

Aim : to introduce a new class of SUGRA inflation models with logarithmic Kähler potentials and non-minimal coupling to gravity.

- Plan :
1. Motivation - constructing inflation models in SUGRA
 2. Superconformal approach to SUGRA - standard approach to creating SUGRA theories.
 3. Canonical Superconformal SUGRA (CSS) - a particular class of models with canonical kinetic terms in the Jordan frame.
 4. Building models : logarithmic v power-law
 - including non-minimal coupling
 - 4.1 Symmetry breaking potential
 - 4.2 Quadratic potential
 - 4.3 General potential
 5. Summary

References : [1] Kallosh and Linde 1008.3375

→ main reference for this lecture.

[2] Kallosh, Linde, Rube 1011.5945

→ more on general $W = Sf(\Phi)$

[3] Einhorn and Jones 0912.2718

Ferrara et al 1004.0712

Lee 1004.0712

Ferrara et al 1008.2942

[4] Linde, Noorbala, Westphal
1104.2652

→ observational consequences

Papers introducing
this idea / SUGRA
Higgs Inflation.

1. Motivation - building inflation models in SUGRA

- inflation requires a flat scalar potential
- in general, the F-term part of the scalar potential is

$$V \propto e^K \sim \text{Kähler potential}$$
- simplest Kähler potential: $K \propto \Phi \bar{\Phi}$
 $\Rightarrow$ scalar potential not flat enough for inflation.

Possible solutions

- (1) search for flat directions
- (2) choose a new Kähler potential with shift symmetry:

$$K = \frac{1}{2} (\Phi + \bar{\Phi})^2$$
 - $\hookrightarrow e^K$ does not depend on $(\Phi - \bar{\Phi})$, which becomes the inflaton.
- (3) add a non-minimal coupling to gravity.
 $\hookrightarrow$ this, and the connection to shift symmetry, is the subject of this lecture.

[Note: we do inflation calculations in the Einstein frame because then we can use the standard formalism.]

2. Superconformal approach to SUGRA

[see also Ido's talk]

- Standard approach:
1. write down a superconformal theory including a "conformal compensator" φ
 2. make $\varphi \propto M_P$
 3. obtain SUGRA Lagrangian in Einstein frame.

Example:

$$\mathcal{L} = \sqrt{-g} \left[\underbrace{+\frac{1}{2} \partial_\mu \varphi \partial_\nu \varphi g^{\mu\nu}}_{\substack{\text{note the} \\ \text{sign!}}} + \underbrace{\frac{\varphi^2}{12} R(g)}_{\substack{\text{"conformal compensator"} \\ \text{or "Weyl scalar"} \\ \text{or "conformon"}}} - \underbrace{\frac{1}{2} \partial_\mu h \partial_\nu h g^{\mu\nu}}_{\text{scalar}} - \frac{h^2}{12} R(g) - \frac{\lambda h^4}{4} \right]$$

$\hookrightarrow$ this is conformally invariant under $g_{\mu\nu} \rightarrow g'_{\mu\nu} = e^{-2\sigma(x)} g_{\mu\nu}$
 $\varphi \rightarrow \varphi' = e^{\sigma(x)} \varphi$
 $h \rightarrow h' = e^{\sigma(x)} h$

• Now fix $\varphi = \sqrt{6} M_P$ (gauge fixing)

$\hookrightarrow$ adding a scale (M_P) breaks conformal invariance
 $\rightarrow$ we can have Einstein gravity.

$\hookrightarrow$ note that terms such as $h^2 \varphi^2$, $h \varphi^3$ would become large, so must be forbidden. [Decoupling of φ from matter fields is a condition of the theory]

$$\mathcal{L} = \underbrace{\sqrt{-g} \frac{M_P^2}{2} R(g)}_{\text{Einstein gravity}} - \underbrace{\sqrt{-g} \left[\frac{1}{2} \partial_\mu h \partial_\nu h g^{\mu\nu} + \frac{h^2}{12} R(g) + \frac{\lambda}{4} h^4 \right]}_{\substack{\text{conformally invariant} \\ \text{matter part.}}} \quad \text{[if we switch to Einstein frame, this is not obvious]}$$

3. Canonical superconformal SUGRA (CSS)

- standard method: fix $-\frac{1}{6} \mathcal{N}(X, \bar{X}) R \Rightarrow \frac{1}{2} M_P^2 R$
- CSS method: fix $-\frac{1}{6} \mathcal{N}(X, \bar{X}) R \Rightarrow -\frac{1}{6} \Phi(z, \bar{z}) R$ we don't use this notation to avoid confusion.

$$\equiv \frac{1}{2} \Omega^2(z, \bar{z}) R \quad \text{[different notation]}$$

↑
complex scalar fields

- now consider a particular class of theories:

- $K = -3 \log \Omega^2(z, \bar{z}) \quad \left[\text{or } \Omega^2(z, \bar{z}) = \exp\left(-\frac{K}{3}\right) \right]$

- $\mathcal{L}_J = \underbrace{\sqrt{-g_J}}_{(\text{kinetic})} 3 \Omega^2_{\alpha\bar{\beta}} \partial_\mu z^\alpha \partial_\nu \bar{z}^{\bar{\beta}} g_J^{\mu\nu} + \underbrace{\sqrt{-g_J}}_{(\text{gravity})} \frac{1}{2} \Omega^2 R + \dots$

- choose $\Omega^2 = 1 - \frac{1}{3} \left(\delta_{\alpha\bar{\beta}} z^\alpha \bar{z}^{\bar{\beta}} + \underbrace{J(z) + \bar{J}(\bar{z})}_{\text{arbitrary holomorphic}} \right)$

→ then we see that $\Omega^2_{\alpha\bar{\beta}} = -\frac{\delta_{\alpha\bar{\beta}}}{3}$

→ $\mathcal{L}_J = \sqrt{-g_J} \left(-\frac{1}{2} \partial_\mu z^\alpha \partial_\nu \bar{z}^{\bar{\beta}} g_J^{\mu\nu} \right) + \dots$

i.e. kinetic terms are canonical in Jordan frame.

- important implication: with this K and Ω ,

$V_J = |\partial W|^2$ - same as global susy [when $J(z) = 0$ i.e. matter superconformal symmetry is preserved]

→ $e^K, -3|W|^2$ etc all canon

- when $J(z) \neq 0$, potential is still simple
if $J(z)$ doesn't depend on S and

⑤
NMSSM
or more
complicated
theory

$W = S f(\Phi)$, considering potential at $S=0$,
↑
arbitrary holomorphic.

then $V_J = |\partial_S W|^2 = |f(\Phi)|^2$

[then must
stabilise S !]

$$V_E \Big|_{S=0} = \frac{V_J}{\Lambda^4}$$

This is the cSS theory: • canonical
kinetic terms

- conformal
coupling to gravity
- $V = V_{\text{global}}$

- particular example

$$J(z) = -\frac{3\chi}{4} \Phi^2$$

↑
constant

$$\Rightarrow \Omega^2(\Phi, S; \bar{\Phi}, \bar{S}) = 1 - \frac{1}{3}(\Phi \bar{\Phi} + S \bar{S}) + \frac{\chi}{4}(\Phi^2 + \bar{\Phi}^2)$$

$\Rightarrow$ use $K = -3 \log \Omega^2$ gives

$$K = -3 \log \left(1 - \frac{1}{3}(\Phi \bar{\Phi} + S \bar{S}) + \frac{\chi}{4}(\Phi^2 + \bar{\Phi}^2) \right)$$

$\Rightarrow$ usually decompose:

$$S = \frac{1}{\sqrt{2}}(s + i\alpha) \quad ; \quad \Phi = \frac{1}{\sqrt{2}}(\phi + i\beta)$$

- re-write Ω in different form to make it easier to see the effect of χ .

$$\Rightarrow \Omega^2 = \left[1 - \frac{S \bar{S}}{3} + \frac{1}{12} \left(1 + \frac{3}{2}\chi \right) [\Phi - \bar{\Phi}]^2 - \frac{1}{12} \left(1 - \frac{3}{2}\chi \right) [\Phi + \bar{\Phi}]^2 \right]$$

- now consider 3 cases:

① $\chi = 0$

② $\chi = \pm \frac{2}{3}$

③ $\chi \neq \pm \frac{2}{3}$

$$\boxed{\chi = 0}$$

$$\Rightarrow \Omega^2 = 1 - \frac{1}{3} [\Phi \bar{\Phi} + S \bar{S}]$$

$$\Rightarrow \text{conformal coupling to gravity } \left(\zeta_g = -\frac{1}{6} \right)$$

$$\boxed{\chi = +2/3}$$

$$\Rightarrow \Omega^2 = 1 - \frac{S \bar{S}}{3} + \frac{1}{6} [\Phi - \bar{\Phi}]^2$$

$\Rightarrow (\Phi + \bar{\Phi})$ is minimally coupled to gravity

• K does not depend on $(\Phi + \bar{\Phi})$

$$\Rightarrow \text{use } \bar{\Phi} = \frac{1}{\sqrt{2}} (\phi + i\beta)$$

$\hookrightarrow \phi$ is minimally coupled to gravity

$\Rightarrow$ if $S=0=\beta$ during inflation,

then • $K=0$ along trajectory

• kinetic terms canonical

$\Rightarrow$ if $W = S f(\Phi)$,

$$\text{then } V_f(\phi) = |f(\phi/\sqrt{2})|^2 = V_E(\phi)$$

$$\boxed{\chi \neq 2/3}$$

$$\Rightarrow \Omega^2 = 1 - \frac{S \bar{S}}{3} + \frac{1}{2} \left(\frac{1}{6} + \frac{\chi}{4} \right) (\Phi - \bar{\Phi})^2 + \frac{1}{2} \left(-\frac{1}{6} + \frac{\chi}{4} \right) (\Phi + \bar{\Phi})^2$$

equivalent to non-minimal coupling

$$\frac{\zeta_g}{2} \phi^2 R \quad \text{with } \zeta_g = -\frac{1}{6} + \frac{\chi}{4}$$

$\rightarrow$ does the potential change?

$$V_J = |f|^2 \quad [S=0=\beta]$$

$$V_E = \frac{|f|^2}{(1+\frac{1}{2}\phi^2)^2}$$

[Note that ϕ is only canonically normalised in the Jordan frame, NOT in the Einstein frame!]

Compare to power-law Kähler potential ^{↑ where we do calculations.}

$$\bullet K = \partial_{\alpha\bar{\beta}} Z^{\alpha} \bar{Z}^{\bar{\beta}} + J(Z) + \bar{J}(\bar{Z}) + \dots$$

$$= (\Phi \bar{\Phi} + S \bar{S}) - \frac{3\chi}{4} (\Phi^2 + \bar{\Phi}^2) + \dots$$

[actually an expansion of $K = -3 \log(\dots)$ at small Φ, S]

• use same assumptions as before:

$$- \partial_S J = 0$$

$$- W = S f(\Phi)$$

- S stabilised @ $S=0$

$$\Rightarrow V_E \Big|_{S=0} = e^{\Phi \bar{\Phi} - \frac{3\chi}{4} (\Phi^2 + \bar{\Phi}^2)} |f(\Phi)|^2$$

$$\boxed{\chi = 2/3} \quad \gamma = 0 \Rightarrow \text{shift symmetry}$$

$$K = S \bar{S} - \frac{1}{2} (\Phi - \bar{\Phi})^2$$

• during inflation, $S=0$; $\Phi = \bar{\Phi} \Rightarrow K=0$

$$\Rightarrow V_E \Big|_{S=0} = |f(\Phi)|^2 \quad \text{same as } K = -3 \log \Omega^2$$

$\boxed{\chi \neq 2/3}$ • no simple interpretation in terms of non-minimal coupling

$$\bullet V_E \Big|_{S=0} = e^{-3\gamma\phi^2} |f(\Phi)|^2 \neq \log K \text{ potential}$$

$\Rightarrow$ difficult to achieve inflation.

4. Building models - logarithmic v. power law

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We will compare various W , K and χ choices. Some will make better inflation models! For orientation, I give a table of possible models:

	$W = -\lambda S / (\Phi^2 - \frac{v^2}{2})$ (symmetry breaking)	$W = m S \Phi$ (quadratic)	$W = S f(\Phi)$ (general)
$K = -3 \log \left[1 + \frac{1}{6} (\Phi - \bar{\Phi})^2 - \frac{1}{3} S \bar{S} + \gamma \frac{(S \bar{S})^2}{3} \right]$ $\boxed{\gamma = 0}$	$\textcircled{A}$ requires $\gamma > 1/3$ $v \gg 1$	$\textcircled{E}$ $\gamma > 1/3$	$\textcircled{I}$
$K = -3 \log \left[1 + \frac{1}{6} (\Phi - \bar{\Phi})^2 - \frac{1}{3} S \bar{S} + \gamma \frac{(S \bar{S})^2}{3} + \chi \frac{(\Phi^2 - \bar{\Phi}^2)}{4} \right]$ $\boxed{\gamma \neq 0}$	$\textcircled{B}$	$\textcircled{F}$ $ \gamma \lesssim 10^{-2}$	
$K = S \bar{S} - \frac{1}{2} [\Phi - \bar{\Phi}]^2 - \gamma (S \bar{S})^2$ $\boxed{\gamma = 0}$	$\textcircled{C}$	$\textcircled{G}$ $\gamma \gtrsim 0.1$	
$K = S \bar{S} - \frac{1}{2} [\Phi - \bar{\Phi}]^2 - \gamma (S \bar{S})^2 + \epsilon (\Phi^2 + \bar{\Phi}^2)$ $\boxed{\epsilon \neq 0}$	$\textcircled{D}$ requires $\epsilon \lesssim 3 \times 10^{-3}$	$\textcircled{H}$ $\epsilon \lesssim 10^{-3}$	

For each case, we should:

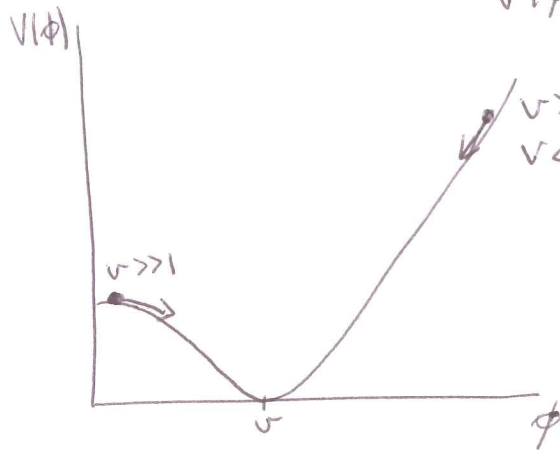
- ① calculate inflationary potential $V(\phi)$
- ② check stability in S and β directions, $m_s^2 > 0$; $m_\beta^2 > 0$
- ③ compute predictions for Planck.
- ④ for single field-like model, require $m_s > H$, $m_\beta > H$

Case (A) : $W = -\lambda S (\phi^2 - \frac{v^2}{2})$; $y=0$; $K = -3 \log[...]$

(9)

• $\frac{y(15S)^2}{3}$ in K is for stability of S . $\swarrow$ [THIS IS A REALLY IMPORTANT POINT]

• for $S=0=\beta$, find $V(\phi) = \frac{\lambda^2 (\phi^2 - v^2)^2}{4}$



$v \gg 1$ $\rightarrow$ last 60 e-folds near quadratic minimum
 $v \ll 1$ $\rightarrow \sim \phi^4$, so ruled out by Planck.

• stability of β

$$V(\phi, \beta, S=0) = \frac{9\lambda^2 (\phi^4 - 2\phi^2(v^2 - \beta^2) + (v^2 + \beta^2)^2)}{4(3 - \beta^2)^2}$$

$\rightarrow$ minimum @ $\beta=0$

$$m_\beta^2 = \frac{\lambda^2}{3} \left(3(\phi^2 + v^2) + (\phi^2 - v^2)^2 \right)$$

$\hookrightarrow 4H^2$

$m_\beta^2 > 0 \Rightarrow$ stable

$m_\beta > H \Rightarrow$ no fluctuations; rolls to $\beta=0$ quickly.

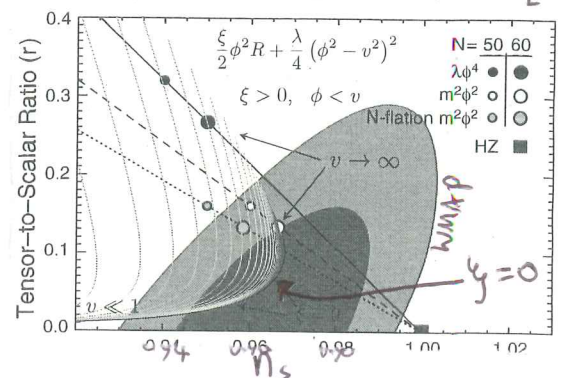
• stability of S

$$m_S^2 = \frac{\lambda^2}{6} \left([6y - 1](\phi^2 + v^2)^2 + 12\phi^2 \right)$$

$\rightarrow$ stable if $y > 1/6$

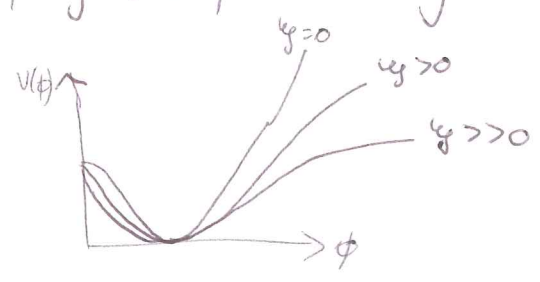
$\rightarrow$ heavy if $y > 1/3$

CASE (B) From [4]



case (B): $W = -\lambda S \left(\Phi^2 - \frac{v^2}{2} \right)$; $\eta \neq 0$; $K = -3 \log [\dots]$

• $V(\phi) = \frac{\lambda^2}{4} \frac{(\phi^2 - v^2)^2}{(1 + \eta \phi^2)^2}$



- $\eta \ll 1 \rightarrow$ get slight modifications to case (A)
- $\eta \gg 1$, small $v \rightarrow$ similar to Rigg's Inflation in SUGRA.
- $\eta \gg 1$, large $v \rightarrow$ inflation at small ϕ
- non-SUGRA results for generic η can be applied [see fig on pages 9 and 10]
- lot of parameter space is covered.

case (C): $W = -\lambda S \left(\Phi^2 - \frac{v^2}{2} \right)$; $\eta = 0$; $K = S\bar{S} + \dots$

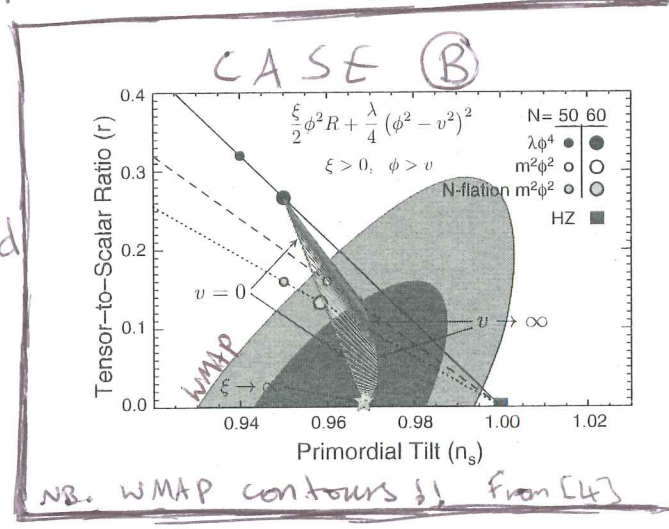
• $V(\phi) = \frac{\lambda^2}{4} (\phi^2 - v^2)^2$

• $m_\beta^2 = 6H^2 + \lambda(\phi^2 + v^2) \Rightarrow$ stabilised

• $m_s^2 = 12\eta H^2 + 2\lambda\phi^2$

$\hookrightarrow$ stable

$\hookrightarrow$ heavy if $\eta \gtrsim 0.1$



NB. WMAP contours from [4]

• observational consequences same as (A)

case (d): $W = -\lambda S \left(\Phi^2 - \frac{v^2}{2} \right)$; $\eta \neq 0$; $K = S\bar{S} + \dots$

• $V(\phi) = e^{-3\eta\phi^2} \frac{\lambda^2}{4} (\phi^2 - v^2)^2$

• for 60 e-foldings, require $|3\eta\phi^2| \lesssim 1$ for $|\phi| \lesssim 10$
 $\Rightarrow |\eta| \lesssim 3 \times 10^{-3} \rightarrow$ fine tuned.

Case (e): $W = m S \Phi$; $\gamma = 0$; $K = -3 \log L$

- $V(\phi) = \frac{m^2 \phi^2}{2}$
- $m_\beta^2 = 4H^2 + m^2 \Rightarrow$ stable
- $m_s^2 = 2(6\gamma - 1)H^2 + m^2 \Rightarrow$ stable if $\gamma > 1/6$
heavy if $\gamma > 1/3$

Case (f): $W = m S \bar{\Phi}$; $\gamma \neq 0$; $K = -3 \log L$

- $V(\phi) = \frac{m^2 \phi^2}{2} \frac{1}{(1 + \gamma \phi^2)^2}$
- $\gamma \ll 1$ gives flat maxima at $\phi \approx \frac{1}{\sqrt{\gamma}} \gg 1$
 $\hookrightarrow$ inflation at $\phi \sim \frac{1}{\sqrt{\gamma}}$ (near flat maxima)
 or at $\phi < \frac{1}{\sqrt{\gamma}}$ (near quadratic minima)

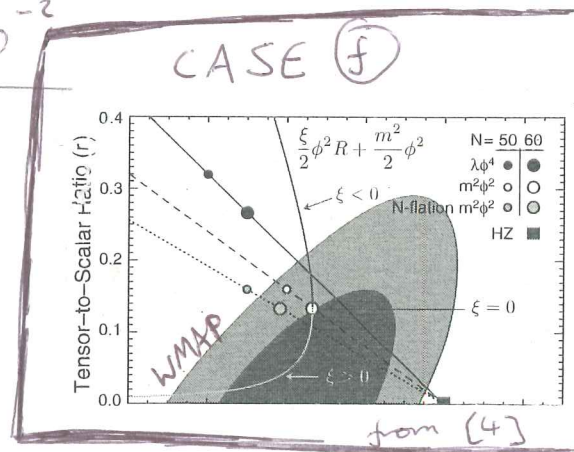
$\Rightarrow$ phenomenology requires $|\gamma| \lesssim 10^{-2}$

Case (g): $W = m S \bar{\Phi}$; $\gamma = 0$; $K = S \bar{S} + \dots$

- $V(\phi) = \frac{m^2 \phi^2}{2}$
- $m_\beta^2 = 6H^2 + m^2 \Rightarrow$ stable, heavy
- $m_s^2 = 12\gamma H^2 + m^2 \Rightarrow$ stable
 $\Rightarrow$ heavy if $\gamma \gtrsim 0.1$

Case (h): $W = m S \bar{\Phi}$; $\gamma \neq 0$; $K = S \bar{S} + \dots$

- $V(\phi) = \frac{m^2 \phi^2}{2} e^{-3\gamma \phi^2}$
- only viable if $\gamma \lesssim 10^{-3}$



case ①: general potential $W = S f(\Phi)$; $\gamma =$; $K = -3 \log \square$ ⑫

$$\bullet V(\phi) = \left| f\left(\frac{\phi}{\sqrt{2}}\right) \right|^2$$

$$\bullet m_s^2 = 2(6\gamma - 1)H^2 + \left(f'\left(\frac{\phi}{\sqrt{2}}\right) \right)^2$$

$\rightarrow S=0$ is stable for $\gamma > 1/6$

$$\bullet m_\phi^2 = \frac{4}{3} \left(f\left(\frac{\phi}{\sqrt{2}}\right) \right)^2 + \left(f'\left(\frac{\phi}{\sqrt{2}}\right) \right)^2 - f\left(\frac{\phi}{\sqrt{2}}\right) f''\left(\frac{\phi}{\sqrt{2}}\right)$$

$\hookrightarrow$ if $\phi \gg 1$, $\frac{4}{3}f^2 = 4H^2$ dominates. $\Rightarrow$ stable
(e.g. chaotic inflation)

$\hookrightarrow$ if $\phi \ll 1$, $V(\Phi, S=0) = \frac{|f(\Phi)|^2}{(1 - \beta^2/3)^2}$, $f(\Phi)=0$ is minimum.

5. Summary

• introduced models with

$$K = -3 \log \left[1 + \frac{(\Phi - \bar{\Phi})^2}{6} - \frac{1}{3} S \bar{S} + \frac{\gamma (S \bar{S})^2}{3} + \frac{\kappa (\Phi^2 - \bar{\Phi}^2)}{4} \right]$$

• $\gamma (S \bar{S})^2 / 3$ needed for stabilisation

• $\kappa = 0 \Rightarrow$ conformal coupling; $\kappa = 2/3 \Rightarrow$ minimal coupling

• $\kappa \neq 0, 2/3 \Rightarrow$ non-minimal coupling

• if stable, $W = S f(\Phi)$ gives inflation with

$$V(\phi) = \left| f\left(\frac{\phi}{\sqrt{2}}\right) \right|^2$$

• large part of Planck allowed parameter space is covered.

• but no sign of γ in string theory.