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# The three-loop splitting functions in QCD: the helicity-dependent case

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**Andreas Vogt (University of Liverpool)**

**with Sven Moch (Hamburg Univ.) and Jos Vermaseren (NIKHEF)**

- Polarized PDFs, their evolution,  $\alpha_S^2$  calculations (1990s), large- $x$  limit
- $\alpha_S^3$  via  $g_1^{\text{e.m.}}$  (2008, all- $N$ ) & graviton-exch. DIS (new, extreme Mincer)
- All- $N$  expressions, via end-point knowledge and number theory tools

**Loops & Legs 2014, Weimar, 30-04-14**

# Parton distributions and their evolution

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Long. polarized proton: q/g distributions  $f_i^{\rightarrow}, f_i^{\leftarrow}$  for same, opposite helicity

Unpolarized and polarized parton distribution functions (PDFs)

$$\begin{aligned}f_i(x, \mu^2) &= f_i^{\rightarrow}(x, \mu^2) + f_i^{\leftarrow}(x, \mu^2) \\ \Delta f_i(x, \mu^2) &= f_i^{\rightarrow}(x, \mu^2) - f_i^{\leftarrow}(x, \mu^2)\end{aligned}$$

$x$  : momentum fraction,  $\mu$  : factorization scale (= renorm. scale, w.l.o.g.)

Scale dependence: renormalization-group evolution equations

$$\frac{d}{d \ln \mu^2} (\Delta) f_i(x, \mu^2) = [(\Delta) P_{ik}(\alpha_S(\mu^2)) \otimes (\Delta) f_k(\mu^2)](x)$$

Pert. expansion of the **splitting functions** ( $\Leftrightarrow$  twist-2 anomalous dimensions)

$$(\Delta) P_{ik}(x, \mu^2) = \sum_{n=0} a_S^{n+1} (\Delta) P_{ik}^{(n)}(x) \quad , \quad a_S = \frac{\alpha_S(\mu^2)}{4\pi}$$

Here: 3<sup>rd</sup>-order (NNLO) contributions  $\Delta P_{ik}^{(2)}$  for the polarized case

# Second-order calculations of the 1990s

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Splitting functions  $\Delta P_{ik}^{(1)}$ , coefficient functions for  $g_1$  in polarized e.m. DIS

- Structure function  $g_1$  analogous to  $F_{2,3,L}$ :  $\Delta P_{qq}^{(1)}$ ,  $\Delta P_{qg}^{(1)}$ ,  $c_{g_1, q/g}^{(2)}$

Zijlstra, van Neerven (93) [Err. 97, 07]

$\gamma_5$ : Larin scheme  $\Leftrightarrow$  't Hooft, Veltman (72); Breitenlohner, Maison (77)

- All NLO splitting functions  $\Delta P_{ij}^{(1)}$  using OPE / lightlike axial gauge

Mertig, van Neerven (95) [not hep-ph version] / Vogelsang (95/6)

$\gamma_5$ : reading-point method, Kreimer [et al.] (90 - 94) / HVBM + checks

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Transformation from L/HVBM to  $\overline{\text{MS}}$  scheme at NNLO Mاتيounine et al. (98)

$$Z_{ik}(\alpha_S(\mu^2)) = \delta_{iq}\delta_{kq} \left( a_S z_{ns}^{(1)} + a_S^2 (z_{ns}^{(2)} + z_{ps}^{(2)}) + \dots \right)$$

Non-singlet:  $c_{g_1} \leftrightarrow c_{F_3}$ . Pure singlet,  $z_{gq}^{(n)} = 0$ : second calculation needed

# Large- $x$ limits of the splitting functions

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$x \rightarrow 1$  (threshold): expect suppression of helicity flip by  $(1-x)^2 \leftrightarrow 1/N^2$   
cf. Brodsky, Burkhardt, Schmid (94)

E.g., leading-order (LO) splitting functions, with  $\delta_{ik}^{(0)} \equiv P_{ik}^{(0)} - \Delta P_{ik}^{(0)}$

$$\delta_{qq}^{(0)} = 0 \quad , \quad \delta_{ik}^{(0)} = \text{const} \cdot (1-x)^2 + \dots \quad \text{for } ik = qg, gq, gg$$

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NLO, in the standard version ('M') of  $\overline{\text{MS}}$       Mertig & van Neerven; Vogelsang

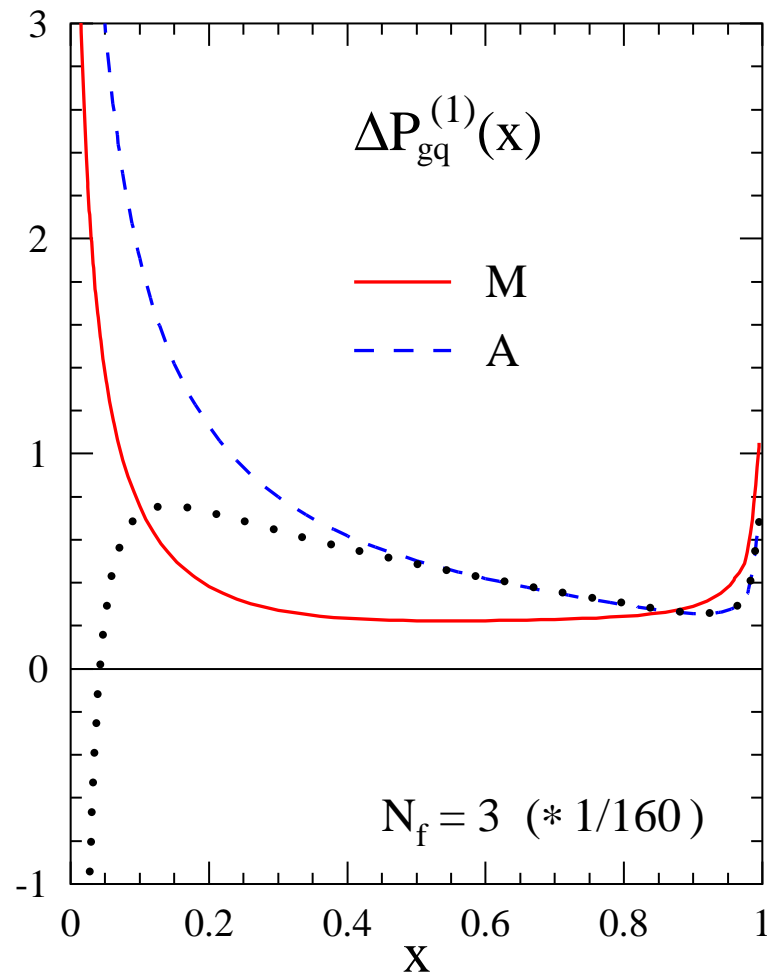
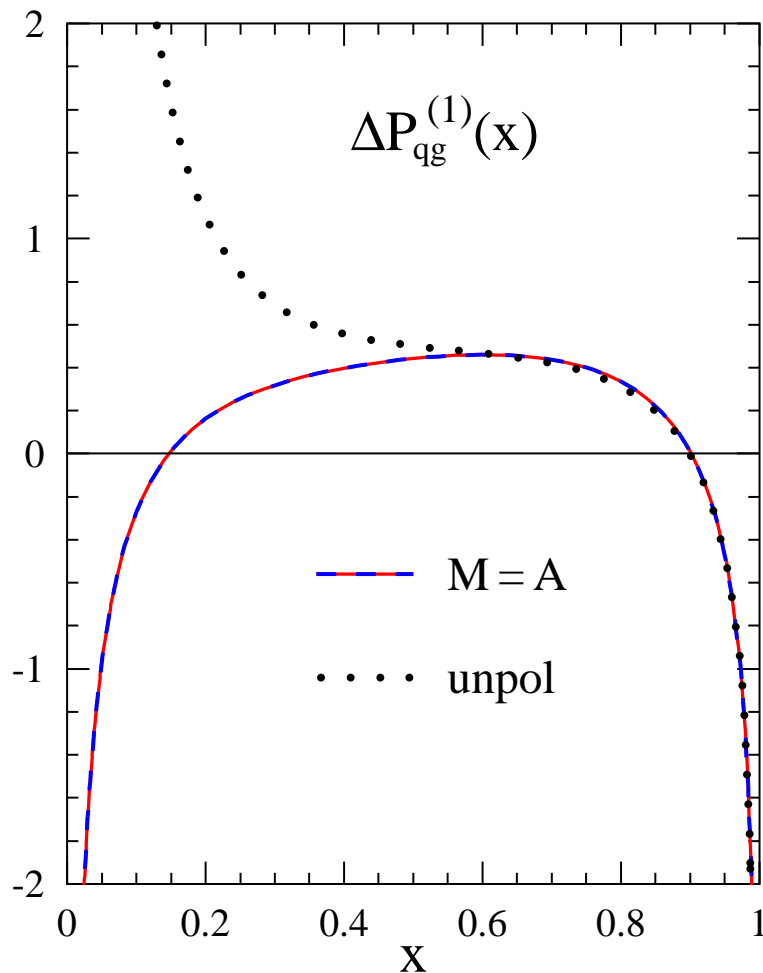
$$\delta_{ij}^{(1)} = \text{const} \cdot (1-x)^a \quad \text{for } ik = qq, gg \ (a=1), \ qg \ (a=2)$$

$$\delta_{gq}^{(1)} = 8C_F(C_A - C_F)(2-x) \ln(1-x) + 4C_F\beta_0 - 6C_F^2 \\ + (20/3C_FC_A + 2C_F^2 - 8/3C_Fn_f)(1-x) + \mathcal{O}(1-x)^2$$

Physics or scheme artifact? Flavour-singlet physical kernels, if available for corresponding quantities, can provide insight      cf. Furmanski, Petronzio (81)

$$\frac{dF}{d \ln Q^2} = \frac{dC}{d \ln Q^2} f + CPf = \left( \beta(a_S) \frac{dC}{da_S} + CP \right) C^{-1} F = KF$$

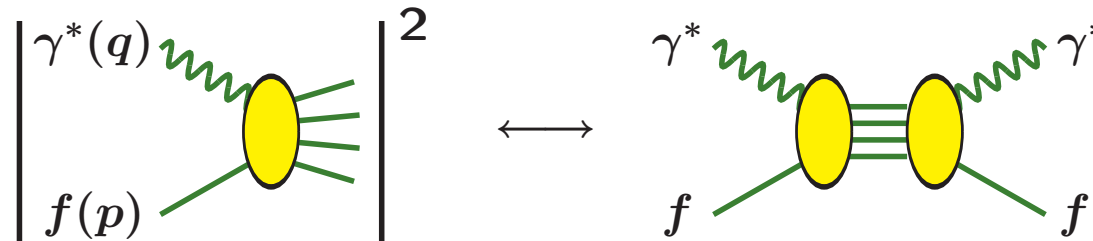
# Off-diagonal NLO splitting functions



**M: standard scheme, A: additional  $z_{gq}^{(1)} = -\Delta P_{gq}^{(0)}$  in trf. from Larin scheme, removes all  $(1-x)^0$  and  $(1-x)^1$  terms in  $\delta_{gq}^{(1)}$  ...**

# Third order via forward Compton amplitudes

Optical theorem: probe-parton total cross sections  $\leftrightarrow$  forward amplitudes



Dispersion relation in  $x$  : coefficient of  $(2p \cdot q)^N \leftrightarrow N$ -th Mellin moment

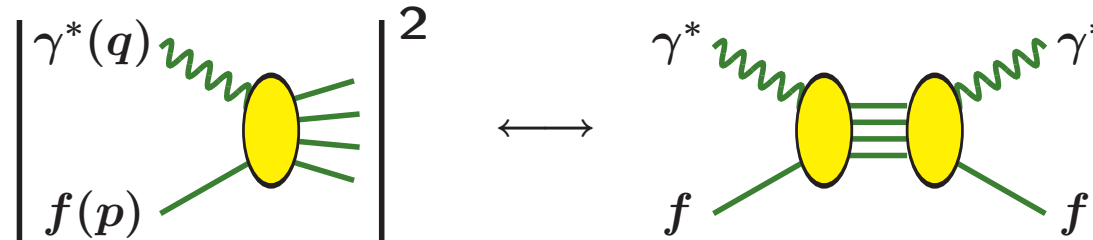
$$A^N = \int_0^1 dx x^{N-1} A(x)$$

Unpol.: Larin, van Ritbergen, Vermaseren (94), [Mincer], ..., MVV (04) [all-N]



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Pol. case: projection of partonic tensor on  $g_1$  in  $D = 4 - 2\epsilon$  dimensions

$$\hat{g}_1 = 2 [(D - 2)(D - 3)(p \cdot q)]^{-1} \epsilon_{\mu\nu pq} \widehat{W}_A^{\mu\nu}$$

$\epsilon^{-1}$ :  $\Delta P_{qq}^{(2)}(N)$ ,  $\Delta P_{qg}^{(2)}(N)$

MVV (Loops & Legs 2008)

$\epsilon^0$  : N<sup>3</sup>LO coefficient functions for  $g_1$ , mod. scheme transf. of pure singlet

# One colour factor of $\Delta P_{\text{qg}}^{(2)}(N)$

$$\begin{aligned}
 \frac{1}{8} \Delta P_{\text{qg}}^{(2)}(N) \Big|_{C_F^2 n_f} &= 2\Delta p_{\text{qg}} (-S_{-4} + 2S_{-2,-2} + 4S_{1,-3} + 2S_{1,1,1,1} - S_{1,1,2} - 5S_{1,2,1} \\
 &\quad + 4S_{1,3} + 2S_{2,-2} - 6S_{2,1,1} + 6S_{2,2} + 7S_{3,1} - 3S_4) \\
 &\quad - 3\zeta_3 (2D_0^2 + 4D_1^2 - 9D_0 + 12D_1) + 4S_{-3} (D_0^2 - 2D_0 + 2D_1) + 8S_{1,-2} (2D_1^2 - D_0 + D_1) \\
 &\quad - 2S_{2,1} (4D_0^2 + 2D_1^2 - 11D_0 + 11D_1) + S_{1,1,1} (5D_0^2 - 2D_1^2 - 21/2D_0 + 12D_1) \\
 &\quad - 2S_{1,2} (2D_0^2 - 2D_1^2 - 5D_0 + 5D_1) + 2S_3 (3D_0^2 + 6D_1^2 - 11D_0 + 11D_1) \\
 &\quad + 2S_{-2} (8D_1^3 - 5D_0^2 - 6D_1^2 + 10D_0 - 9D_1) - S_{1,1} (10D_0^3 + 6D_1^3 - 35/2D_0^2 - 5D_1^2 \\
 &\quad + 29D_0 - 36D_1) + 2S_2 (4D_0^3 + 6D_1^3 - 10D_0^2 - 4D_1^2 + 17D_0 - 22D_1) - 6D_2 (S_{-2} + 1) \\
 &\quad + S_1 (7D_0^4 + 4D_1^4 - 43/2D_0^3 - 15D_1^3 + 99/2D_0^2 + 18D_1^2 - 78D_0 + 329/4D_1) + 32D_1^5 \\
 &\quad - 15/2D_0^4 - 3D_1^4 + 59/8D_0^3 + 53/4D_1^3 + 77/8D_0^2 + 213/8D_1^2 - 1357/32D_0 + 777/16D_1
 \end{aligned}$$

All harmonic sums with argument  $N$ ,  $D_k = (N+k)^{-1}$ ,  $\Delta p_{\text{qg}} = 2D_1 - D_0$

# One colour factor of $\Delta P_{\text{qg}}^{(2)}(N)$

$$\begin{aligned} \frac{1}{8} \Delta P_{\text{qg}}^{(2)}(N) \Big|_{C_F^2 n_f} &= 2\Delta p_{\text{qg}}(-S_{-4} + 2S_{-2,-2} + 4S_{1,-3} + 2S_{1,1,1,1} - S_{1,1,2} - 5S_{1,2,1} \\ &\quad + 4S_{1,3} + 2S_{2,-2} - 6S_{2,1,1} + 6S_{2,2} + 7S_{3,1} - 3S_4) \\ &\quad - 3\zeta_3(2D_0^2 + 4D_1^2 - 9D_0 + 12D_1) + 4S_{-3}(D_0^2 - 2D_0 + 2D_1) + 8S_{1,-2}(2D_1^2 - D_0 + D_1) \\ &\quad - 2S_{2,1}(4D_0^2 + 2D_1^2 - 11D_0 + 11D_1) + S_{1,1,1}(5D_0^2 - 2D_1^2 - 21/2D_0 + 12D_1) \\ &\quad - 2S_{1,2}(2D_0^2 - 2D_1^2 - 5D_0 + 5D_1) + 2S_3(3D_0^2 + 6D_1^2 - 11D_0 + 11D_1) \\ &\quad + 2S_{-2}(8D_1^3 - 5D_0^2 - 6D_1^2 + 10D_0 - 9D_1) - S_{1,1}(10D_0^3 + 6D_1^3 - 35/2D_0^2 - 5D_1^2 \\ &\quad + 29D_0 - 36D_1) + 2S_2(4D_0^3 + 6D_1^3 - 10D_0^2 - 4D_1^2 + 17D_0 - 22D_1) - 6D_2(S_{-2} + 1) \\ &\quad + S_1(7D_0^4 + 4D_1^4 - 43/2D_0^3 - 15D_1^3 + 99/2D_0^2 + 18D_1^2 - 78D_0 + 329/4D_1) + 32D_1^5 \\ &\quad - 15/2D_0^4 - 3D_1^4 + 59/8D_0^3 + 53/4D_1^3 + 77/8D_0^2 + 213/8D_1^2 - 1357/32D_0 + 777/16D_1 \end{aligned}$$

All harmonic sums with argument  $N$ ,  $D_k = (N+k)^{-1}$ ,  $\Delta p_{\text{qg}} = 2D_1 - D_0$

- **Weight-four sums:** as in unpol. case, up to replacement  $p_{\text{qg}} \rightarrow \Delta p_{\text{qg}}$
- **Very few terms with  $D_2$ , no corresponding primes in moment denom's**
- **No indices -1. Large- $N$  pol.-unpol. suppression separately for each sum**
- **$x \rightarrow 0$  and  $x \rightarrow 1$  knowledge:  $D_{0,1}^5$ ,  $D_1^4$  and  $S_{1,1,1}$  terms predictable**

# Accessing the lower row, $\Delta P_{gq}^{(2)}$ and $\Delta P_{gg}^{(2)}$

---

$\Delta P_{gq, gg}^{(2)}$  enter  $\gamma^* f$  amplitudes only at order  $\alpha_S^4$ : need direct gluon coupling

Unpol.:  $F_2^{e.m.}$  complemented by scalar  $\phi$  with  $\phi G^{\mu\nu} G_{\mu\nu}$  coupling to gluons

$\Leftrightarrow$  Higgs-exchange DIS in heavy-top limit

Furmanski, Petronzio (81)

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Polarized case: non-(pseudo)scalar probe required

- Extend to supersymmetric case, as done for NNLO antenna functions  
Gehrmann-de Ridder, Gehrmann, Glover (05)

- Consider graviton-exchange DIS  
Lam, Li (81), cf. Stirling, Vryonidou (11)

Structure functions  $H_k$ ,  $k = 1 - 4, 6$ : unpol. & pol. analogues of  $(F_2, F_\phi)$

Drawback: lots of higher tensor integrals, far beyond 2004 calculation of  $F_2$ ,  $F_\phi$ , ... and 2008 extension to  $g_1 \Rightarrow$  fall back to fixed- $N$  Mincer calculation

# Mincer moments of $\Delta P_{\text{gq}}^{(2)}$ , coeff's of $C_F^3$

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Odd moments  $N \geq 3$  are accessible

Lam, Li (1981)

Results of the Mincer calculation, coefficient of  $C_F^3$ , Larin scheme

N = 3: 186505/7776

N = 5: 9473569/3037500

N = 7: -509428539731/193616640000

N = 9: -266884720969207/56710659600000

N = 11: -3349566589170829651/608887229282640000

N = 13: -751774767290148022507/130490947198868256000

N = 15: -23366819019913026454180147/4047226916198744678400000

N = 17: -305214227818628090680174170947/53873282508311259589115520000

N = 19: -570679648684656807578199791973487/103793635967590259537308862400000

N = 21: -2044304092089235762279148843319979/385456787045956248050132280576000

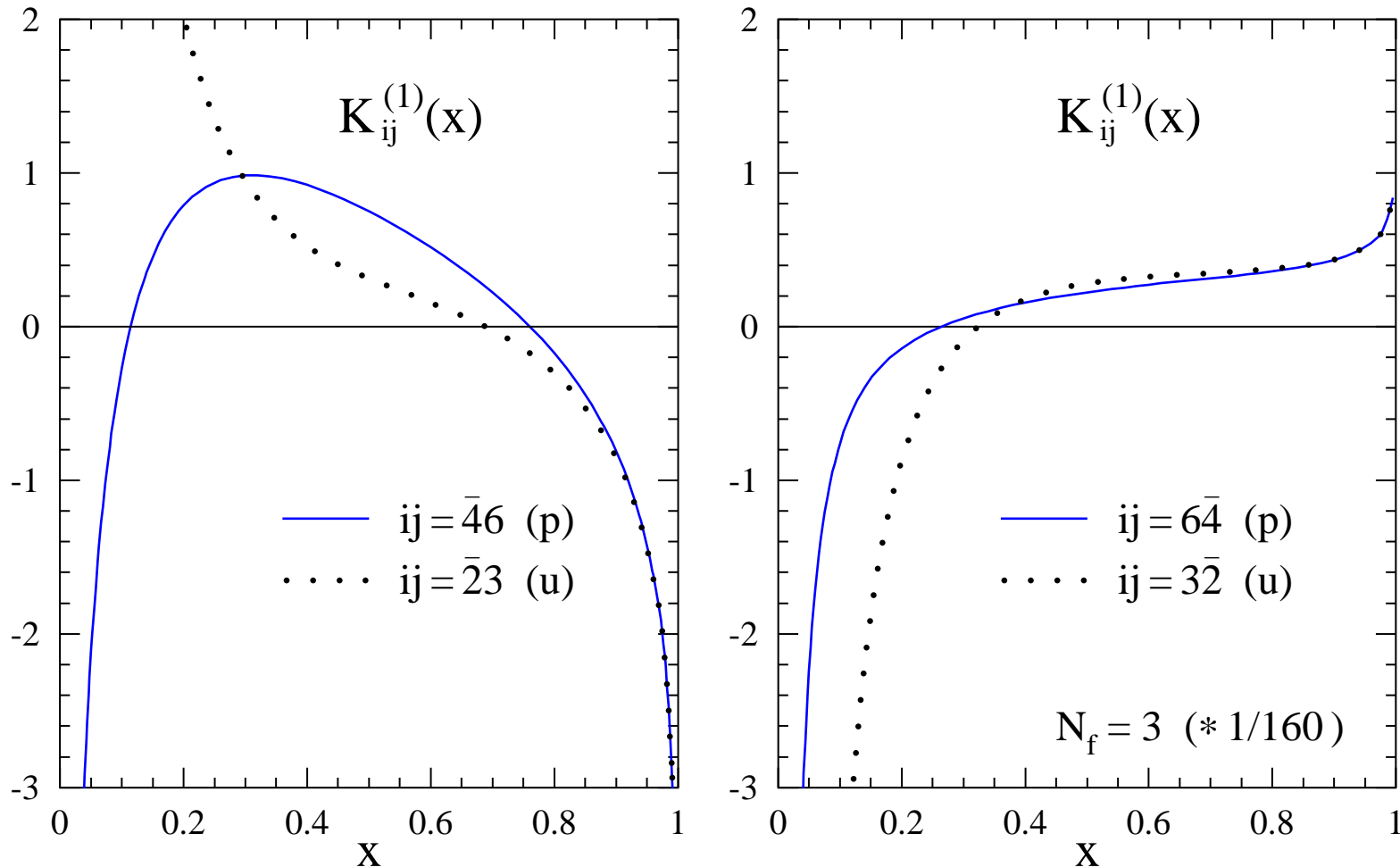
N = 23: -289119840113761409530260333250139823739/  
56707019270988141152999601215071395840

N = 25: -1890473255283802937678830745102921869938637/  
386426908528565021863360305851160000000000

Machines: Zeuthen, NIKHEF (hardest cases), ulgqcd cluster Liverpool (bulk production)

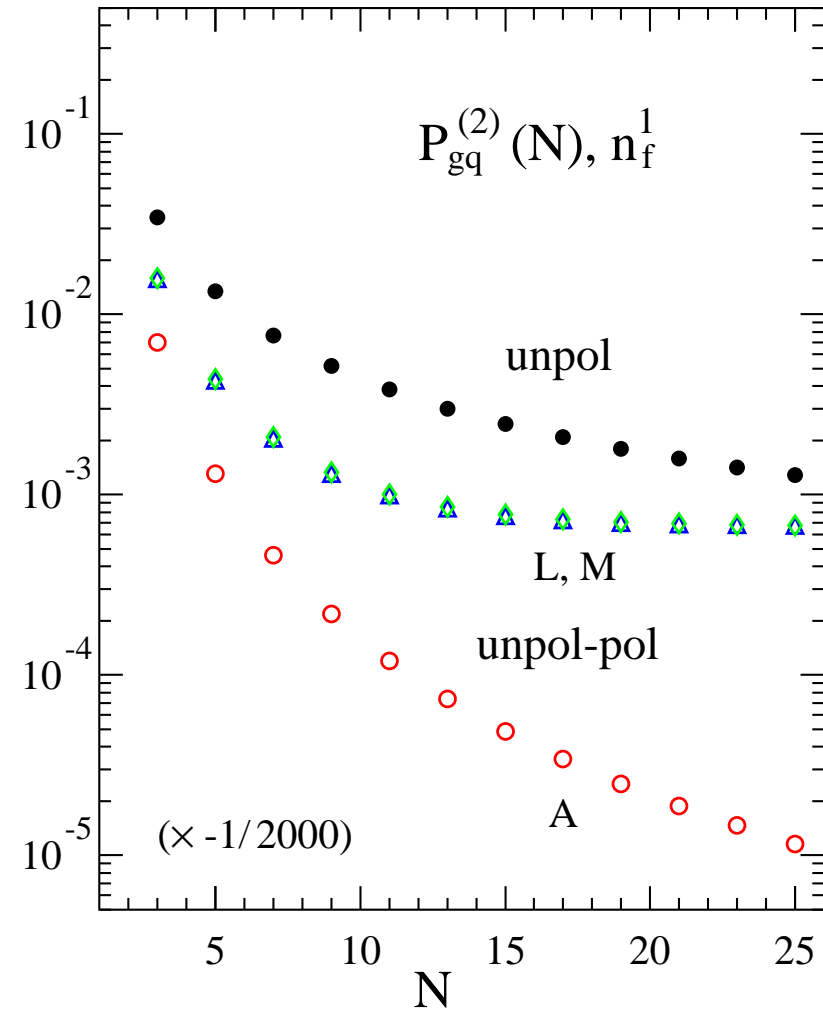
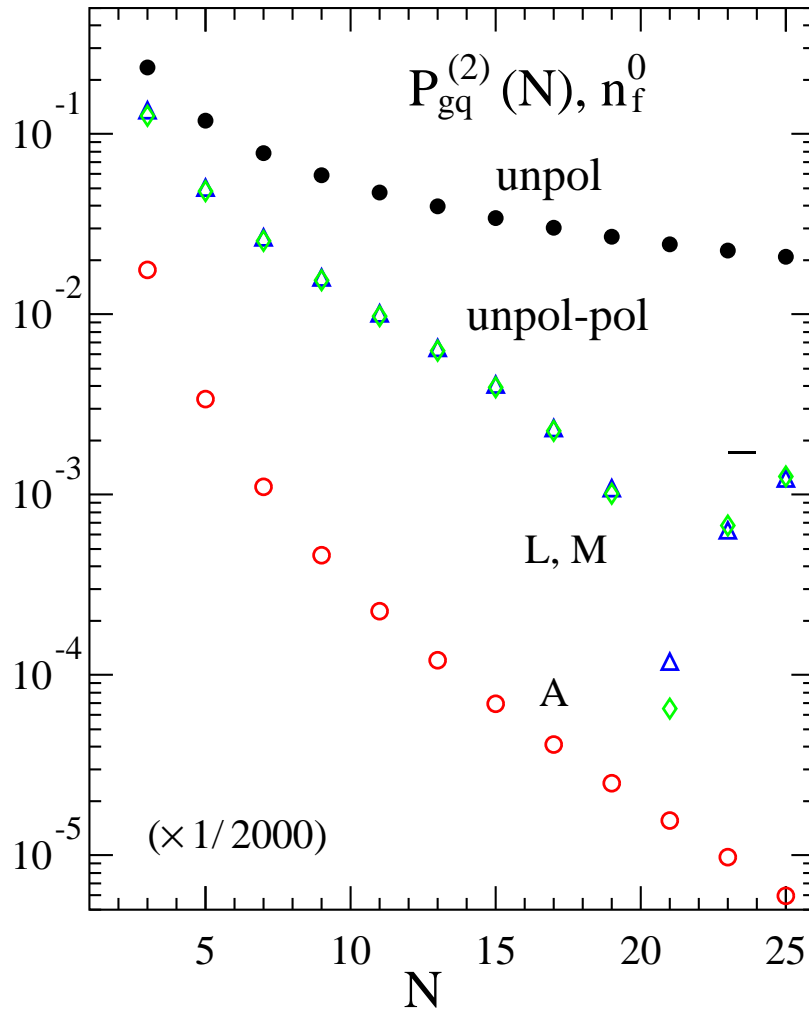
# NLO physical kernels for graviton exchange

Unpol.: structure funct's  $H_2$  (LO: q) and  $H_3$  (LO: g). Pol. analogues:  $H_4, H_6$



$\Rightarrow$  Large- $x$  behaviour of standard  $\Delta P_{gq}^{(1)}$  is a factorization-scheme artifact

# Large- $N$ (non-)suppression of $\Delta P_{\text{gq}}^{(2)}$



Consistent with  $\frac{1}{N^2}$  suppressed difference in  $A$ -scheme,  $z_{\text{gq}}^{(2)} = -\frac{1}{2} \Delta P_{\text{gq}}^{(1)}$



# Determination of $\Delta P_{\text{gq}}^{(2)}$ at all $N$

---

Critical:  $n_f^0$  parts. Coefficient of weight-4 sums fixed from unpolarized case

Weight  $\leq 3$ :  $2 \times 32$  coefficients with  $D_0$  or  $D_1$ , plus up to 11 sums with  $D_{-1}$

- $2 \times 12$  coefficients (of  $D_0^1$  &  $D_1^1$ ) fixed by  $1/N^2$   $A$ -scheme suppression
- $3 + 3$  coefficient fixed by small- $x$  & large- $x$  (i.e.,  $S_{1,1,1}$ ) knowledge

$\Rightarrow$  Up to 45 unknown integer coefficients vs 12 odd moments  $3 \leq N \leq 25$

In-house primes program (Jos): analysis of prime decomposition, derivation of relations ( $\lesssim 10$ ) between coefficients via the Chinese remainder theorem

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Eliminate six (or more) 'unpleasant' coefficients:  $D_0^2, D_1^2, D_0^2 S_1, D_1^2 S_1, \dots$

Turn to the number-theory professionals (LLL algorithm), cf. Velizhanin (12)

[www.numbertheory.org/php/axb.html](http://www.numbertheory.org/php/axb.html) (Keith Matthews, Queensland)

'Solves a system of linear Diophantine equations ... via the Havas-Majewski-Matthews LLL-based algorithm. ... We find ... the solutions  $X$  with minimal length, using a modification of the Fincke-Pohst algorithm'

# One colour factor of $\Delta P_{\text{gq}}^{(2)}(N)$

$$\begin{aligned}
 \frac{1}{8} \Delta P_{\text{gq}}^{(2)}(N) \Big|_{C_F^3} = & 2\Delta p_{\text{qg}}(-S_{-4} + 6S_{-2,-2} + 4S_{1,-3} + 2S_{1,1,1,1} + S_{1,1,2} \\
 & + 3S_{1,2,1} - 3S_{1,3} + 2S_{2,-2} + 2S_{2,1,1} - 2S_{2,2}) \\
 & + 6\zeta_3 \Delta p_{\text{qg}}(2S_1 - 3) - 4S_{-3}(2D_0^2 - D_0 + D_1) - 8S_{1,-2}(D_1^2 - 2D_0 + 2D_1) \\
 & + S_{1,1,1}(2D_0^2 - 5D_1^2 - 6D_0 - 3/2D_1) - 2S_{1,2}(D_1^2 + 4D_0 - D_1) \\
 & - S_{2,1}(4D_0^2 + 4D_1^2 - 4D_0 + 7D_1) + S_3(2D_0^2 + D_1^2 + 6D_0 - 3/2D_1) \\
 & - S_{-2}(8D_1^3 + 4D_0^2 + 18D_1^2 - 26D_0 + 24D_1) + 2S_2(D_1^3 + 2D_1^2 + 10D_0 - 4D_1) \\
 & - S_{1,1}(6D_0^3 + 6D_1^3 + 4D_0^2 + 5D_1^2 + 2D_0 - 7/4D_1) - 6D_{-1}(S_{-2} + 1) \\
 & - S_1(6D_0^4 + 7D_1^4 + 4D_0^3 + 23/2D_1^3 - 27/2D_0^2 + 39/4D_1^2 - 8D_0 + 23/4D_1) \\
 & - 8D_0^5 - 12D_1^5 + 23D_0^4 - 28D_1^4 - 39/4D_0^3 - 427/8D_1^3 - 341/8D_0^2 - 767/8D_1^2 \\
 & + 2427/16D_0 - 4547/32D_1
 \end{aligned}$$

All harmonic sums with argument  $N$ ,  $D_k = (N+k)^{-1}$ ,  $\Delta p_{\text{gq}} = 2D_0 - D_1$

$C_F C_A^2$ ,  $C_F^2 C_A$  parts somewhat longer, rest much simpler ( $N=25$  not needed)

All- $N$  formula for  $\Delta P_{\text{gg}}^{(2)}(N)$  analogous; overall most difficult: its  $C_A^3$  part

# Higher- $N$ checks, first-moment results

---

Most difficult colour factors of both cases: all moments to  $N = 25$  used for determining the coefficients  $\Rightarrow$  **validate results by computing  $N = 27, 29$**

$$-\Delta P_{\text{gq}}^{(2)}(27) = 4609770383587605432813291530849726335264810727 / 982934508627216318966565777854990940800000000 C_F^3 + \dots$$

**Total execution time: 256 874 306.6 sec. Maximum disk space: 1 261 024 031 636 bytes**

**Plus Mincer check of  $\Delta P_{\text{gq}}^{(2)}(29)$  for  $C_A - 2C_F \rightarrow 0$  and  $\Delta P_{\text{gq}}^{(2)}(27, 29)|_{C_A^3}$**

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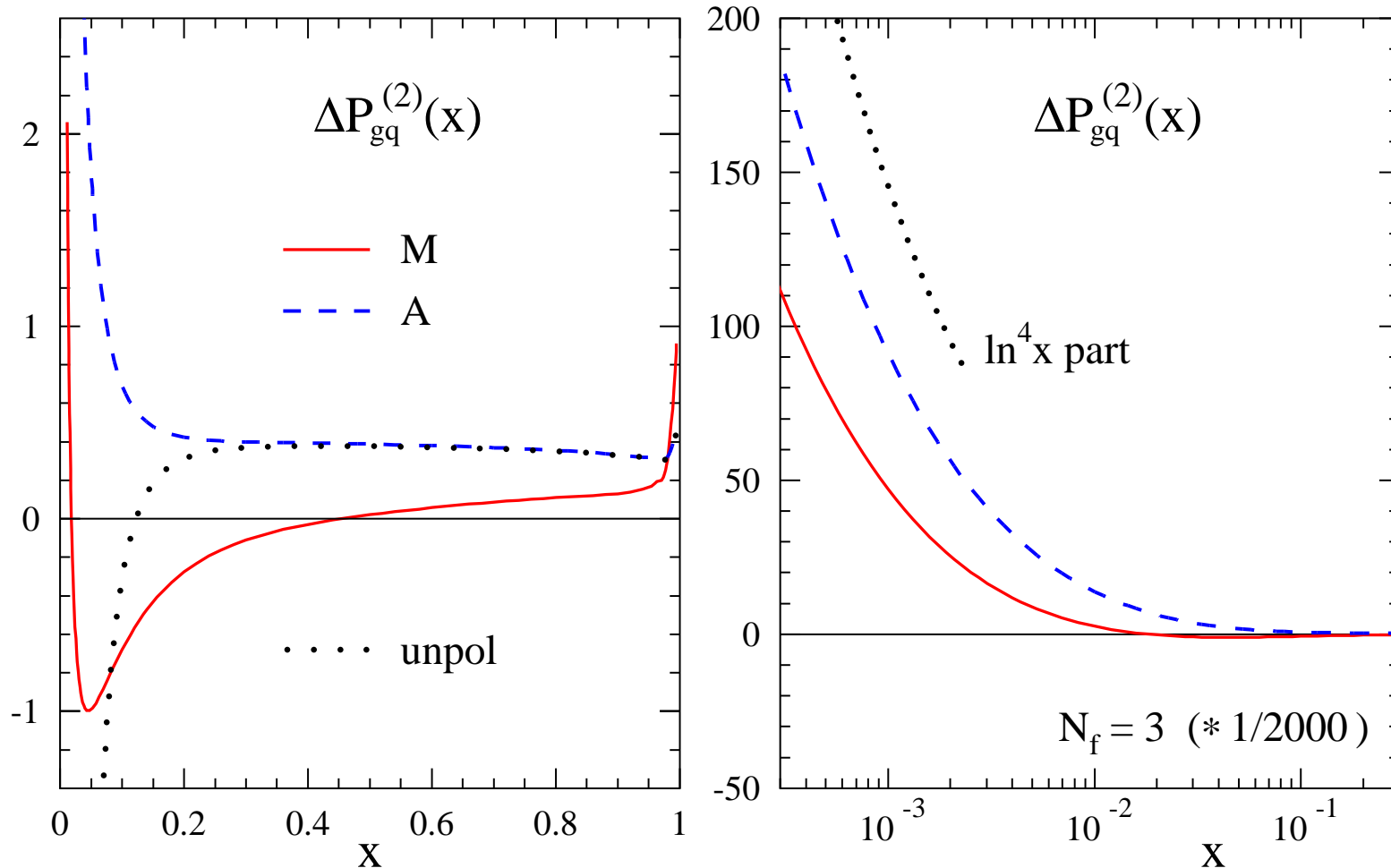
Plus Mincer check of  $\Delta P_{\text{gq}}^{(2)}(29)$  for  $C_A - 2C_F \rightarrow 0$  and  $\Delta P_{\text{gq}}^{(2)}(27, 29)|_{C_A^3}$

**First moments:** transform to  $x$ -space in terms of HPLs, then calculate  $N = 1$   
 Remiddi, Vermaseren (99)

$$\begin{aligned} \Delta P_{\text{gq}}^{(2)}(N=1) = & \frac{1607}{12} C_F C_A^2 - \frac{461}{4} C_F^2 C_A + \frac{63}{2} C_F^3 \\ & + \left( \frac{41}{3} - 72\zeta_3 \right) C_F C_A n_f - \left( \frac{107}{2} - 72\zeta_3 \right) C_F^2 n_f - \frac{13}{3} C_F n_f^2 \end{aligned}$$

$$\Delta P_{\text{gg}}^{(2)}(N=1) = \frac{2857}{54} C_A^3 - \frac{1415}{54} C_A^2 n_f + \dots = \beta_2 \quad \text{-- another check}$$

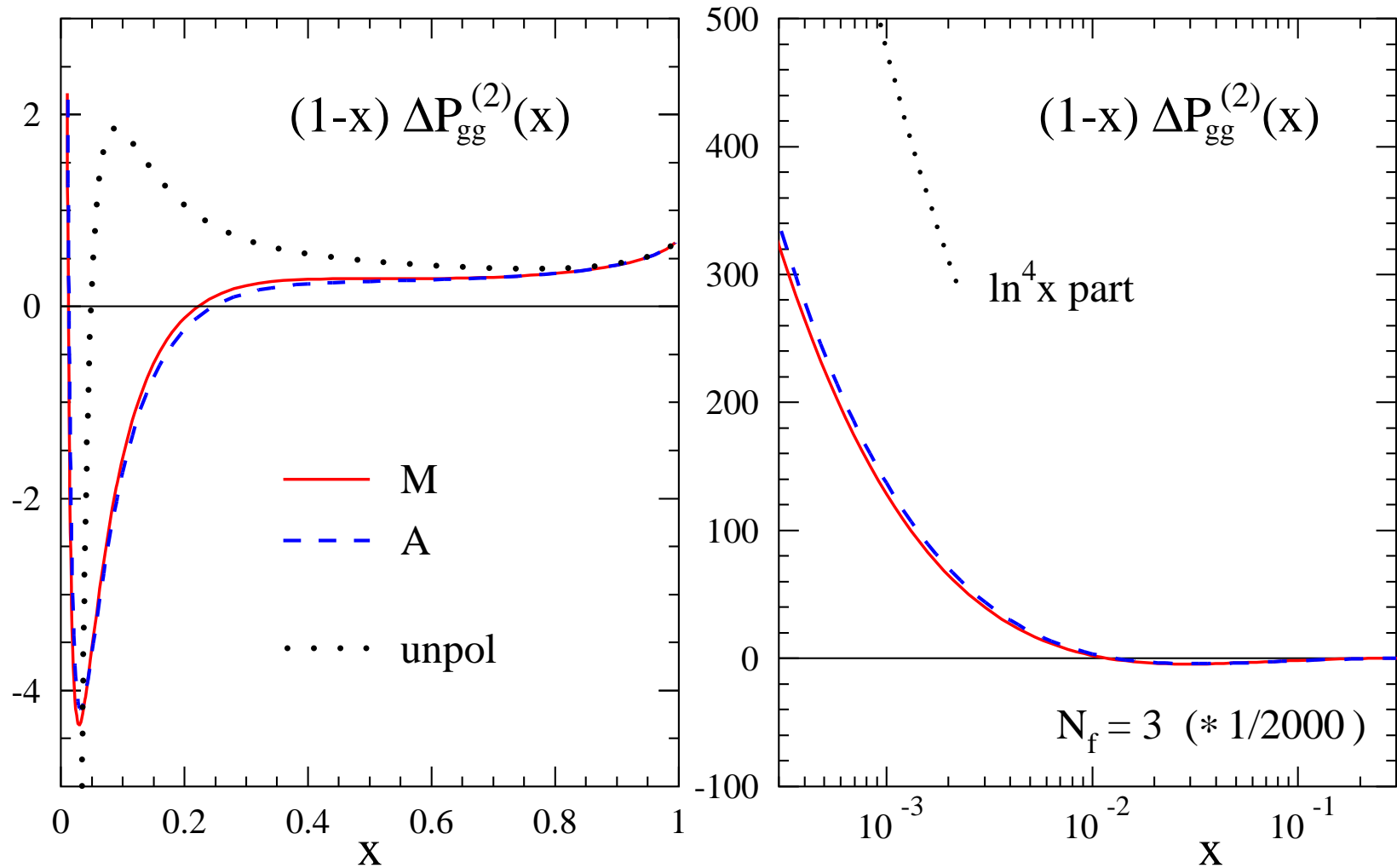
# The third-order splitting function $\Delta P_{gq}^{(2)}(x)$



$\ln^4 x$ : 1996 Blümlein, A.V. coefficient = result for NNLO physical kernel  $K_{6\bar{4}}$

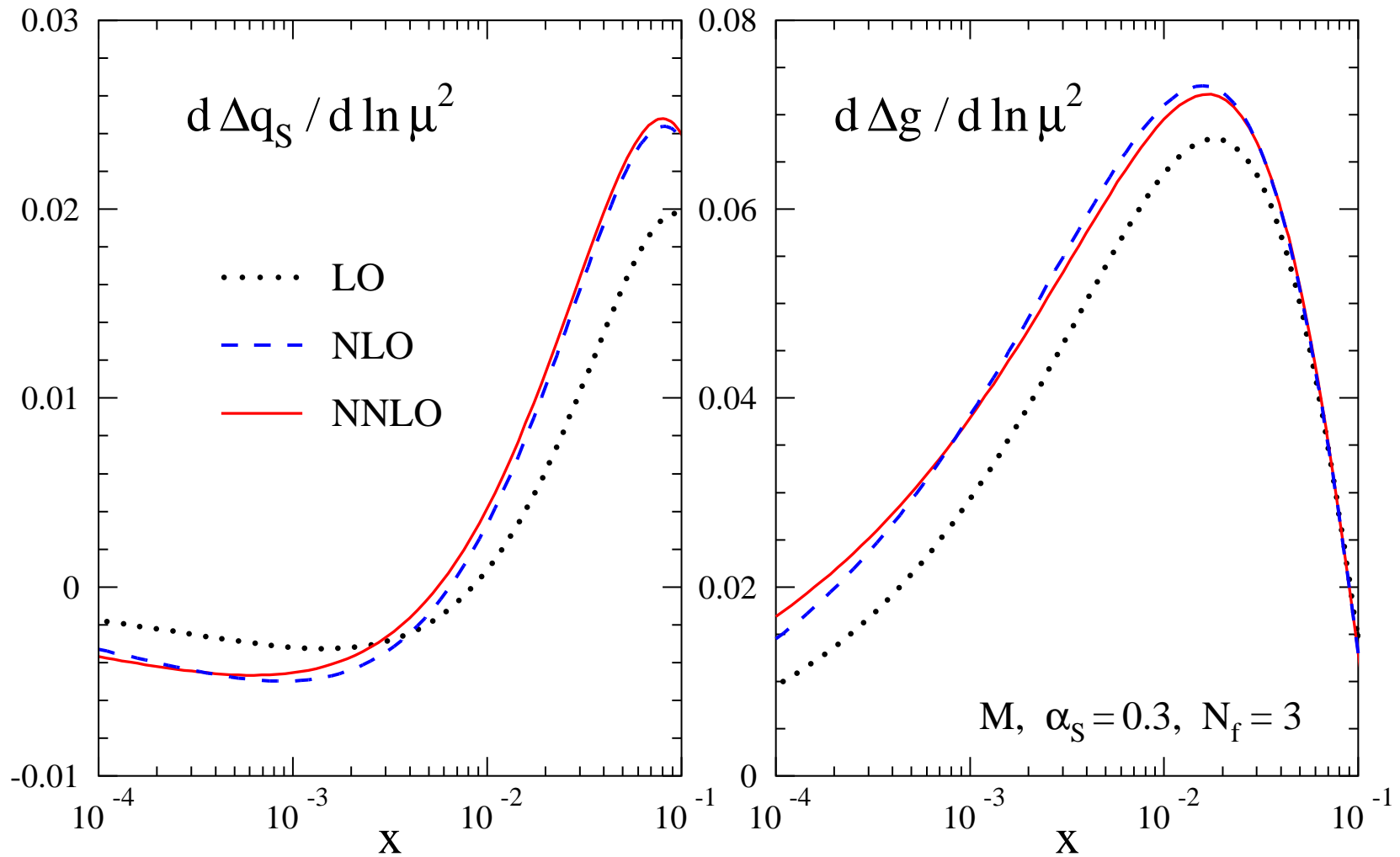
Same situation for  $\Delta P_{qg}^{(2)} \leftrightarrow K_{\bar{4}6}$  – clarifies 2008 query

# The third-order splitting function $\Delta P_{gg}^{(2)}(x)$



**Coefficient of  $\ln^4 x$  identical to  $K_{66}$ : straight agreement with '96 prediction**

# Polarized singlet quark and gluon evolution



Initial q and g distributions of QCD-Pegasus manual, evolution benchmarks

A.V. (04); Salam, A.V. (HERA/LHC workshop 04/05)



# Summary and outlook

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‘Spät kommt Ihr – doch Ihr kommt. Der weite Weg, . . . , entschuldigt Euer Säumen.’

‘Late you come, yet you come. The long way, . . . , excuses your tarrying’

Schiller, Wallenstein (1799)

All NNLO spin splitting functions  $\Delta P_{ij}^{(2)}(x)$  calculated, finally

- New part (lower matrix row) by brute force, insight and number theory  
3<sup>rd</sup>-order Mincer calculation of graviton-exchange DIS also performed for upper row and unpol. case: full agreement with previous results
- Agreement with all previous partial results (if interpreted properly) and expectations:  $x \rightarrow 0, 1$ , gg @  $N = 1$ , leading  $n_f$  Bennett, Gracey (98)

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- Agreement with all previous partial results (if interpreted properly) and expectations:  $x \rightarrow 0, 1$ , gg @  $N = 1$ , leading  $n_f$  Bennett, Gracey (98)
- Numerical effects small down to low  $x$ , as for unpolarized case  
Standard pol.  $\overline{\text{MS}}$  factorization ( $\gamma_5$  treatment) a bit unphysical for  $x \rightarrow 1$   
– not a practical problem: no reason to change scheme after 19 years
- Re-calculation of transformation from Larin scheme  $z_{iq}^{(2)}$  worthwhile  
Knowledge of  $z_{ps}^{(3)}$  would fix N<sup>3</sup>LO quark coefficient function for  $g_1$