

Consistency of Fayet - Iliopoulos Terms

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Outline

- (I) FI terms vs. "impostors"
- (II) The no-go argument by Komargodski & Seiberg
- (III) Discussion

I FI terms vs. "impostors" (mostly [1])

■ Genuine FI terms:

From Julian's talk we know that "genuine" FI terms arise as integration constants of the Killing prepotentials:

$$D^a = i X^a_j D_j K + \xi,$$

by solving the Killing equations on the complex manifold of scalar fields ϕ_j .

↪ For a linearly realized gauge symmetry:

$$X^j_a = i (T_a)_i^j \phi^i$$

Example: Canonical K , fields ϕ^i charged with q^i under some $U(1)$

$$\hookrightarrow X^i = i q^i \phi^i \Rightarrow D = - \sum_i q^i |\phi^i|^2 (+ \xi)$$

[1]

■ Impostors

A D-term may contain an apparent constant which is not a genuine FI term if

- a) the constant is actually field-dependent
- b) the corresponding $U(1)_{FI}$ symmetry is Higgsed everywhere in field space.

► a) Happens when a $U(1)$ is realized non-linearly, i.e. axionic:

$$X'_a = i q'_a \quad q'_a = \text{real constant.}$$

↳ classic example, often arising in string theory [2]:

$$K = -\log(S + \bar{S}), \quad X_S = i q_S$$

↑ e.g. via
Green-Schwarz
mechanism in
heterotic s.t.

$$\Rightarrow D = \frac{q_S}{S + \bar{S}} \quad (+ \dots)$$

(If S is stabilized at some high scale & integrated out, this looks like a constant.

↳ But: integrating out $S + \bar{S}$ supersymmetrically gives a large mass to $\text{Im } S$ as well.

↳ Since $\text{Im } S$ gets eaten by the $U(1)$ vector multiplet, this must be heavy, too

↳ No low-energy FI term.

► b) In principle a generalization of a).

↳ basic idea: An FI term associated with a massive vector multiplet can always be redefined away.

- Decompose massive v.m. V_m into massless v.m. & chiral superfield S :

$$V_m = V + S + \bar{S}$$

↳ transformation under $U(1)$:

$$V \rightarrow V' = V - (\lambda + \bar{\lambda}) \quad ; \quad S \rightarrow S' = S + \lambda$$

(S corresponds to a pure gauge unless V_m is massive everywhere in field space. $\rightarrow S$ is globally defined as a dynamical field).

- Consider theory with FI term associated with V_m
↳ perform Kähler transformation:

$$K' = K + \alpha(S + \bar{S}), \quad W' = W \cdot e^{-\alpha S}$$

$$\Rightarrow D = iK_i X^i + \xi = iK'_i X^i + \alpha + \xi$$

↳ Get rid of FI term by choosing $\alpha = -\xi$.

$\Rightarrow$ Genuine FI term only exists when gauge symmetry restored at least at one point in field space.

II The no-go argument by Kom. & Seiberg (mostly [3,4])

Ferrara - Zumino multiplet

- Consider inherent symmetries of globally supersymmetric theories:

R-symmetry, supersymmetry, gen. coord. transf.

Noether current: j_μ $S_{\mu\alpha}$ $T_{\mu\nu}$

→ Ferrara, Zumino '75: All of these can be combined in a supercurrent supermultiplet

$$j_\mu = \frac{1}{4} \bar{\sigma}_\mu^{\dot{\alpha}\alpha} j_{\alpha\dot{\alpha}}$$

- Theory with unbroken R symmetry & superconf. symmetry:

$$\bar{D}^{\dot{\alpha}} j_{\alpha\dot{\alpha}} = 0 \quad \leadsto \text{supercurrent anomaly structure determined by } \partial^\mu j_\mu, \bar{\sigma}^\mu S_{\mu\alpha}, T \equiv T_\mu^\mu$$

- Theory with maximally broken superconf. symmetry:

$$\bar{D}^{\dot{\alpha}} j_{\alpha\dot{\alpha}} = D_\alpha X \quad \leadsto \text{chiral superfield}$$

→ component expression for j_μ :

$$j_\mu = j_\mu + \theta \cdot f(S_{\mu\alpha}) + \bar{\theta} \bar{f}(\bar{S}_{\mu\dot{\alpha}}) + \theta \sigma^\mu \bar{\theta} \cdot F(T_{\mu\nu}, T) + \dots$$

→ Why is this a useful object?

■ Coupling to supergravity

global supersymmetry $\xrightarrow{[?]}$ local supersymmetry.

- In a superconformal theory: All currents conserved

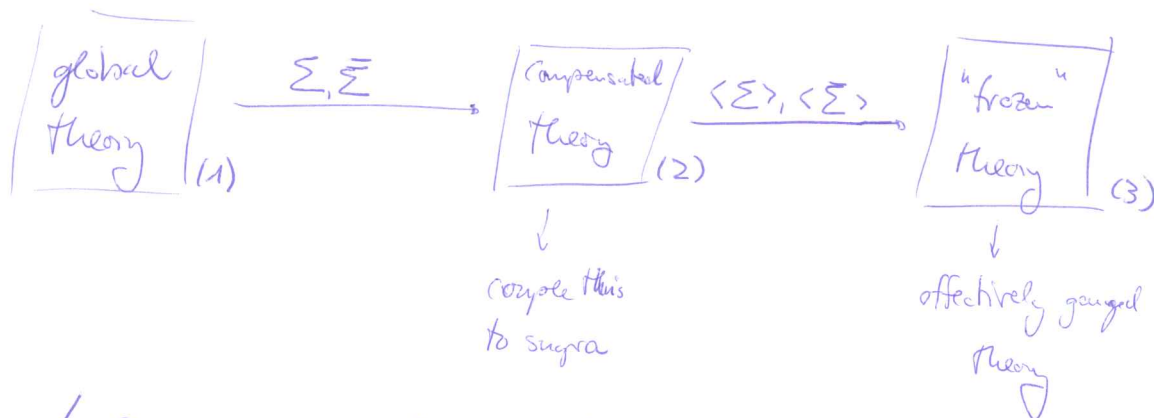
↳ $[?] =$ couple supercurrent multiplet to supergravity mult.

$$\int d^4\Theta \int_{\alpha\dot{\alpha}} H^{\alpha\dot{\alpha}}, \quad H^{\alpha\dot{\alpha}} \in b_\mu, \tilde{f}_{\mu\nu}, e_\mu^m, a_\mu.$$

conf. sugra multiplet.

- Without superconf. symmetry: Use chiral compensator formalism

↳ cf. Ido's talk.



⚡ Beware: symmetry structure of theories (1) and (3) might be widely different.

↳ see Sec. III

Remember: conformal scaling properties determined by "Weyl weight" w :
e.g. $w(V) = 0$, $w(W_\alpha) = \frac{3}{2}$, $w(x, \theta) = (-1, -\frac{1}{2})$

$$\Rightarrow w(h) = 2, \quad w(W) = 3 \quad \text{for conf. symmetry.}$$

• Theory (1) $\rightarrow$ Theory (2):

Introduce chiral compensators $\Sigma, \bar{\Sigma}$ with $R(\Sigma) = \pm \frac{2}{3}$, $w(\Sigma) = 1$.

a) Superpotential: $W_{(1)} \rightarrow W_{(2)} = \left(\frac{\sum}{\sqrt{3} M_p} \right)^3 \underbrace{W_{(1)}(\tilde{\phi}_i, \tilde{X}_n)}_{\equiv \tilde{W}}$,
 where $\phi_i = \left(\frac{\sum}{\sqrt{3} M_p} \right) \tilde{\phi}_i$ new chiral fields

$X_n = \left(\frac{\sum}{\sqrt{3} M_p} \right)^n \tilde{X}_n$ new couplings of mass dim. n .

b) Kähler potential: Decompose $K_{(1)}(\phi, \bar{\phi}, V) = \sum_n K_n$

$$\hookrightarrow \tilde{K} \equiv K_{(1)}(\tilde{\phi}, \bar{\tilde{\phi}}, V) = \sum_n \left(\frac{\sum \bar{\Sigma}}{3 M_p^2} \right)^{n/2} K_n$$

$$\Rightarrow K_{(2)} = - \bar{\Sigma} \Sigma \exp\left(-\frac{\tilde{K}}{3 M_p^2}\right)$$

$\Rightarrow W_{(2)}$ and $K_{(2)}$ are superconformal R & R-symmetric!

• Theory (2) $\rightarrow$ Theory (3): Freeze $\langle \Sigma \rangle = \langle \bar{\Sigma} \rangle = \sqrt{3} M_p$.

■ Example: Free theory with FI term

$$W=0, \quad K=2\xi V$$

$$\hookrightarrow \mathcal{L}_{\chi\chi} = 2W_\chi \bar{W}_\chi + \frac{2\xi}{3} [\bar{D}_\alpha, \bar{D}_\alpha] V$$

$$\left[\text{or in general: } \mathcal{L}_{\chi\chi} = -K_{i\bar{j}} D_\alpha \phi_i \bar{D}_\alpha \bar{\phi}_{\bar{j}} + \frac{1}{3} [\bar{D}_\alpha, \bar{D}_\alpha] K \right]$$

■ Couple FI term to Supergravity

• Theory (1) invariant under $U(1)_{FI}$

$\hookrightarrow$ Theory (2) invariant under R + superconf. symmetry
 + invariant under $U(1)'_{FI}$

⇒ a) $K_{(2)}$ must be invariant under $U(1)'_{FI}$

↳ assign charge to Σ : $Q_{\Sigma, \bar{\Sigma}} = \pm \frac{2\xi}{3M_p^2}$ (*)

b) $W_{(2)}$ must be invariant under $U(1)'_{FI}$

↳ $\tilde{W}$ transforms nontrivially: $Q_{\tilde{W}} = -\frac{2\xi}{M_p^2}$

► Places strong restrictions on Theory (1):

All terms in $\tilde{W}$ must have the same $U(1)'_{FI}$ charge

↳ There must be an additional global
R symmetry to make this possible.

► Same applies to Theory (2):

Theory (1) had local $U(1)_{FI}$ invariance, charges of $\tilde{\phi}_i$ assigned s.t. $W_{(1)}$ invariant.

↳ promote this into Theory (2) by choosing $\Sigma, \bar{\Sigma}$ to be neutral under $U(1)_{FI}$.

⇒ Only possible if this $U(1)_{FI}$ does not shift V or transform $K_{(2)}$

⇒ $U(1)_{FI}$ is global in Theory 2!

⚡ Banks, Seiberg: There are no global symmetries in quantum gravity.

↳ FI term inconsistent. [7]

III

Discussion

(mostly [4, 5])

■ Arguments made in [4]

- ▶ [3] links the trouble with FI terms to the breaking of R symmetry, i.e. $\mathcal{L}_R \neq 0$ induced by ξ .

↳ This is misleading, since the chiral compensator formalism ("old minimal formalism") ~~breaks~~ by construction breaks R. Remember, $R(\xi) = \pm \frac{2}{3}$.

FI term by itself preserves R.

→ Do not use chiral comp. form. when R symmetry is exact in Theory (1).

- ▶ In case of exact R symmetry, use linear compensator formalism ("new minimal formalism").

↳ Solving, Wost '81

↳ conservation equation:

$$\bar{D}^{\dot{\alpha}} J_{\dot{\alpha}} = L_{\alpha} \quad , \quad L_{\alpha} \text{ chiral multiplet}$$

↳ $J_{\dot{\alpha}} =$ linear multiplet (= vector multiplet without $\theta^2, \bar{\theta}^2$ components)

↳ introduce compensators for gauging whose vev preserve R symmetry & make it local.

► In linear formalism, ξ does not contribute to $J_{\bar{X}}$.

↳ no gauge charge for compensators.

↳ no global symmetries appear.

→ FI term consistently coupled to sugra.

But: note that in chiral formalism the appearance of global symmetries is indeed due to the FI term, not the broken R symmetry. With $\xi=0$, $K_{(1)}$ and $K_{(2)}$ are invariant under $U(1)_{FI} = U(1)_{FR}$.

► In an R-symmetric theory, chiral & linear formalism are related:

$$J_{\bar{X}}^{(C)} = J_{\bar{X}} + \frac{1}{3} [D_{\bar{a}}, \bar{D}_{\bar{a}}] \left(\underbrace{K - \frac{3 R(\Phi)}{2} \Phi \cdot K_i}_{\equiv K} \right)$$

↳ K must have terms which allow $K \neq 0$ for $J_{\bar{X}}^{(C)}$ and $J_{\bar{X}}^{(L)}$ to be different → e.g. FI term.

↳ $J_{\bar{X}}^{(C)}$ may only break $U(1)_{FI}$ if it differs from $J_{\bar{X}}^{(L)}$.

► In contrast to chiral formalism, linear formalism preserves exact symmetry structure going from Theory (1) → Theory (3):

$$U(1)_{FI} \longrightarrow U(1)_{FI}$$

$$U(1)_R^{\text{global}} \longrightarrow U(1)_R^{\text{local}}$$

► Conclusion of [4]: Whether FI term can be consistently coupled to supergravity depends on whether Theory⁽¹⁾ has an exact symmetry or not.

► New (and contradicting) arguments made in [5]:

► The Ferrara-Zumino multiplet^{tr} is actually ill-defined whenever $\xi \neq 0$.

► This may be remedied in the presence of an exact R symmetry, using the so-called "R-multiplet", which is somewhat analog to the "new minimal" formalism.

↳ But: It is stated that in this case, one is left with a global symmetry in Theory (3), contrary to what was said in [4].

↳ $\xi \neq 0$ + exact R symmetry is excluded.

► If $\xi \neq 0$ and no exact R symmetry is present, use a non-minimal formalism via "S-multiplet".

↳ introduces additional chiral field γ .

↳ start with constant $\xi \neq 0$ in Theory (1), end up with γ -dependent ξ in Theory (3). γ Higgses $U(1)_{FI}$ everywhere.

⇒ FI term not meaningful anymore, cf. Sec. [I].

References

- [1] F. Zwirner et al., 1110.2174
- [2] M. Dine et al., Nucl. Phys. B 289 (1987) 589
- [3] Z. Komargodski & N. Seiberg, 0904.1159
- [4] K.R. Dienes & B. Thomas, 0911.0677
- [5] Z. Komargodski & N. Seiberg, 1002.2228

~~[6]~~