

# Helicity amplitudes with off-shell partons

Andreas van Hameren

The Henryk Niewodniczański Institute of Nuclear Physics  
Polish Academy of Sciences

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# Outline

- high-energy factorization
- amplitudes with off-shell initial-state gluons
- amplitudes with an arbitrary number of off-shell gluons
- BCFW recursion for off-shell gluons

# High-energy factorization

Collins, Ellis 1991

Catani, Ciafaloni, Hautmann 1991

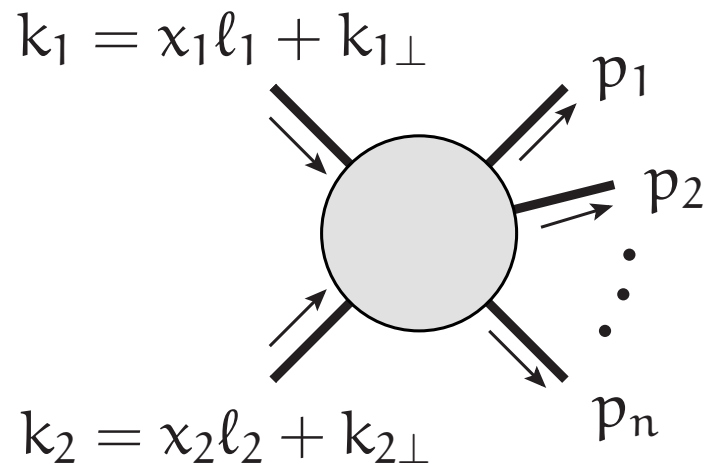
$$\sigma_{h_1, h_2 \rightarrow QQ} = \int d^2k_{1\perp} \frac{dx_1}{x_1} \mathcal{F}(x_1, k_{1\perp}) d^2k_{2\perp} \frac{dx_2}{x_2} \mathcal{F}(x_2, k_{2\perp}) \hat{\sigma}_{gg} \left( \frac{m^2}{x_1 x_2 s}, \frac{k_{1\perp}}{m}, \frac{k_{2\perp}}{m} \right)$$

- to be applied in the 3-scale regime  $s \gg m^2 \gg \Lambda_{\text{QCD}}^2$
- reduces to collinear factorization for  $s \gg m^2 \gg k_{\perp}^2$ ,  
but holds also for  $s \gg m^2 \sim k_{\perp}^2$
- *unintegrated pdf*  $\mathcal{F}$  may satisfy BFKL-eqn, CCFM-eqn, BK-eqn, KGBJS-eqn, ...
- typically associated with small- $x$  physics
- relevant for forward physics, saturation physics, heavy-ion physics...
- $k_{\perp}$  gives a handle on the size of the proton
- allows for higher-order kinematical effects at leading order

# Matrix elements

## The issue:

High-energy factorization requires matrix elements for parton-level scattering process with off-shell initial states

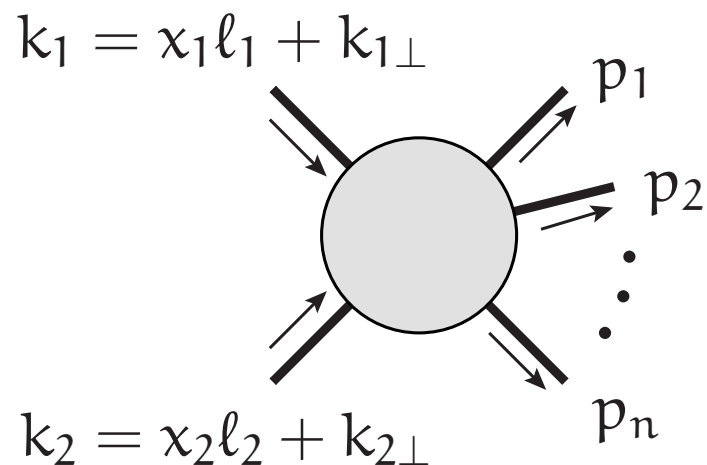


where  $\ell_1, \ell_2$  are light-like momenta associated with the scattering hadrons, and  $k_{1\perp}, k_{2\perp}$  are perpendicular to both  $\ell_1$  and  $\ell_2$ .

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Matrix elements, squared and summed over final-state spins, may be calculated using spin amplitudes.

Amplitudes must be gauge invariant

- must be calculable in any gauge
- must satisfy Ward identities.
- must preferably be practical.

We cannot just take a prescription to calculate on-shell matrix elements and keep initial-state momenta off-shell, because we won't have gauge invariance.

Using some kind of projectors on off-shell external gluons won't be enough.

# Lipatov's effective action

Lipatov 1995, Antonov, Lipatov, Kuraev, Cherednikov 2005

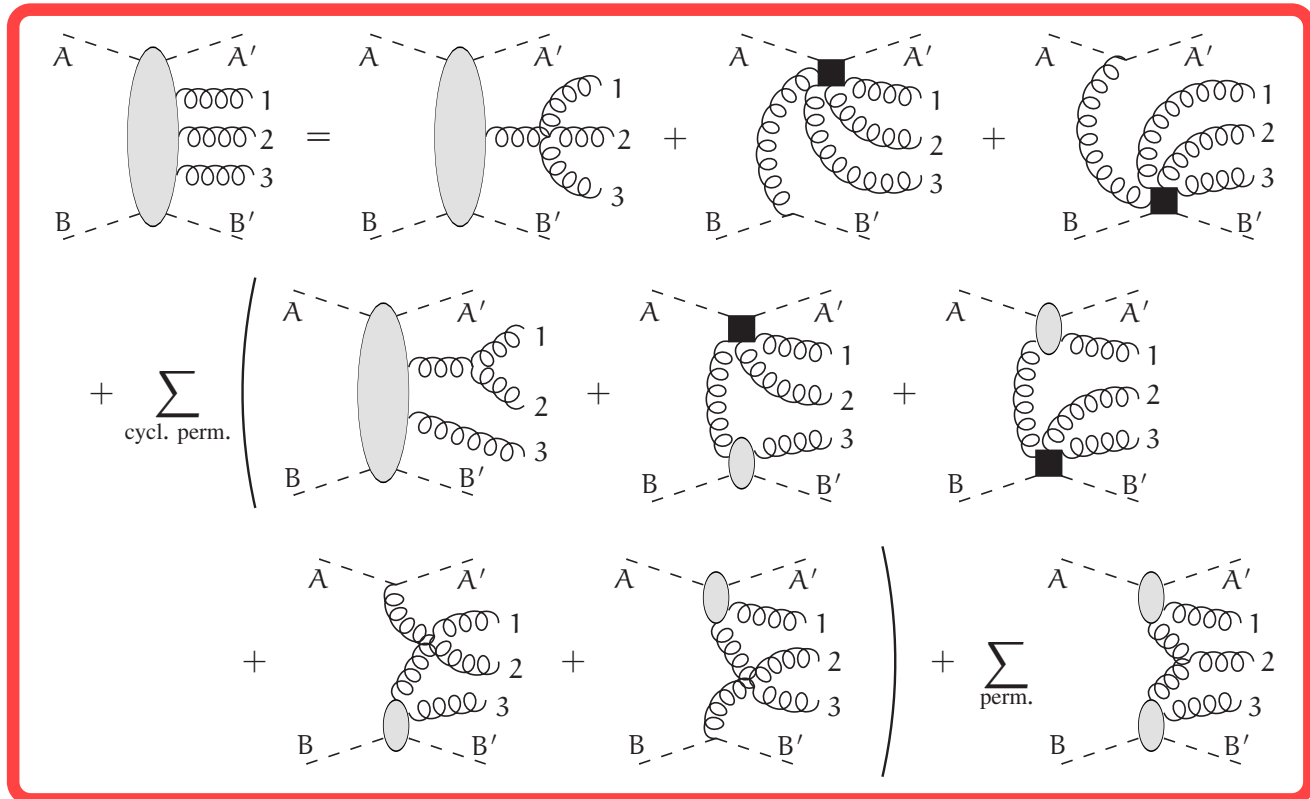
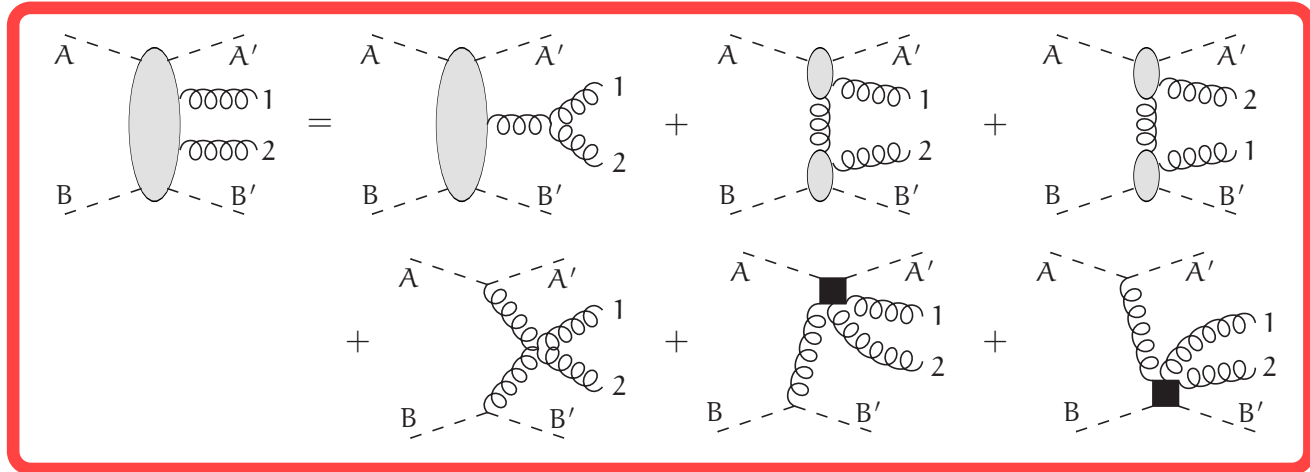
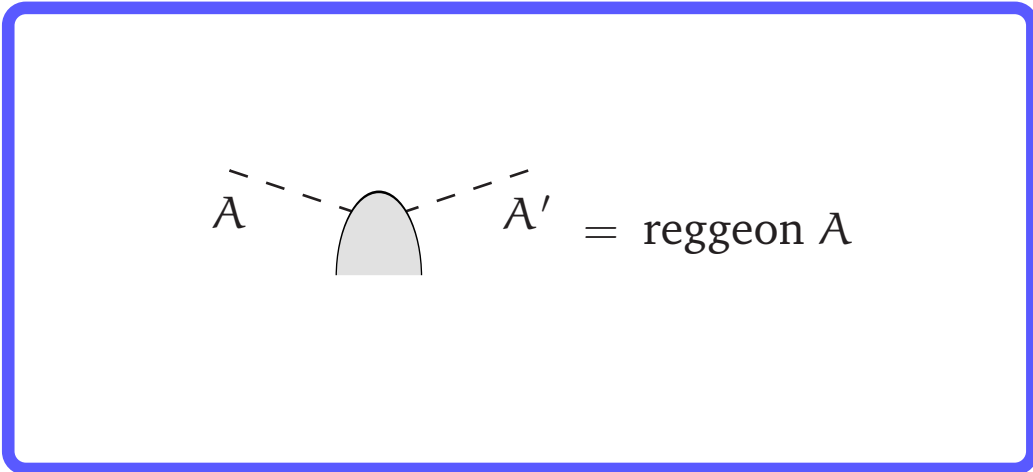
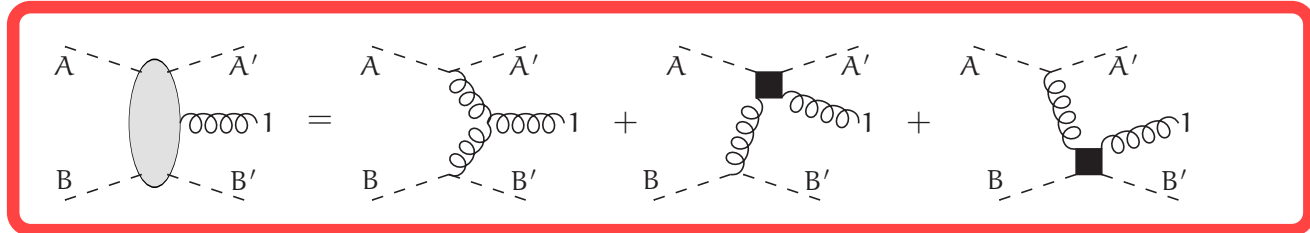
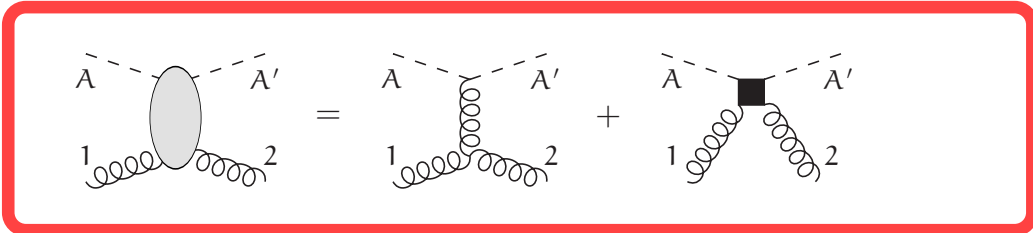
Effective action in terms of quarks  $\psi, \bar{\psi}$  gluons  $v_\mu$  and reggeized gluons  $A_\pm$ .

$$\begin{aligned}\mathcal{L} &= \mathcal{L}_{\text{QCD}} + \mathcal{L}_{\text{ind}} \\ \mathcal{L}_{\text{QCD}} &= i\bar{\psi}\not{D}\psi + \frac{1}{2}\text{Tr} G_{\mu\nu}^2 \quad D_\mu = \partial_\mu + gv_\mu \quad G_{\mu\nu} = \frac{1}{g}[D_\mu, D_\nu] \\ \mathcal{L}_{\text{ind}} &= -\text{Tr} \left\{ \frac{1}{g}\partial_+ \left[ \mathcal{P} \exp \left( -\frac{g}{2} \int_{-\infty}^{x^+} v_+(y) dy^+ \right) \right] \cdot \partial_\sigma^2 A_-(x) \right. \\ &\quad \left. + \frac{1}{g}\partial_- \left[ \mathcal{P} \exp \left( -\frac{g}{2} \int_{-\infty}^{x^-} v_-(y) dy^- \right) \right] \cdot \partial_\sigma^2 A_+(x) \right\} \\ \mathbf{k}_\pm &= \frac{1}{E} (\ell_\mu^\pm) \mathbf{k}^\mu \quad (\ell^-)^2 = (\ell^+)^2 = 0 \quad \ell^+ \cdot \ell^- = 2E^2\end{aligned}$$

Reggeized gluon  $\implies$  gluon with momentum  $x_\pm \ell^\pm + \mathbf{k}_\perp$ .

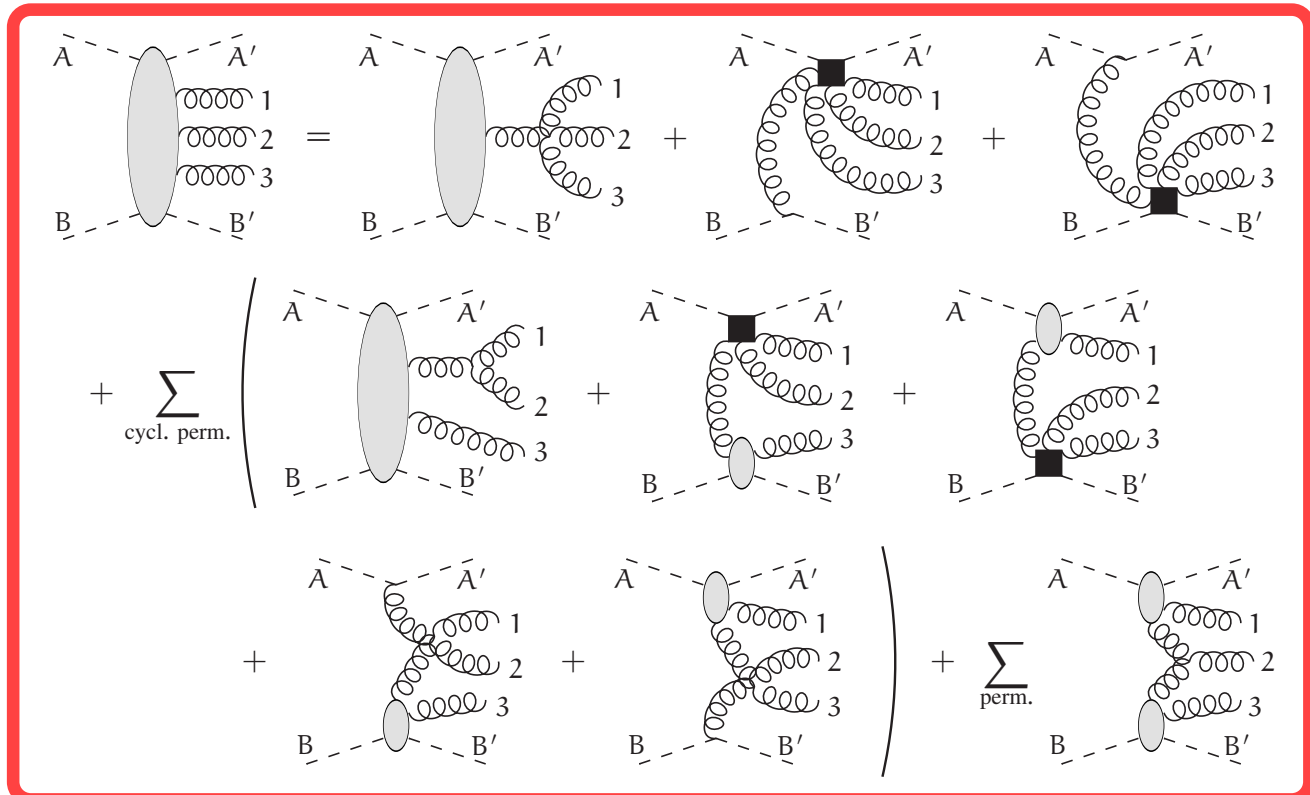
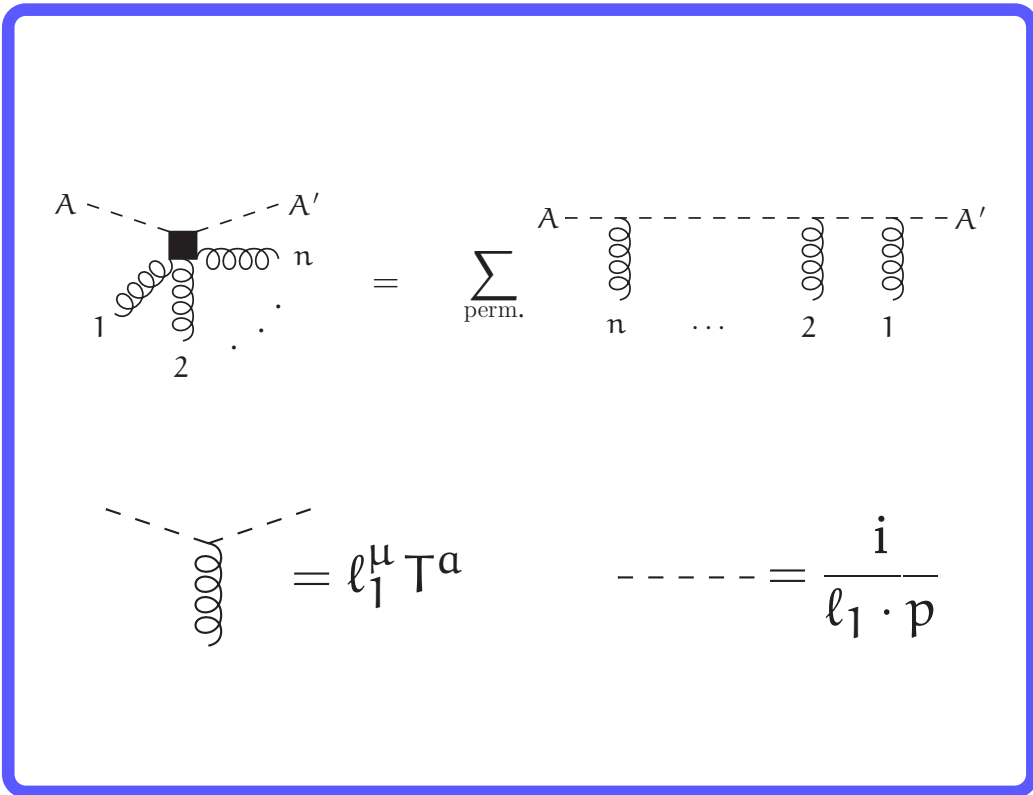
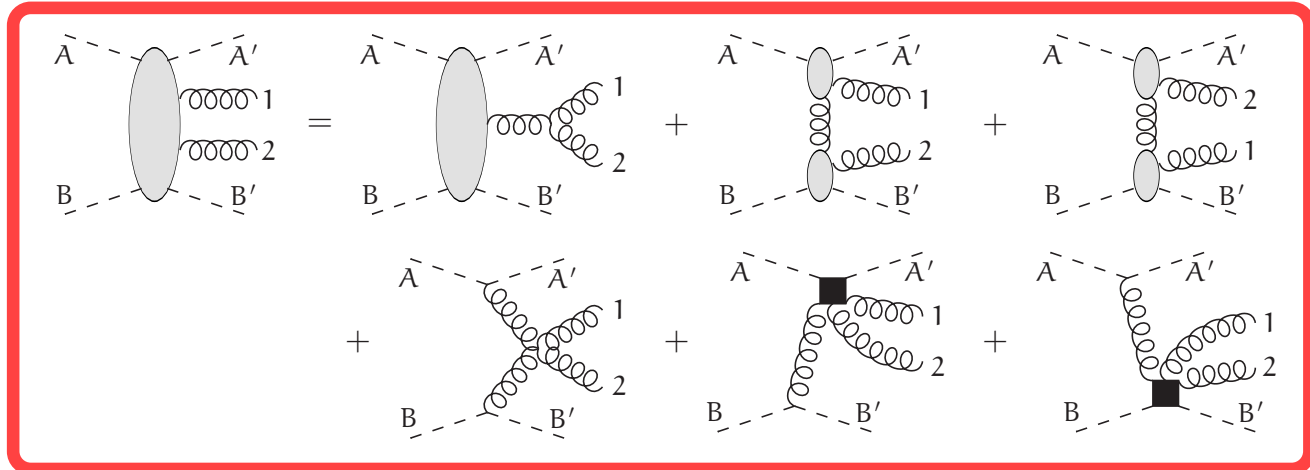
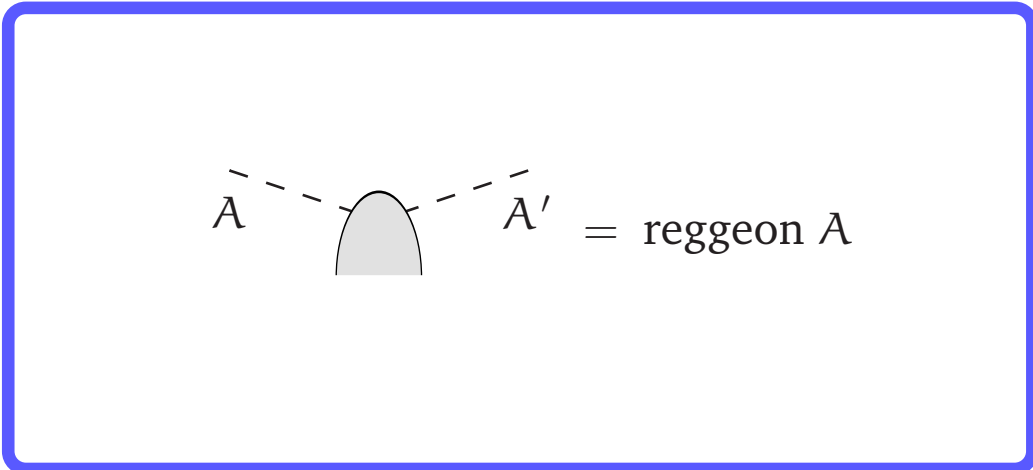
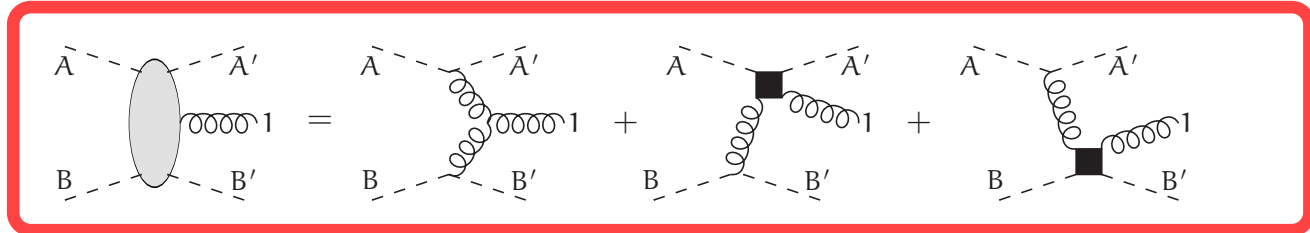
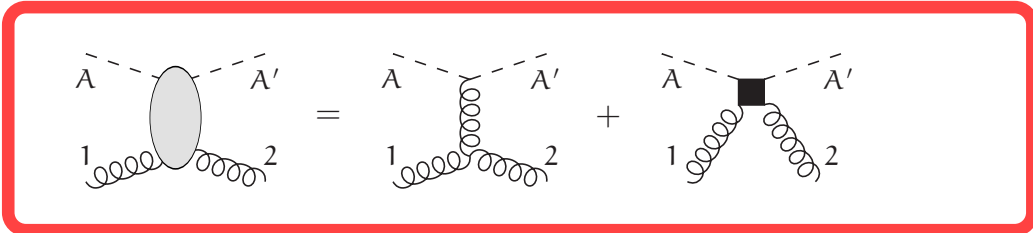
Effective action  $\implies$  vertices of arbitrary order.

# Reggeon-gluon vertices



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Antonov, Lipatov, Kuraev, Cherednikov  
2005

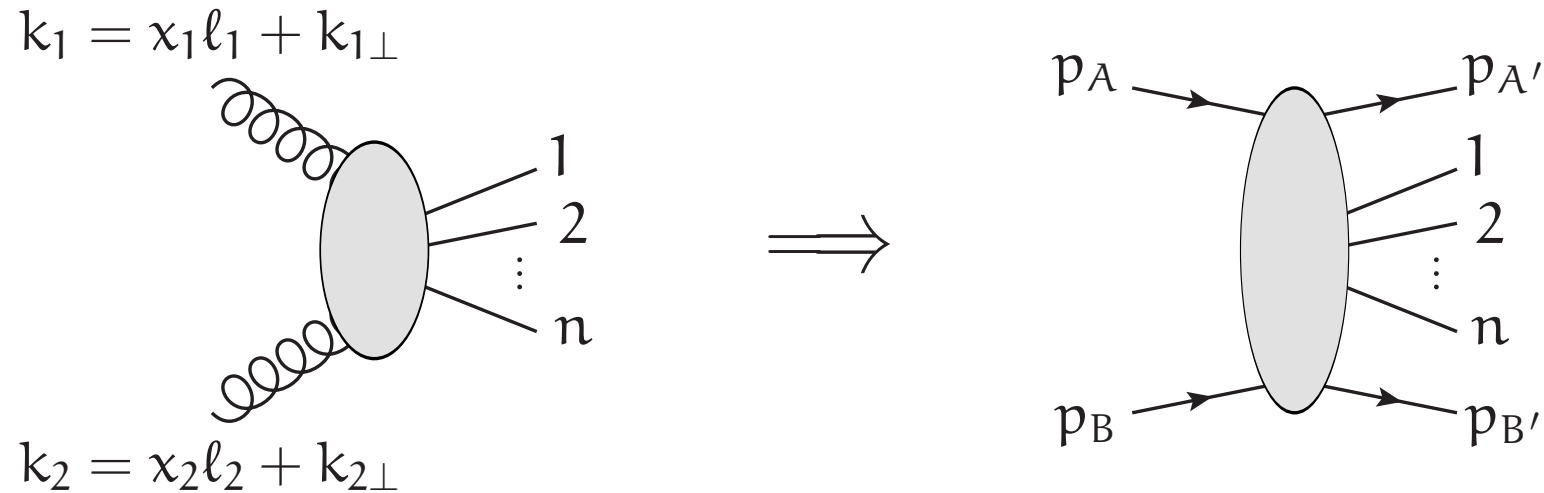




# Prescription for $g^* g^* \rightarrow X$

AvH, Kotko, Kutak 2013

1. Consider the embedding  $q_A q_B \rightarrow q_A q_B X$



with momentum flow as if the momenta  $p_A, p_B$  of the initial-state quarks and  $p_{A'}, p_{B'}$  of the final-state quarks are given by

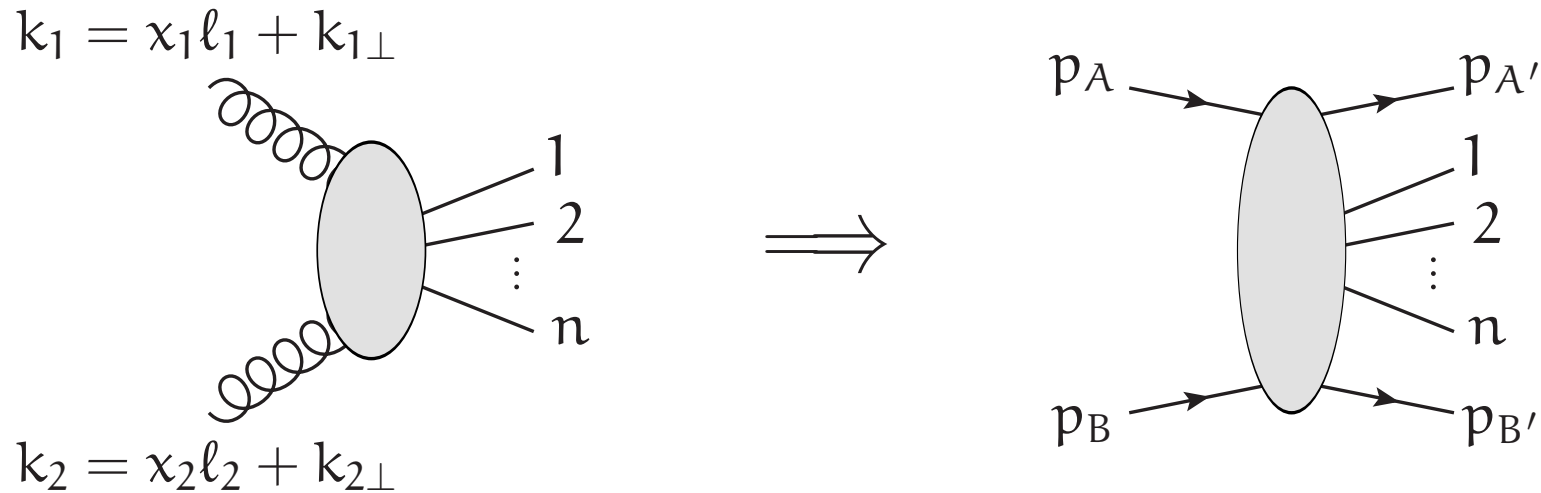
$$p_A^\mu = k_1^\mu \quad , \quad p_B^\mu = k_2^\mu \quad , \quad p_{A'}^\mu = p_{B'}^\mu = 0 \quad .$$

2. Assign the spinors  $|\ell_1\rangle, \langle\ell_1|$  to the external A-quarks, and assign  $i\ell_1/(2\ell_1 \cdot p)$  instead of  $i\not{p}/p^2$  to the propagators on the A-quark line.
3. Do the same with the B quark line, using  $\ell_2$  instead of  $\ell_1$ .
4. Multiply the amplitude with  $g_s^{-1}x_1\sqrt{-k_{1\perp}^2/2} \times g_s^{-1}x_2\sqrt{-k_{2\perp}^2/2}$ .
5. For the rest, normal Feynman rules apply.

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1. Consider the embedding  $q_A q_B \rightarrow q_A q_B X$



with  
 $p_{A'}, p_{B'}$

**In agreement with Lipatov's effective action!**

and

$$p_A^\mu = k_1^\mu, \quad p_B^\mu = k_2^\mu, \quad p_{A'}^\mu = p_{B'}^\mu = 0.$$

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$$0 \rightarrow g^*(p_1 + k_T) g(p_2) g(p_3) g(p_4)$$

$$\mathcal{M}^{a_1 a_2 a_3 a_4}(1, 2, 3, 4) = \frac{4g_s^2}{\sqrt{2}} \sum_{\text{non-cyclic}} \text{Tr}(T^{a_1} T^{a_2} T^{a_3} T^{a_4}) \mathcal{A}(1, 2, 3, 4)$$

$$\mathcal{A}(2^-, 3^-, 4^-) = 0$$

$$\mathcal{A}(2^+, 3^+, 4^+) = 0$$

$$\mathcal{A}(2^-, 3^-, 4^+) = \frac{[3|k_T|1\rangle}{|k_T|[31]} \frac{[41]^4}{[12][23][34][41]}$$

$$\mathcal{A}(2^+, 3^+, 4^-) = \frac{\langle 1|k_T|3\rangle}{|k_T|\langle 13\rangle} \frac{\langle 41\rangle^4}{\langle 12\rangle\langle 23\rangle\langle 34\rangle\langle 41\rangle}$$

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$$\left| \frac{[i|k_T|1\rangle}{|k_T|[i1]} \right| = \left| \frac{\langle 1|k_T|i\rangle}{|k_T|\langle 1i\rangle} \right| = 1$$

A Wilson line along path  $C$ , defined as

$$[x, y]_C = \mathcal{P} \exp \left\{ ig \int_C dz_\mu A_b^\mu(z) T^b \right\} ,$$

transforms under local gauge transformations as  $[x, y]_C \mapsto U(x)[x, y]_C U^\dagger(y)$ .

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Use an infinite Wilson line with “direction”  $p^\mu$

$$[y]_p = \mathcal{P} \exp \left\{ ig \int_{-\infty}^{\infty} ds p \cdot A_b(y + sp) T^b \right\}$$

to define the operator

$$\mathcal{R}^a(p, k) = \int d^4 y e^{iy \cdot k} \text{Tr} \left\{ \frac{1}{\pi g} T^a [y]_p \right\} .$$

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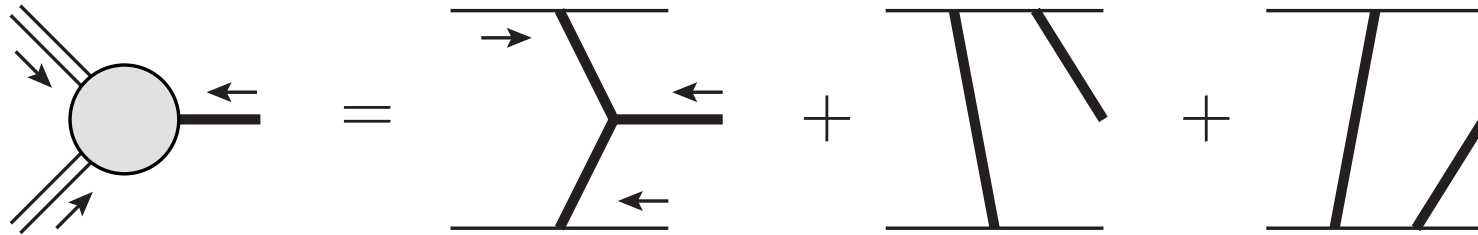
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Amplitudes with  $n$  on-shell gluons and  $m$  off-shell gluons defined by

$$\begin{aligned} & \langle k_1, k_2, \dots, k_n | \mathcal{R}^{a_{n+1}}(p_{n+1}, k_{n+1}) \mathcal{R}^{a_{n+2}}(p_{n+2}, k_{n+2}) \dots \mathcal{R}^{a_{n+m}}(p_{n+m}, k_{n+m}) | 0 \rangle \\ &= \delta(p_{n+1} \cdot k_{n+1}) \delta(p_{n+2} \cdot k_{n+2}) \dots \delta(p_{n+m} \cdot k_{n+m}) \delta^4(k_1 + k_2 + \dots + k_{n+m}) \\ & \times \mathcal{A}(k_1, k_2, \dots, k_{n+m}; p_{n+1}, p_{n+2}, \dots, p_{n+m}) \end{aligned}$$

# Feynman rules

Planar graphs for the process  $\emptyset \rightarrow g^* g^* g$ :



The Feynman rules in the Feynman gauge:

$$\mu \text{ --- } \nu = \frac{-\eta^{\mu\nu}}{K^2} \quad \text{---} = \frac{1}{2p \cdot K} \quad \text{---}_\mu = \sqrt{2} p^\mu$$

$$\begin{array}{c} 2 \\ | \\ 1 \text{ --- } 3 \end{array} = \frac{1}{\sqrt{2}} \left[ (K_1 - K_2)^{\mu_3} \eta^{\mu_1 \mu_2} + (K_2 - K_3)^{\mu_1} \eta^{\mu_2 \mu_3} + (K_3 - K_1)^{\mu_2} \eta^{\mu_3 \mu_1} \right]$$

$$\begin{array}{c} 2 \quad 3 \\ \diagdown \quad / \\ 1 \quad 4 \end{array} = \frac{-1}{2} \left[ 2 \eta^{\mu_1 \mu_3} \eta^{\mu_2 \mu_4} - \eta^{\mu_1 \mu_2} \eta^{\mu_3 \mu_4} - \eta^{\mu_1 \mu_4} \eta^{\mu_2 \mu_3} \right]$$

where  $p^\mu$  is the direction associated with the eikonal line.

For a rational function  $f$  of a complex variable  $z$  which vanishes at infinity, we have

$$\lim_{z \rightarrow \infty} f(z) = 0 \quad \Rightarrow \quad \oint \frac{dz}{2\pi i} \frac{f(z)}{z} = 0,$$

where the integration contour expands to infinity and necessarily encloses all poles of  $f$ . This directly leads to the relation

$$f(0) = \sum_i \frac{\lim_{z \rightarrow z_i} f(z)(z - z_i)}{-z_i},$$

where the sum is over all poles of  $f$ , and  $z_i$  is the position of pole number  $i$ . For color-ordered tree-level multi-gluon amplitudes, this can be translated to

$$\mathcal{A}(1^+, 2, \dots, n-1, n^-) = \sum_{i=2}^{n-1} \sum_{h=+,-} \mathcal{A}(\hat{1}^+, 2, \dots, i, -\hat{K}_{1,i}^h) \frac{1}{K_{1,i}^2} \mathcal{A}(\hat{K}_{1,i}^h, i+1, \dots, n-1, \hat{n}^-)$$

where the lower-point on-shell amplitudes have “shifted” momenta.



# BCFW for off-shell gluons

$n$ -gluon amplitude is a function of  $n$  momenta  $k_1, k_2, \dots, k_n$  and  $n$  directions  $p_1, p_2, \dots, p_n$ , satisfying the conditions

$$k_1^\mu + k_2^\mu + \dots + k_n^\mu = 0 \quad \text{momentum conservation}$$

$$p_1^2 = p_2^2 = \dots = p_n^2 = 0 \quad \text{light-likeness}$$

$$p_1 \cdot k_1 = p_2 \cdot k_2 = \dots = p_n \cdot k_n = 0 \quad \text{eikonal condition}$$

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With the help of an auxiliary four-vector  $q^\mu$  with  $q^2 = 0$ , we define

$$k_T^\mu(q) = k^\mu - x(q)p^\mu \quad \text{with} \quad x(q) \equiv \frac{q \cdot k}{q \cdot p}$$

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Construct  $k_T^\mu$  explicitly in terms of  $p^\mu$  and  $q^\mu$ :

$$k_T^\mu(q) = -\frac{\kappa}{2} \frac{\langle p | \gamma^\mu | q \rangle}{[pq]} - \frac{\kappa^*}{2} \frac{\langle q | \gamma^\mu | p \rangle}{\langle qp \rangle} \quad \text{with} \quad \kappa = \frac{\langle q | k | p \rangle}{\langle qp \rangle}, \quad \kappa^* = \frac{\langle p | k | q \rangle}{[pq]}$$

$k^2 = -\kappa\kappa^*$  is independent of  $q^\mu$ , but also individually

$\kappa$  and  $\kappa^*$  are independent of  $q^\mu$ .

# BCFW: shifted momenta

$$f(0) = \sum_i \frac{\lim_{z \rightarrow z_i} f(z)(z - z_i)}{-z_i}$$

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We choose two external gluons  $i$  and  $j$ , and use the direction of one as the auxiliary momentum to define the transverse momentum of the other, and vice versa, so

$$k_i^\mu = x_i(p_j)p_i^\mu - \frac{\kappa_i}{2} \frac{\langle i|\gamma^\mu|j\rangle}{[ij]} - \frac{\kappa_i^*}{2} \frac{\langle j|\gamma^\mu|i\rangle}{\langle ji\rangle}, \quad k_j^\mu = x_j(p_i)p_j^\mu - \frac{\kappa_j}{2} \frac{\langle j|\gamma^\mu|i\rangle}{[ji]} - \frac{\kappa_j^*}{2} \frac{\langle i|\gamma^\mu|j\rangle}{\langle ij\rangle}$$

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We adopt the notation  $|i\rangle \equiv |p_i\rangle$ ,  $|j\rangle \equiv |p_j\rangle$  etc.. Choose the shift vector

$$e^\mu \equiv \frac{1}{2}\langle i|\gamma^\mu|j\rangle \quad \Rightarrow \quad p_i \cdot e = p_j \cdot e = e \cdot e = 0$$

Using this vector, we then define the shifted momenta

$$\hat{k}_i^\mu(z) \equiv k_i^\mu + ze^\mu = x_i(p_j)p_i^\mu - \frac{\kappa_i - [ij]z}{2} \frac{\langle i|\gamma^\mu|j\rangle}{[ij]} - \frac{\kappa_i^*}{2} \frac{\langle j|\gamma^\mu|i\rangle}{\langle ji\rangle}$$

$$\hat{k}_j^\mu(z) \equiv k_j^\mu - ze^\mu = x_j(p_i)p_j^\mu - \frac{\kappa_j}{2} \frac{\langle j|\gamma^\mu|i\rangle}{[ji]} - \frac{\kappa_j^* + \langle ij\rangle z}{2} \frac{\langle i|\gamma^\mu|j\rangle}{\langle ij\rangle}$$

Total momentum is conserved, and also

$$p_i \cdot \hat{k}_i = 0 \quad , \quad p_j \cdot \hat{k}_j = 0$$



# On-shell limit

For each off-shell gluon  $j$ , we can identify the following terms in the amplitude

$$\mathcal{A}(k_j) = \frac{1}{\kappa_j^*} \mathcal{U}(k_j) + \frac{1}{\kappa_j} \mathcal{V}(k_j) + \mathcal{W}(k_j)$$

The actual amplitude needs a factor proportional to  $\sqrt{-k_j^2}$ , we choose  $\kappa_j^*$ :

$$\kappa_j^* \mathcal{A}(k_j) = \mathcal{U}(k_j) + \frac{\kappa_j^*}{\kappa_j} \mathcal{V}(k_j) + \kappa_j^* \mathcal{W}(k_j)$$

The ratio  $\kappa_j^*/\kappa_j$  does not vanish in the on-shell limit, and an angle dependence remains.

$$|\kappa_j^* \mathcal{A}(k_j)|^2 \xrightarrow{k_j^2 \rightarrow 0} |\mathcal{U}(p_j)|^2 + |\mathcal{V}(p_j)|^2 + e^{2i\varphi_j} \mathcal{U}(p_j) \mathcal{V}(p_j)^* + e^{-2i\varphi_j} \mathcal{U}(p_j)^* \mathcal{V}(p_j)$$

Interference terms vanish upon integration over  $\varphi$ .

- the  $-$  helicity can be associated with  $\mathcal{U}$ , or  $1/\kappa_j^*$
- the  $+$  helicity can be associated with  $\mathcal{V}$ , or  $1/\kappa_j$



# 3-gluon amplitudes

$\emptyset \rightarrow ggg$ , at least two shifted momenta

$$\mathcal{A}(1^-, 2^+, 3^+) = \frac{\langle 23 \rangle^3}{\langle 31 \rangle \langle 12 \rangle}, \quad \mathcal{A}(1^+, 2^-, 3^-) = \frac{[32]^3}{[21][13]}$$

$\emptyset \rightarrow g^*gg$

$$\mathcal{A}(1^*, 2^+, 3^-) = \frac{1}{\kappa_1^*} \frac{\langle 31 \rangle^3}{\langle 12 \rangle \langle 23 \rangle} = \frac{1}{\kappa_1} \frac{[21]^3}{[13][32]}$$

$\emptyset \rightarrow gg^*g^*$

$$\mathcal{A}(1^+, 2^*, 3^*) = \frac{1}{\kappa_2^* \kappa_3^*} \frac{\langle 23 \rangle^3}{\langle 31 \rangle \langle 12 \rangle}, \quad \mathcal{A}(1^-, 2^*, 3^*) = \frac{1}{\kappa_2 \kappa_3} \frac{[32]^3}{[21][13]}$$

$\emptyset \rightarrow g^*g^*g^*$

$$\mathcal{A}(1^*, 2^*, 3^*) = \frac{\langle 12 \rangle^3 [32]^3}{\kappa_3 \kappa_1^* \langle 1 | \kappa_3 | 2 \rangle \langle 2 | \kappa_1 | 3 \rangle \langle 2 | \kappa_1 | 2 \rangle} + (231) + (312),$$

where the second and third term are obtained by applying the cyclic permutations on the arguments and indices of the first term.

# 4-gluon amplitudes, 2 off-shell

$$\mathcal{A}(1^*, 2^+, 3^+, 4^*) = \frac{1}{\kappa_4^* \kappa_1^*} \frac{\langle 41 \rangle^3}{\langle 12 \rangle \langle 23 \rangle \langle 34 \rangle} \quad , \quad \mathcal{A}(1^*, 2^+, 3^*, 4^+) = \frac{1}{\kappa_1^* \kappa_3^*} \frac{\langle 13 \rangle^4}{\langle 12 \rangle \langle 23 \rangle \langle 34 \rangle \langle 41 \rangle}$$

$$\begin{aligned} \mathcal{A}(1^*, 2^+, 3^-, 4^*) &= \frac{1}{\kappa_1^* \kappa_4} \frac{-\langle 1 | \not{p}_3 + \not{k}_4 | 4 \rangle^4}{\langle 2 | \not{k}_1 | 4 \rangle \langle 1 | \not{k}_4 | 3 \rangle \langle 12 \rangle [43] (p_3 + k_4)^2} \\ &+ \frac{1}{\kappa_1} \frac{\langle 34 \rangle^3 [14]^3}{\langle 4 | \not{k}_4 + \not{k}_1 | 1 \rangle \langle 2 | \not{k}_1 | 4 \rangle \langle 4 | \not{k}_1 | 4 \rangle \langle 23 \rangle} + \frac{1}{\kappa_4^*} \frac{[21]^3 \langle 14 \rangle^3}{\langle 4 | \not{k}_4 + \not{k}_1 | 1 \rangle \langle 1 | \not{k}_4 | 3 \rangle \langle 1 | \not{k}_4 | 1 \rangle [32]} \end{aligned}$$

$$\begin{aligned} \mathcal{A}(1^*, 2^-, 3^*, 4^+) &= \frac{\langle 13 \rangle^3 [13]^3}{\langle 34 \rangle \langle 41 \rangle \langle 1 | \not{k}_3 + \not{p}_4 | 3 \rangle \langle 3 | \not{k}_1 + \not{p}_4 | 1 \rangle [32] [21]} \\ &+ \frac{1}{\kappa_1^* \kappa_3} \frac{\langle 12 \rangle^3 [43]^3}{\langle 2 | \not{k}_3 | 4 \rangle \langle 1 | \not{k}_3 + \not{p}_4 | 3 \rangle (k_3 + p_4)^2} + \frac{1}{\kappa_1 \kappa_3^*} \frac{\langle 23 \rangle^3 [14]^3}{\langle 2 | \not{k}_1 | 4 \rangle \langle 3 | \not{k}_1 + \not{p}_4 | 1 \rangle (k_1 + p_4)^2} \end{aligned}$$

# MHV amplitudes

$$\mathcal{A}(1^-, i^-, (\text{the rest})^+) = \frac{\langle p_1 p_i \rangle^4}{\langle p_1 p_2 \rangle \langle p_2 p_3 \rangle \cdots \langle p_{n-2} p_{n-1} \rangle \langle p_{n-1} p_n \rangle \langle p_n p_1 \rangle}$$

$$\mathcal{A}(1^+, i^+, (\text{the rest})^-) = \frac{[p_i p_1]^4}{[p_1 p_n] [p_n p_{n-1}] [p_{n-1} p_{n-2}] \cdots [p_3 p_2] [p_2 p_1]}$$

$$\mathcal{A}(1^*, i^-, (\text{the rest})^+) = \frac{1}{\kappa_1^*} \frac{\langle p_1 p_i \rangle^4}{\langle p_1 p_2 \rangle \langle p_2 p_3 \rangle \cdots \langle p_{n-2} p_{n-1} \rangle \langle p_{n-1} p_n \rangle \langle p_n p_1 \rangle}$$

$$\mathcal{A}(1^*, i^+, (\text{the rest})^-) = \frac{1}{\kappa_1} \frac{[p_i p_1]^4}{[p_1 p_n] [p_n p_{n-1}] [p_{n-1} p_{n-2}] \cdots [p_3 p_2] [p_2 p_1]}$$

$$\mathcal{A}(1^*, i^*, (\text{the rest})^+) = \frac{1}{\kappa_1^* \kappa_i^*} \frac{\langle p_1 p_i \rangle^4}{\langle p_1 p_2 \rangle \langle p_2 p_3 \rangle \cdots \langle p_{n-2} p_{n-1} \rangle \langle p_{n-1} p_n \rangle \langle p_n p_1 \rangle}$$

$$\mathcal{A}(1^*, i^*, (\text{the rest})^-) = \frac{1}{\kappa_1 \kappa_i} \frac{[p_i p_1]^4}{[p_1 p_n] [p_n p_{n-1}] [p_{n-1} p_{n-2}] \cdots [p_3 p_2] [p_2 p_1]}$$