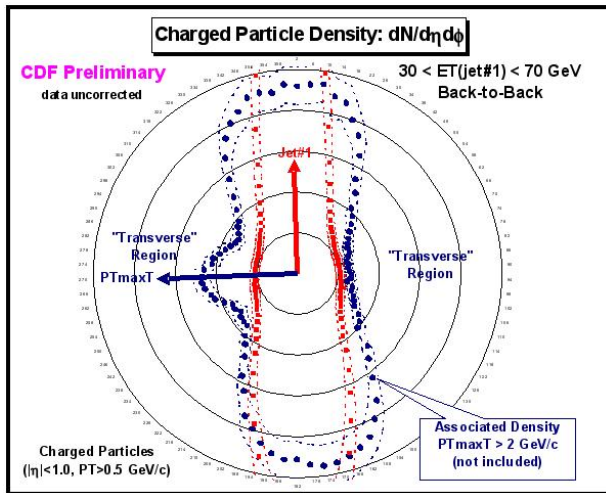
Require at least two nearly b2b jets.
Dominated by hard physics.



Better with harder jets.

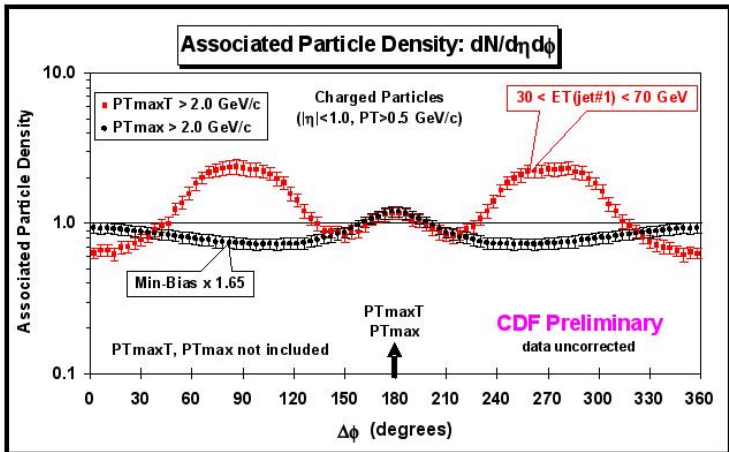
More azimuthal distributions

Now select the hardest of the two transverse regions only (TransMAX): associated distribution:

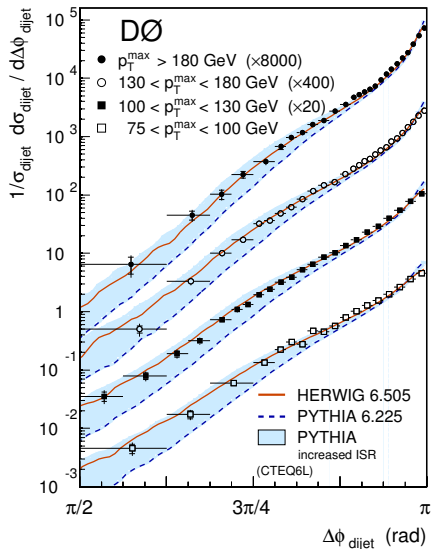


More azimuthal distributions

Now select the hardest of the two transverse regions only (TransMAX): associated distribution:



Birth of 3rd jet \sim leading jet in MinBias



Angles between hard jets modeled by parton showers.

- ▶ Leading jet in Minimum bias \sim 3rd jet in back-to-back sample.
- ▶ UE and MB really seem to reflect the same physics.
- ▶ Hard component important.
- ▶ Hard jets not sufficient
(but well described \rightarrow D0 dijet angular decorrelation).

Hard jets in the UE via multiple interactions?

- ▶ Additional Partonic $2 \rightarrow 2$ interactions (MPI).
- ▶ No correlation with hard event.

N_{ch} distribution (vs UA5; Sjöstrand, van Zijl (1987))

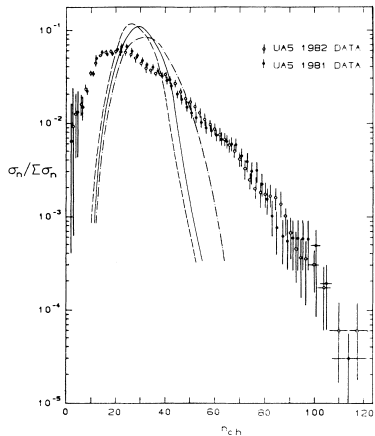


FIG. 3. Charged-multiplicity distribution at 540 GeV, UA5 results (Ref. 32) vs simple models: dashed low p_T only, full including hard scatterings, dash-dotted also including initial- and final-state radiation.

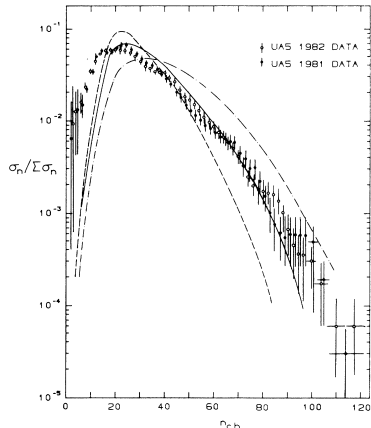


FIG. 5. Charged-multiplicity distribution at 540 GeV, UA5 results (Ref. 32) vs impact-parameter-independent multiple-interaction model: dashed line, $p_{Tmin} = 2.0$ GeV; solid line, $p_{Tmin} = 1.6$ GeV; dashed-dotted line, $p_{Tmin} = 1.2$ GeV.

no MPI (left)/MPI (right).

FB correlation in η bins (vs UA5; Sjöstrand, van Zijl (1987))

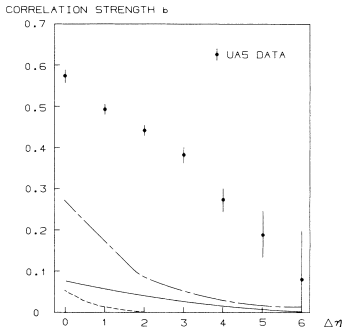


FIG. 4. Forward-backward multiplicity correlation at 540 GeV, UA5 results (Ref. 33) vs simple models; the latter models with notation as in Fig. 3.

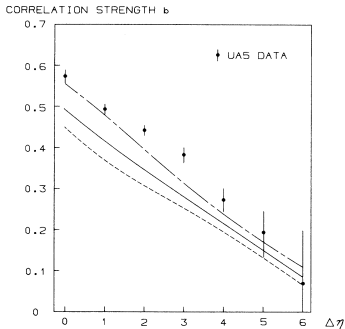
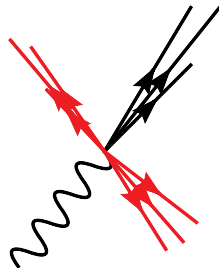
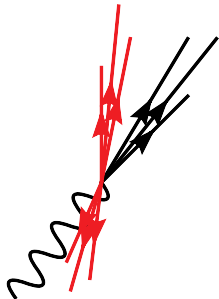


FIG. 6. Forward-backward multiplicity correlation at 540 GeV, UA5 results (Ref. 33) vs impact-parameter-independent multiple-interaction model; the latter with notation as in Fig. 5.

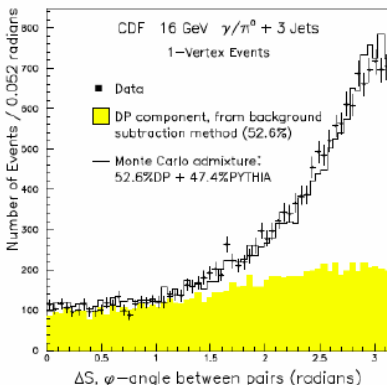
no MPI (left)/MPI (right).

Angle ϕ from 4 final state objects (jets, γ).



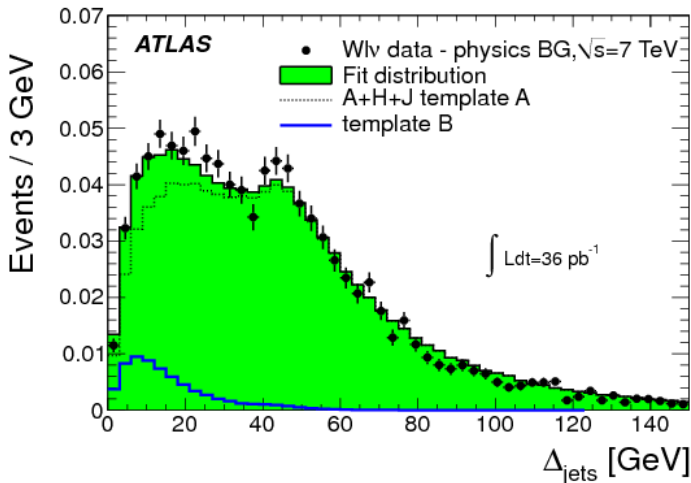
Angle ϕ from 4 final state objects (jets, γ). CDF ('97).

$$\phi = \angle(\vec{p}_1 \pm \vec{p}_2, \vec{p}_3 \pm p_4)$$



53% double parton scattering needed!

Angle ϕ from 4 final state objects (jets, γ). LHC 2013 (W^\pm).



$$\Delta_{\text{jets}} = |\vec{p}_T^1 + \vec{p}_T^2| \sim 0 \text{ for DPI.}$$

Modelling (in Herwig++)

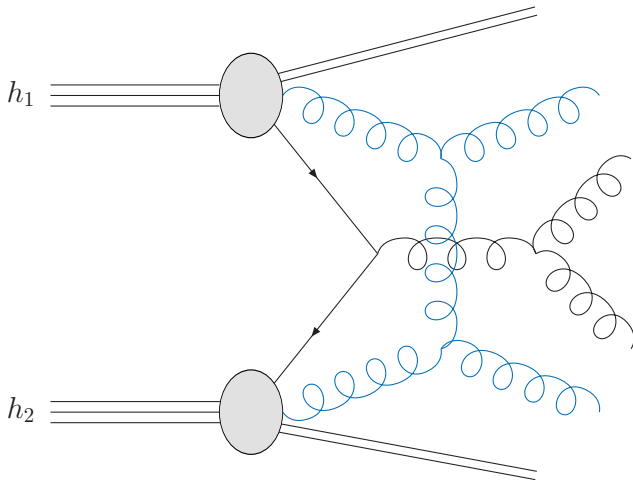
Semihard UE

- ▶ Default from Herwig++ 2.1. [Herwig++, 0711.3137]
- ▶ Multiple hard interactions, $p_t \leq p_t^{\min}$. [Bähr, SG, Seymour, JHEP 0807:076]
- ▶ Similar to JIMMY.
- ▶ Good description of harder Run I UE data (Jet20).

Soft UE

- ▶ Default from Herwig++ 2.3. [\[Herwig++, 0812.0529\]](#)
- ▶ Extension to soft interactions $p_t < p_t^{\min}$.
- ▶ Relation to total cross section, Exploration of parameter space.
- ▶ Extrapolation to LHC?
- ▶ Theoretical work with simplest possible extension. [\[Bähr, Butterworth, Seymour, JHEP 0901:065\]](#)
- ▶ “Hot Spot” model. [\[Bähr, Butterworth, SG, Seymour, 0905.4671\]](#)

Multiple hard interactions

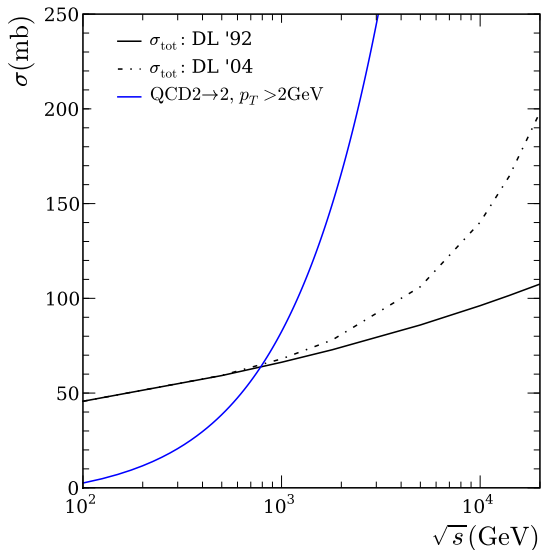


Starting point: hard inclusive jet cross section.

$$\sigma^{\text{inc}}(s; p_t^{\text{min}}) = \sum_{i,j} \int_{p_t^{\text{min}^2}^2} dp_t^2 f_{i/h_1}(x_1, \mu^2) \otimes \frac{d\hat{\sigma}_{i,j}}{dp_t^2} \otimes f_{j/h_2}(x_2, \mu^2),$$

$\sigma^{\text{inc}} > \sigma_{\text{tot}}$ eventually (for moderately small p_t^{min}).

Eikonal model basics



Starting point: hard inclusive jet cross section.

$$\sigma^{\text{inc}}(s; p_t^{\text{min}}) = \sum_{i,j} \int_{p_t^{\text{min}^2}^2} dp_t^2 f_{i/h_1}(x_1, \mu^2) \otimes \frac{d\hat{\sigma}_{i,j}}{dp_t^2} \otimes f_{j/h_2}(x_2, \mu^2),$$

$\sigma^{\text{inc}} > \sigma_{\text{tot}}$ eventually (for moderately small p_t^{min}).

Interpretation: σ^{inc} counts *all* partonic scatters that happen during a single pp collision \Rightarrow more than a single interaction.

$$\sigma^{\text{inc}} = \bar{n} \sigma_{\text{inel}}.$$

Use eikonal approximation (= independent scatters). Leads to Poisson distribution of number m of additional scatters,

$$P_m(\vec{b}, s) = \frac{\bar{n}(\vec{b}, s)^m}{m!} e^{-\bar{n}(\vec{b}, s)} .$$

Then we get σ_{inel} :

$$\sigma_{\text{inel}} = \int d^2\vec{b} \sum_{m=1}^{\infty} P_m(\vec{b}, s) = \int d^2\vec{b} \left(1 - e^{-\bar{n}(\vec{b}, s)} \right) .$$

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Cf. σ_{inel} from scattering theory in eikonal approx. with scattering amplitude $a(\vec{b}, s) = \frac{1}{2i} (e^{-\chi(\vec{b}, s)} - 1)$

$$\sigma_{\text{inel}} = \int d^2\vec{b} \left(1 - e^{-2\chi(\vec{b}, s)}\right) \quad \Rightarrow \quad \chi(\vec{b}, s) = \frac{1}{2} \bar{n}(\vec{b}, s) .$$

$\chi(\vec{b}, s)$ is called *eikonal* function.

Calculation of $\bar{n}(\vec{b}, s)$ from parton model assumptions:

$$\begin{aligned}\bar{n}(\vec{b}, s) &= L_{\text{partons}}(x_1, x_2, \vec{b}) \otimes \sum_{ij} \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\ &= \sum_{ij} \frac{1}{1 + \delta_{ij}} \int dx_1 dx_2 \int d^2\vec{b}' \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\ &\quad \times D_{i/A}(x_1, p_t^2, |\vec{b}'|) D_{j/B}(x_2, p_t^2, |\vec{b} - \vec{b}'|)\end{aligned}$$

Calculation of $\bar{n}(\vec{b}, s)$ from parton model assumptions:

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Calculation of $\bar{n}(\vec{b}, s)$ from parton model assumptions:

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$$\Rightarrow \chi(\vec{b}, s) = \frac{1}{2} \bar{n}(\vec{b}, s) = \frac{1}{2} A(\vec{b}) \sigma^{\text{inc}}(s; p_t^{\text{min}}) .$$

Overlap function

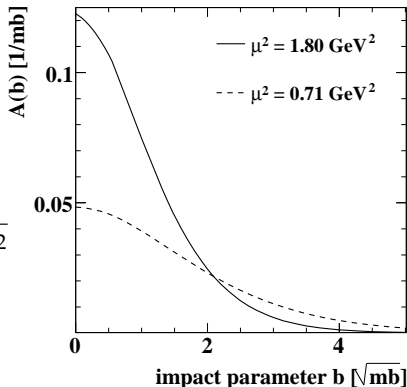
$$A(b) = \int d^2\vec{b}' G_A(|\vec{b}'|) G_B(|\vec{b} - \vec{b}'|)$$

$G(\vec{b})$ from electromagnetic FF:

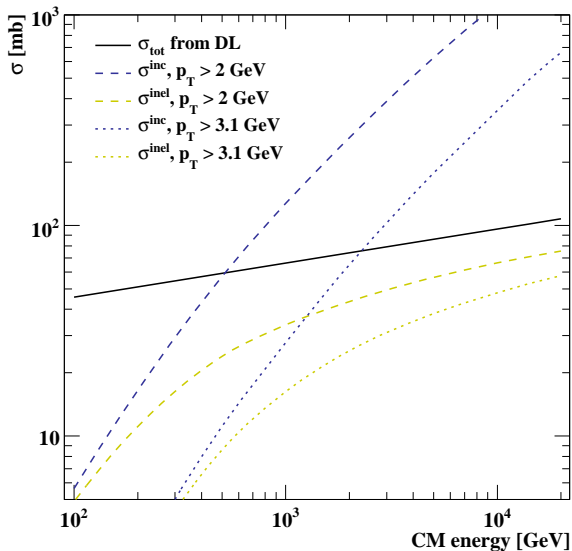
$$G_p(\vec{b}) = G_{\bar{p}}(\vec{b}) = \int \frac{d^2\vec{k}}{(2\pi)^2} \frac{e^{i\vec{k}\cdot\vec{b}}}{(1 + \vec{k}^2/\mu^2)^2}$$

But μ^2 *not fixed* to the
electromagnetic 0.71 GeV^2 .
Free for colour charges.

\Rightarrow Two main parameters: μ^2, p_t^{min} .

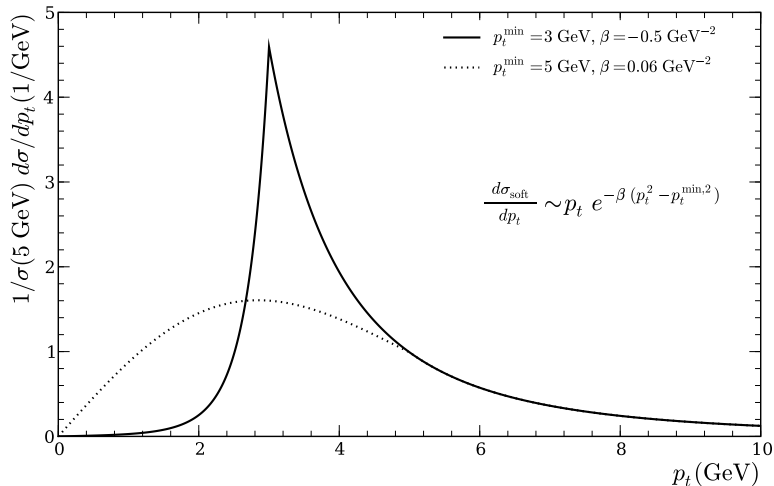


Unitarized cross sections



Extending into the soft region

Continuation of the differential cross section into the soft region $p_t < p_t^{\min}$ (here: p_t integral kept fixed)



Fix the two parameters μ_{soft} and $\sigma_{\text{soft}}^{\text{inc}}$ in

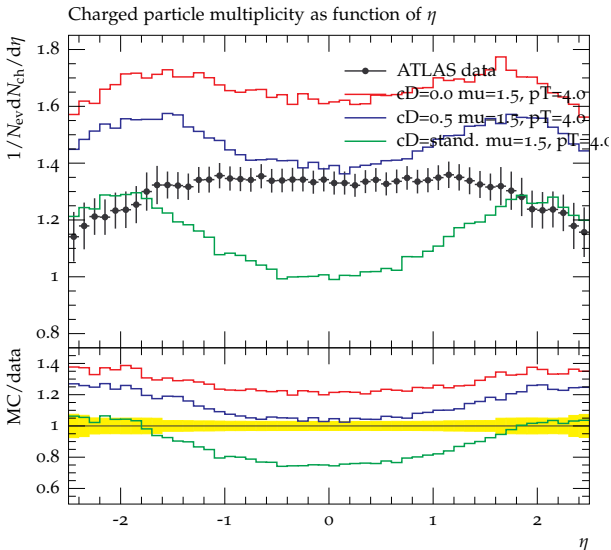
$$\chi_{\text{tot}}(\vec{b}, s) = \frac{1}{2} \left(A(\vec{b}; \mu) \sigma^{\text{inc}} \text{hard}(s; p_t^{\text{min}}) + A(\vec{b}; \mu_{\text{soft}}) \sigma_{\text{soft}}^{\text{inc}} \right)$$

from two constraints. Require simultaneous description of σ_{tot} and b_{el} (measured/well predicted),

$$\begin{aligned} \sigma_{\text{tot}}(s) &\stackrel{!}{=} 2 \int d^2\vec{b} \left(1 - e^{-\chi_{\text{tot}}(\vec{b}, s)} \right), \\ b_{\text{el}}(s) &\stackrel{!}{=} \int d^2\vec{b} \frac{b^2}{\sigma_{\text{tot}}} \left(1 - e^{-\chi_{\text{tot}}(\vec{b}, s)} \right). \end{aligned}$$

- ▶ Not all aspects well described.
- ▶ Despite very good agreement with Rick Field's CDF UE analysis.
- ▶ Observe sensitivity to colour structure.

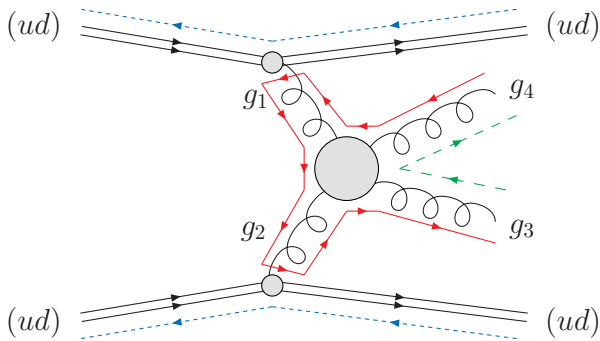
Colour structure of soft events.



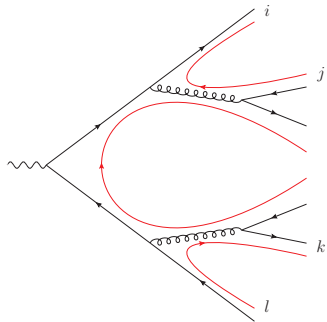
Sensitivity to parameter

$$\text{colourDisrupt} = P(\text{disrupt colour lines})$$

(as opposed to hard QCD).



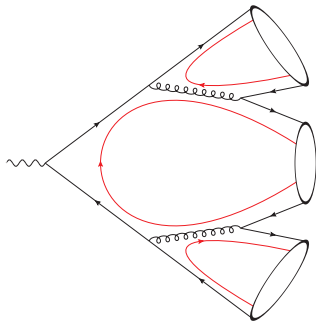
Colour reconnection (CR) in Herwig++



Extend cluster hadronization:

- ▶ QCD parton showers provide *pre-confinement* \Rightarrow colour-anticolour pairs

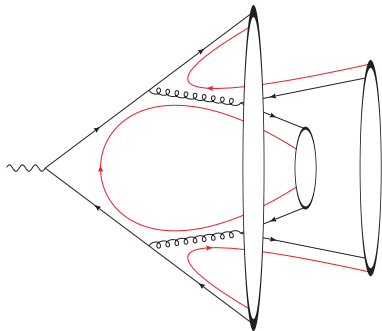
Colour reconnection (CR) in Herwig++



Extend cluster hadronization:

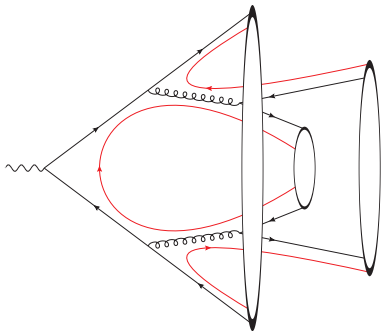
- ▶ QCD parton showers provide *pre-confinement* \Rightarrow colour-anticolour pairs
- ▶ \rightarrow *clusters*

Colour reconnection (CR) in Herwig++



Extend cluster hadronization:

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- ▶ CR in the cluster hadronization model: allow *reformation* of clusters, e.g. $(il) + (jk)$



Extend cluster hadronization:

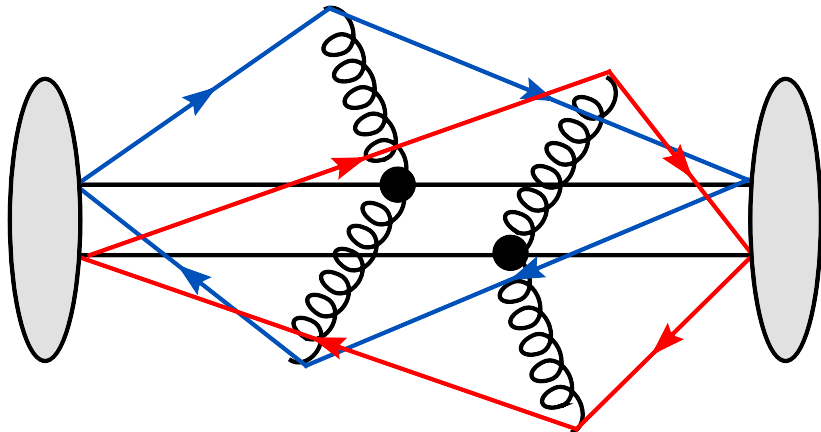
- ▶ QCD parton showers provide *pre-confinement* \Rightarrow colour-anticolour pairs
- ▶ \rightarrow *clusters*
- ▶ CR in the cluster hadronization model: allow *reformation* of clusters, e.g. $(il) + (jk)$

- ▶ Allow CR if the cluster mass decreases,

$$M_{il} + M_{kj} < M_{ij} + M_{kl},$$

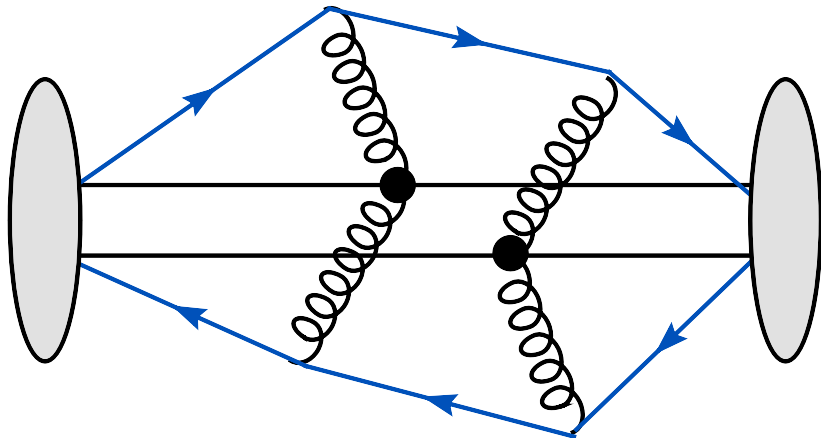
- ▶ Accept alternative clustering with probability p_{reco} (model parameter) \Rightarrow this allows to switch on CR smoothly

Colour reconnection at hadron colliders



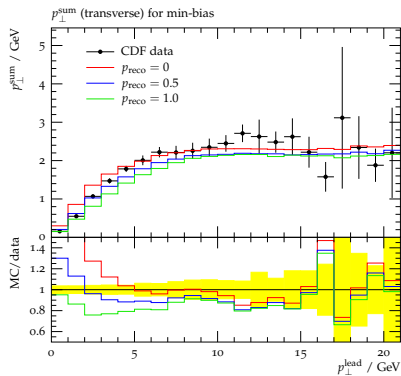
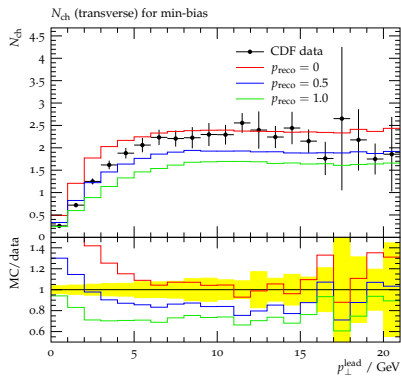
- ▶ Colour preconfinement
- ▶ Shorten colour string/lower mass clusters.

Colour reconnection at hadron colliders



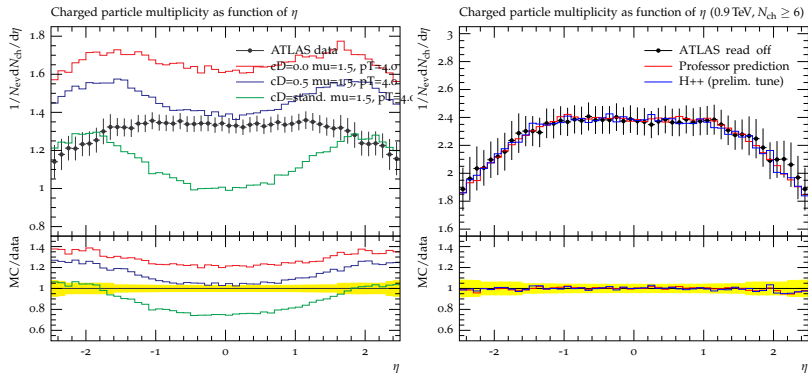
- ▶ Colour preconfinement
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A quick look at CDF data

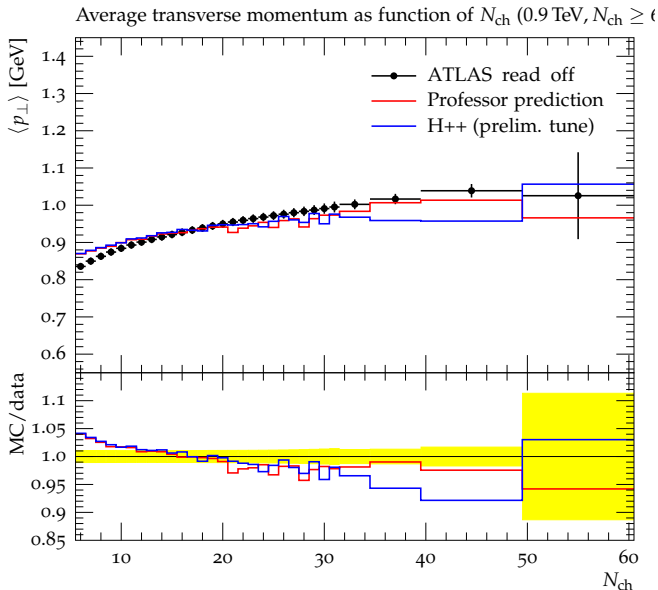


Sensitivity different for the two observables.

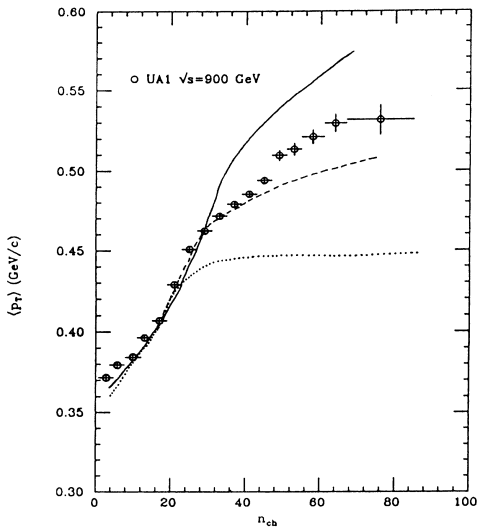
Comparison with MinBias ATLAS data (900 GeV)



Comparison with MinBias ATLAS data (900 GeV)



Colour reconnections



- ▶ Sensitivity to CR already known from UA5.
- ▶ (From Sjöstrand/van Zijl)

- ▶ MPI (with colour reconnections) currently model of choice.
- ▶ Describes averages *and* fluctuations.
- ▶ Not always universal, but all models tunable.
- ▶ soft component needed for MB modelling.
- ▶ Constraints from inclusive cross sections.
- ▶ Different emphasis on hard/soft modelling between generators.
- ▶ Many details still only models.

At hadron colliders:

- ▶ Underlying event is everywhere.
- ▶ Min bias is everywhere (pile-up).
- ▶ Both contain similar physics.
- ▶ The underlying event is “lumpy”.
It contains soft AND hard physics.
Important to get fluctuations as well as averages.
- ▶ Important effects based on Multiple Partonic Interactions.

Modelling in Herwig++:

- ▶ MPI model well capable to describe recent data.
- ▶ Colour reconnections vital for model.
- ▶ No Diffraction in model.

Monte Carlo

training studentships



3-6 month fully funded studentships for current PhD students at one of the MCnet nodes. An excellent opportunity to really understand the Monte Carlos you use!

Application rounds every 3 months.



for details go to:
www.montecarlonet.org

- ▶ Collider cross sections
- ▶ Zero bias, Min bias, Underlying event
- ▶ Inclusive \rightarrow exclusive. The structure of underlying events.
- ▶ Multiple interactions.

- ▶ Part I — Basics
 - ▶ Introduction
 - ▶ Monte Carlo techniques
- ▶ Part II — Perturbative physics
 - ▶ Hard scattering
 - ▶ Parton showers
- ▶ Part III — Merging/Matching
 - ▶ Matrix element corrections
 - ▶ Merging multiple tree level MEs with parton showers
 - ▶ Matching NLO and parton showers
- ▶ Part IV — Non-perturbative physics
 - ▶ Hadronization
 - ▶ Hadronic decays
 - ▶ Comparison to data
- ▶ Part V — Multiple Partonic Interactions
 - ▶ Minimum Bias/Underlying Event in data
 - ▶ Modelling