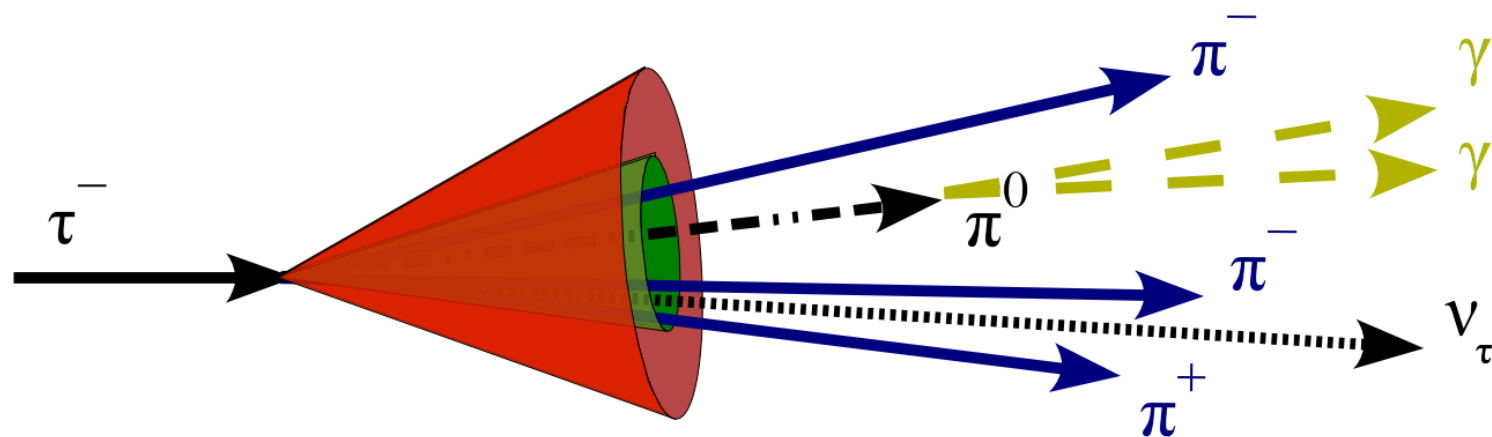


Tau Reconstruction & Decay Mode Classification in ATLAS

Christian Limbach

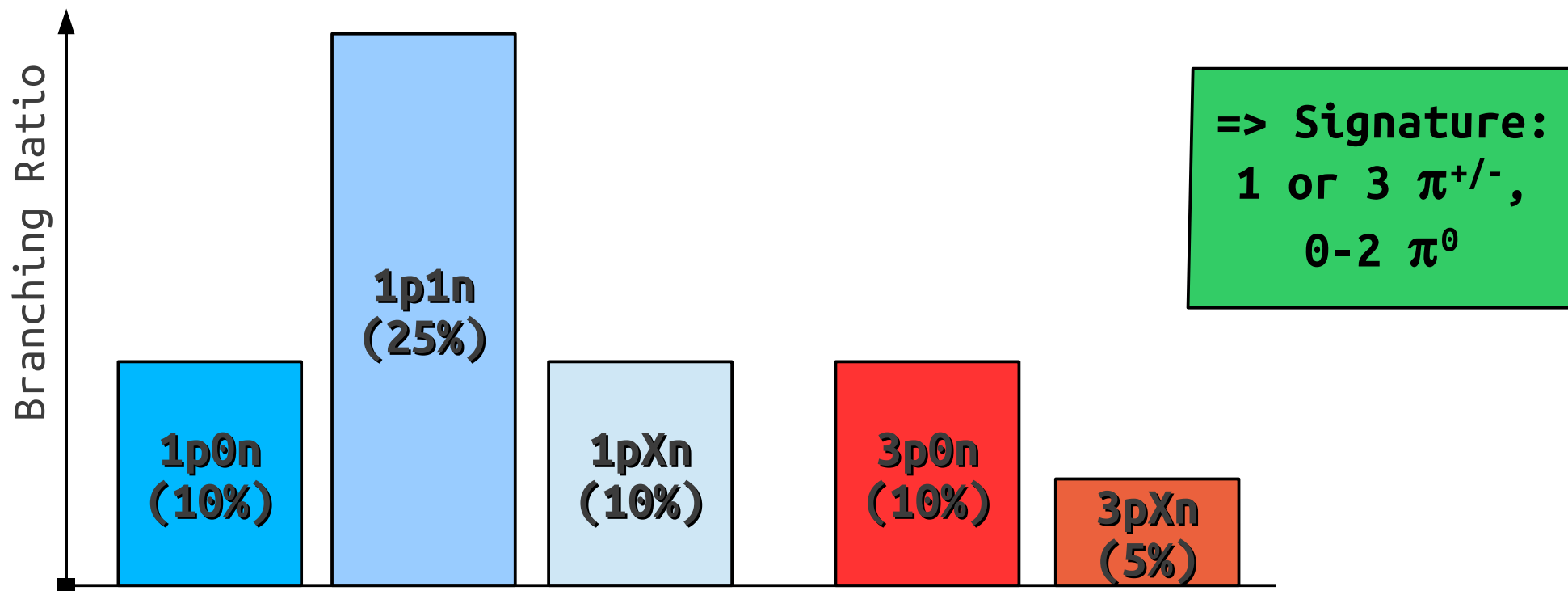
Tau Decay



- **Current approach:**
Based on calorimeter, subsequent determination of decay mode
- **These studies:**
Particle Flow approach, trying to reconstruct and identify all particles in the tau decay.
- **Motivation / Benefits:**
 - Using different subdetectors for different particles improves energy and directional resolution
 - Tau-Jet discrimination profits from knowing the decay mode
 - Pure samples of $1\pi^0n$, $1\pi^1n$, $3\pi^0n$ modes important for polarisation studies

Tau Decay Modes

- Most important modes (rough branching ratios):
 - „1p0n“ (10%): $\tau^+ \rightarrow \pi^+ \nu_\tau$
 - „1p1n“ (25%): $\tau^+ \rightarrow \pi^+ \pi^0 \nu_\tau$
 - „1pXn“ (10%): $\tau^+ \rightarrow \pi^+ \pi^0 \pi^0 \nu_\tau$ (and $\tau^+ \rightarrow \pi^+ x\pi^0 \nu_\tau$ where $x > 2$)
 - „3p0n“ (10%): $\tau^+ \rightarrow \pi^+ \pi^+ \pi^- \nu_\tau$
 - „3pXn“ (5%): $\tau^+ \rightarrow \pi^+ \pi^+ \pi^- \pi^0 \nu_\tau$ (and $\tau^+ \rightarrow \pi^+ \pi^+ \pi^- x\pi^0 \nu_\tau$ with $x > 1$)
- Decays into electrons / muons are not considered
- Rare decays into Kaons possible (but neglected)



Particle Flow in Taus

- **Two step process:**

- A) Run a particle flow algorithm.

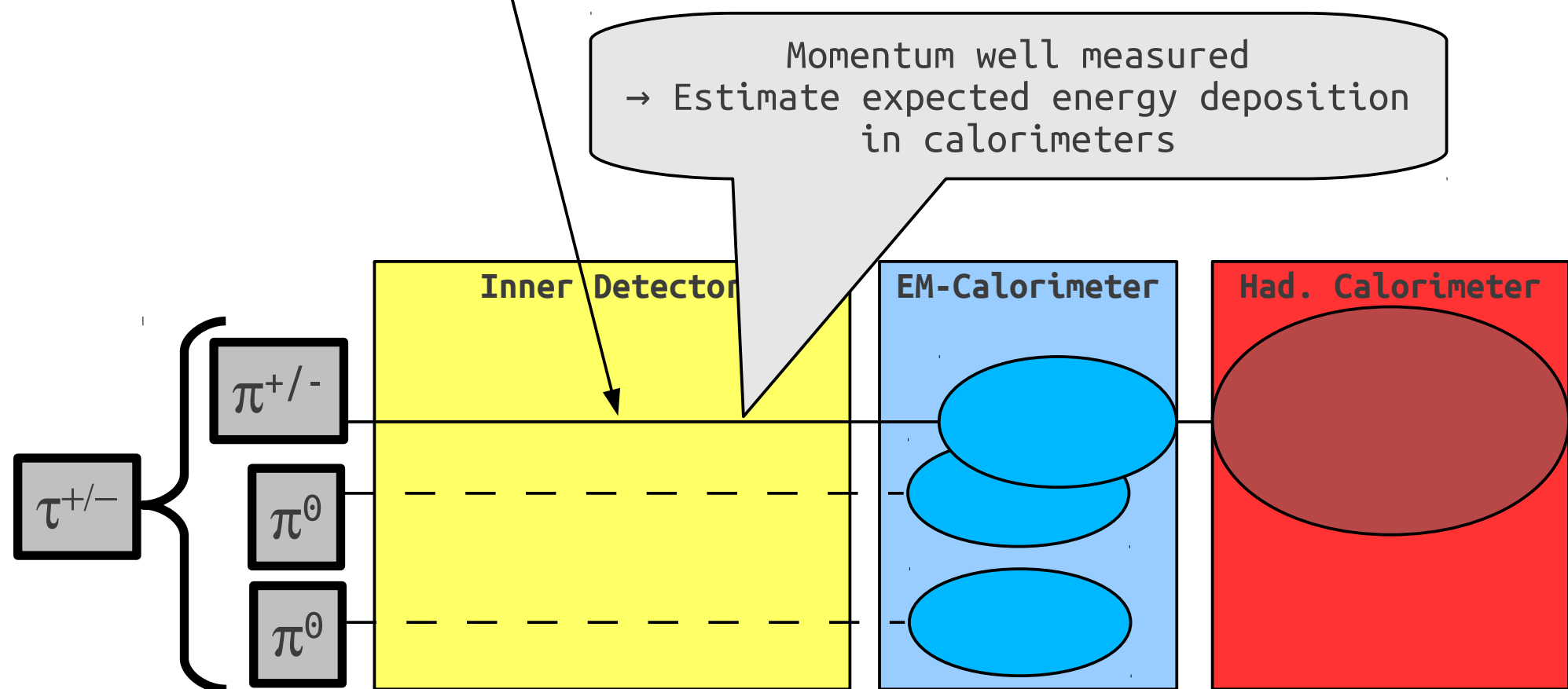
- Two algorithms available in ATLAS, „eflowRec“ and „CellBased“.
 - **eflowRec**: Generic particle flow algorithm, not tau-specific.
 - **CellBased**: Specifically developed for taus.
 - Both methods identify neutral pions
 - CellBased uses information from the „Strip Layer“ ('shots')

- B) Apply an additional logic to recover taus which were wrongly classified (**PanTau**), using BDTs.

- Action based on decay mode given by counting objects
 - Use global tau variables to help in 'hard' cases, like $1p0n$ -vs- $1p1n$.
 - Optimized to maximize total fraction of correctly identified taus

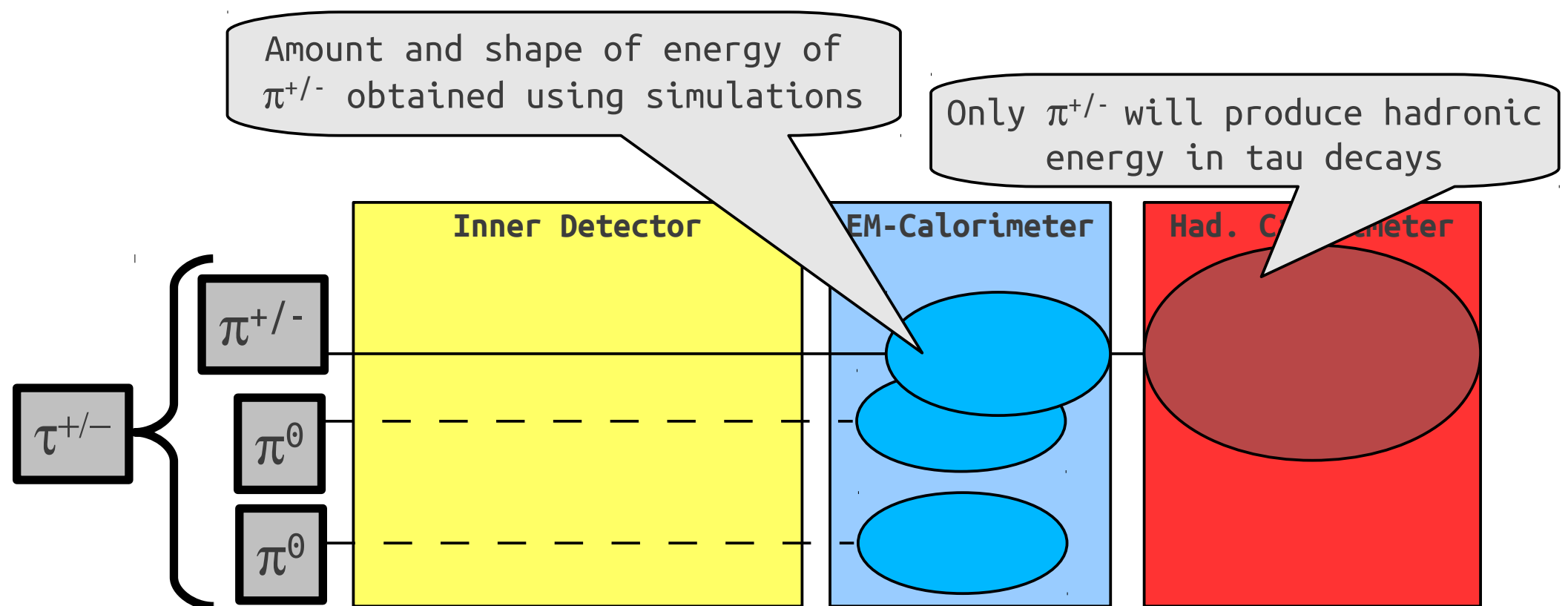
Particle Flow in Taus

1.) Identify $\pi^{+/-}$ using their tracks



Particle Flow in Taus

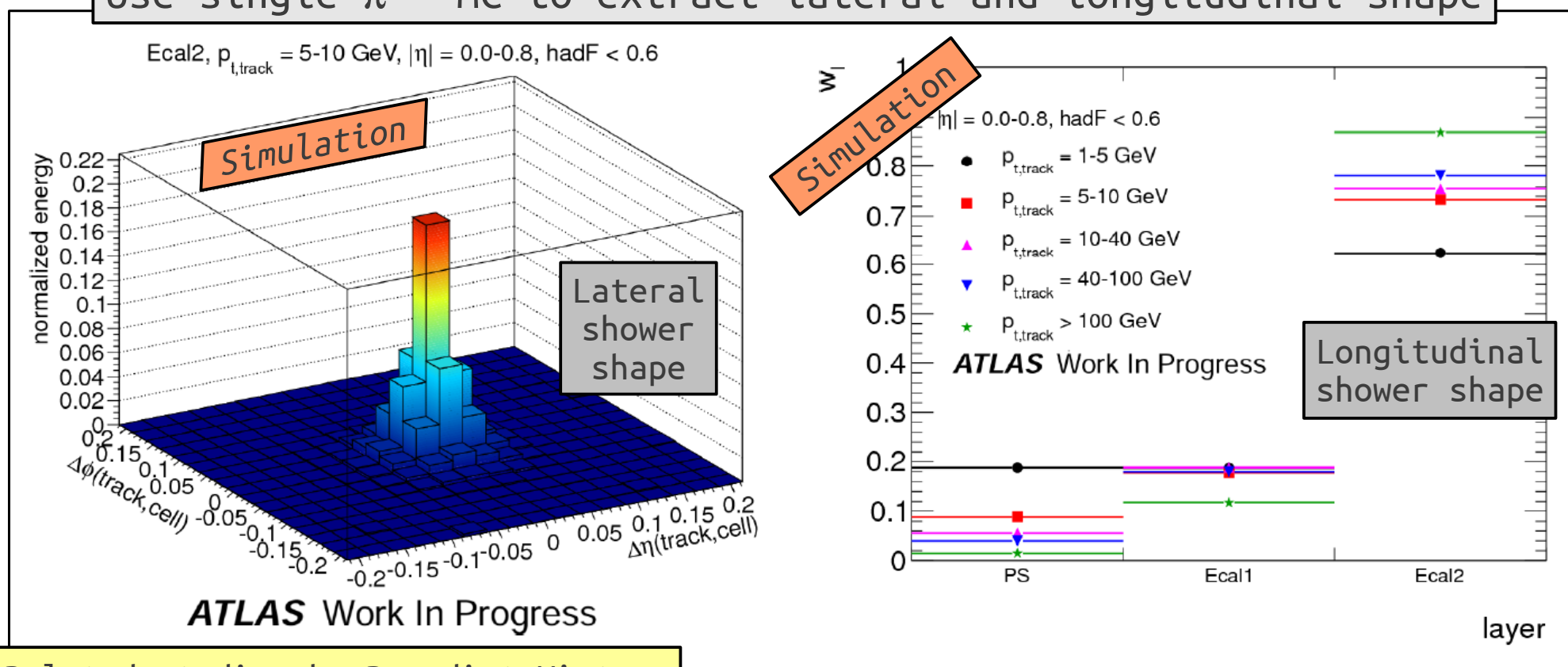
- 1.) Identify $\pi^{+/-}$ using their tracks
- 2.) Estimate energy in EM-Calorimeter due to $\pi^{+/-}$



Particle Flow in Taus

- 1.) Identify $\pi^{+/-}$ using their tracks
- 2.) Estimate energy in EM-Calorimeter due to $\pi^{+/-}$

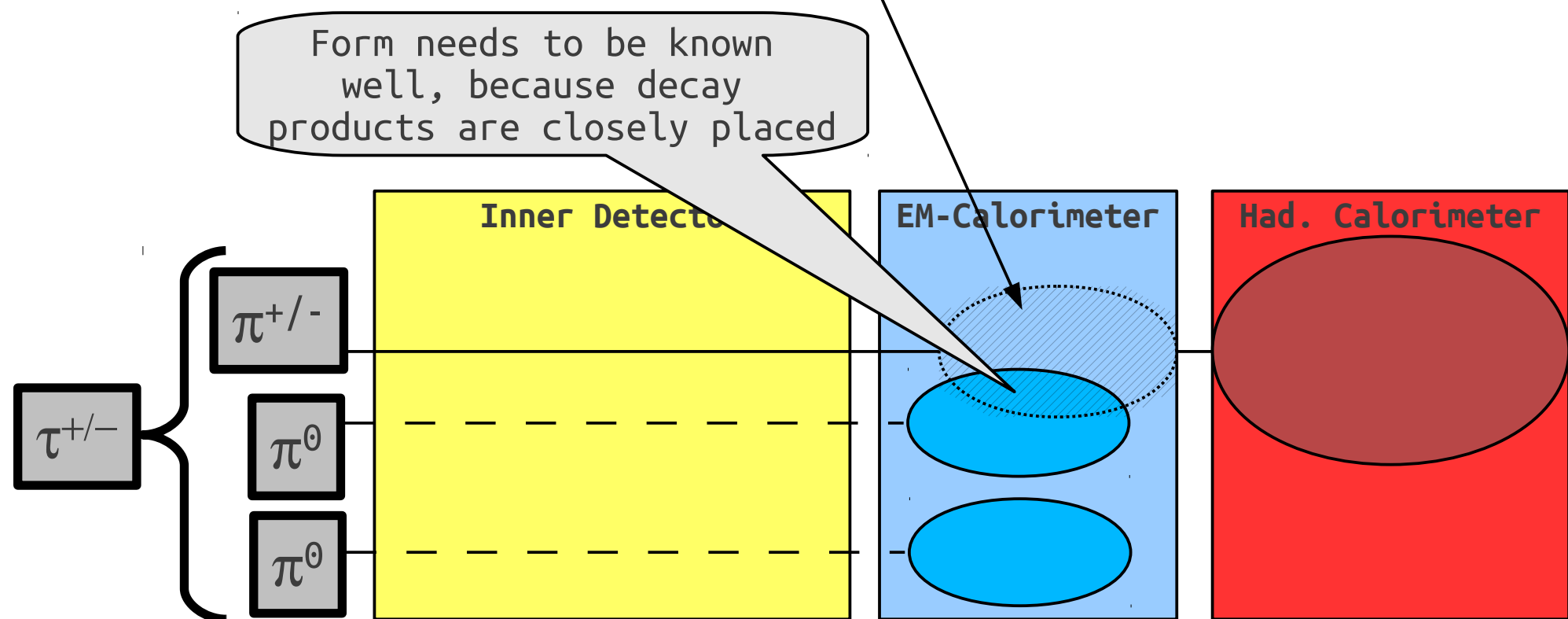
Details: Shower Shapes from MC in **CellBased** Algorithm.
Use single $\pi^{+/-}$ -MC to extract lateral and longitudinal shape



Related studies by Benedict Winter

Particle Flow in Taus

- 1.) Identify $\pi^{+/-}$ using their tracks
- 2.) Estimate energy in EM-Calorimeter due to $\pi^{+/-}$
- 3.) Subtract energy distribution from EM-Calorimeter



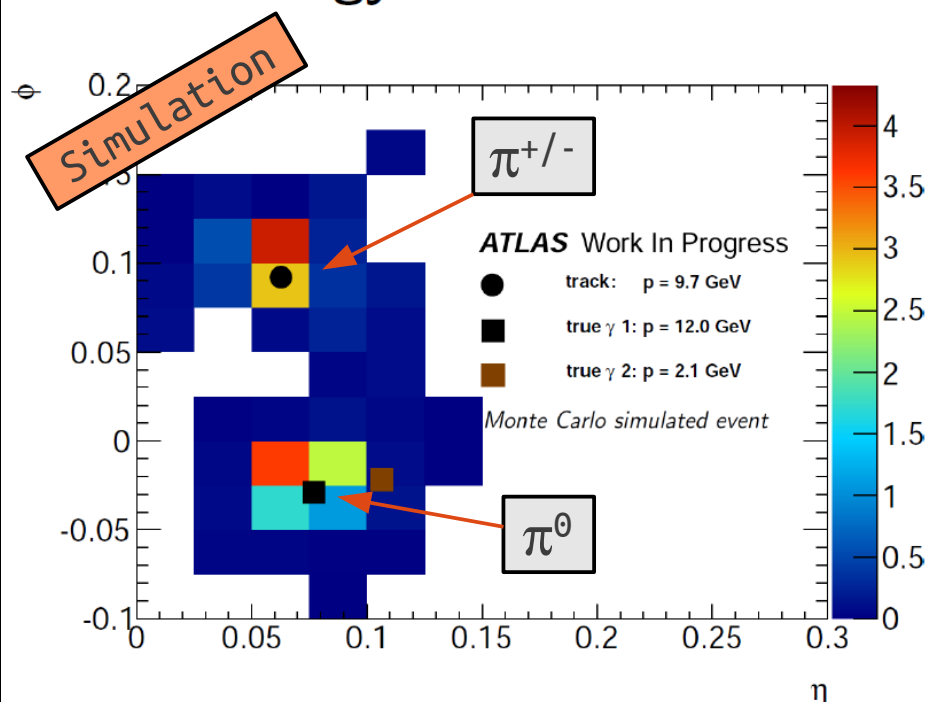
Particle Flow in Taus

- 1.) Identify $\pi^{+/-}$ using their tracks
- 2.) Estimate energy in EM-Calorimeter due to $\pi^{+/-}$
- 3.) Subtract energy distribution from EM-Calorimeter

Details: Subtraction in CellBased Algorithm

Lateral energy distribution in Ecal:

Procedure:



Remove $\pi^{\pm}$ shower in Ecal cell by cell.
Use averaged hadronic **shower shapes**

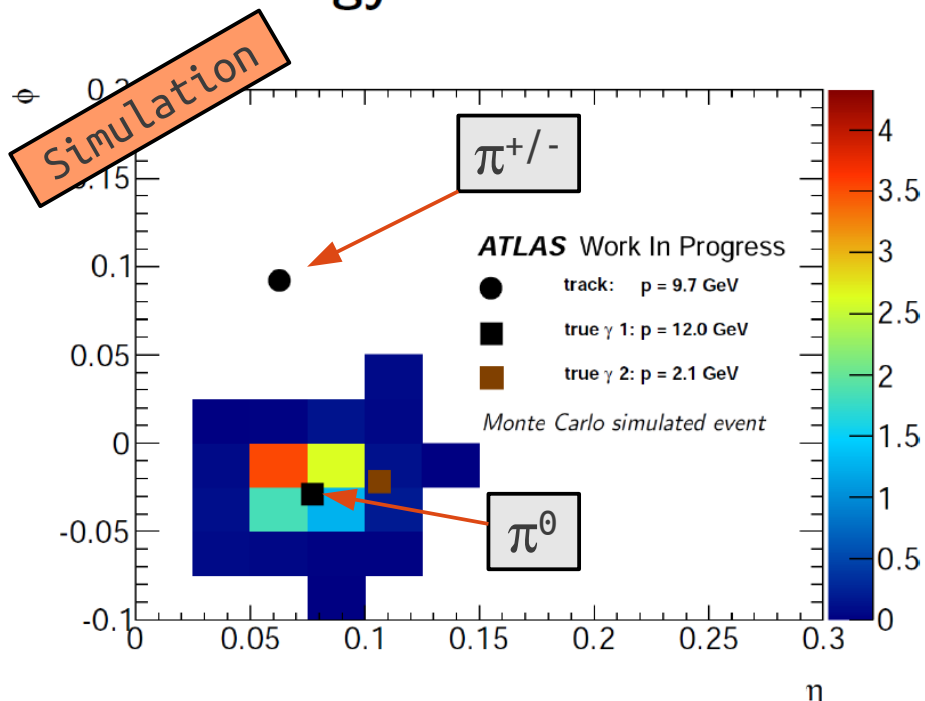
Related studies by Benedict Winter

Particle Flow in Taus

- 1.) Identify $\pi^{+/-}$ using their tracks
- 2.) Estimate energy in EM-Calorimeter due to $\pi^{+/-}$
- 3.) Subtract energy distribution from EM-Calorimeter

Details: Subtraction in CellBased Algorithm

Lateral energy distribution in Ecal:



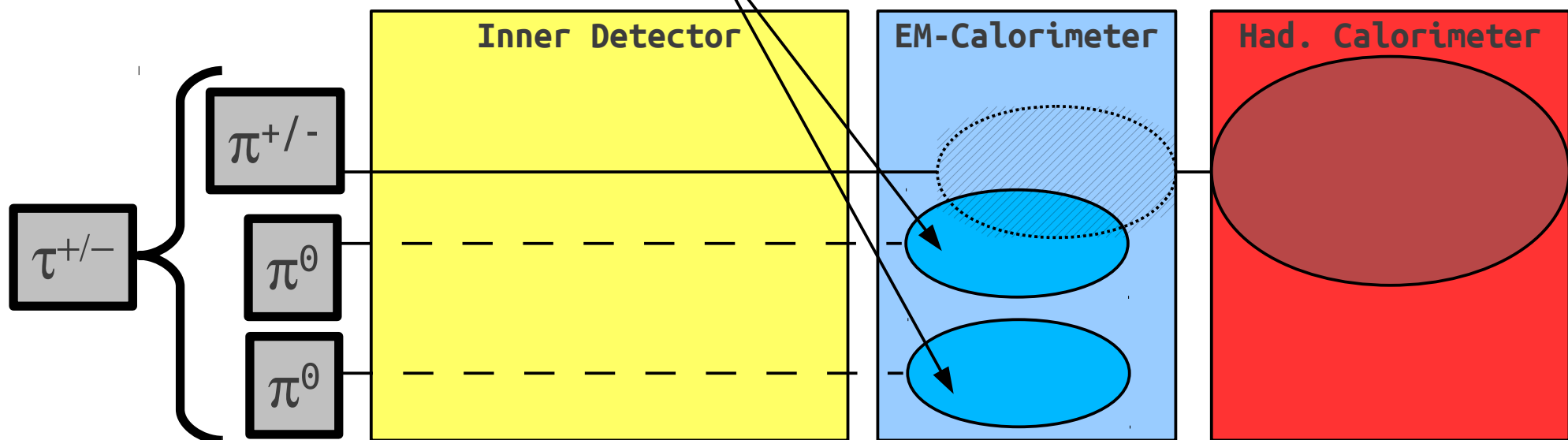
Procedure:

Remove $\pi^{\pm}$ shower in Ecal cell by cell.
Use averaged hadronic **shower shapes**

Related studies by Benedict Winter

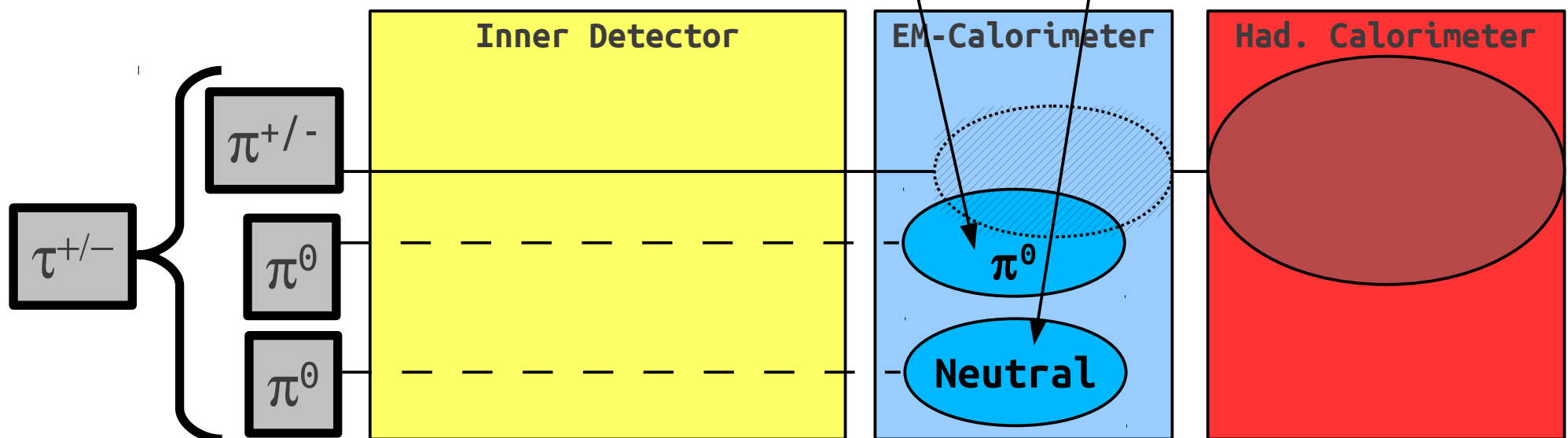
Particle Flow in Taus

- 1.) Identify $\pi^{+/-}$ using their tracks
- 2.) Estimate energy in EM-Calorimeter due to $\pi^{+/-}$
- 3.) Subtract energy distribution from EM-Calorimeter
- 4.) Remaining energy in EM-Calorimeter is only due to neutral particles



Particle Flow in Taus

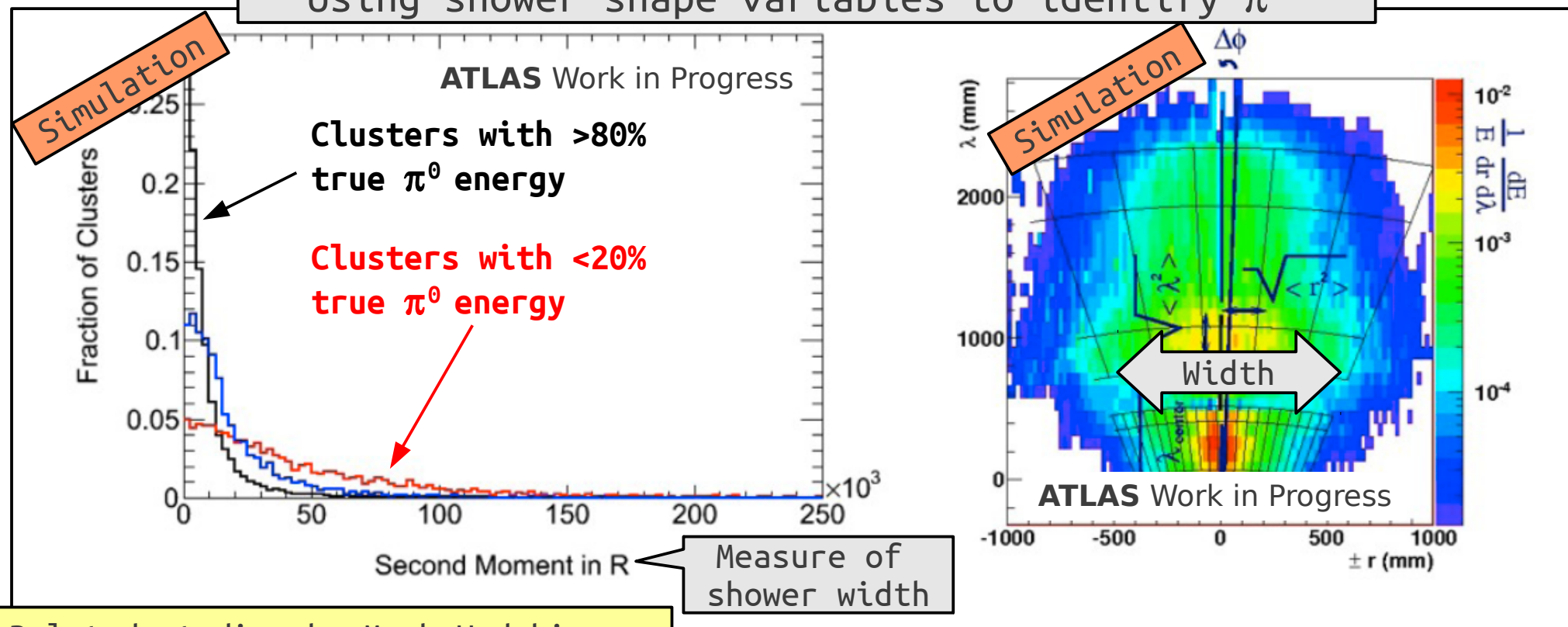
- 1.) Identify $\pi^{+/-}$ using their tracks
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- 3.) Subtract energy distribution from EM-Calorimeter
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- 5.) Identify neutral clusters as π^0 (or as non- π^0 called 'neutrals')



Particle Flow in Taus

- 1.) Identify $\pi^{+/-}$ using their tracks
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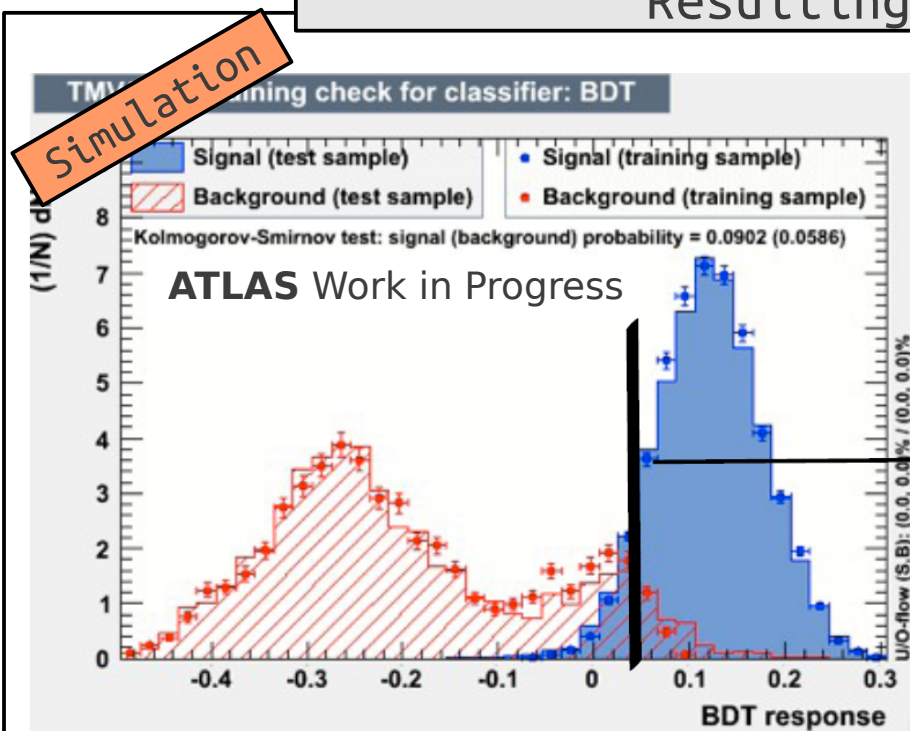
Details: π^0 -identification in **eflowRec** Algorithm
Using shower shape variables to identify π^0



Particle Flow in Taus

- 1.) Identify $\pi^{+/-}$ using their tracks
- 2.) Estimate energy in EM-Calorimeter due to $\pi^{+/-}$
- 3.) Subtract energy distribution from EM-Calorimeter
- 4.) Remaining energy in EM-Calorimeter is only due to neutral particles
- 5.) Identify neutral clusters as π^0 (or as non- π^0 called 'neutrals')

Details: π^0 -identification in **eflowRec** Algorithm
Resulting BDT distribution



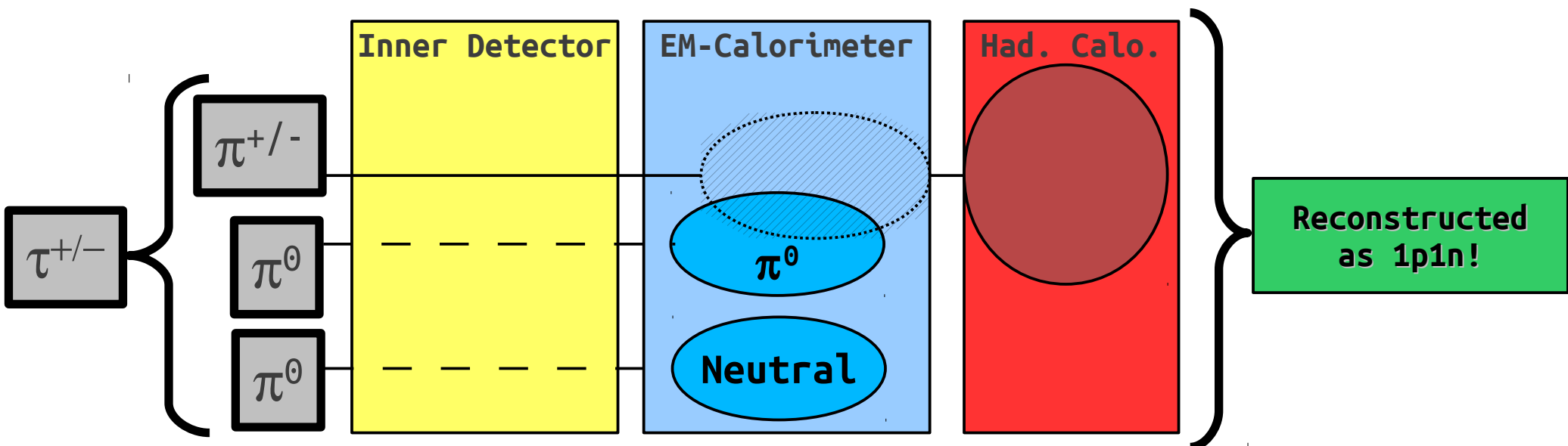
Neutral EFO is π^0 tagged
when BDT value > 0.05

Note: We moved to using a E_T
binned cut on the π^0 -BDT

Related studies by Mark Hodgkinson

Particle Flow in Taus

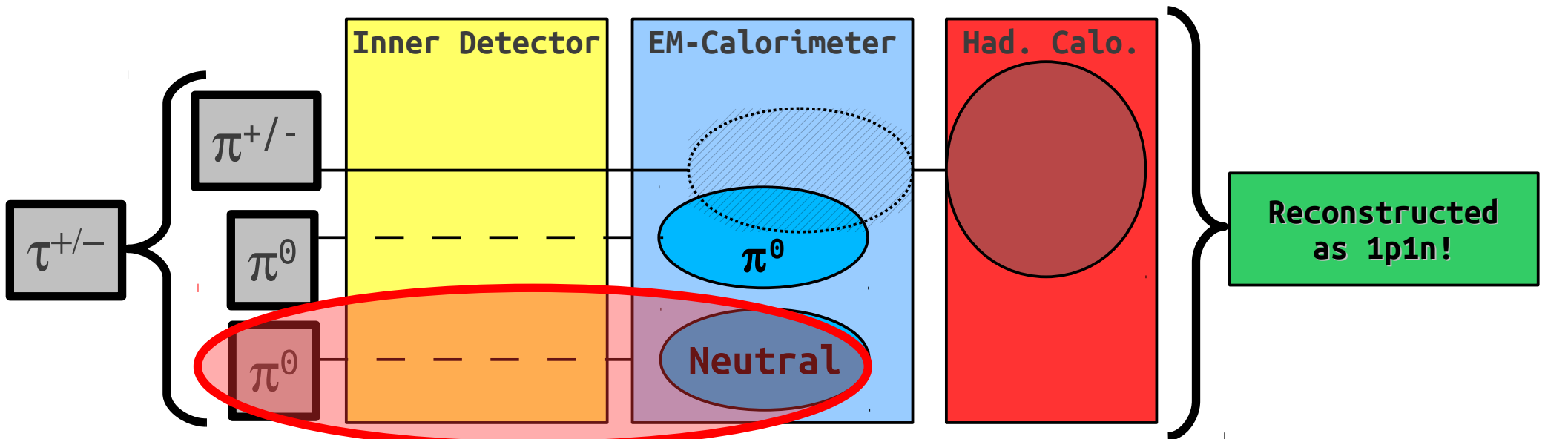
- 1.) Identify $\pi^{+/-}$ using their tracks
- 2.) Estimate energy in EM-Calorimeter due to $\pi^{+/-}$
- 3.) Subtract energy distribution from EM-Calorimeter
- 4.) Remaining energy in EM-Calorimeter is only due to neutral particles
- 5.) Identify neutral clusters as π^0 (or as non- π^0 called 'neutrals')
- 6.) Tracks in the tracker and clusters in EM-Calo identified as π^0 correspond the $\pi^{+/-}$ and π^0 in the tau decay



Particle Flow in Taus

Problem: Mistagging of π^0 will happen

- A true π^0 was not tagged as such and will not be considered when counting decay products (red eclipse)

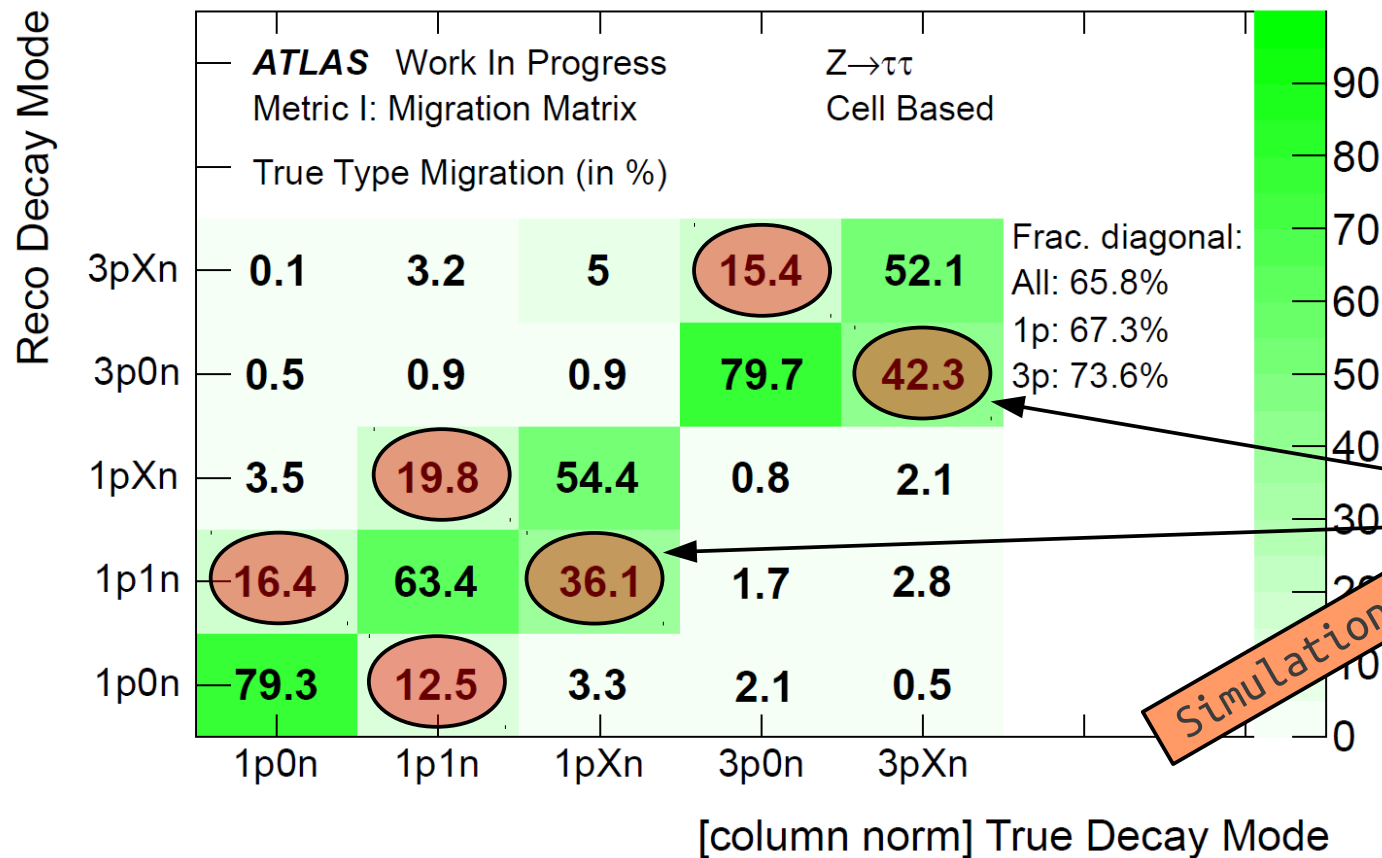


Particle Flow in Taus

Problem: Mistagging of π^0 will happen

- Oversubtraction or merging of clusters can lead to too few π^0
- Pile up or cluster splitting can lead to too many π^0

Classification Performance of CellBased

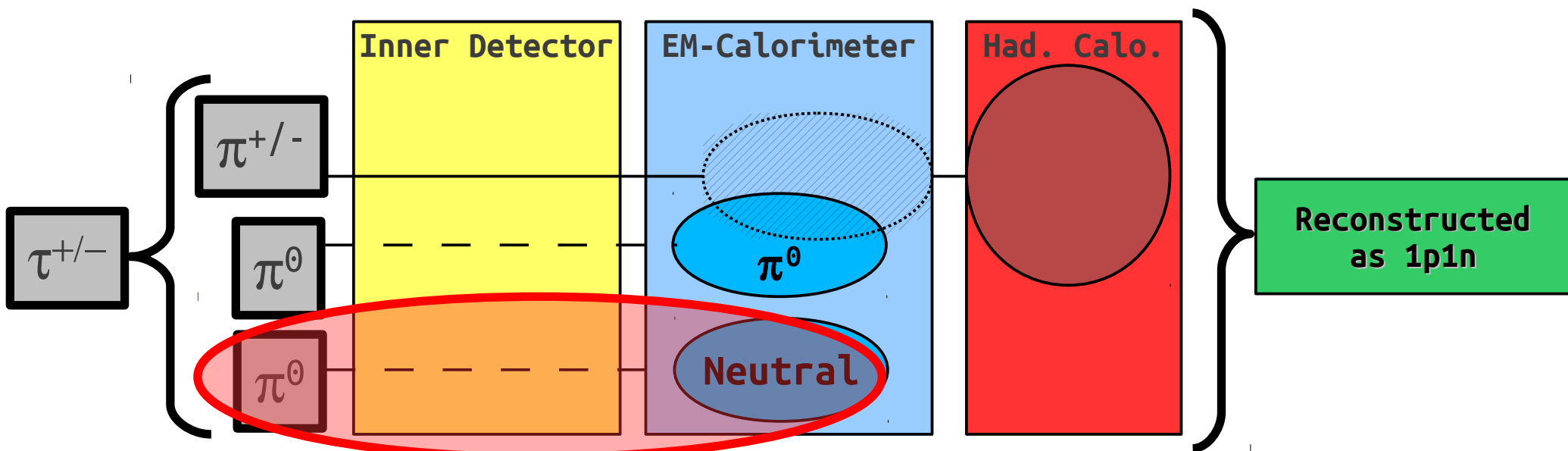


„Efficiency Matrix“
Entries in percent.
Each column adds to 100%.

Next-to-diagonal
elements cause
most trouble.
Otherwise counting
works reasonably
well.

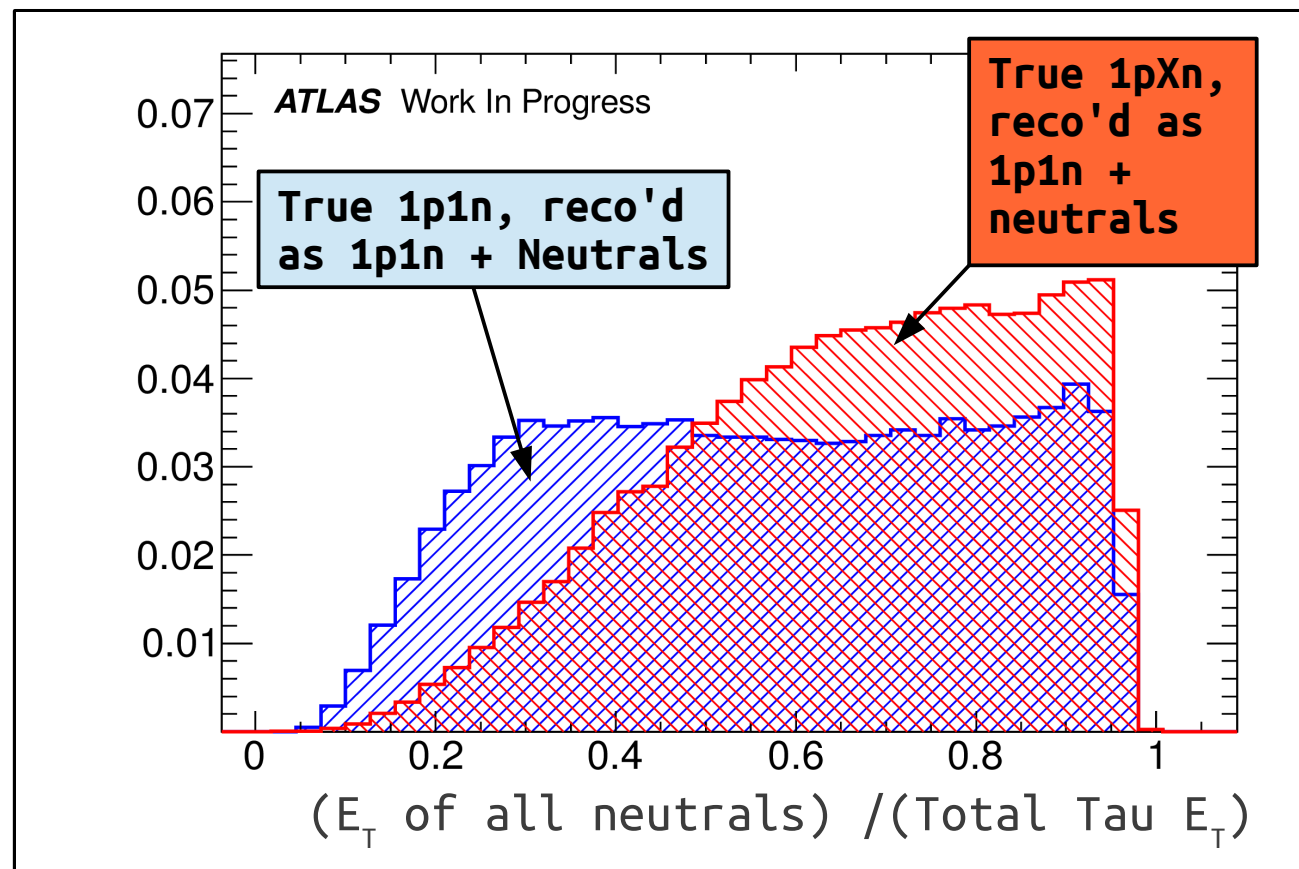
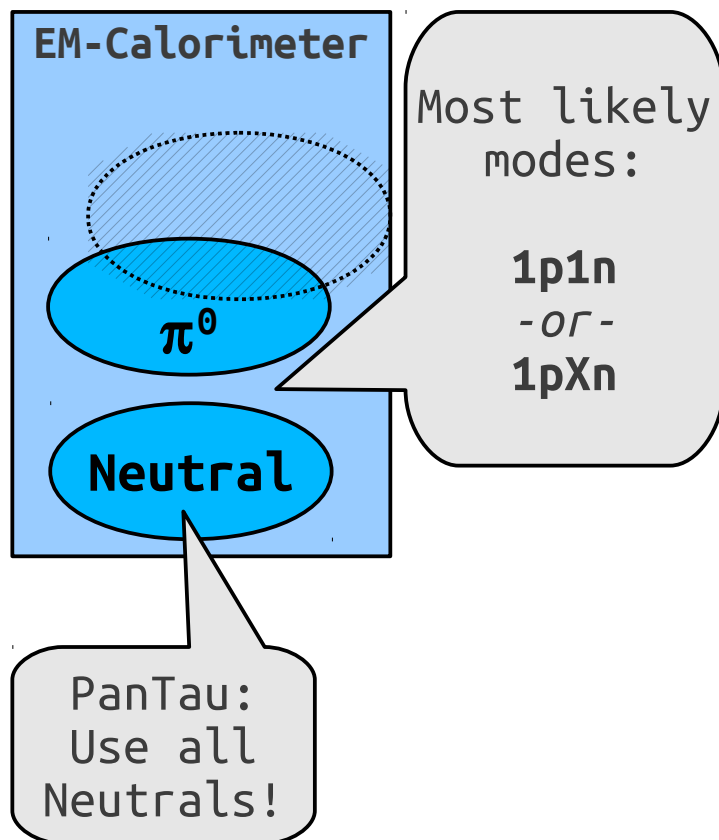
Particle Flow in Taus

- **Problem:** Mistagging of π^0 will happen
- For example, the second π^0 was not identified (red eclipse)
- Indeed, this is the main cause for misclassification (prev. slide)
- **Solution:**
 - The tau contains more information than the number of its decay products
 - Apply additional algorithm to use all neutral particles and to calculate kinematic variables using the complete tau
 - With this information, revisit decay mode classification and alter the decay mode, if necessary
→ PanTau



PanTau ansatz

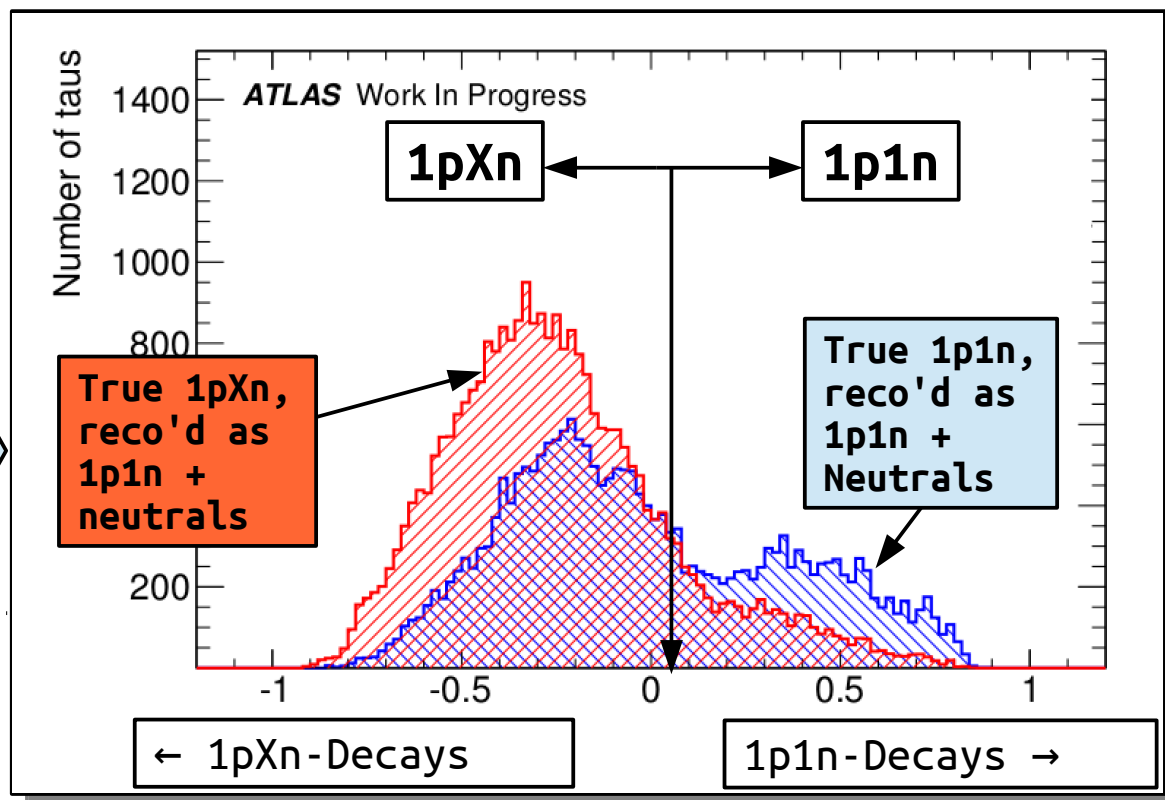
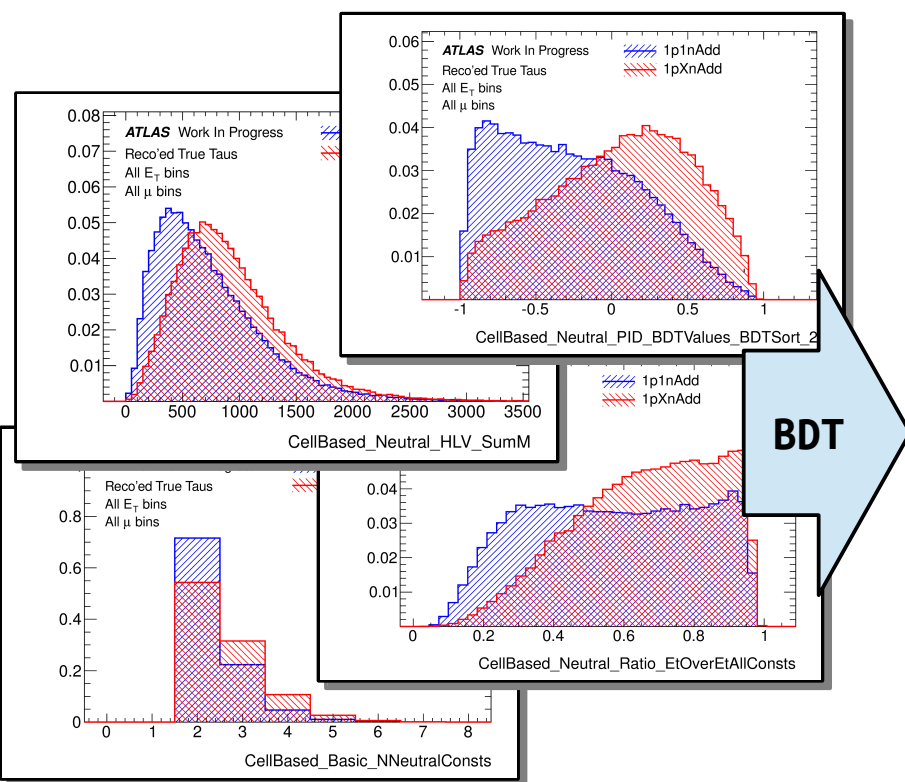
- Consider all reconstructed decay products:
 - Use both: neutrals and π^0 .
 - Also use the $\pi^{+/-}$.
- Calculate variables that make use of more than one particle:
 - Example: Ratio of E_T in all neutrals and E_T of all particles
- Compare variables for the two most likely modes



Combination of variables

- Many such variables are calculated, several show residual separation in addition to particle flow based counting.
- Variables are combined using BDTs
- Example: Tau with $1\pi^{+/-}$, $1\pi^0$, 1 neutral is tested to be 1p1n or 1pXn
- Depending on BDT score (say -0.2), the decay mode is changed (fixed)

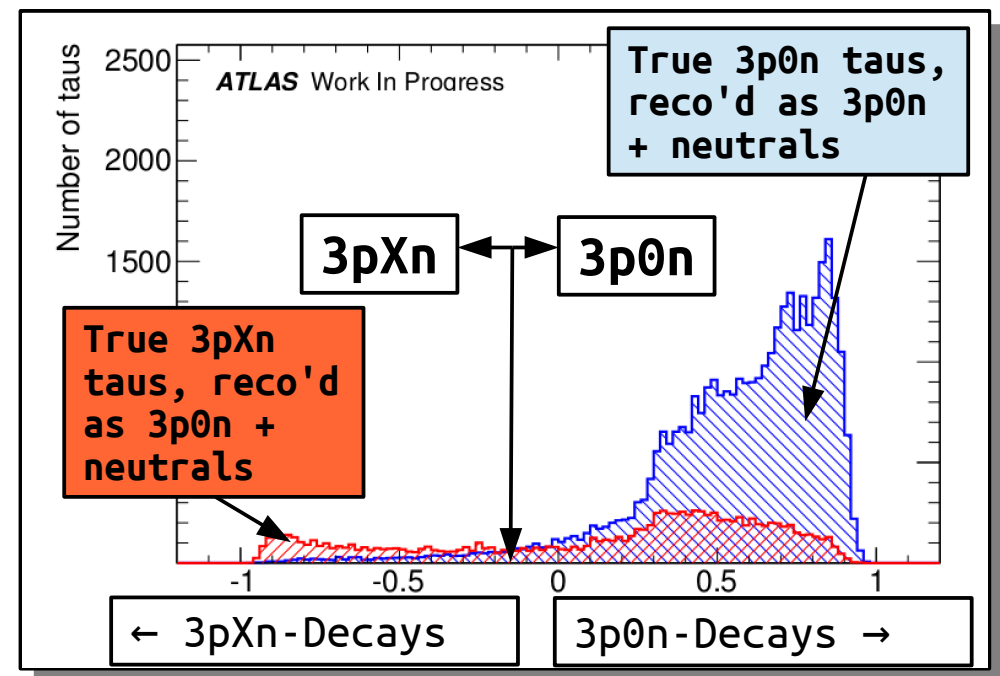
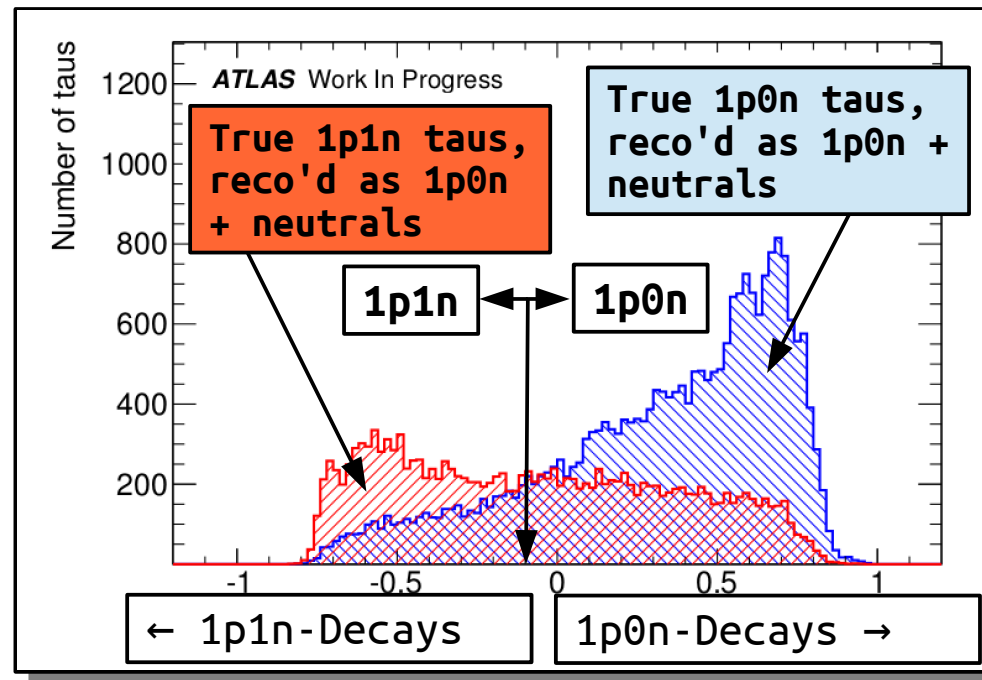
→ Net gain in number of correctly classified taus



Additional BDTs (1p0n and 3p0n)

Similar tests are performed in case of a reco tau with...

- 1 $\pi^{+/-}$, 0 π^0 , 1 neutral $\rightarrow$ Test 1p0n -vs- 1p1n
- 3 $\pi^{+/-}$, 0 π^0 , 1 neutral $\rightarrow$ Test 3p0n -vs- 3pXn
- Analog tests in case every neutral is a π^0



Quick summary:

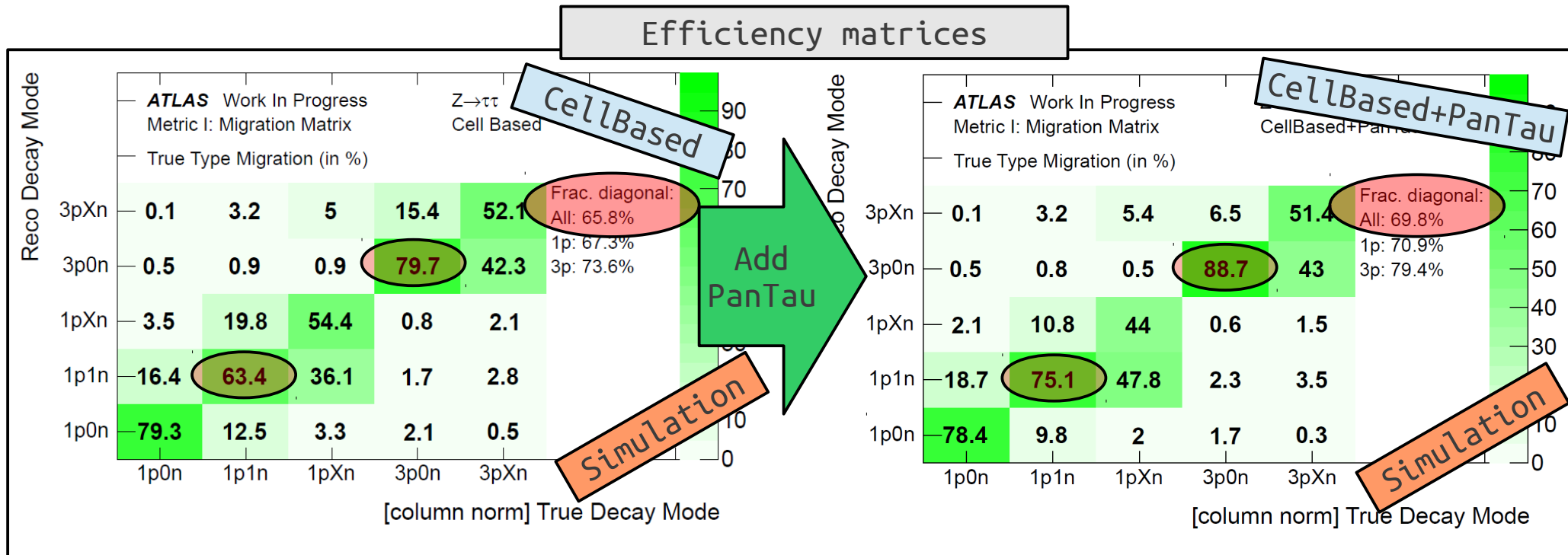
Using kinematic variables, PanTau checks if...

- ... a π^0 actually is a π^0
- ... a neutral may have been a π^0 .

The decay mode is changed accordingly.

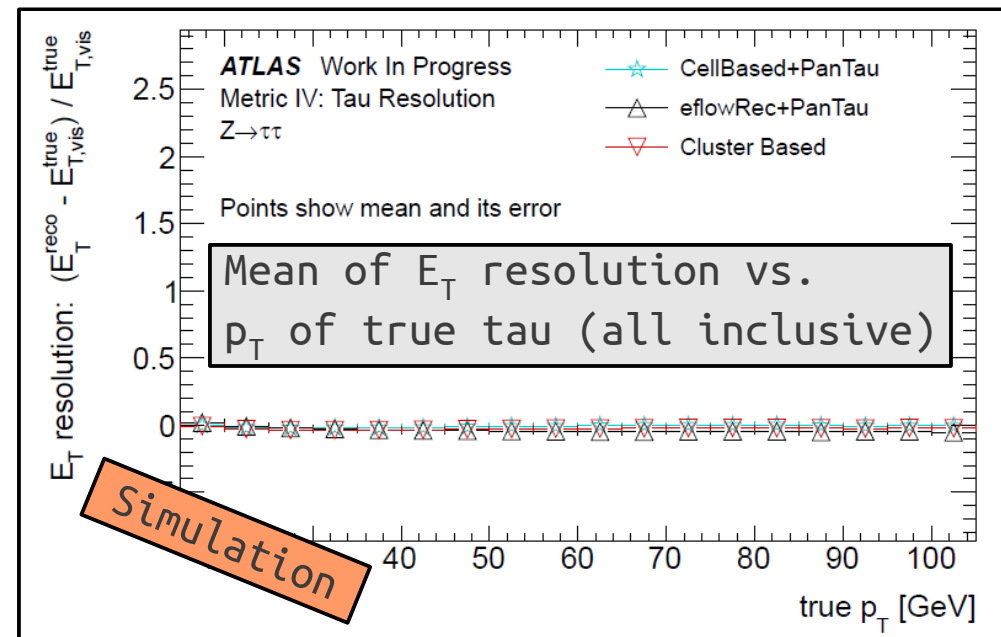
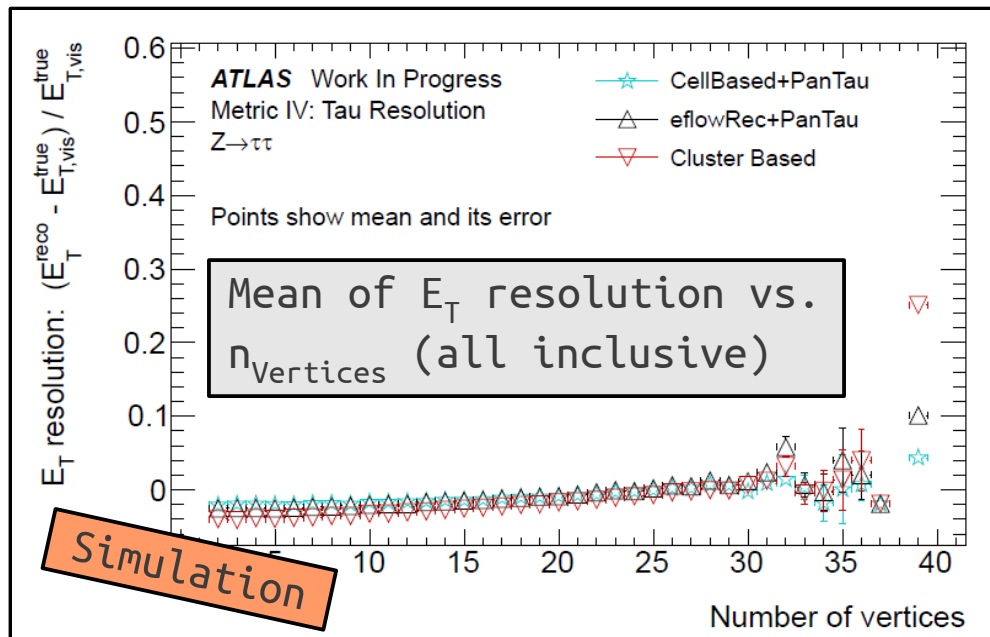
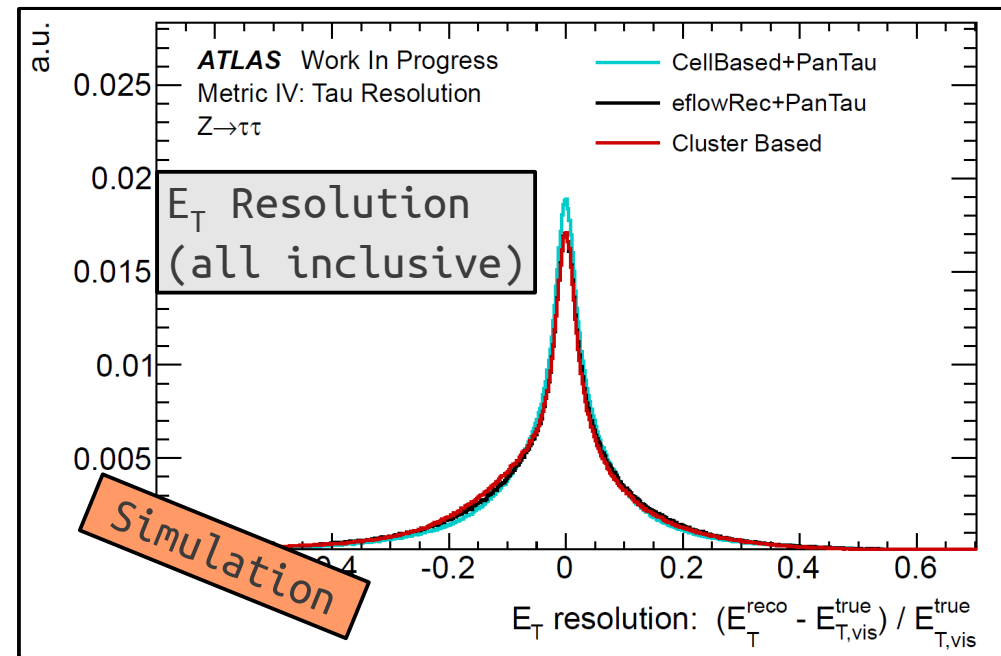
Results: Mode efficiencies

- „Figure of Merit“, FoM: Fraction of correctly classified taus
 - Note: FoM is roughly 55% when just setting 1-track taus to 1p1n and 3-track taus to 3p0n (because of branching ratios)
- CellBased (similar for eflowRec) improves FoM by ~10%
- PanTau improves FoM by an additional 4%
- Biggest improvement in 1p1n efficiency, which compensates the 1pXn $\rightarrow$ 1p1n migration in terms of purity
- The same is true for 3p0n

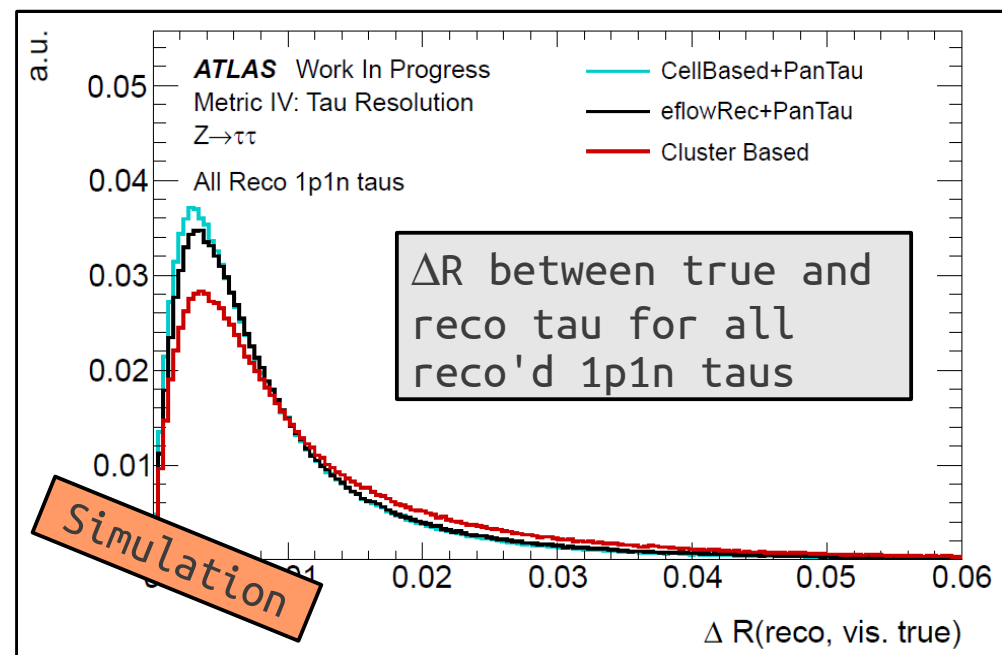
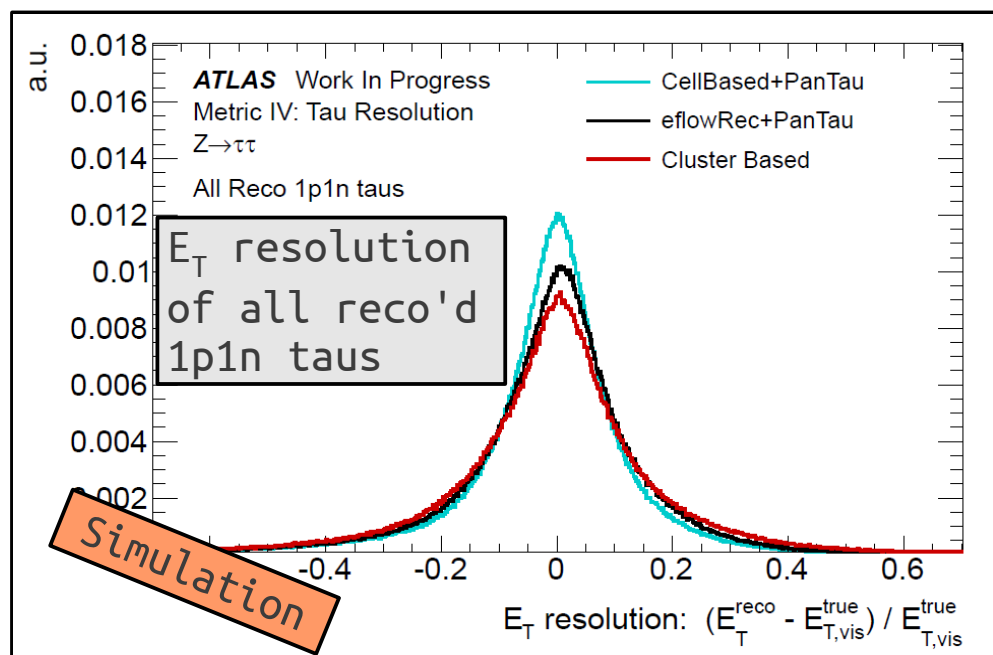
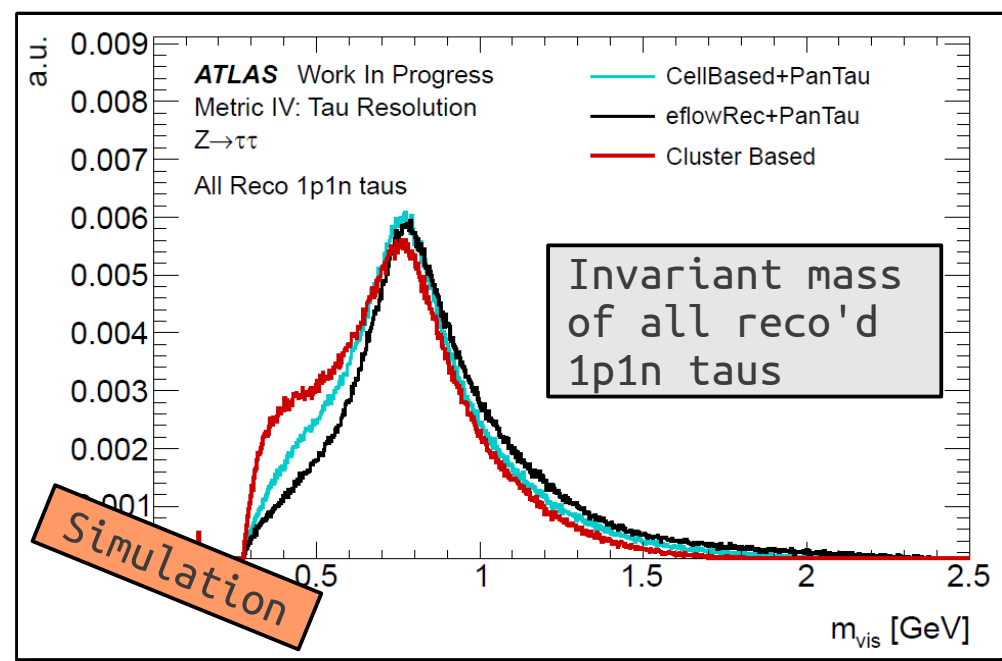
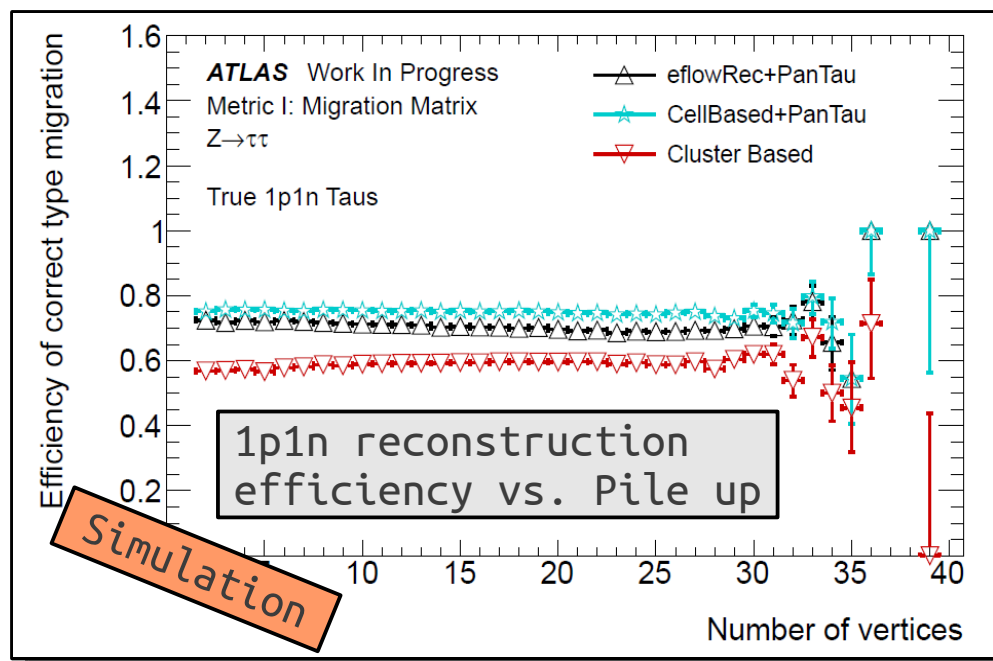


Results: Energy resolution

- Energy resolution $\sim < 10\%$
- No dependence on tau p_T
- Few percent increase of mean E_T over 35 vertices:
 - No dedicated pile up correction implemented yet
 - Pile up suppressed by E_T cut on tau decay products

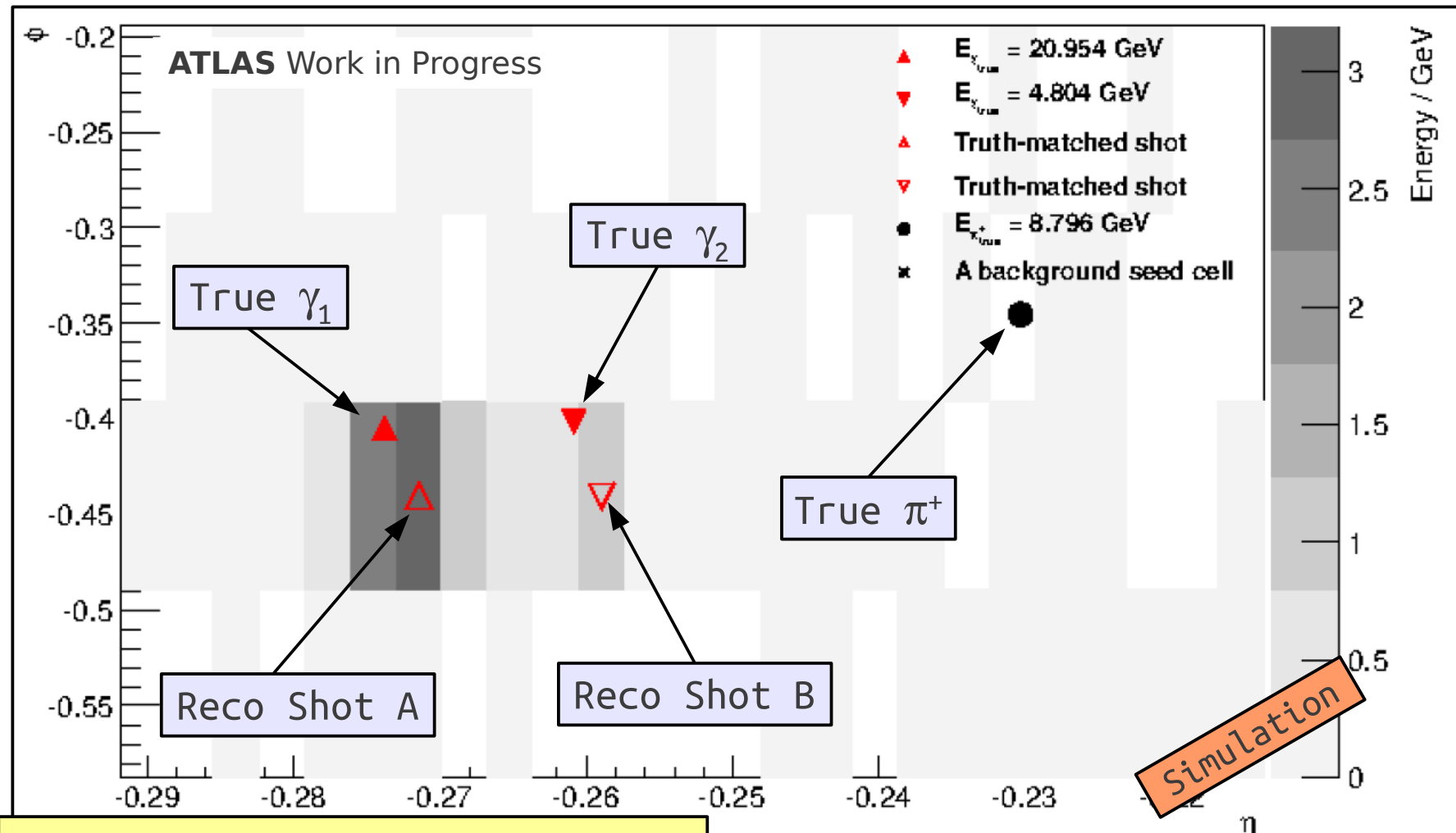


Results: 1p1n Taus



Additional studies: „Shots“

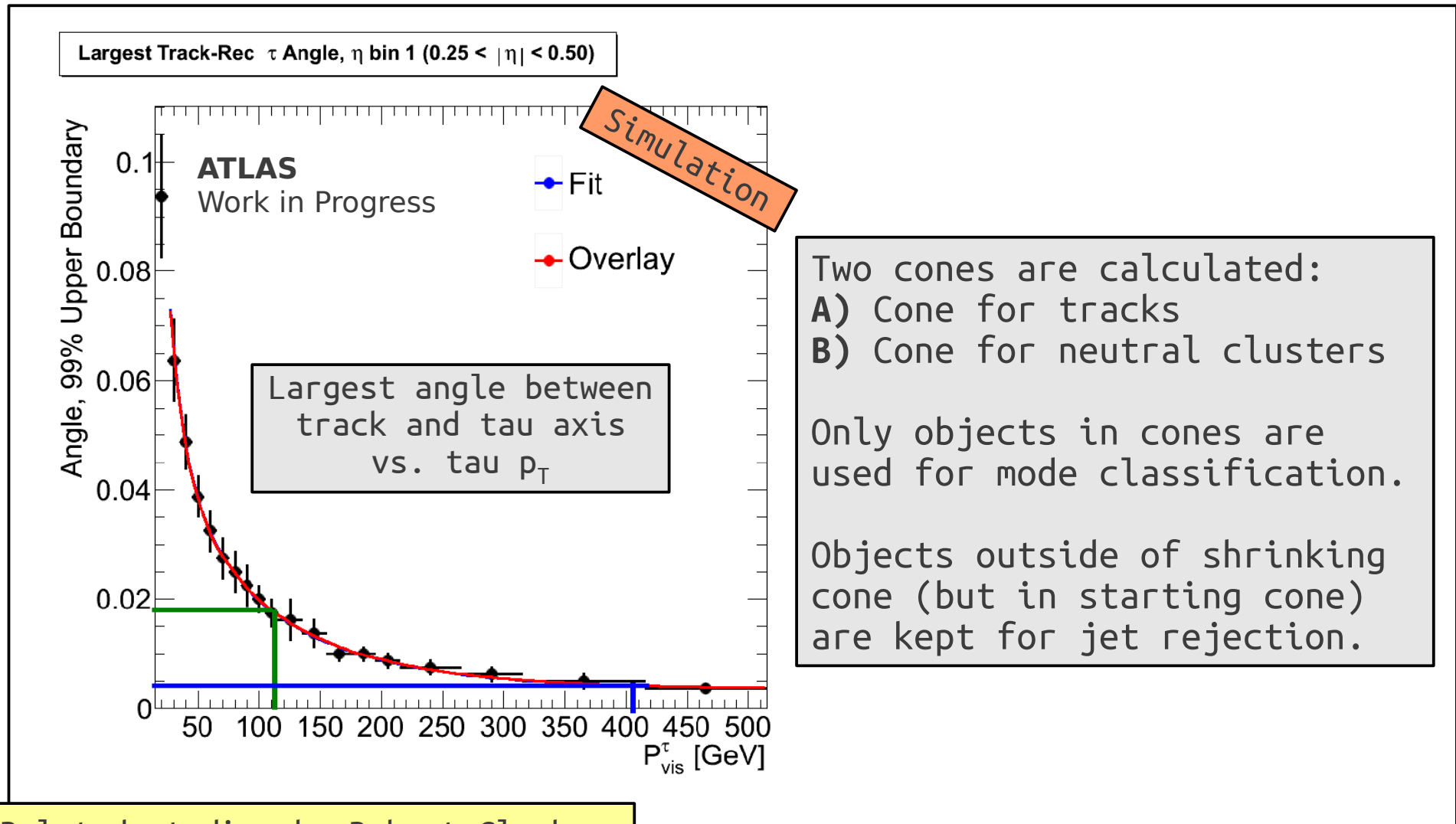
- Exploit high granularity in ECAL1 (Strip Layer) to count single photons from π^0 decay
- Helps to identify π^0 clusters (require at least 2 photons) and may also be used for high p_T (better (worse) Calo (tracking) resolution)



Related studies by Stephanie Yuen

Additional studies: Shrinking Cone

- With increasing energy, decay products are more and more colimated
- Adapt cone size used to select decay products around axis to suppress contributions from pile up

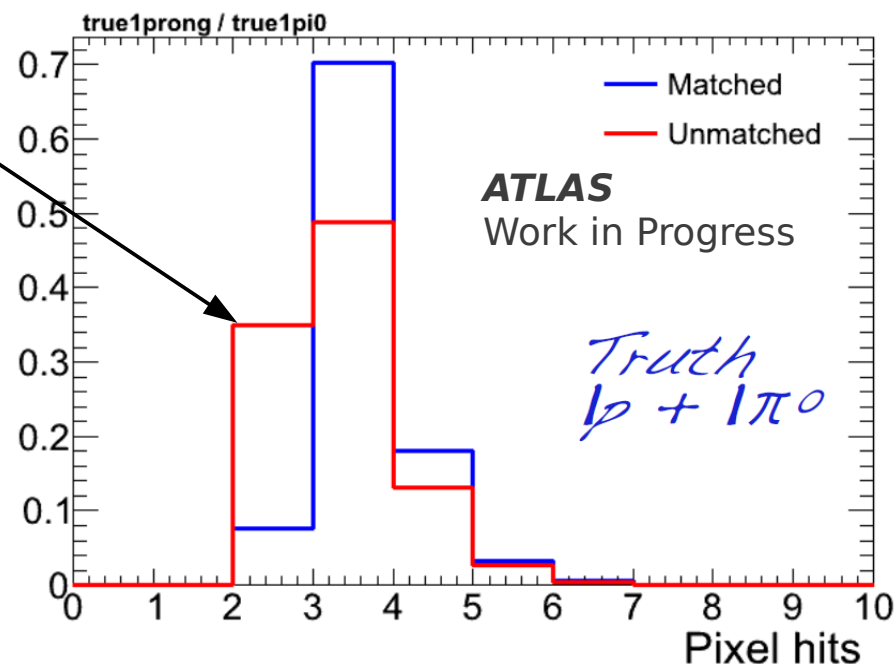
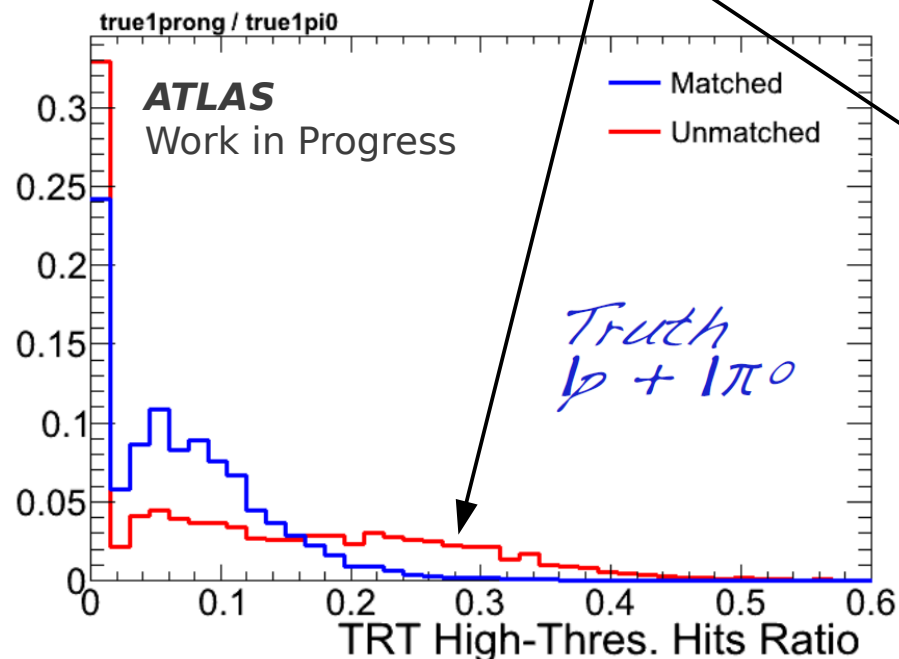


Additional studies: Conversions

- The π^0 decays into two photons which can convert into a e^+e^- pair
 $\rightarrow$ may cause $1p \rightarrow 2p$ (or even $1p \rightarrow 3p$) and $3p \rightarrow 4p$ migration
- Studies ongoing to identify tracks from conversions

Tracks from conversions tend to have

- more high threshold hits in the TRT (electrons emit more transition radiation)
- less hits in the inner detector (conversion may happen anywhere)



Related studies by Dimitris Varouchas

Summary & Outlook

Summary

- Tau reconstruction studies in ATLAS follow a 2-step approach
 - A) Reconstruct the individual particles using particle flow
 - B) Use kinematic variables to improve the mode classification
- Extensive performance studies of the algorithms have been performed, a few results were shown as examples:
 - Correct classification in ~70% of all cases
 - Classification is pile up robust
 - Energy resolution stable wrt. PT and pile up
 - Reasonable mass resolution in reconstructed $1p1n$ decays

Outlook

- Improvements are expected in several areas:
 - Strip layer....**: Full potential not exploited yet
 - Shrinking Cone**: Should further increase pile up stability
 - Conversions....**: Recovery of 2-track taus