

Hadronization & Underlying Event

Peter Skands (CERN Theoretical Physics Dept)



From Partons to Pions

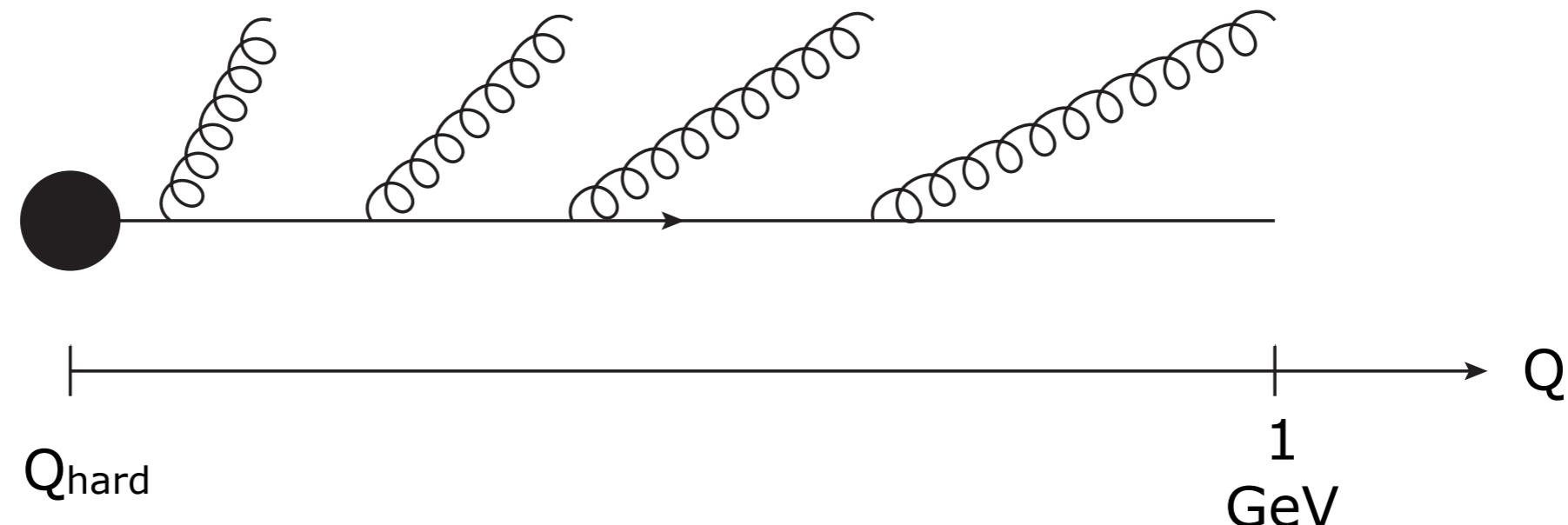
Here's a fast parton

Fast: It starts at a high factorization scale

$$Q = Q_F = Q_{\text{hard}}$$

It showers
(perturbative bremsstrahlung)

It ends up
at a low effective
factorization scale
 $Q \sim m_\rho \sim 1 \text{ GeV}$



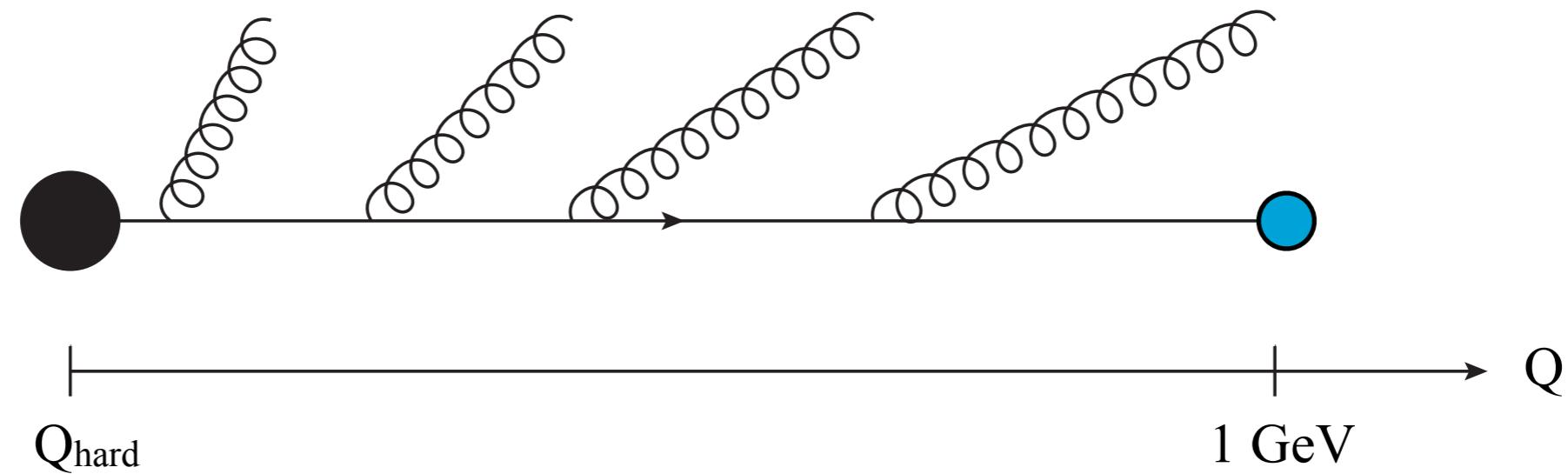
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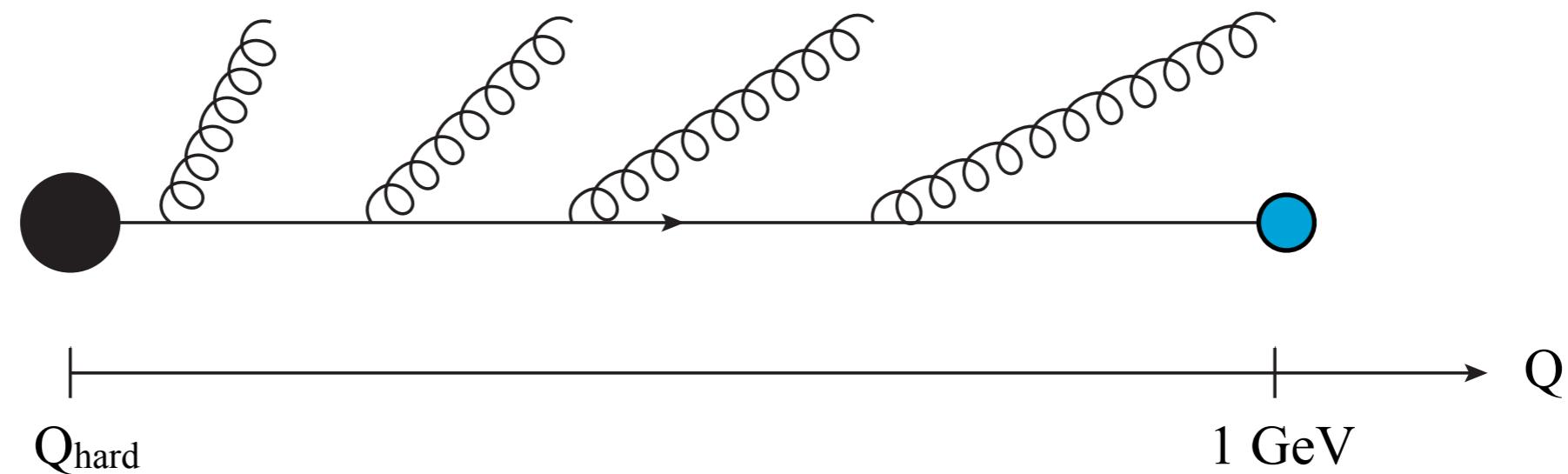
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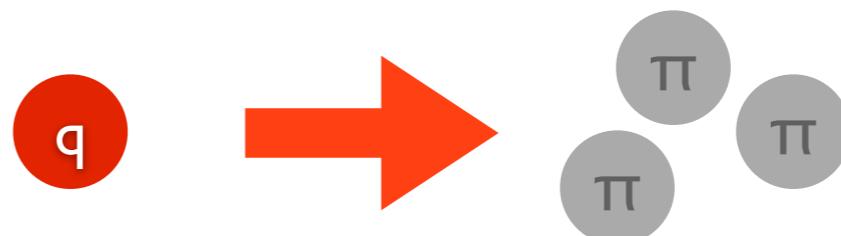
→ “Local Parton-Hadron Duality”

Parton \rightarrow Hadrons?

Early models: “Independent Fragmentation”

Local Parton Hadron Duality (LPHD) can give useful results for **inclusive** quantities in collinear fragmentation

Motivates a simple model:



But ...

The point of confinement is that partons are coloured

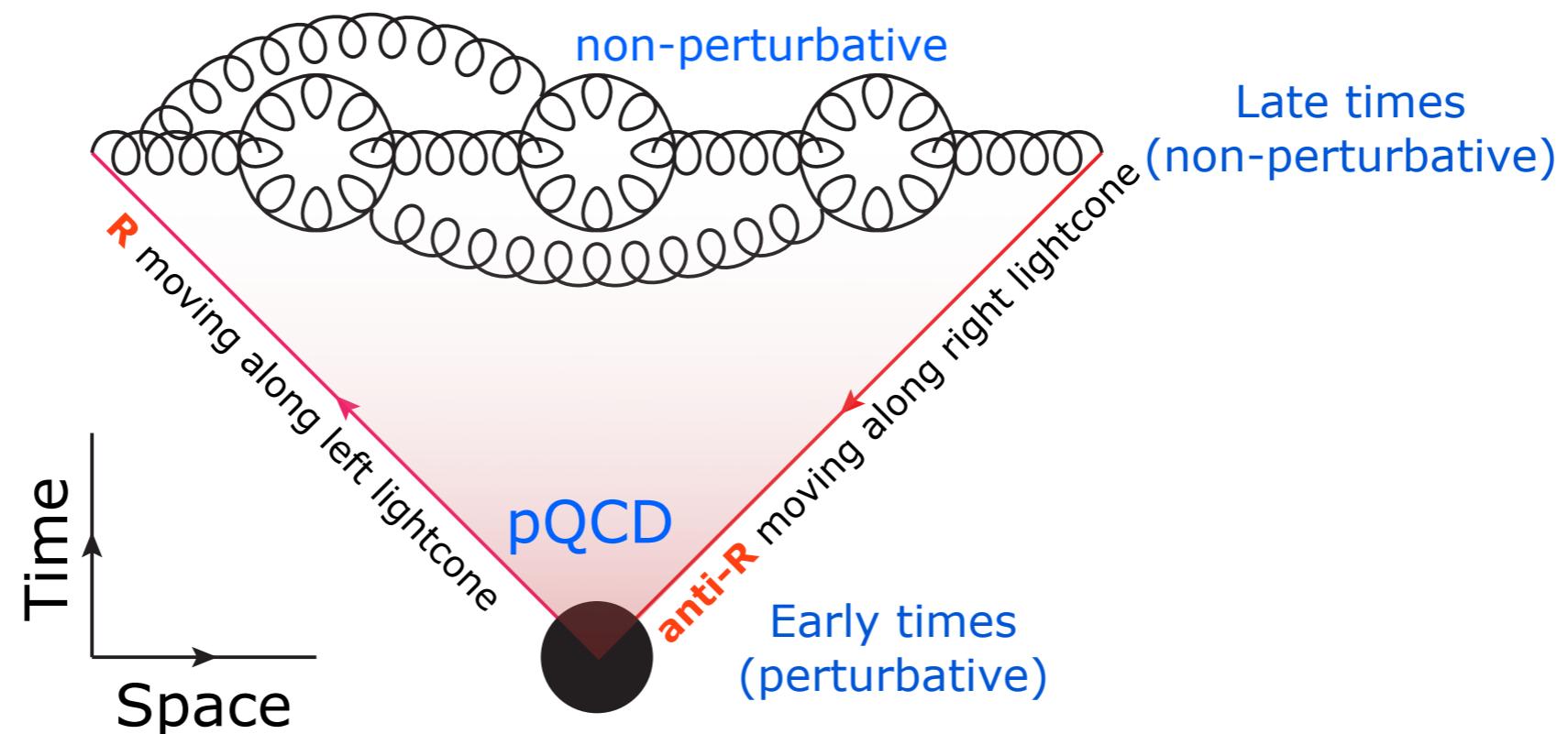
Hadronization = the process of colour neutralization

- Unphysical to think about independent fragmentation of a single parton into hadrons
- Too naive to see LPHD (inclusive) as a justification for Independent Fragmentation (exclusive)
- More physics needed

Colour Neutralization

A physical hadronization model

Should involve at least TWO partons, with opposite color charges (e.g., **R** and **anti-R**)



Strong “confining” field emerges between the two charges when their separation $> \sim 1\text{fm}$

Color Flow

Between which partons do confining potentials arise?

Set of simple rules for color flow, based on large- N_c limit

(Never Twice Same Color: true up to $O(1/N_c^2)$)

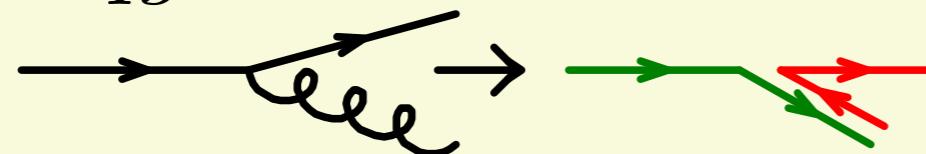
Illustrations from: P.Nason & P.S.,
PDG Review on *MC Event Generators*, 2012

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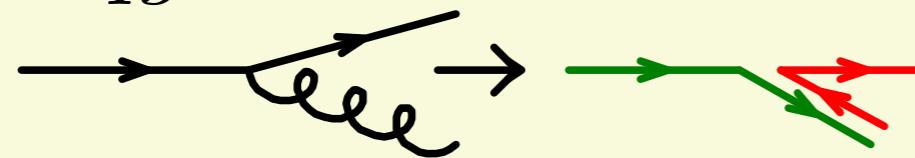
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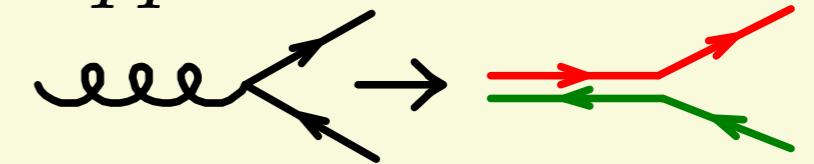
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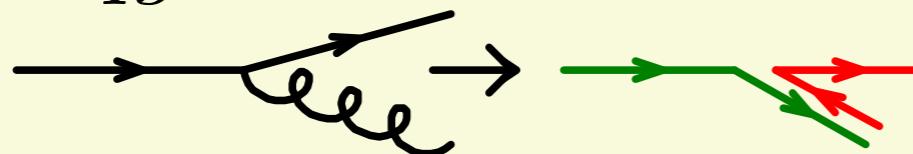
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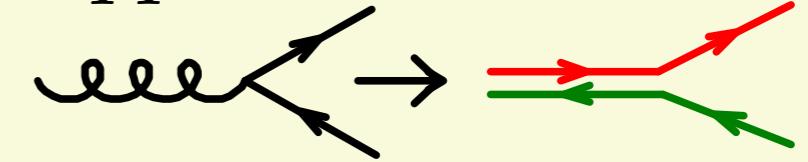
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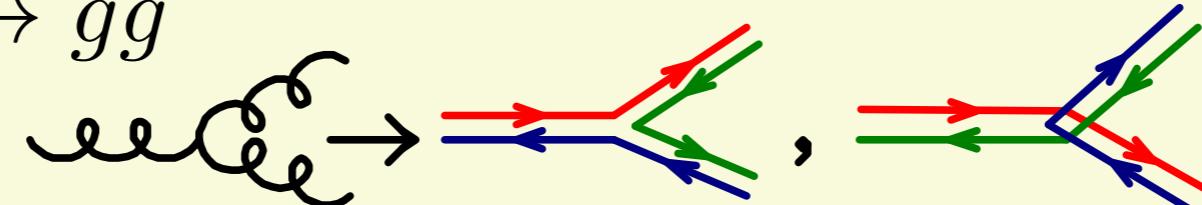
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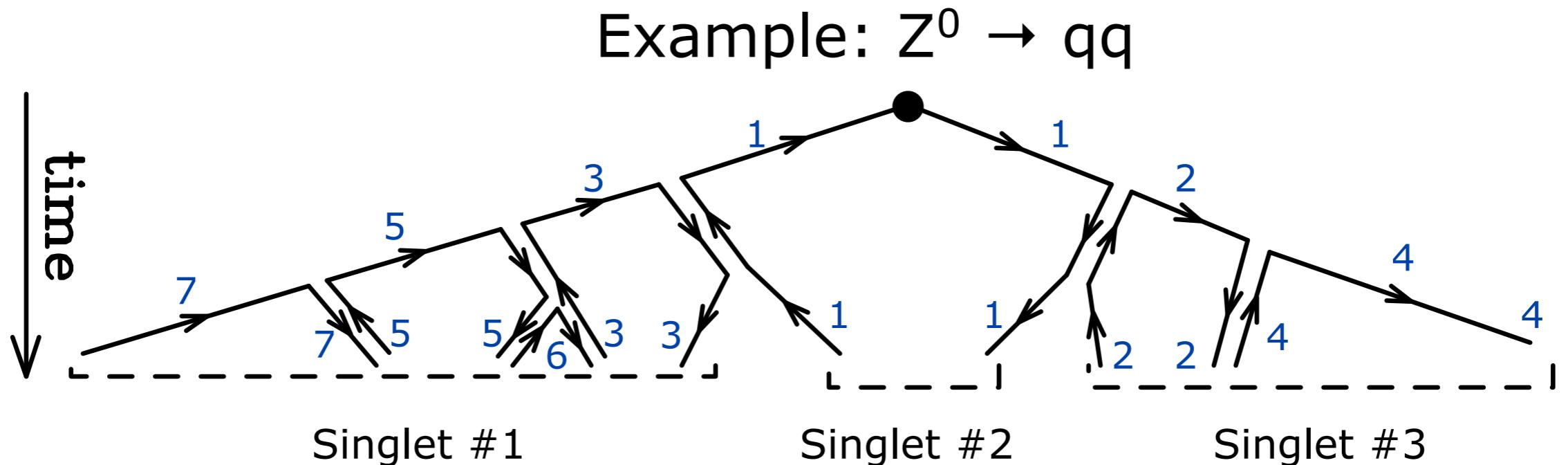


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Color Flow

For an entire Cascade



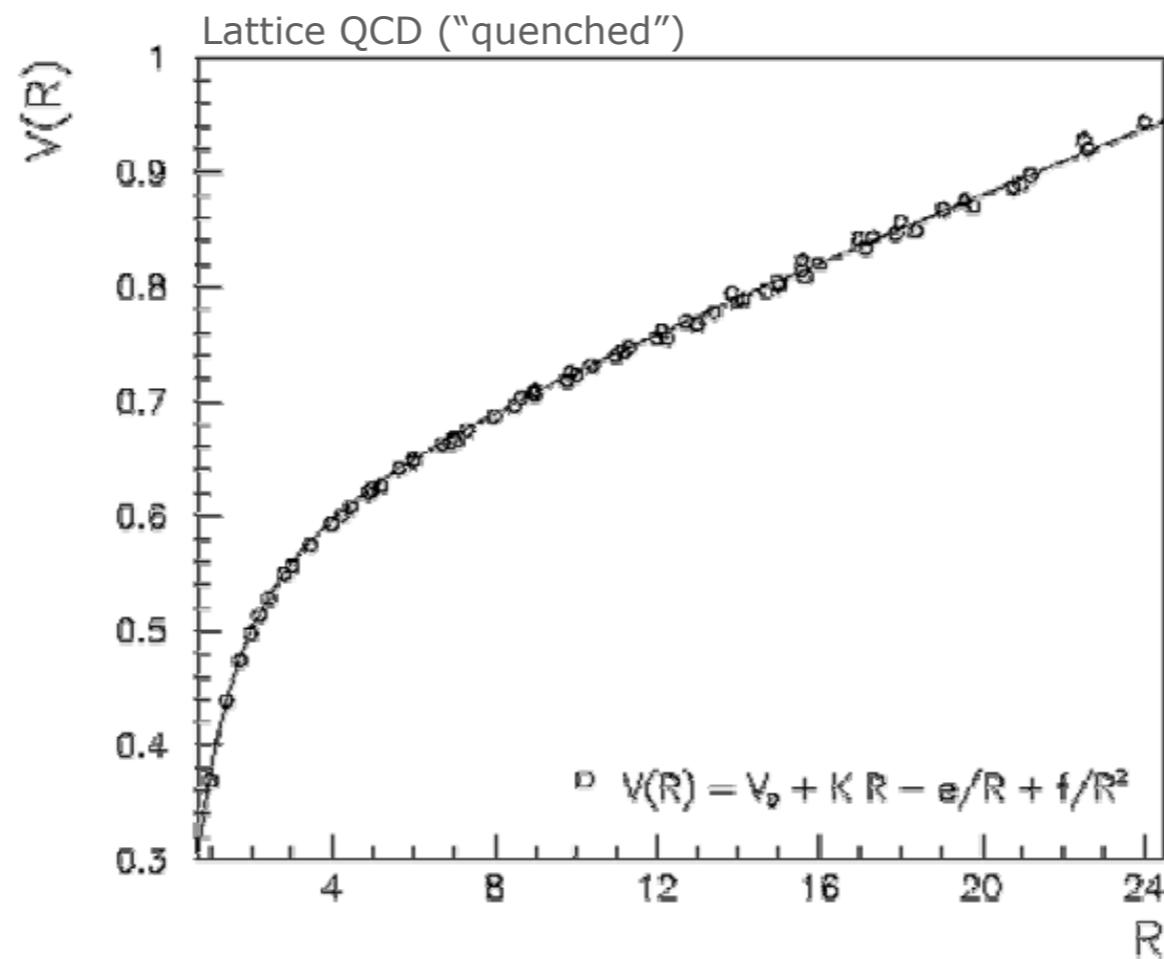
Coherence of pQCD cascades \rightarrow not much “overlap” between singlet subsystems
 \rightarrow Leading-colour approximation pretty good

LEP measurements in WW confirm this (at least to order $10\% \sim 1/N_c^2$)

Note: (much) more color getting kicked around in hadron collisions \rightarrow more later

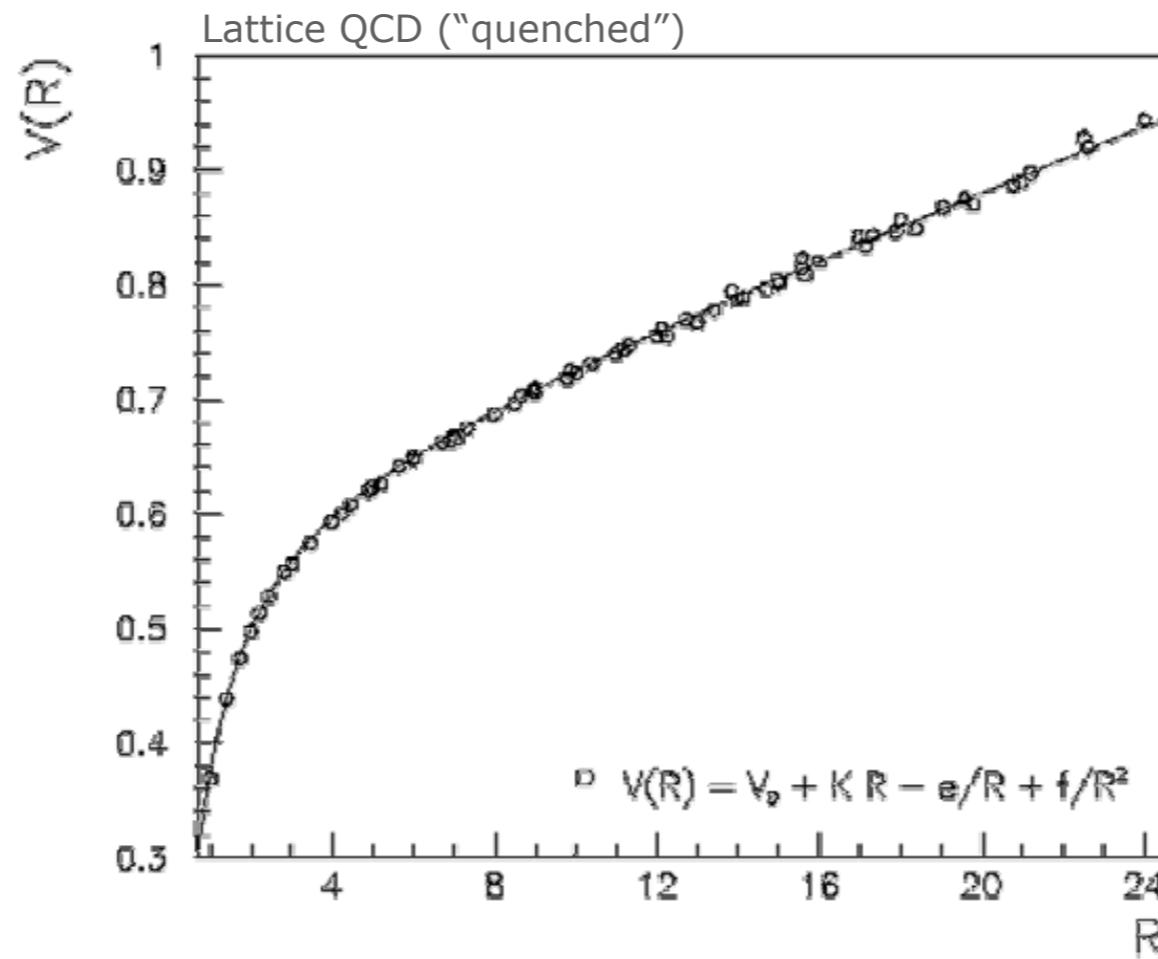
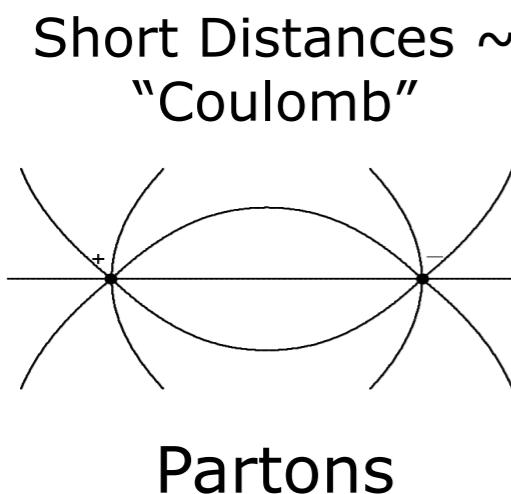
Confinement

Potential between a quark and an antiquark as function of distance, R



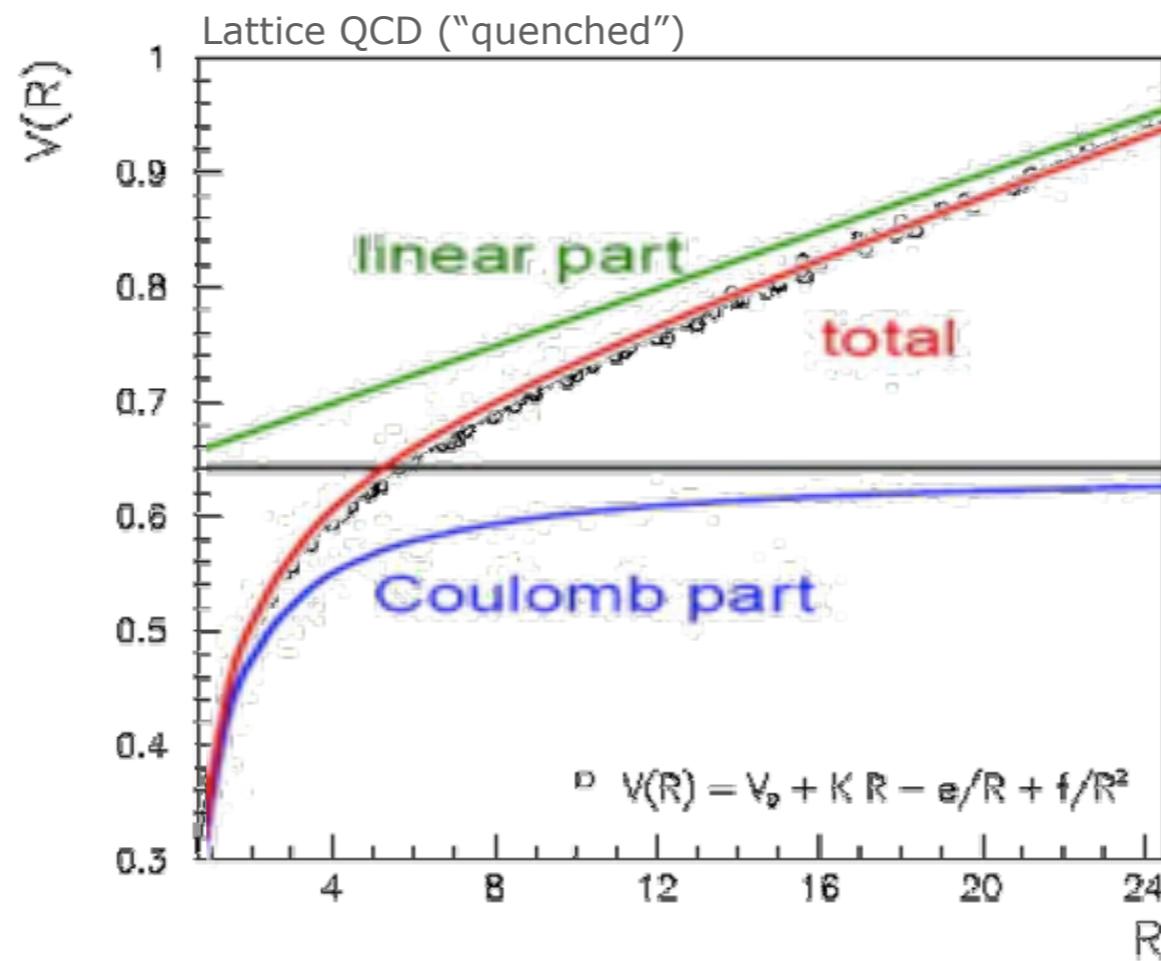
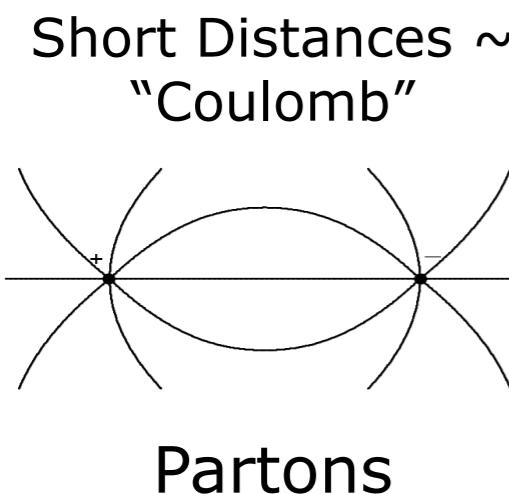
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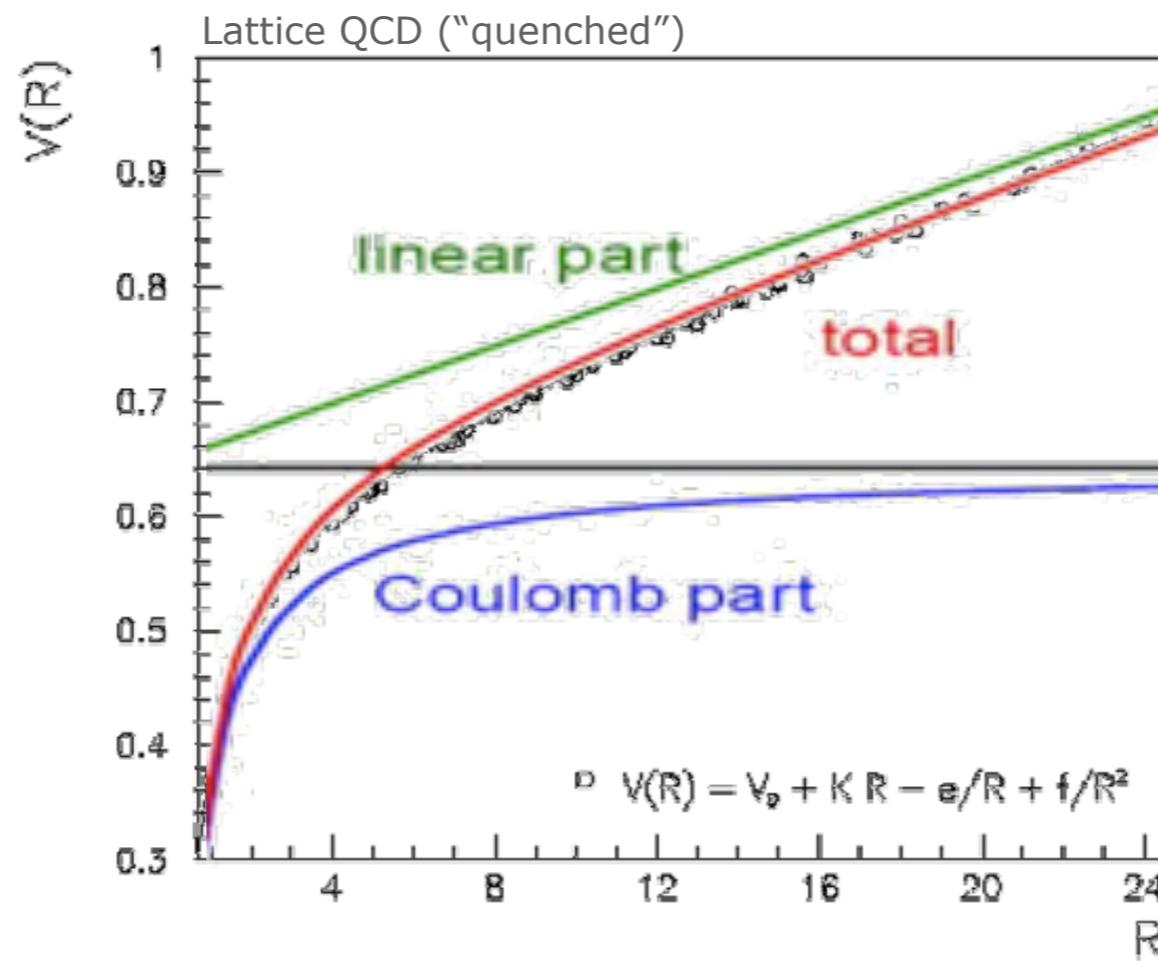
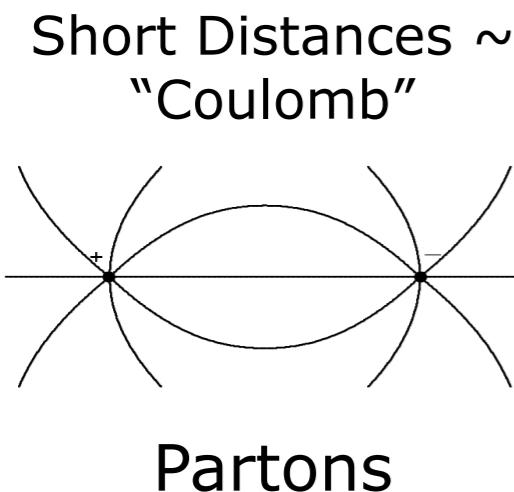
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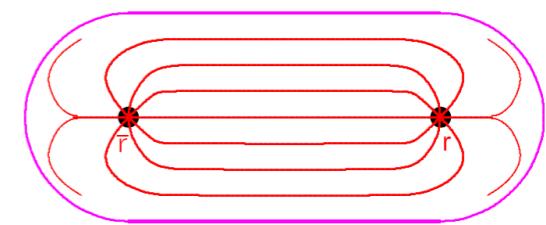


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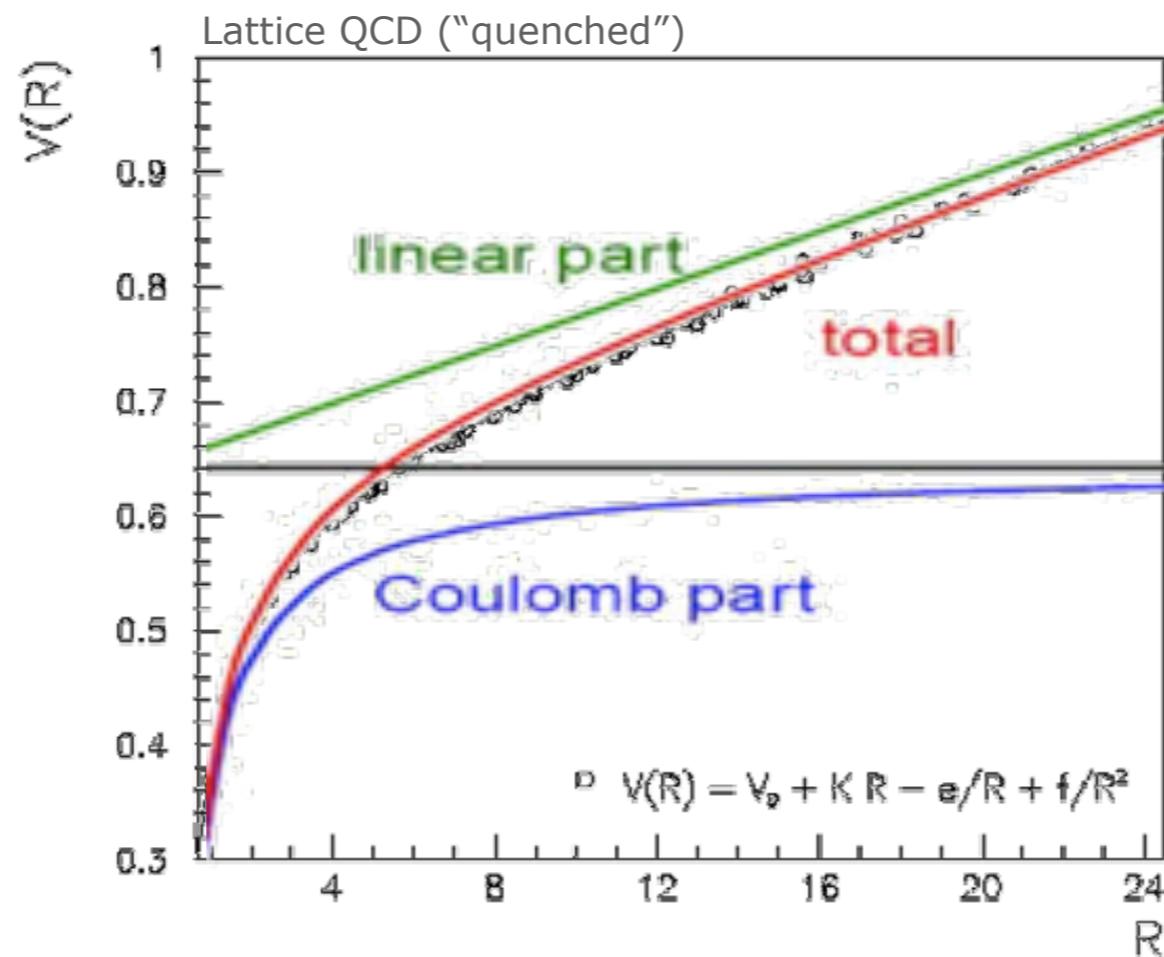
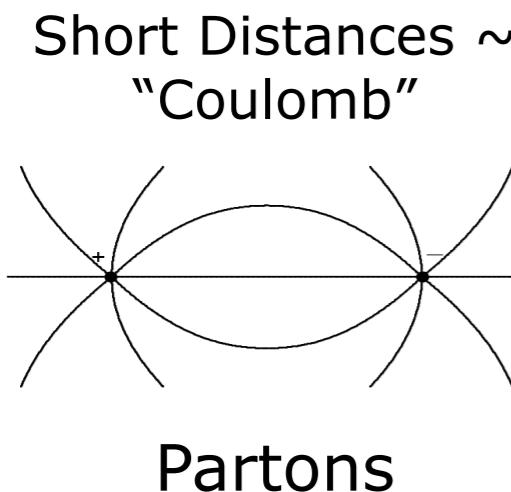
Long Distances ~ Linear Potential



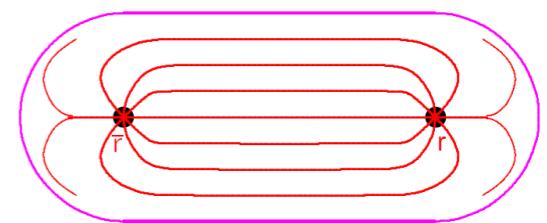
Quarks (and gluons) confined inside hadrons

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Potential between a quark and an antiquark as function of distance, R



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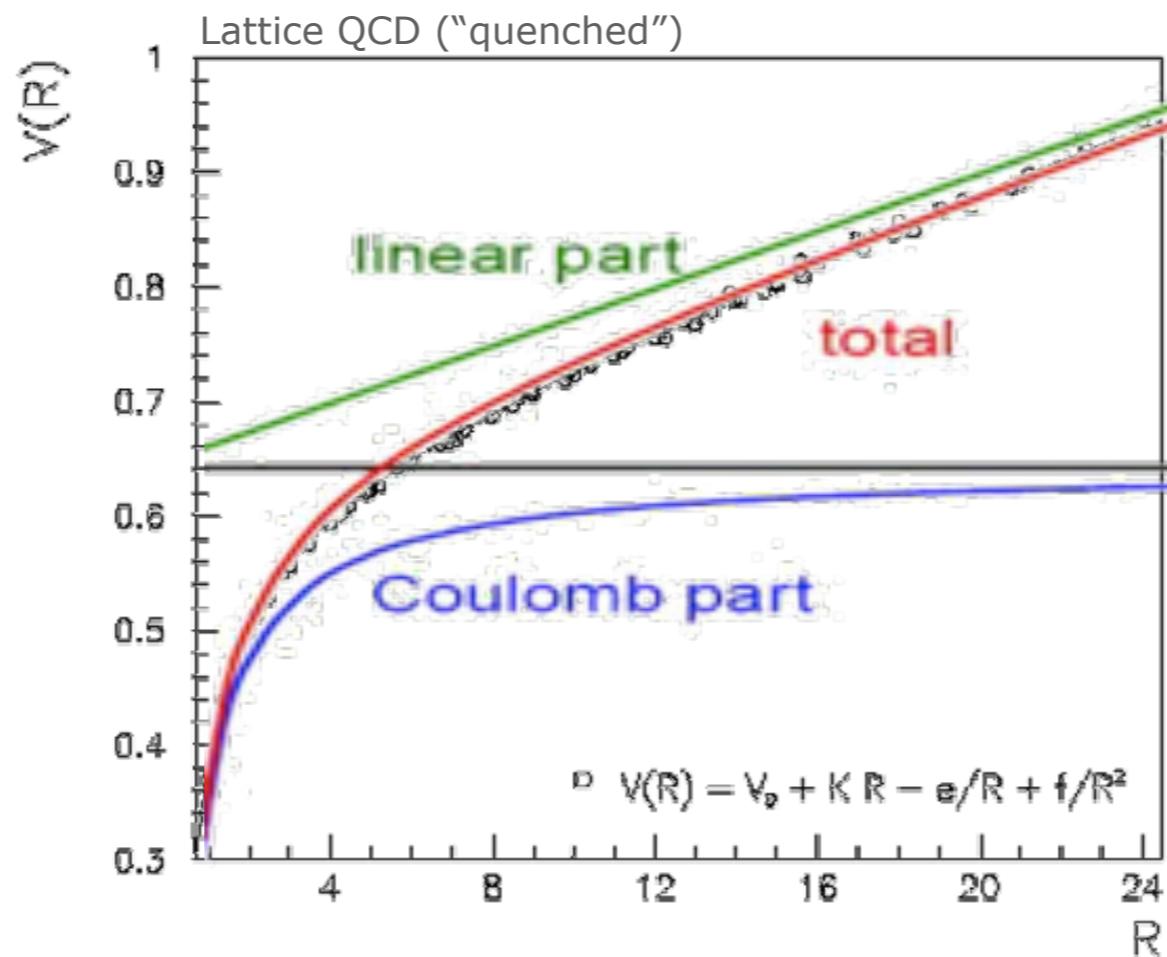
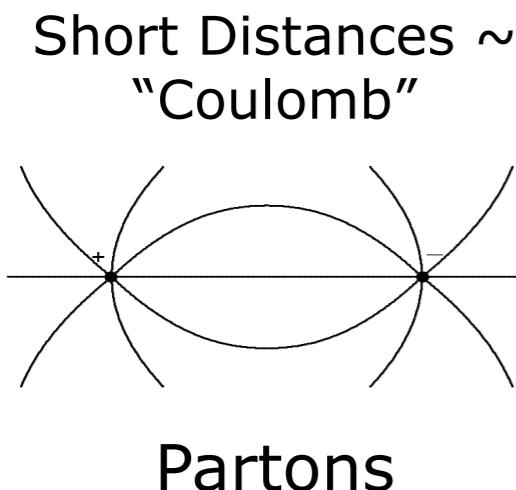


$F(r) \approx \text{const} = \kappa \approx 1 \text{ GeV/fm} \iff V(r) \approx \kappa r$

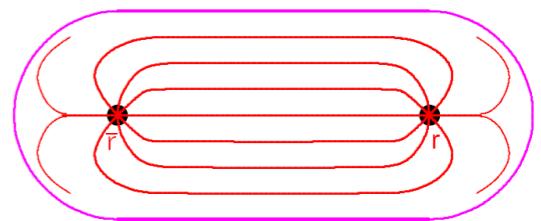
~ Force required to lift a 16-ton truck

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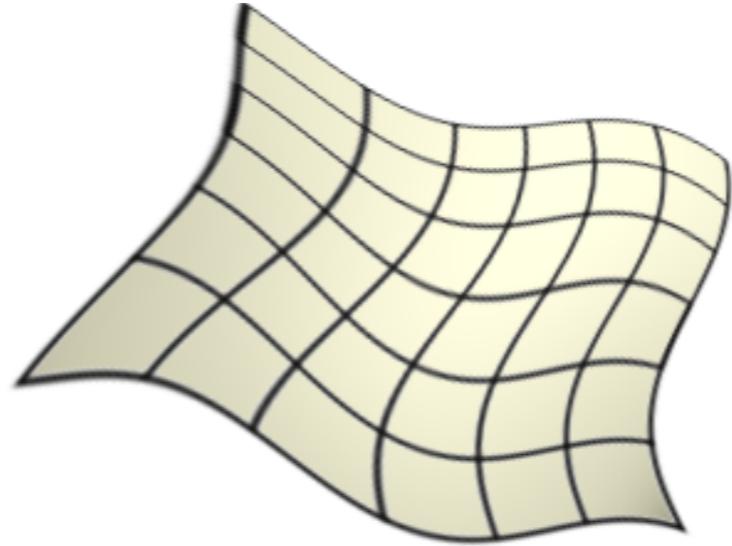
Quarks (and gluons) confined inside hadrons

What physical system has a linear potential?

$$F(r) \approx \text{const} = \kappa \approx 1 \text{ GeV/fm} \iff V(r) \approx \kappa r$$

~ Force required to lift a 16-ton truck

From Partons to Strings



Motivates a model:

Let color field collapse into a (infinitely) narrow flux tube of uniform energy density $\kappa \sim 1 \text{ GeV} / \text{fm}$
→ Relativistic 1+1 dimensional worldsheet – string

Pedagogical Review: B. Andersson, *The Lund model*.
Camb. Monogr. Part. Phys. Nucl. Phys. Cosmol., 1997.

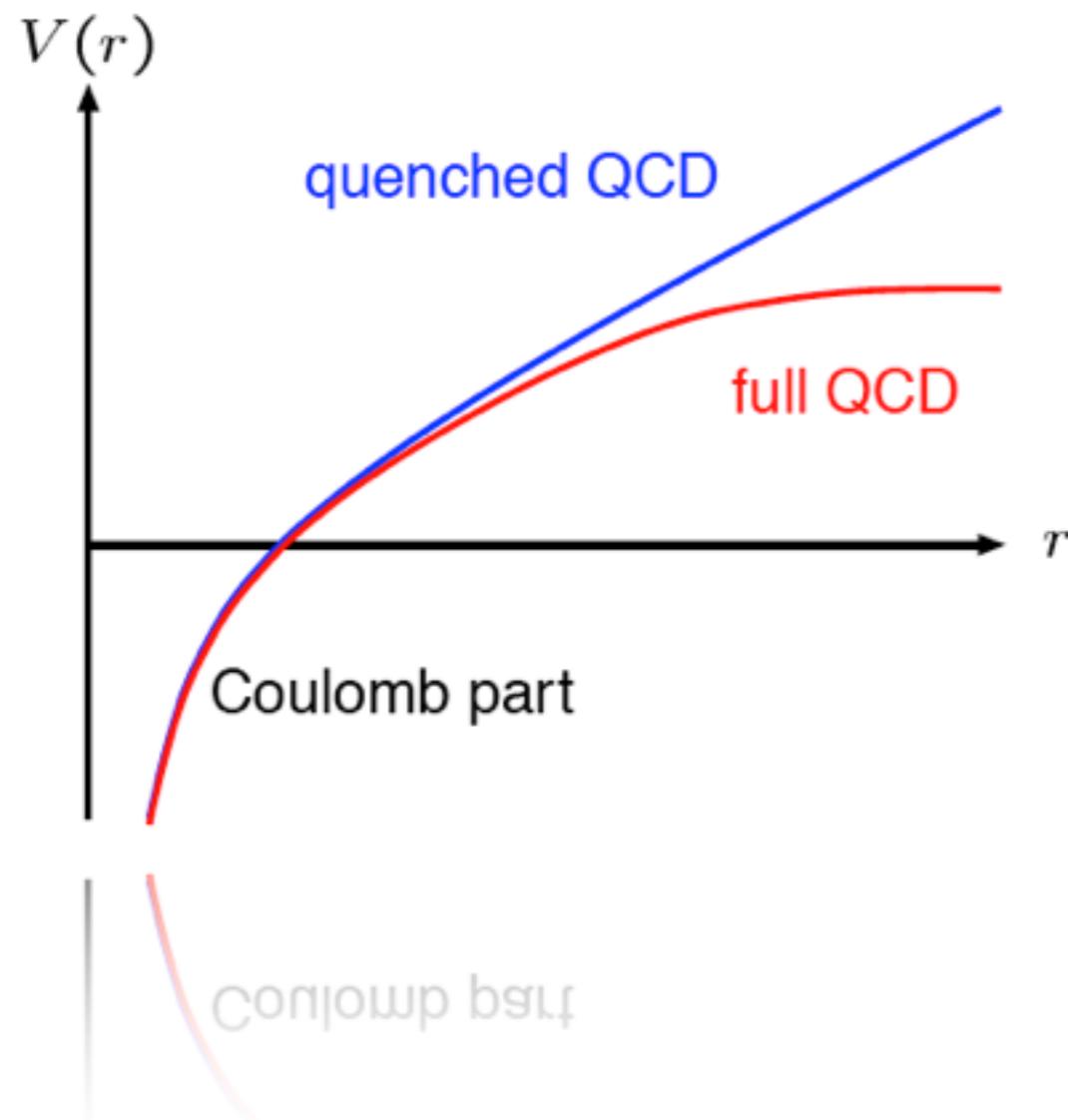
String Breaks



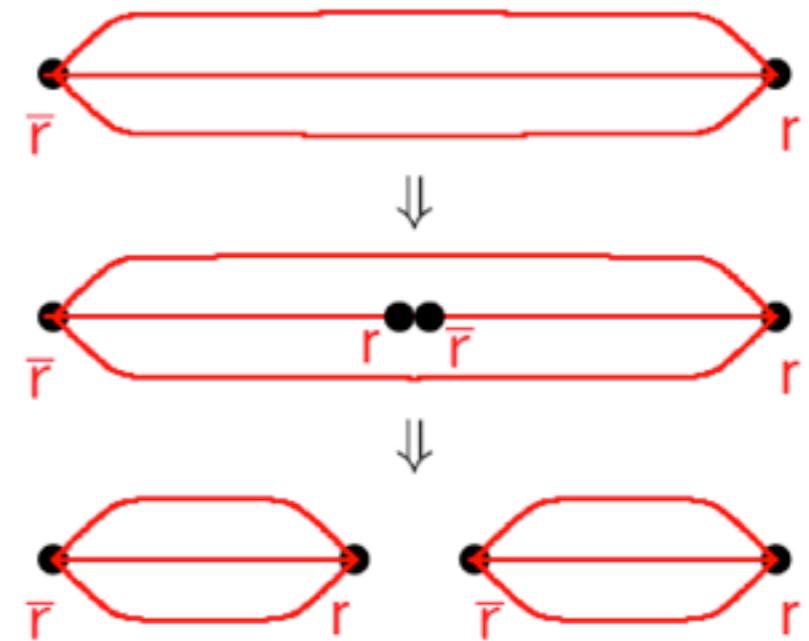
String Breaks

In “unquenched” QCD

$g \rightarrow qq \rightarrow$ The strings would break



String Breaks:
via Quantum Tunneling



(simplified colour representation)

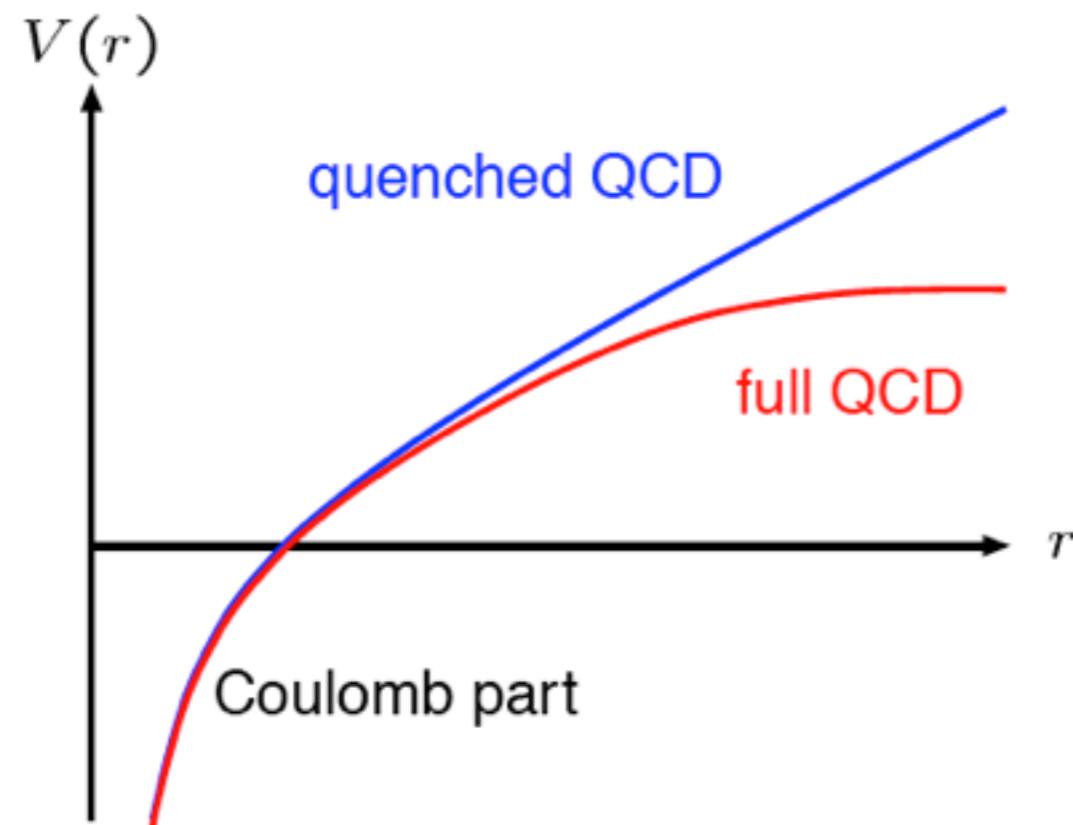
$$\mathcal{P} \propto \exp \left(\frac{-m_q^2 - p_\perp^2}{\kappa/\pi} \right)$$

Illustrations by T. Sjöstrand

String Breaks

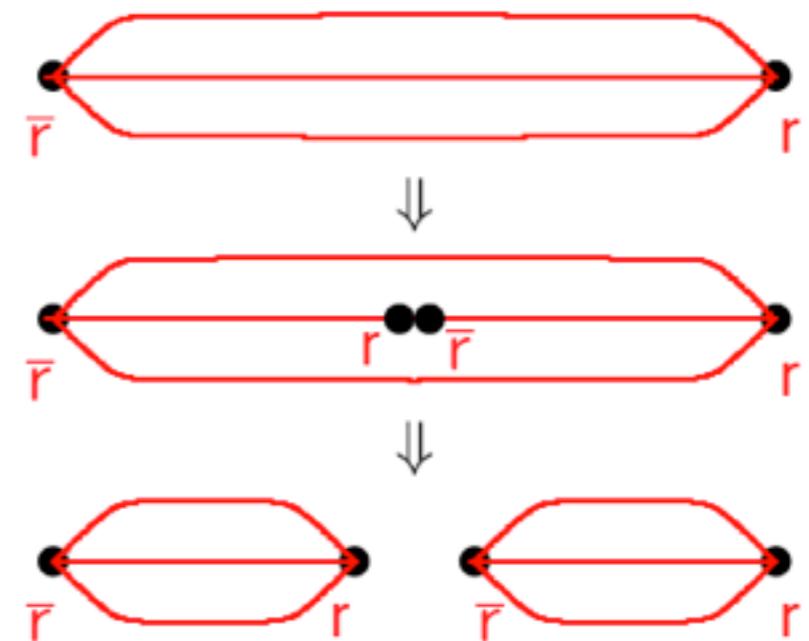
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- Gaussian p_T spectrum
- Heavier quarks suppressed. $\text{Prob}(q=d,u,s,c) \approx 1:1:0.2:10^{-11}$

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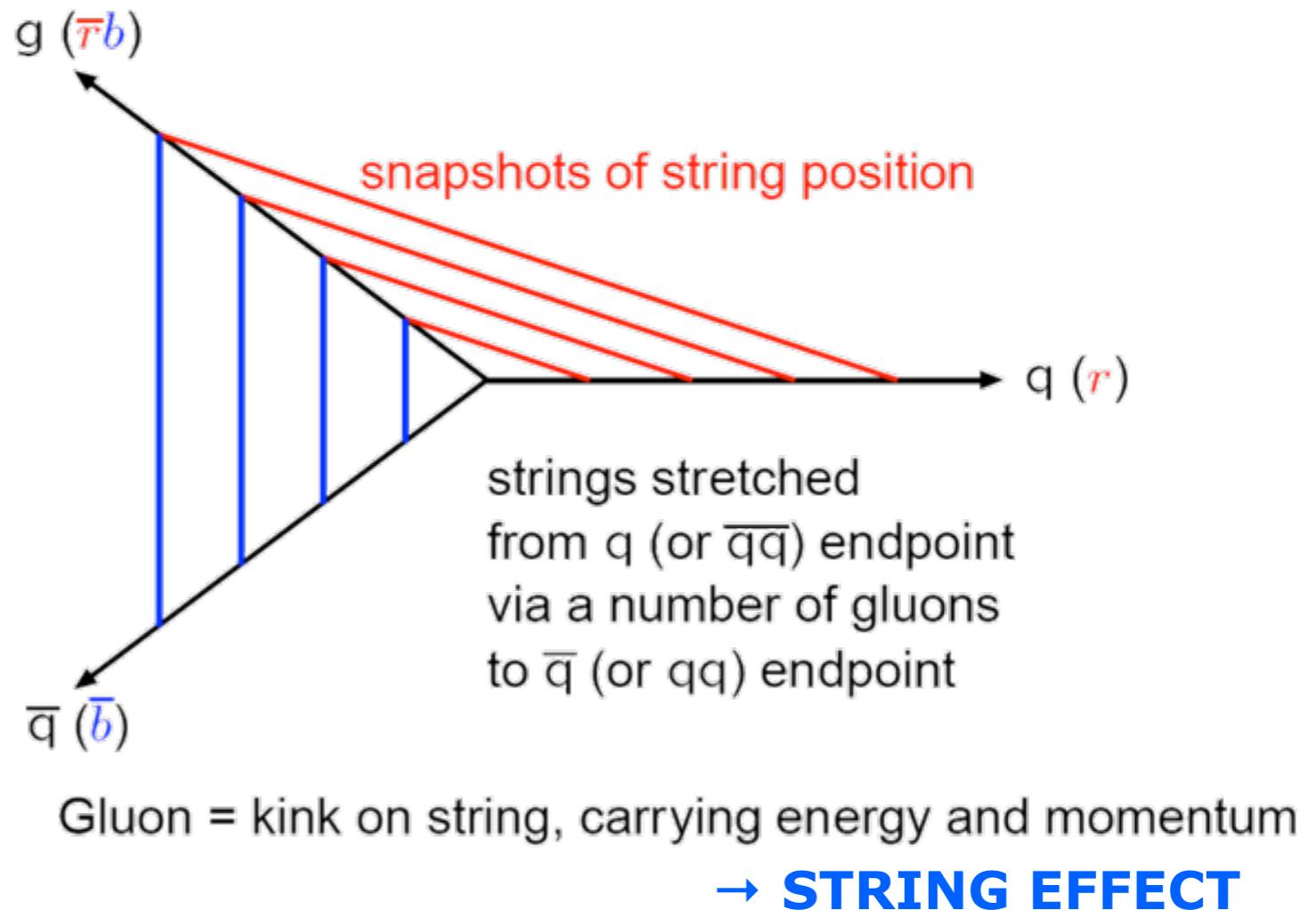
Illustrations by T. Sjöstrand

The (Lund) String Model

Map:

- **Quarks** → String Endpoints
- **Gluons** → Transverse Excitations (kinks)
- Physics then in terms of string worldsheet evolving in spacetime
- Probability of string break (by quantum tunneling) constant per unit area → **AREA LAW**

See also Yuri's 2nd lecture

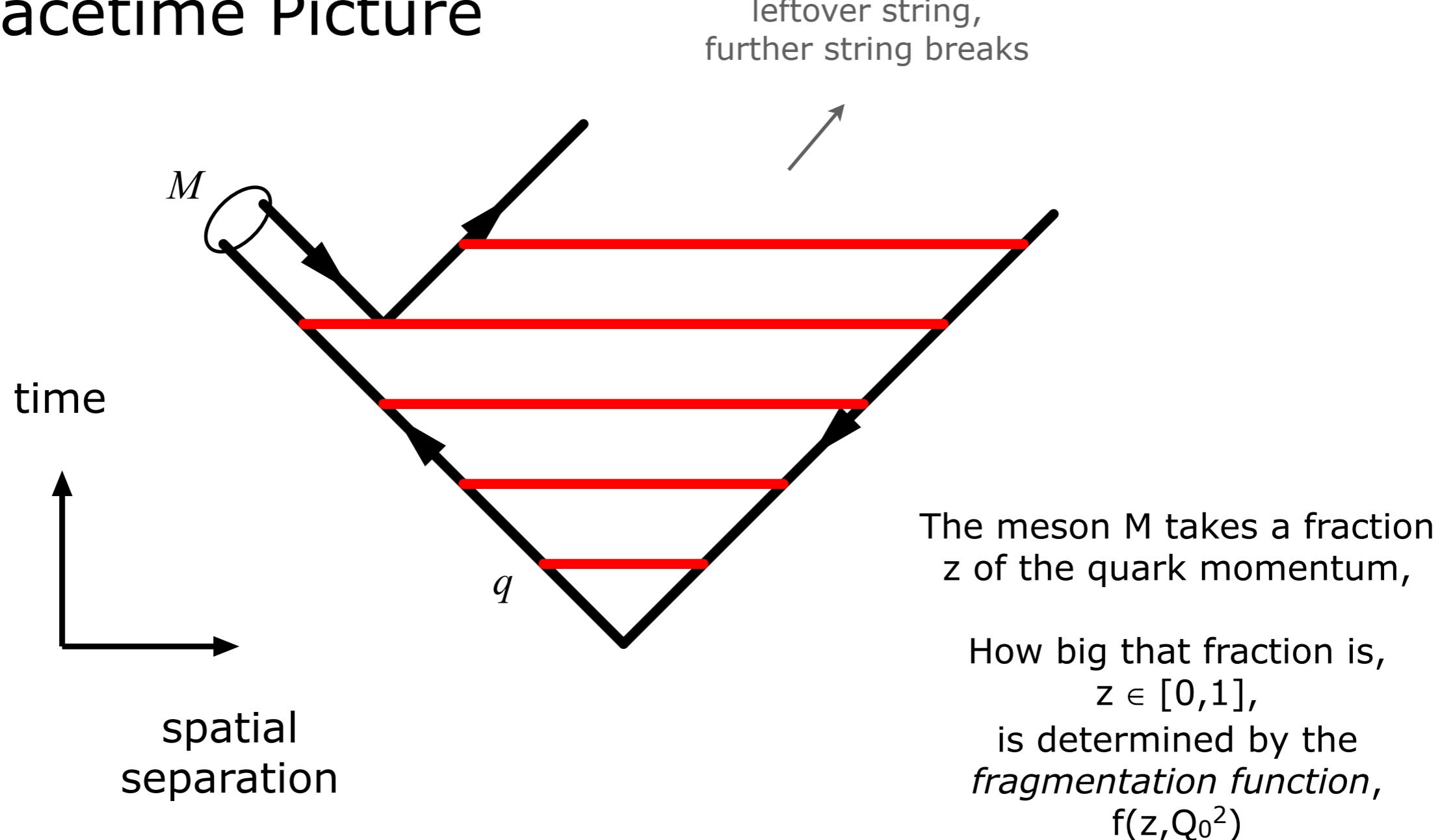


Simple space-time picture

Details of string breaks more complicated (e.g., baryons, spin multiplets)

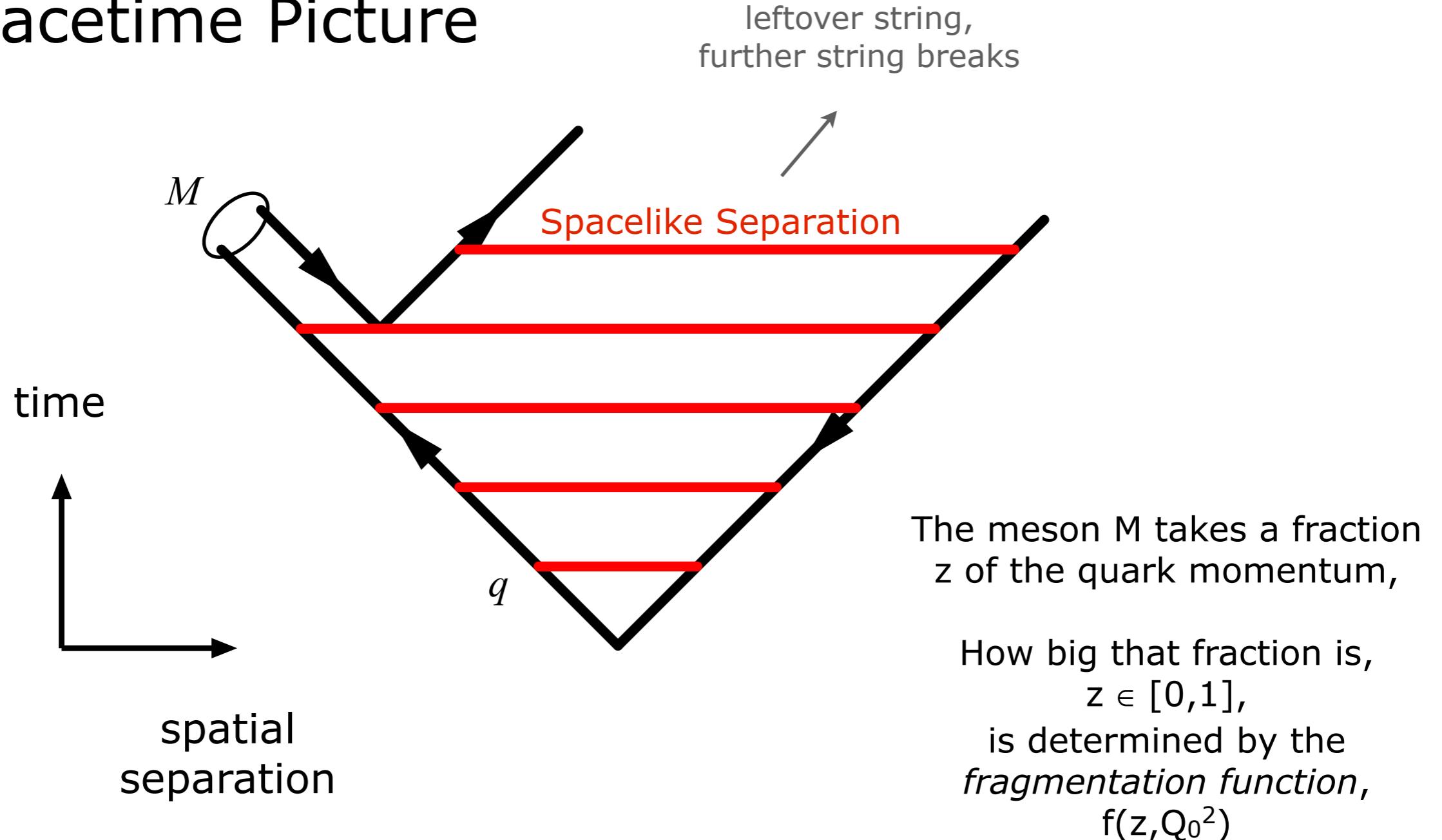
Fragmentation Function

Spacetime Picture



Fragmentation Function

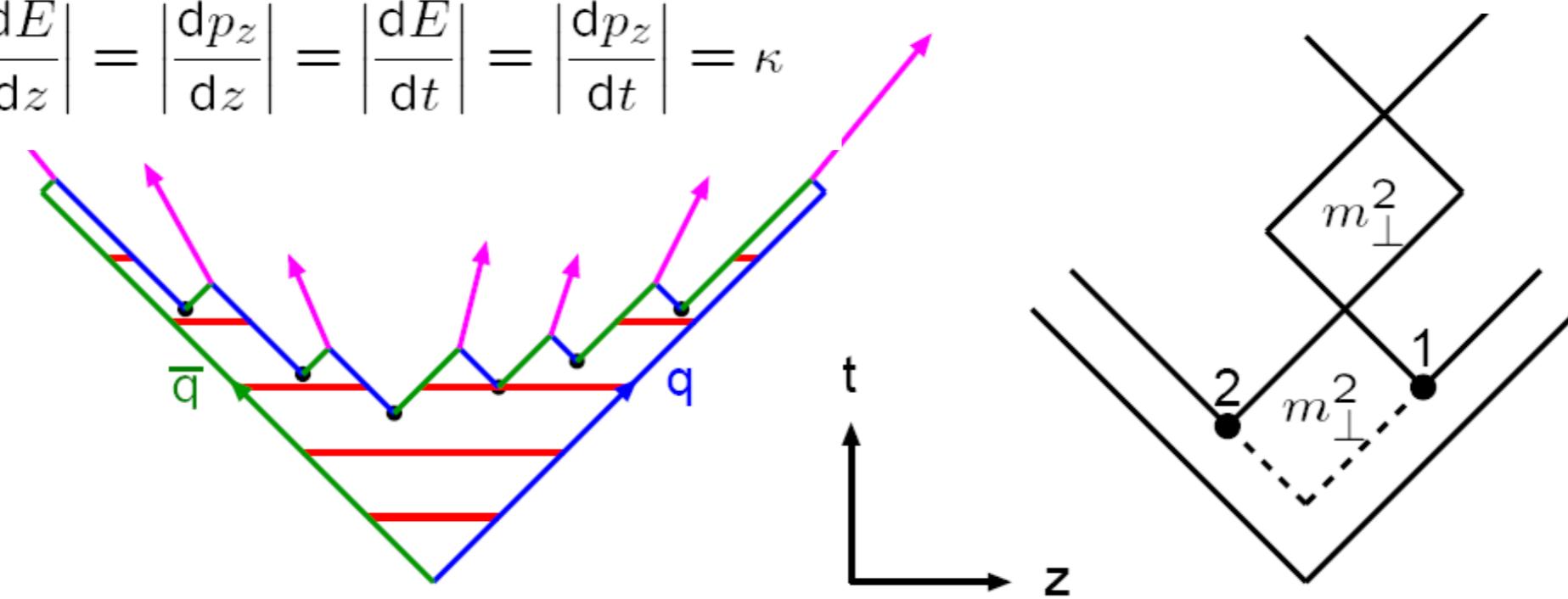
Spacetime Picture



Large System

Illustrations by T. Sjöstrand

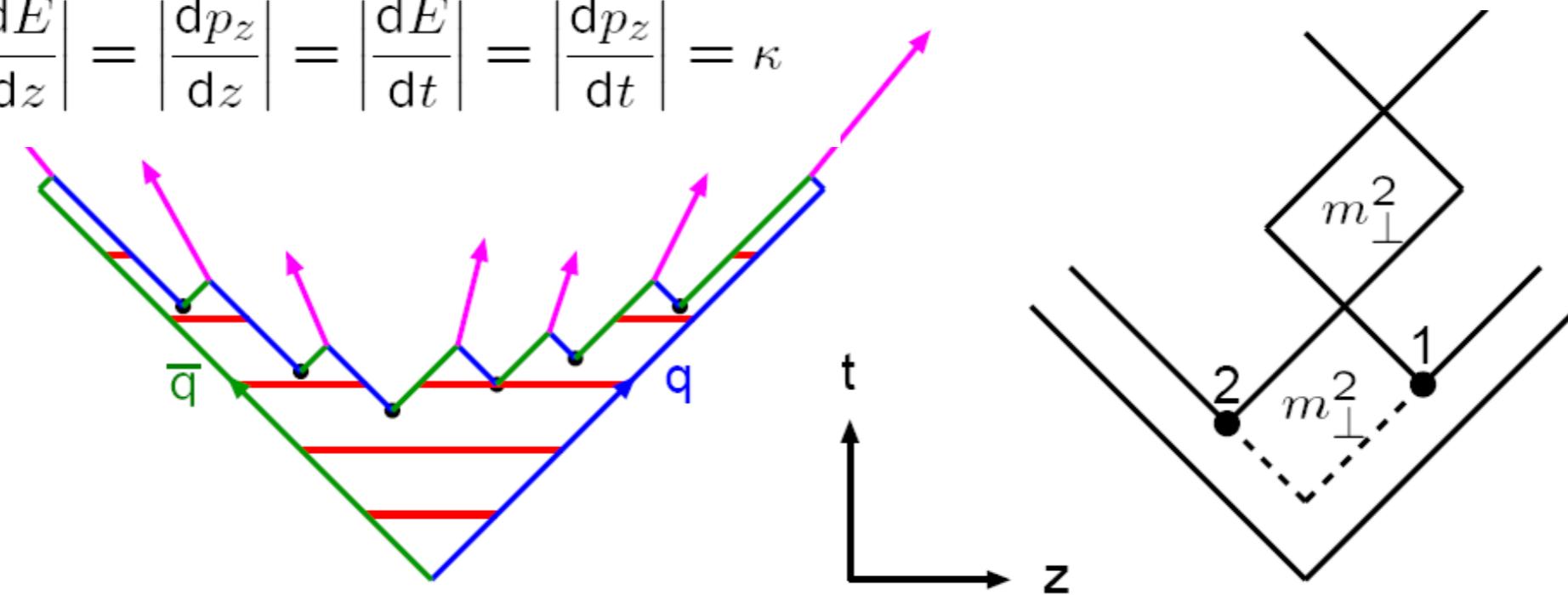
$$\left| \frac{dE}{dz} \right| = \left| \frac{dp_z}{dz} \right| = \left| \frac{dE}{dt} \right| = \left| \frac{dp_z}{dt} \right| = \kappa$$



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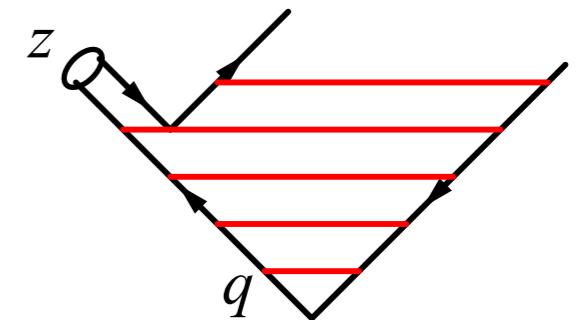
String breaks causally disconnected

- can proceed in arbitrary order (left-right, right-left, in-out, ...)
- constrains possible form of fragmentation function
- Justifies iterative ansatz (useful for MC implementation)

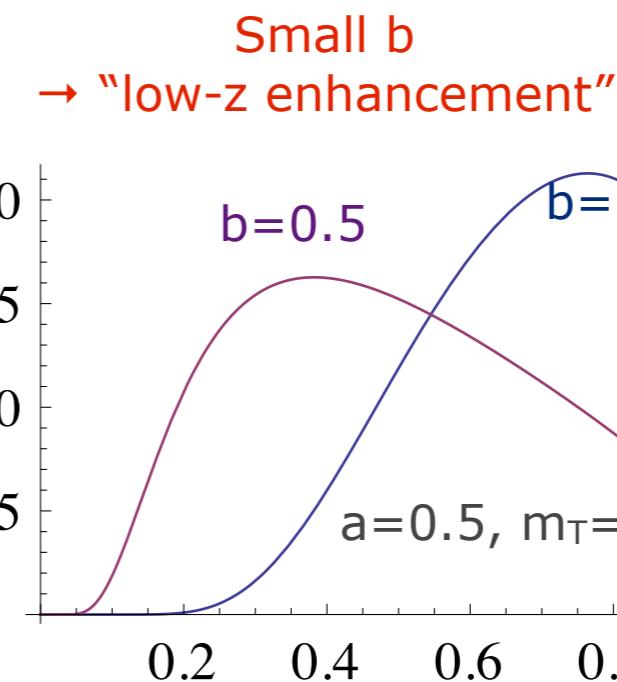
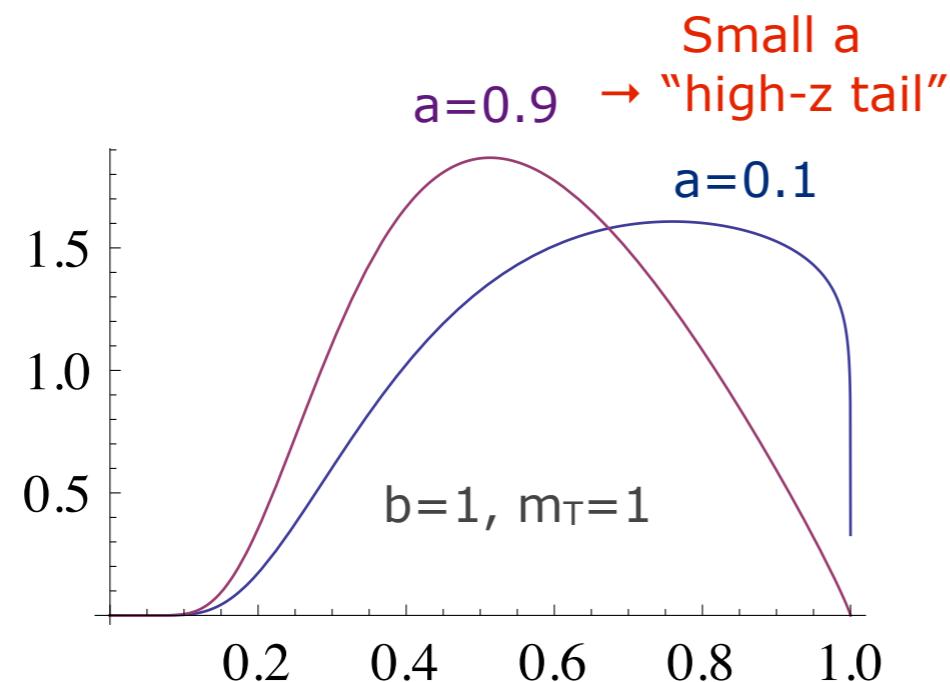
Left-Right Symmetry

Causality → Left-Right Symmetry

- Constrains form of fragmentation function!
- Lund Symmetric Fragmentation Function



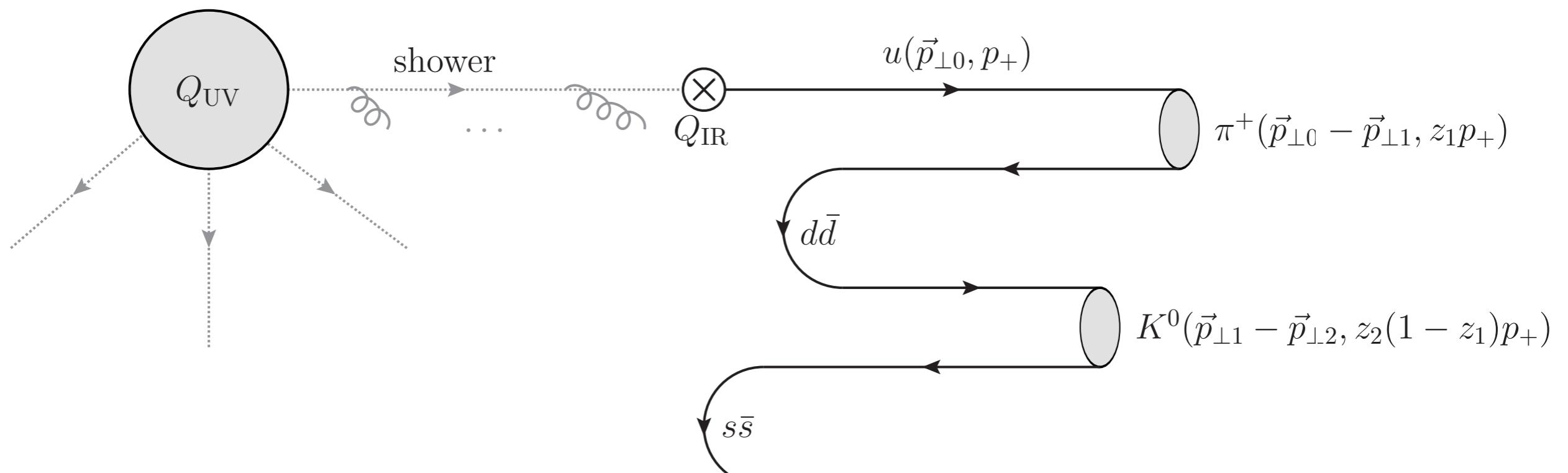
$$f(z) \propto \frac{1}{z} (1 - z)^a \exp\left(-\frac{b(m_h^2 + p_{\perp h}^2)}{z}\right)$$



Note: In principle, a can be flavour-dependent. In practice, we only distinguish between baryons and mesons

Iterative String Breaks

Causality → May iterate from outside-in



The Length of Strings

In Space:

String tension $\approx 1 \text{ GeV/fm} \rightarrow$ a 5-GeV quark can travel 5 fm before all its kinetic energy is transformed to potential energy in the string.
Then it must start moving the other way. String breaks will have happened behind it \rightarrow yo-yo model of mesons

In Rapidity :

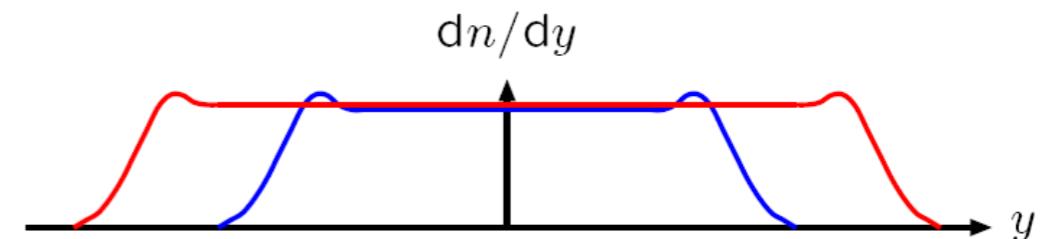
$$y = \frac{1}{2} \ln \left(\frac{E + p_z}{E - p_z} \right) = \frac{1}{2} \ln \left(\frac{(E + p_z)^2}{E^2 - p_z^2} \right)$$

For a pion with $z=1$ along string direction
(For beam remnants, use a proton mass):

$$y_{\max} \sim \ln \left(\frac{2E_q}{m_\pi} \right)$$

Note: Constant average hadron multiplicity per unit $y \rightarrow$ logarithmic growth of total multiplicity

Scaling in lightcone $p_\pm = E \pm p_z$ (for $q\bar{q}$ system along z axis)
implies flat central rapidity plateau + some endpoint effects:



$\langle n_{\text{ch}} \rangle \approx c_0 + c_1 \ln E_{\text{cm}}$, \sim Poissonian multiplicity distribution

Alternative: The Cluster Model

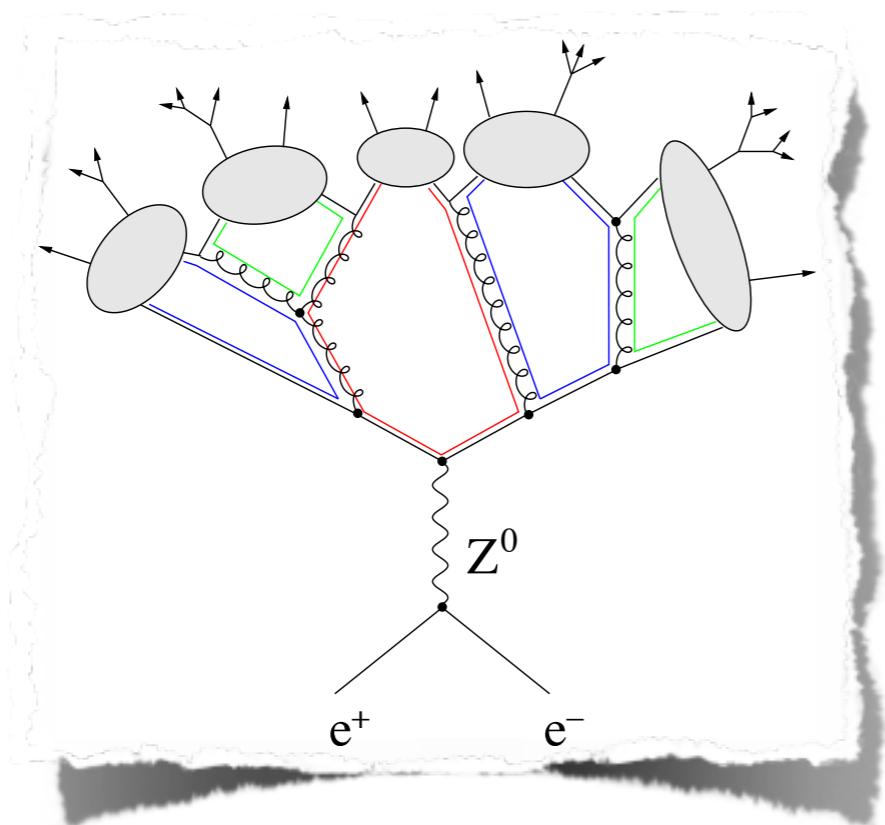
“Preconfinement”

+ **Force $g \rightarrow qq$ splittings at Q_0**

→ high-mass q-qbar “clusters”

Isotropic 2-body decays to hadrons

according to $PS \approx (2s_1+1)(2s_2+1)(p^*/m)$



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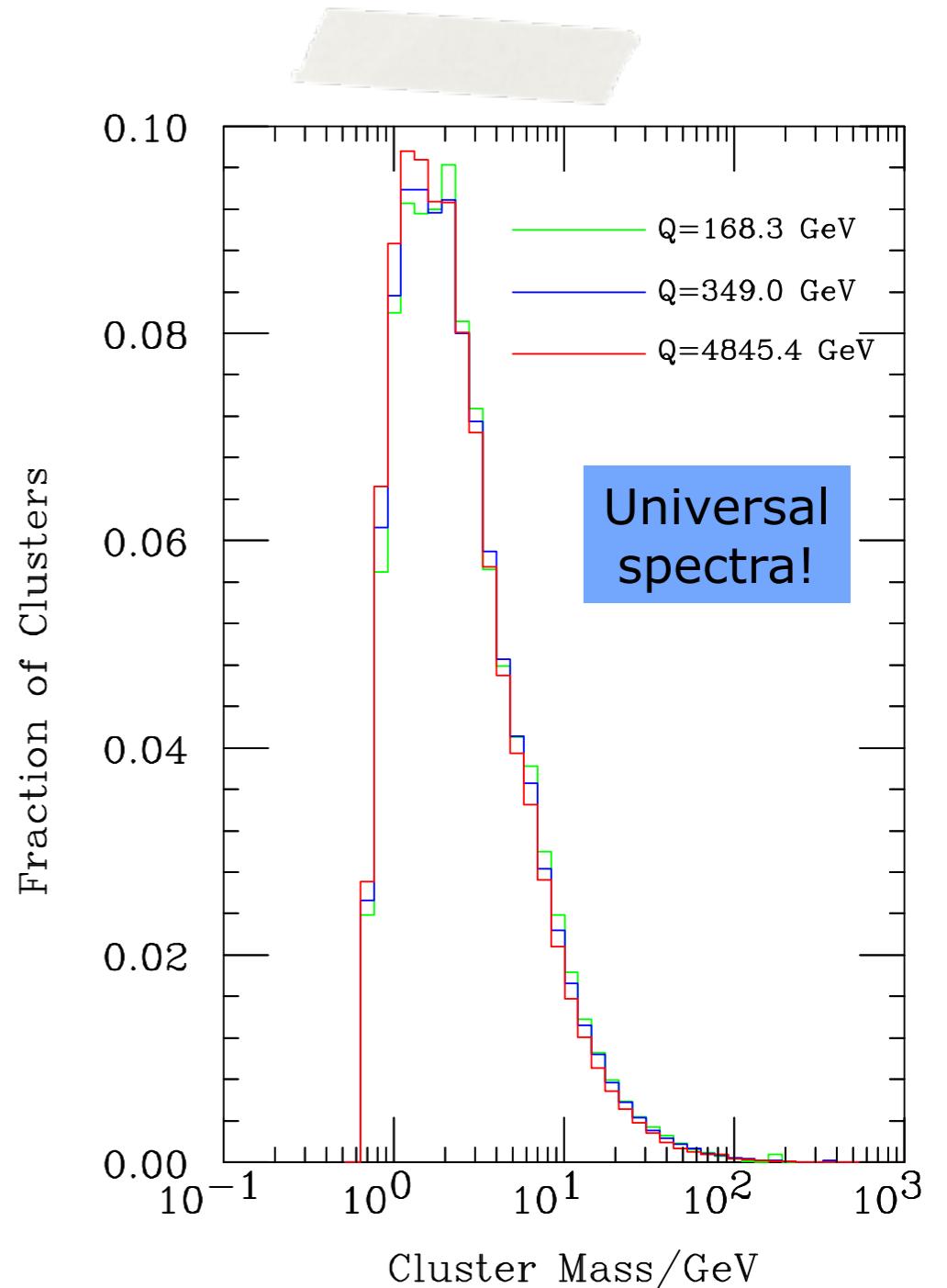
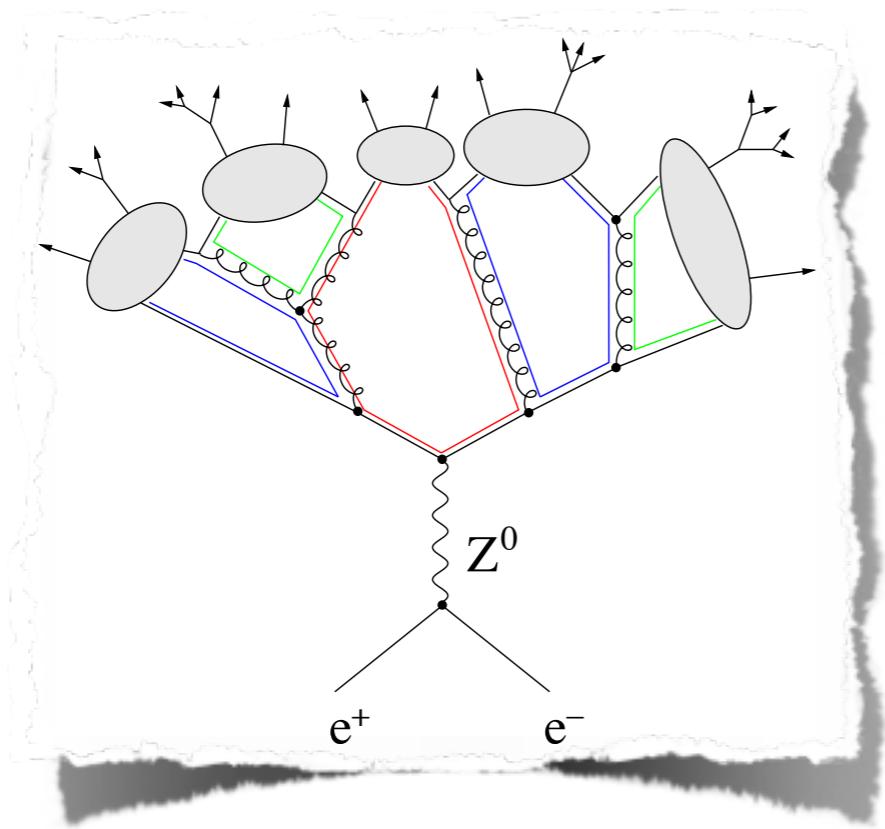
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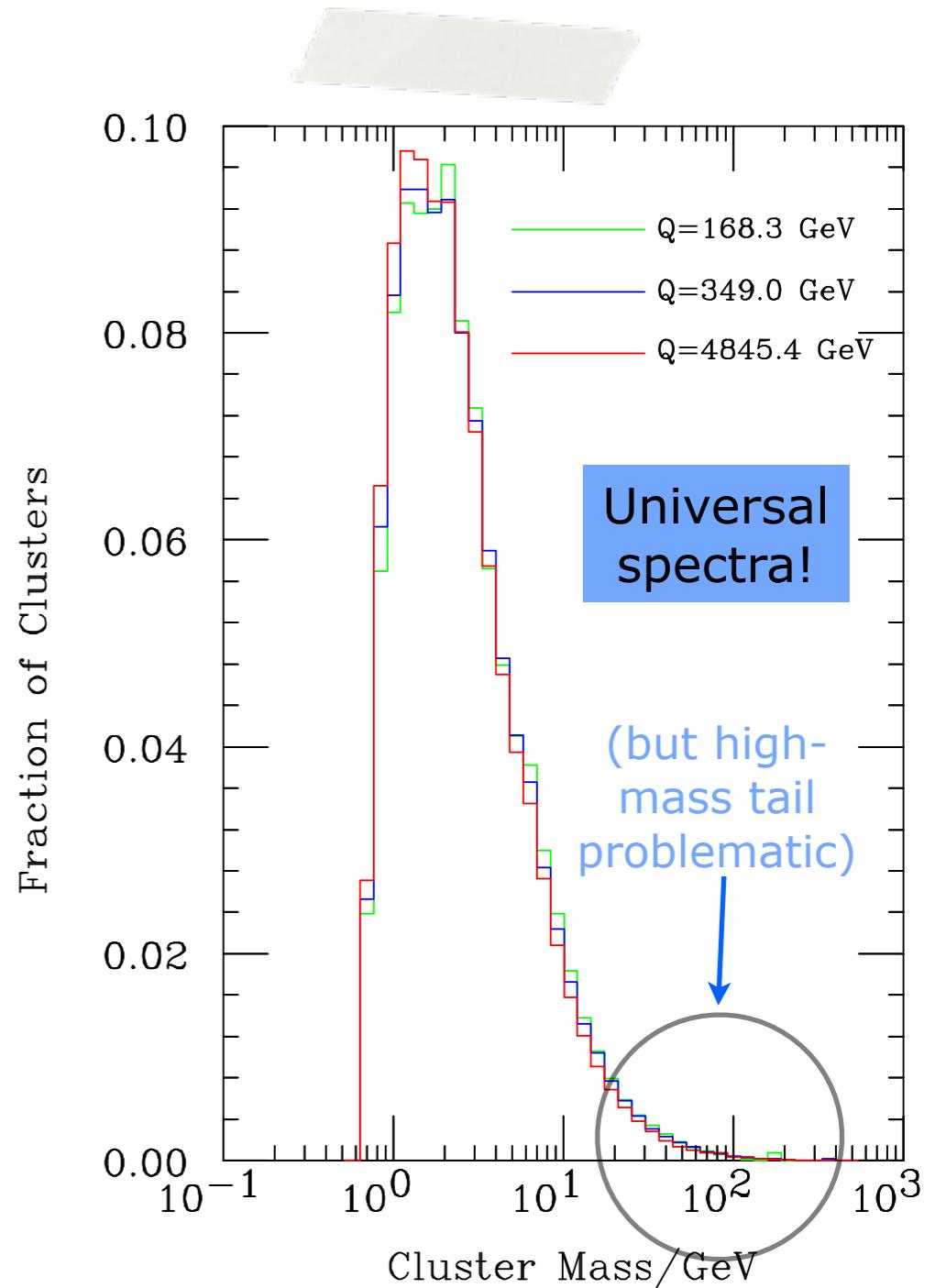
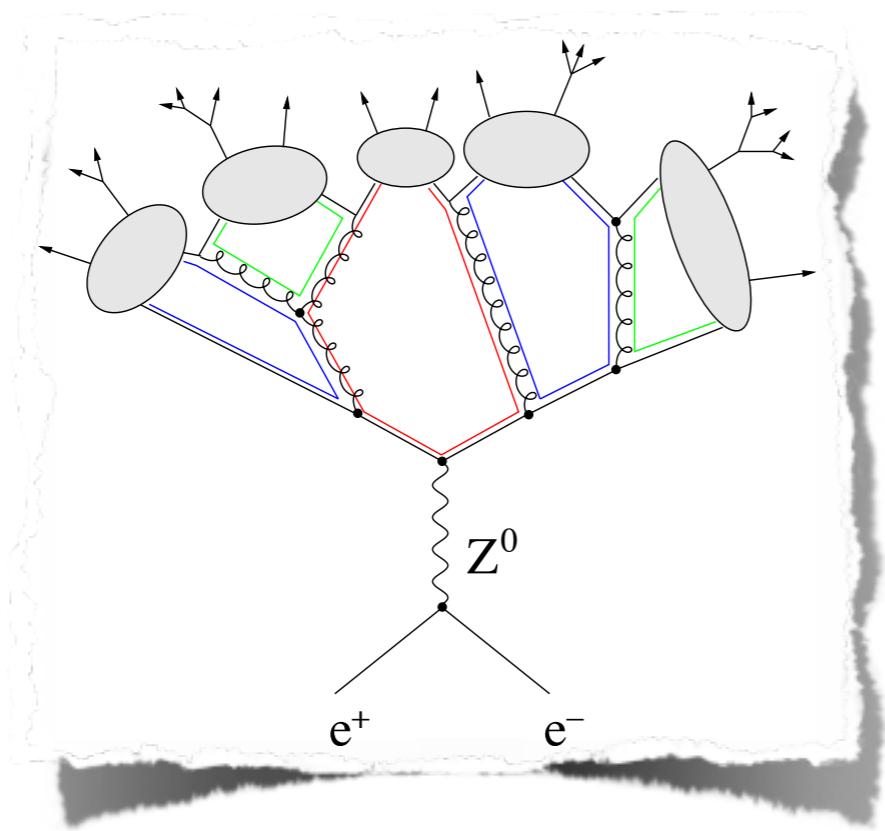
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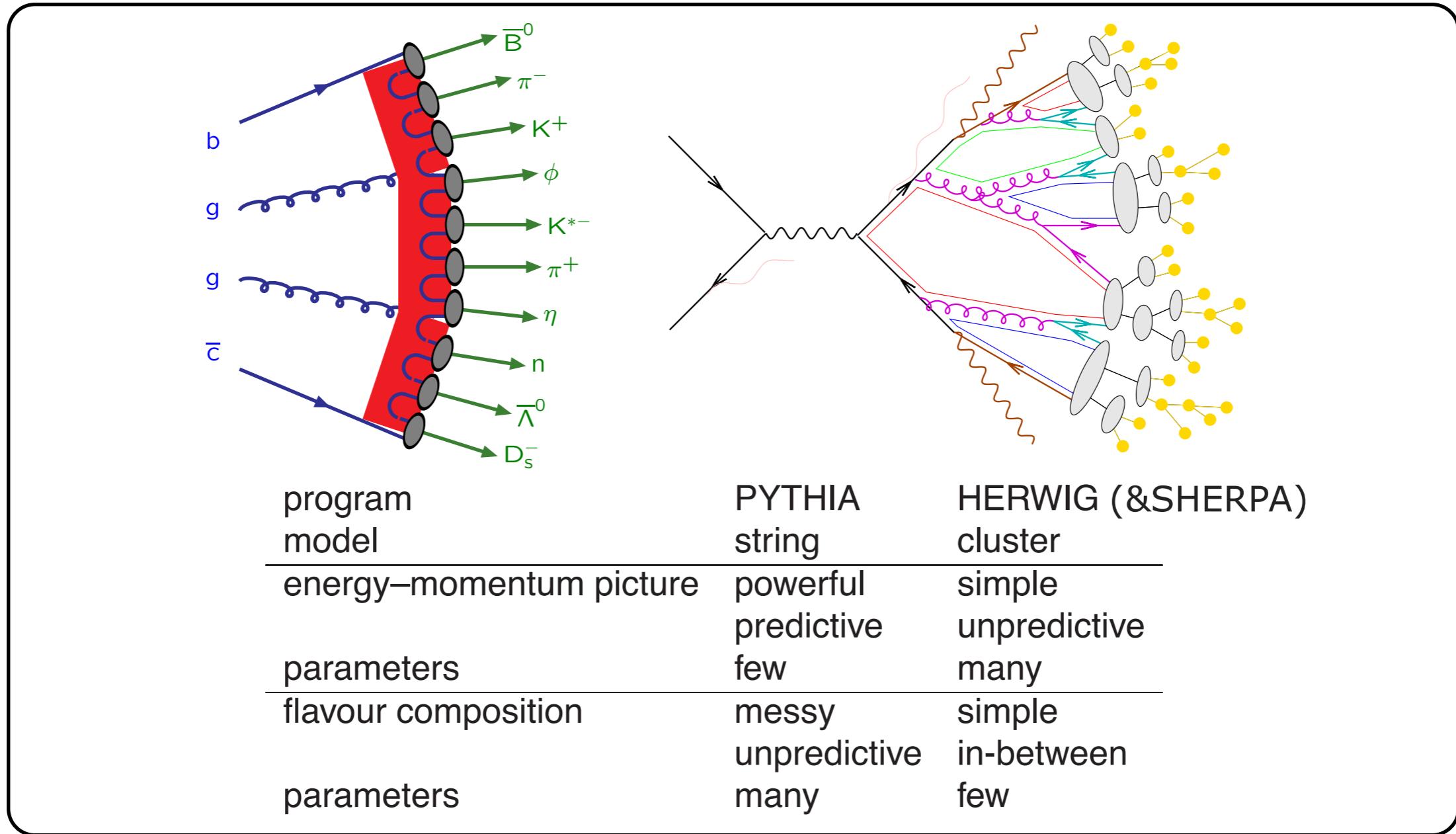
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Strings and Clusters



Small strings \rightarrow clusters. Large clusters \rightarrow strings

Hadron Collisions

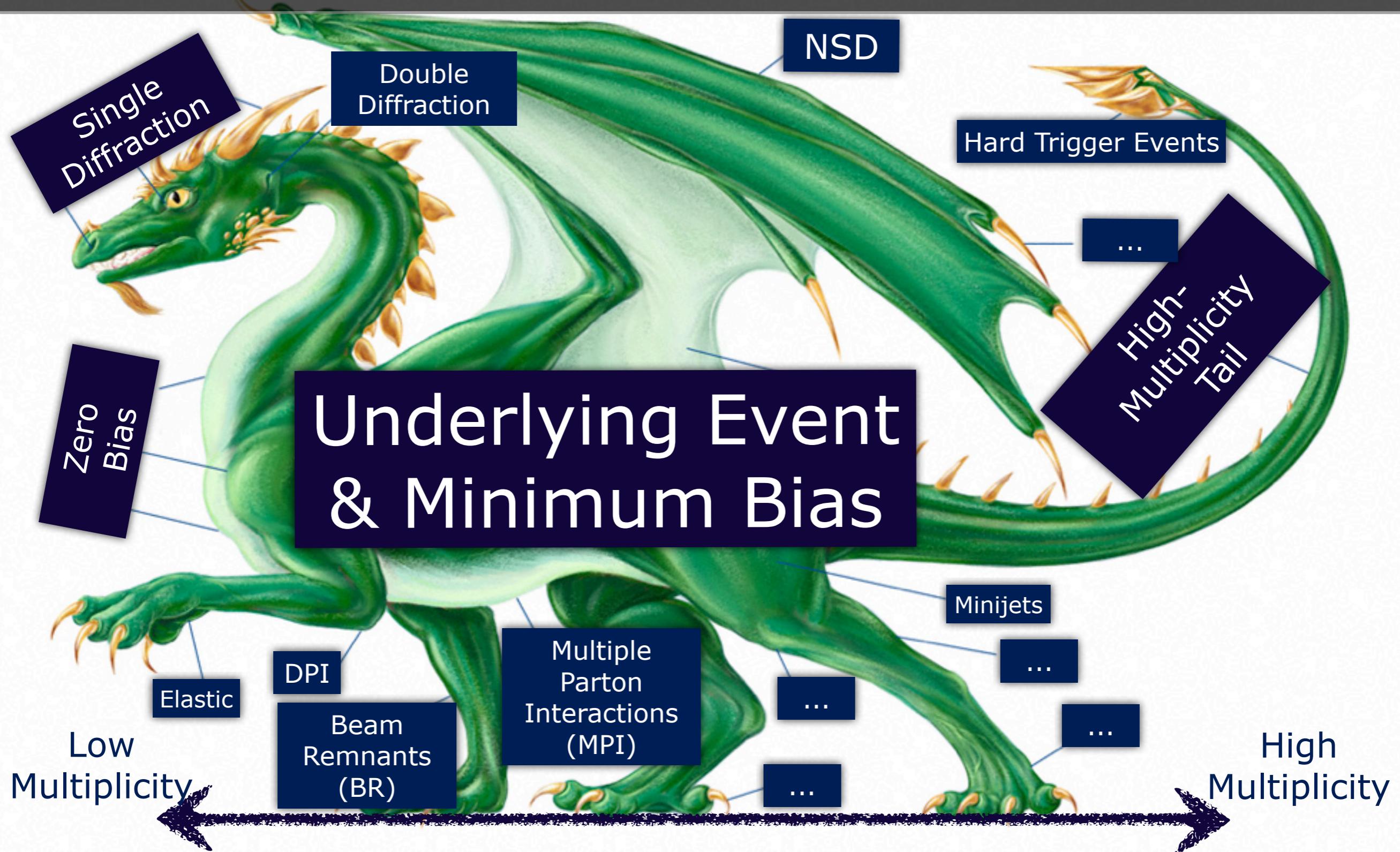


Image credits: E. Arenhaus & J. Walker

Hadron Collisions

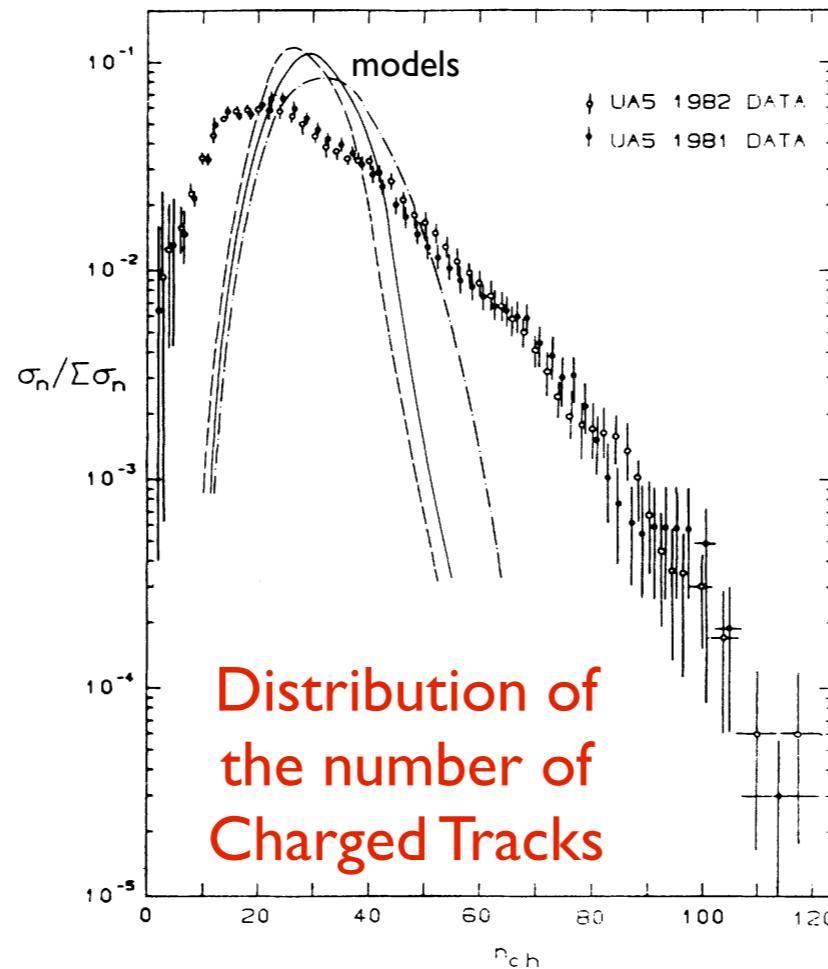
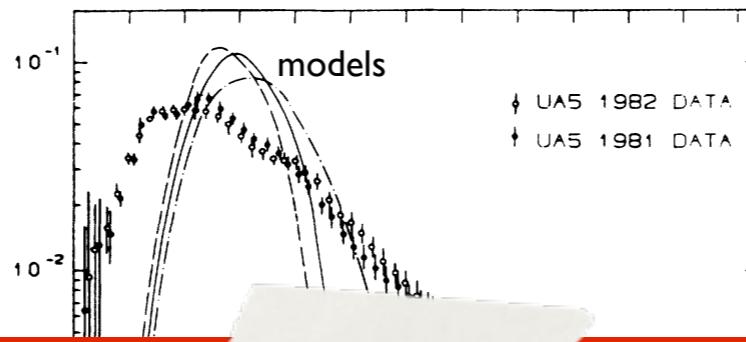


FIG. 3. Charged-multiplicity distribution at 540 GeV, UA5 results (Ref. 32) vs simple models: dashed low p_T only, full including hard scatterings, dash-dotted also including initial- and final-state radiation.

Hadron Collisions



Do not be scared of the failure of physical models
(typically points to more interesting physics)

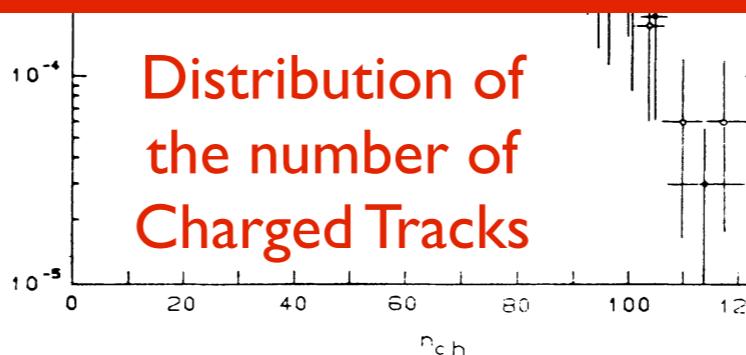


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Hadron Collisions

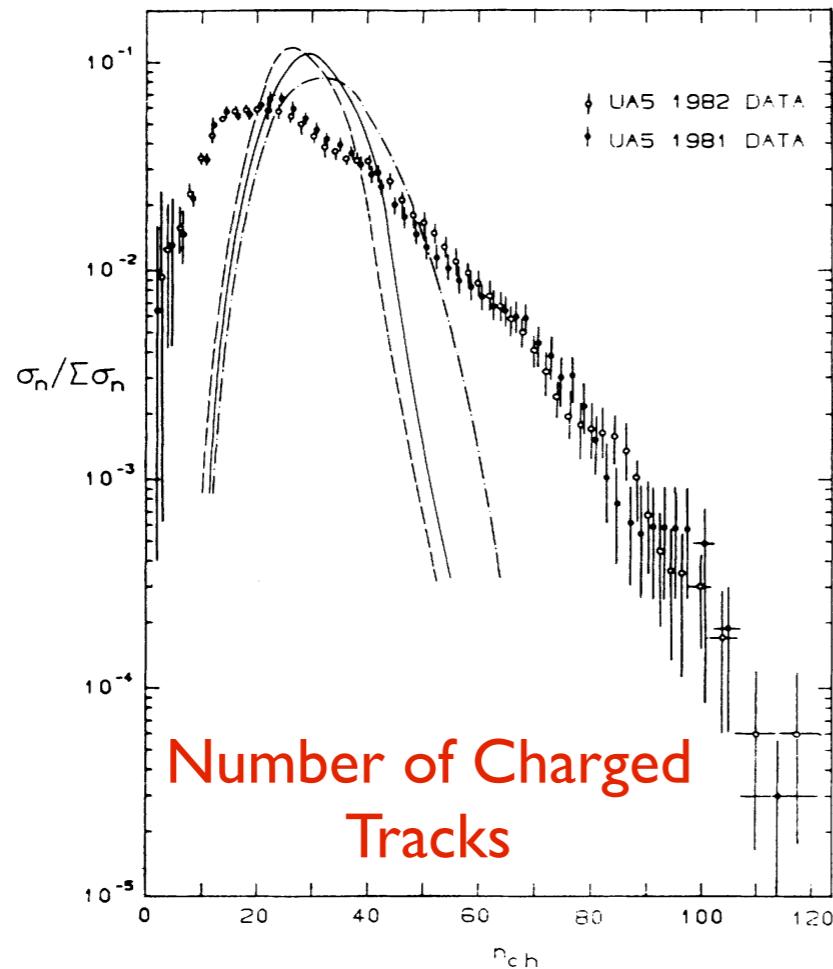


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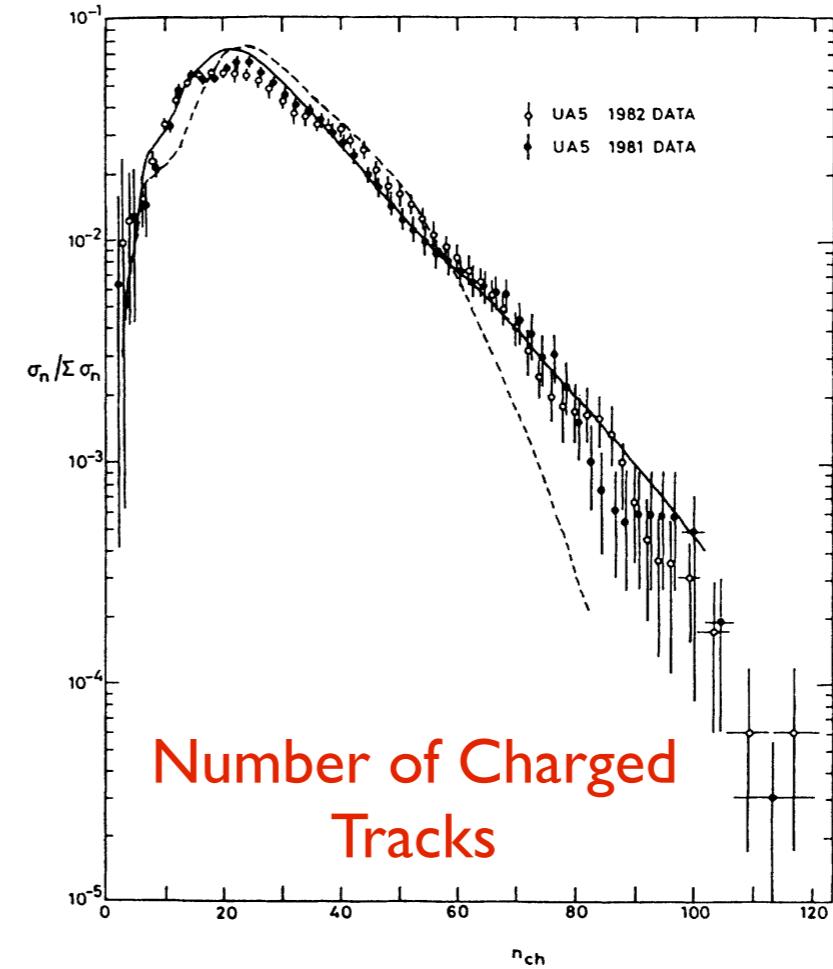


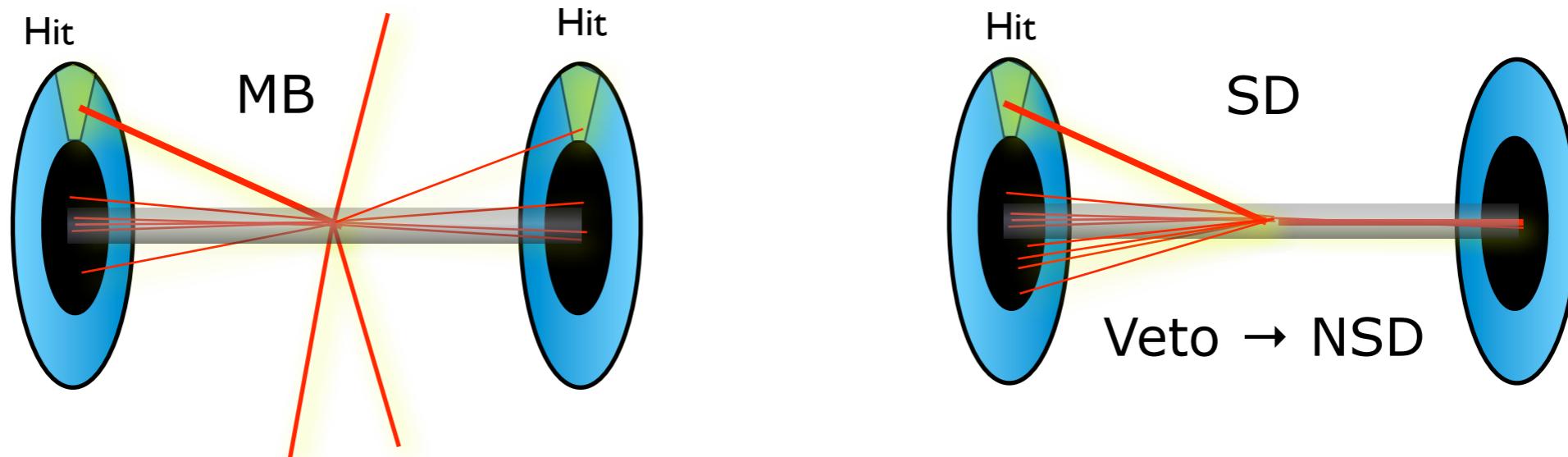
FIG. 12. Charged-multiplicity distribution at 540 GeV, UA5 results (Ref. 32) vs multiple-interaction model with variable impact parameter: solid line, double-Gaussian matter distribution; dashed line, with fix impact parameter [i.e., $\tilde{O}_0(b)$].

What is Pileup / Min-Bias?

We use Minimum-Bias (MB) data to test soft-QCD models

Pileup = “Zero-bias”

“Minimum-Bias” typically suppresses diffraction by requiring two-armed coincidence, and/or $\geq n$ particle(s) in central region

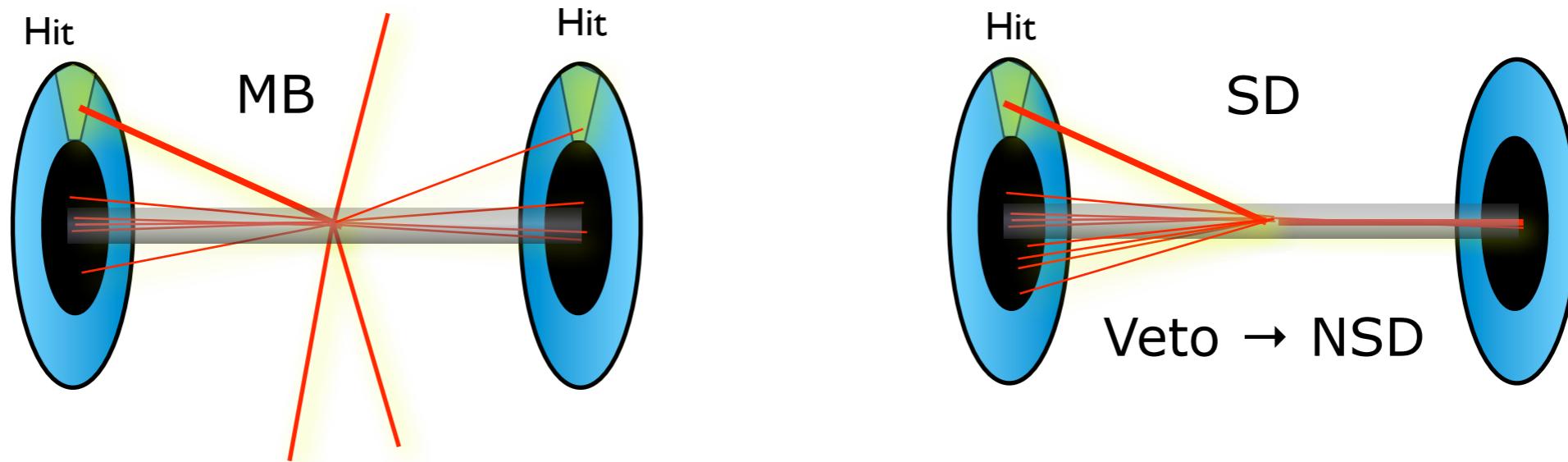


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→ Pileup contains more diffraction than Min-Bias

Total diffractive cross section $\sim 1/3 \sigma_{\text{inel}}$

Most diffraction is low-mass → no contribution in central regions

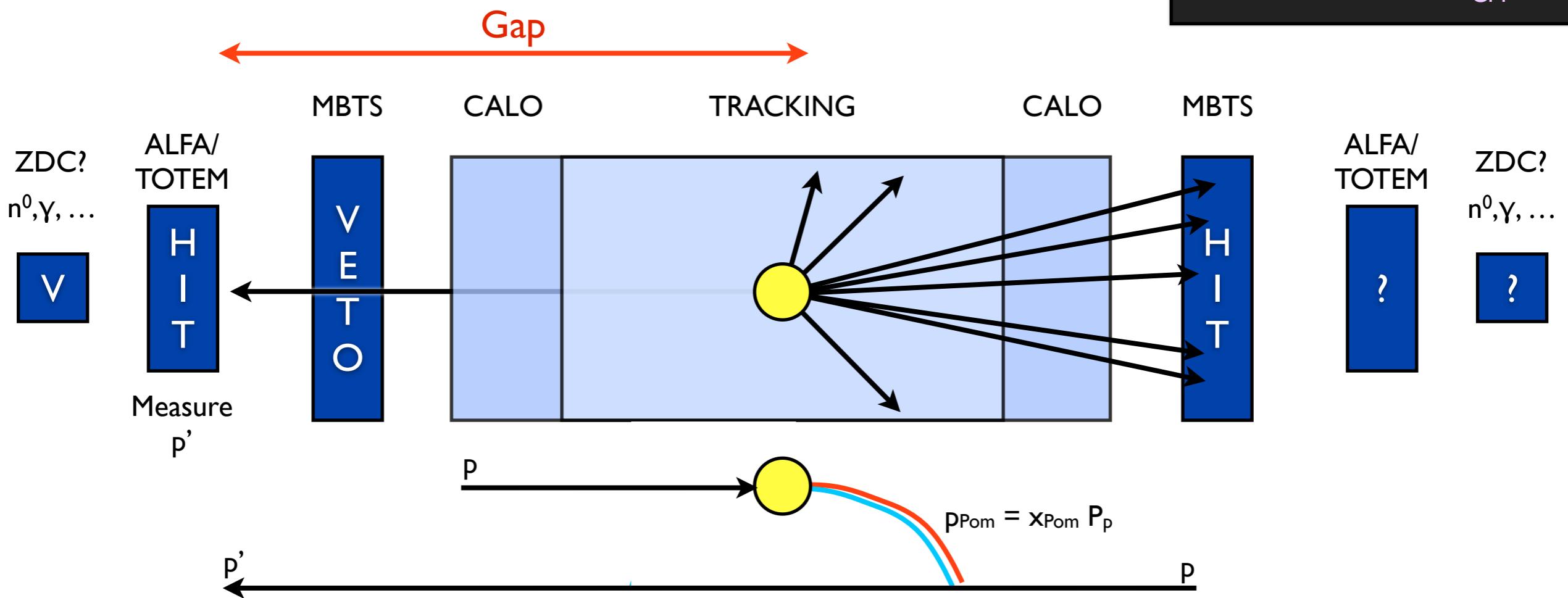
High-mass tails could be relevant in FWD region

→ direct constraints on diffractive components (→ later)

What is diffraction?

Single Diffraction

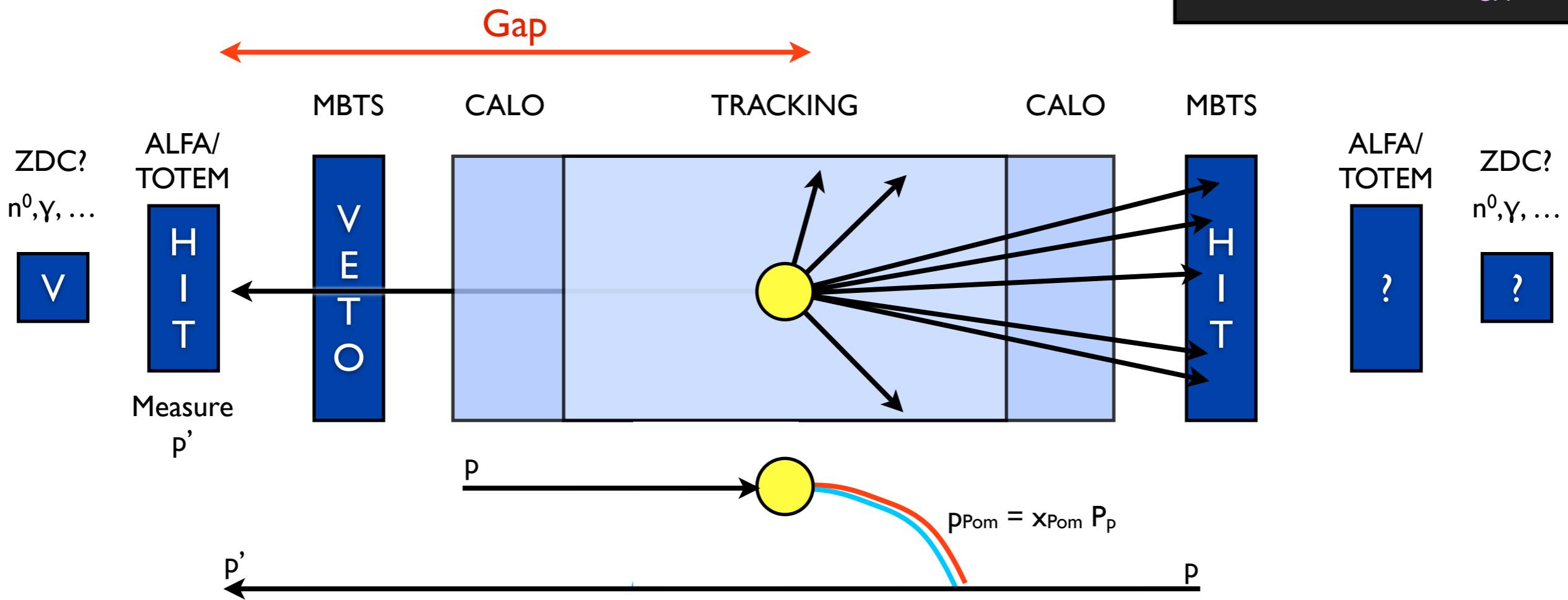
Glueball-Proton Collider
with variable E_{CM}



What is diffraction?

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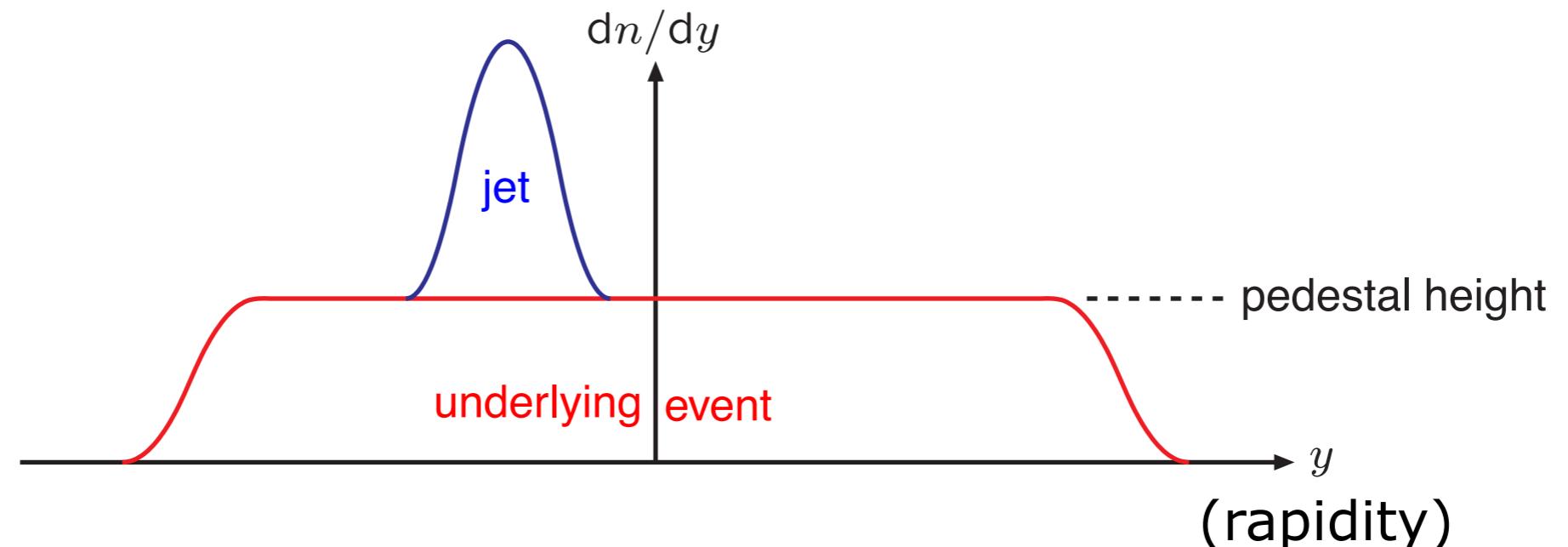
Glueball-Proton Collider
with variable E_{CM}



Double Diffraction: both protons explode; gap inbetween
Central Diffraction: two protons + a central (exclusive) system

What is Underlying Event ?

“Pedestal Effect”



*Useful variable in hadron collisions: **Rapidity** (now along beam axis)*

Designed to be additive
under Lorentz Boosts along
beam (z) direction

$$y = \frac{1}{2} \ln \left(\frac{E + p_z}{E - p_z} \right)$$

$$y \rightarrow -\infty \text{ for } p_z \rightarrow -E$$

$$y \rightarrow 0 \text{ for } p_z \rightarrow 0$$

$$y \rightarrow \infty \text{ for } p_z \rightarrow E$$

Illustrations by T. Sjöstrand

Questions

Pileup

How much? In central & fwd acceptance?

Structure: averages + fluctuations, particle composition, lumpiness, ...

Scaling to 13 TeV and beyond

Underlying Event ~ “A handful of pileup” ?

Hadronizes with Main Event → “Color reconnections”

Additional “minijets” from multiple parton interactions

Hadronization

Models from the 80ies, mainly constrained in 90ies

Meanwhile, perturbative models have evolved

Dipole/Antenna showers, ME matching, NLO corrections, ...

Precision → re-examine non-perturbative models and constraints

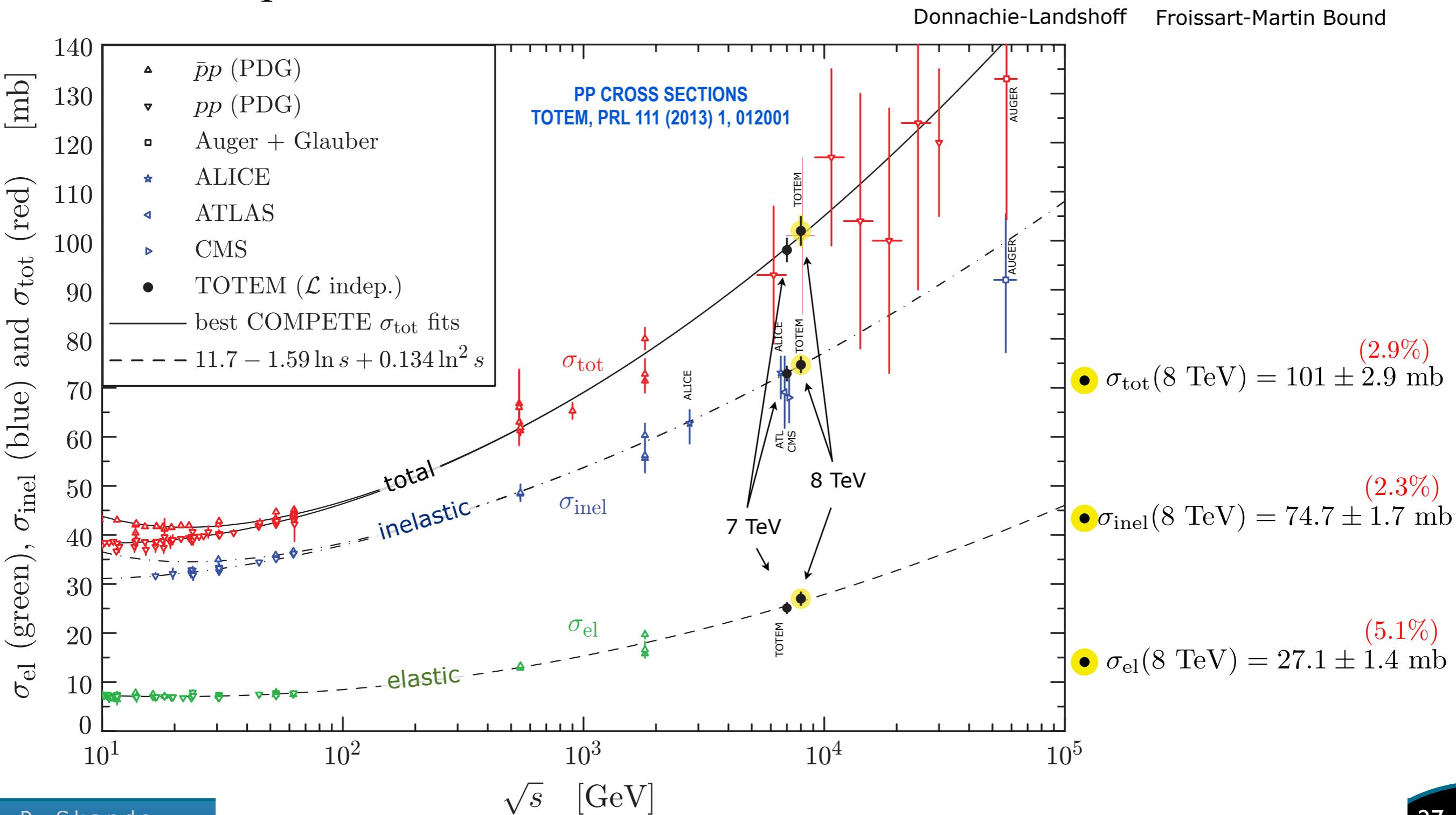
New clean constraints from LHC (& future colliders)?

Hadronization models \rightleftarrows analytical NP corrections?

Uses and Limits of “Tuning”

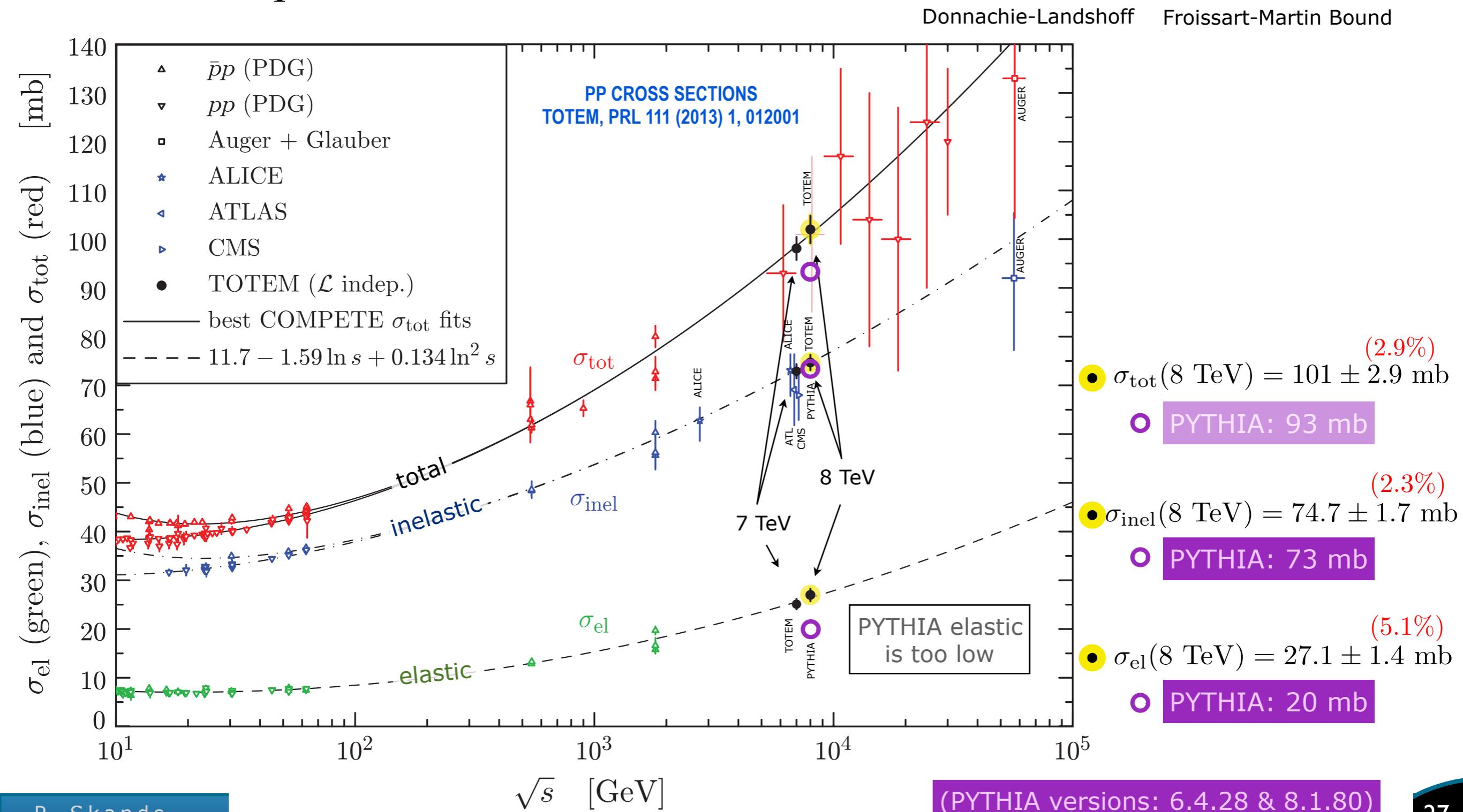
The Total Cross Section

Pileup rate $\propto \sigma_{\text{tot}}(s) = \sigma_{\text{el}}(s) + \sigma_{\text{inel}}(s) \propto s^{0.08}$ or $\ln^2(s)$?



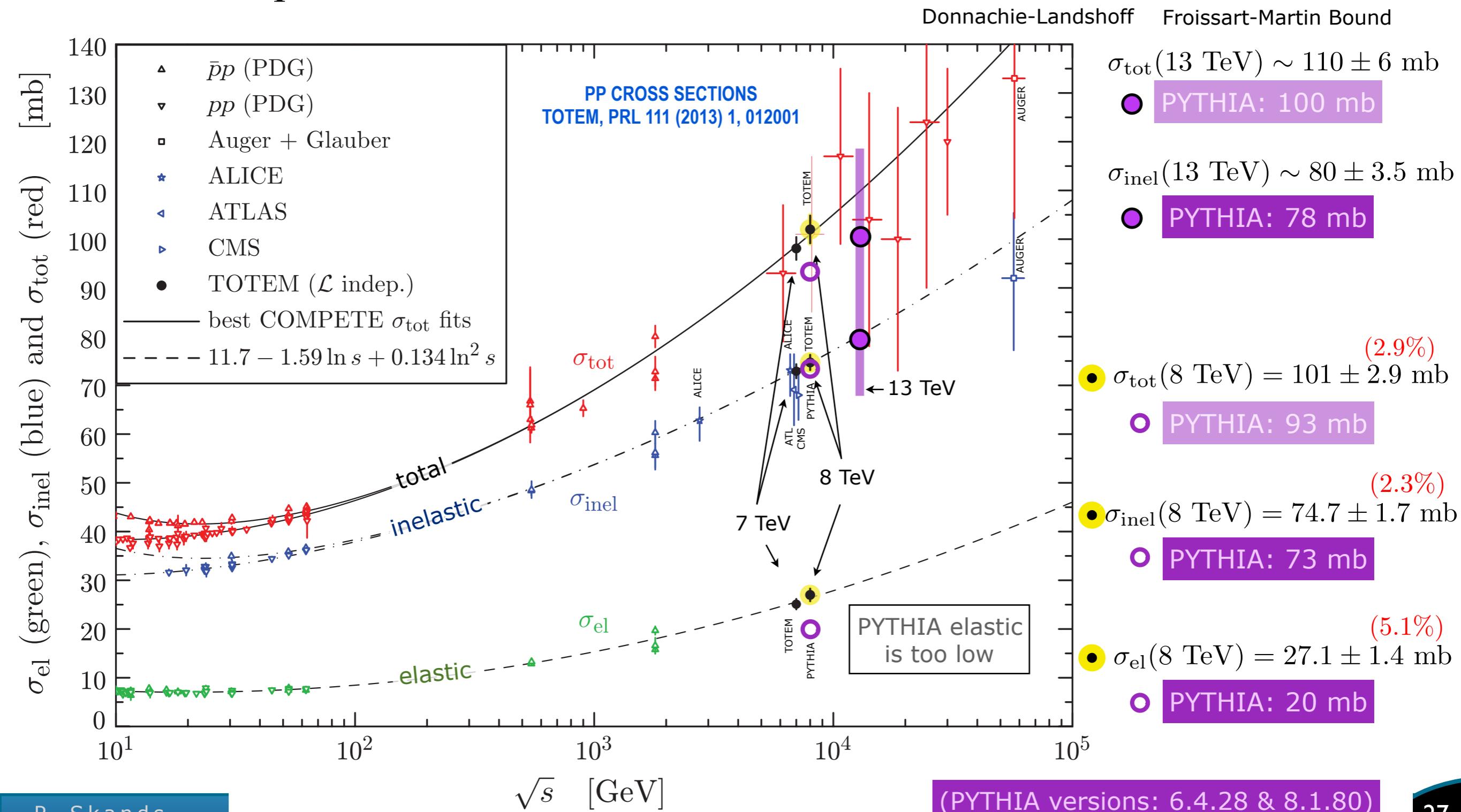
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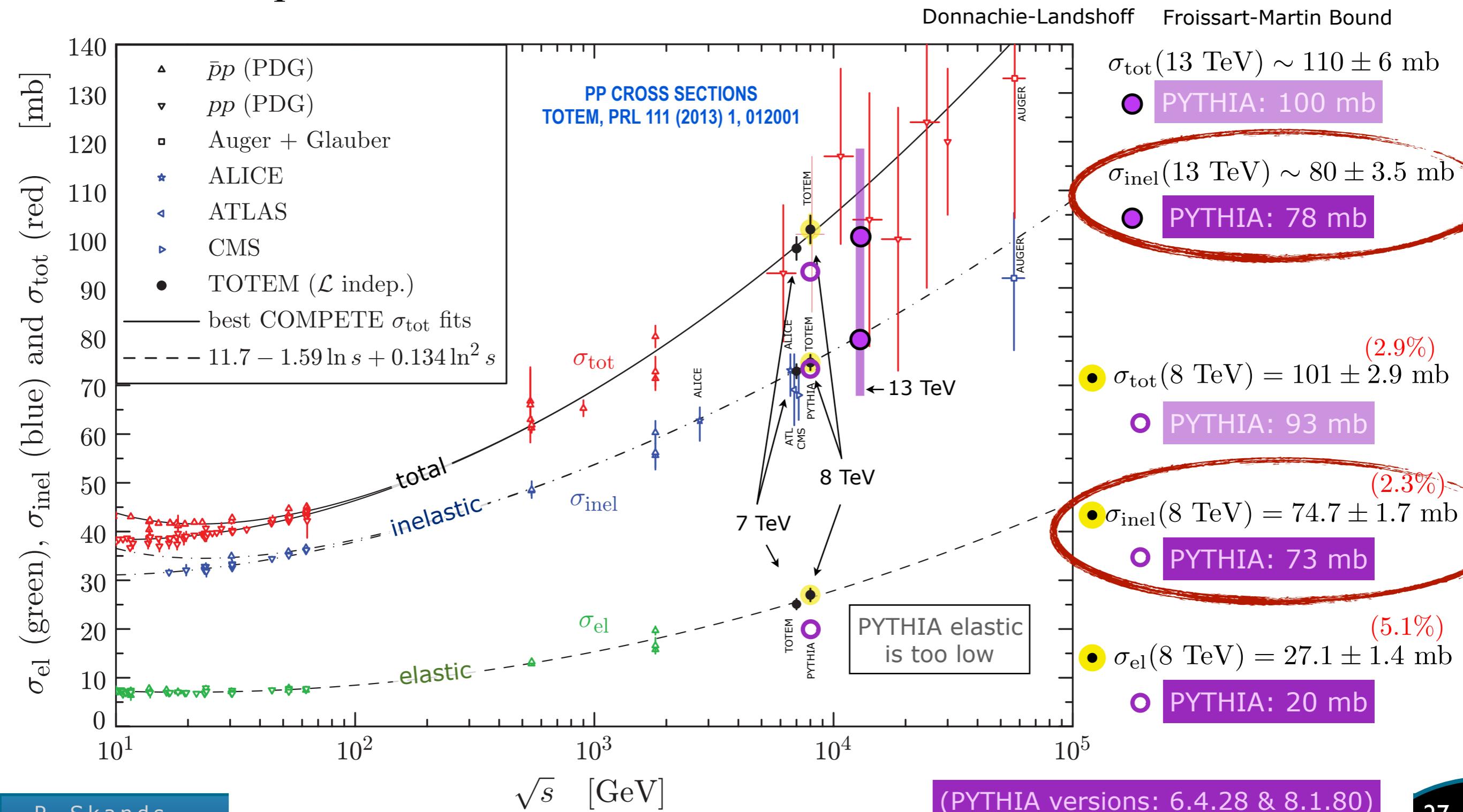
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The Inelastic Cross Section

First try: decompose $\sigma_{\text{inel}} = \sigma_{\text{sd}} + \sigma_{\text{dd}} + \sigma_{\text{cd}} + \sigma_{\text{nd}}$

+ Parametrizations of diffractive components: dM^2/M^2

PYTHIA:

$$\begin{aligned}\frac{d\sigma_{\text{sd}(AX)}(s)}{dt dM^2} &= \frac{g_{3\text{IP}}}{16\pi} \beta_{A\text{IP}}^2 \beta_{B\text{IP}} \frac{1}{M^2} \exp(B_{\text{sd}(AX)} t) F_{\text{sd}} , \\ \frac{d\sigma_{\text{dd}}(s)}{dt dM_1^2 dM_2^2} &= \frac{g_{3\text{IP}}^2}{16\pi} \beta_{A\text{IP}} \beta_{B\text{IP}} \frac{1}{M_1^2} \frac{1}{M_2^2} \exp(B_{\text{dd}} t) F_{\text{dd}} .\end{aligned}$$

+ Integrate and
solve for σ_{nd}

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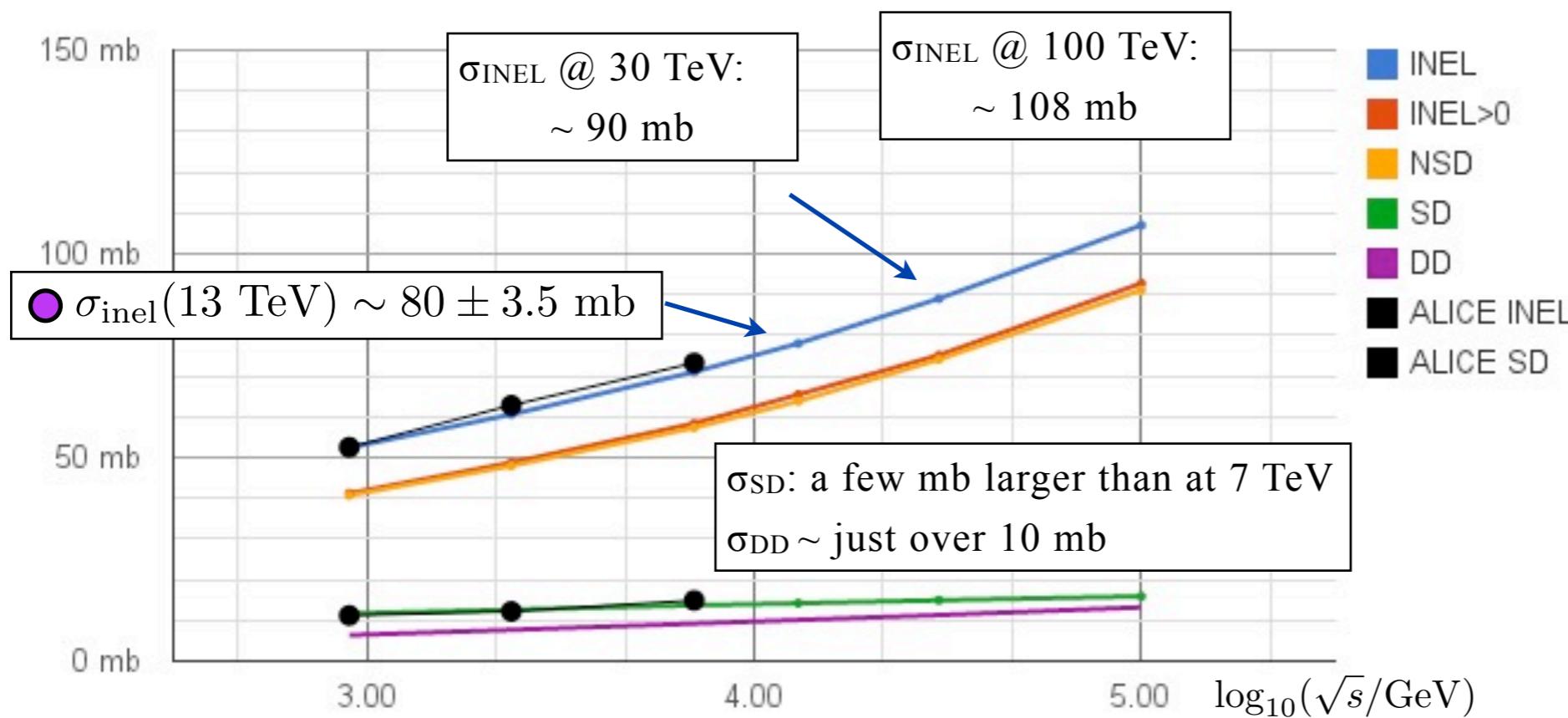
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+ Integrate and solve for σ_{nd}



What Cross Section?

Total Inelastic

Fraction with one charged particle in $|\eta|<1$

Ambiguous Theory Definition

Ambiguous Theory Definition

Ambiguous Theory Definition

Observed fraction corrected to total

ALICE def : SD has $M_X < 200$

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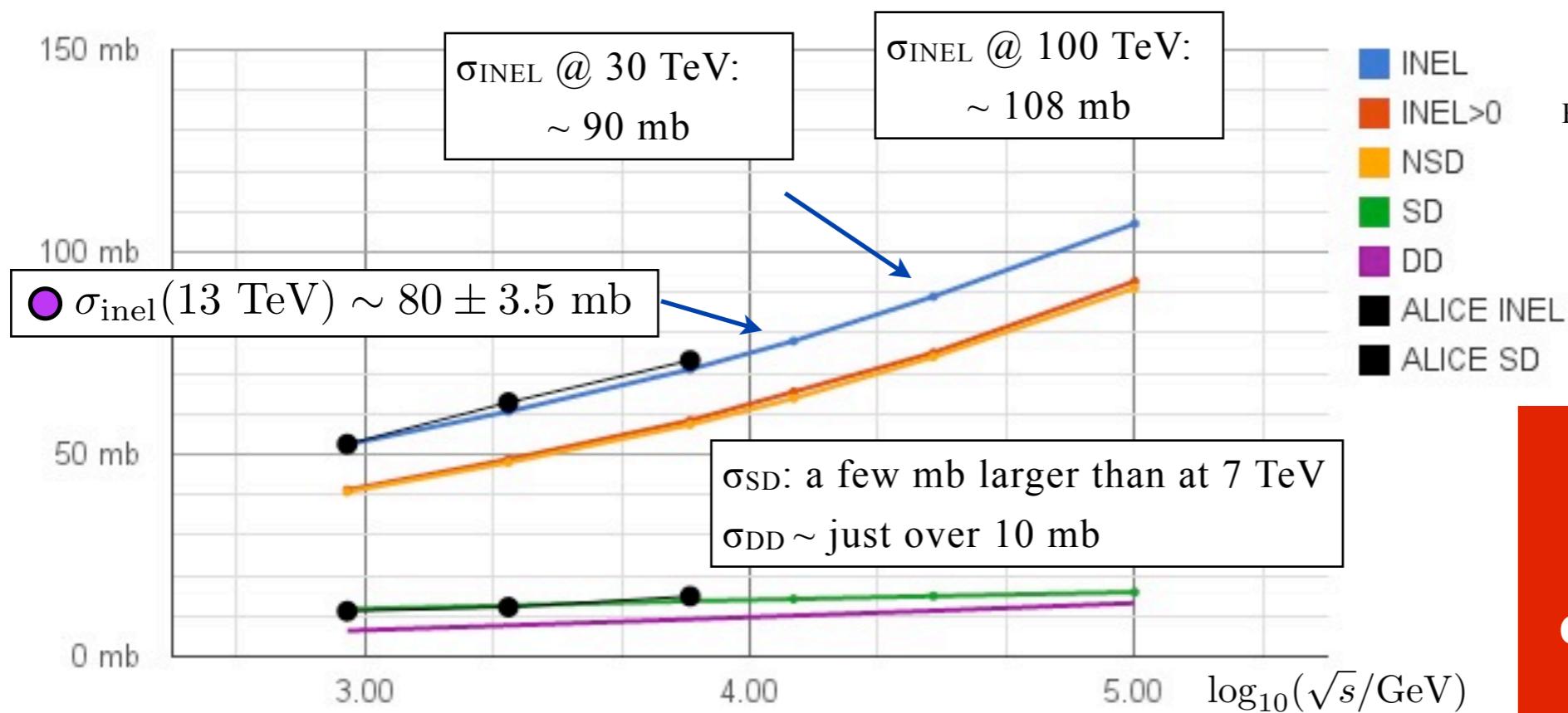
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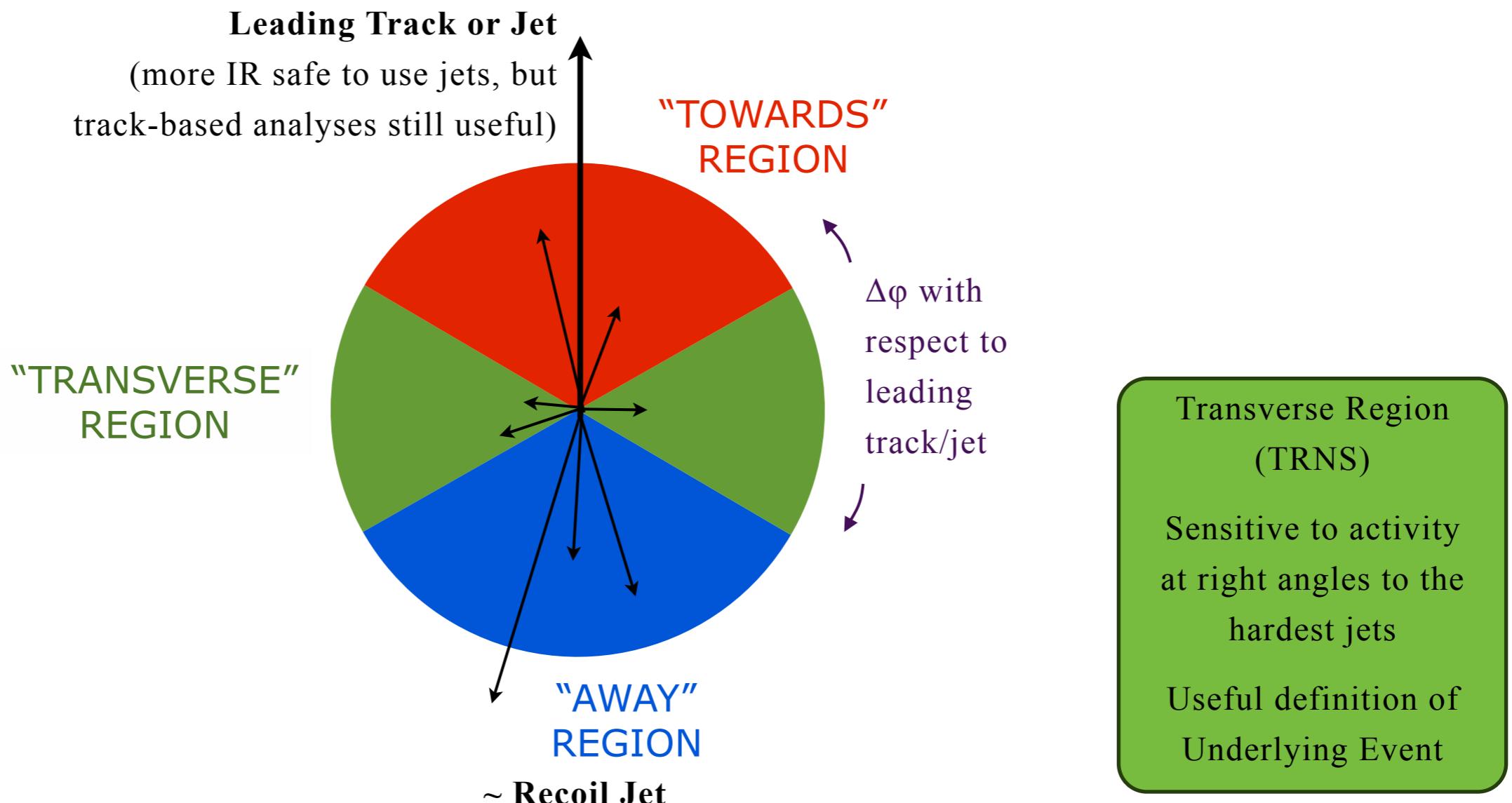
ALICE def : SD has $M_X < 200$

Note problem of principle: Q.M. requires **distinguishable final states**

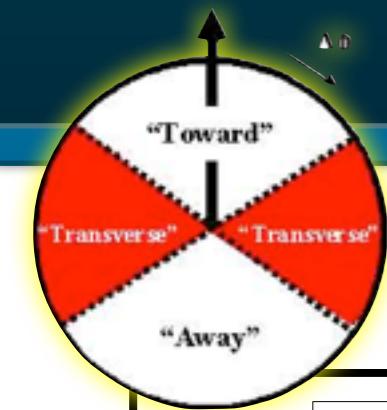
The “Rick Field” UE Plots

(the same Field as in Field-Feynman)

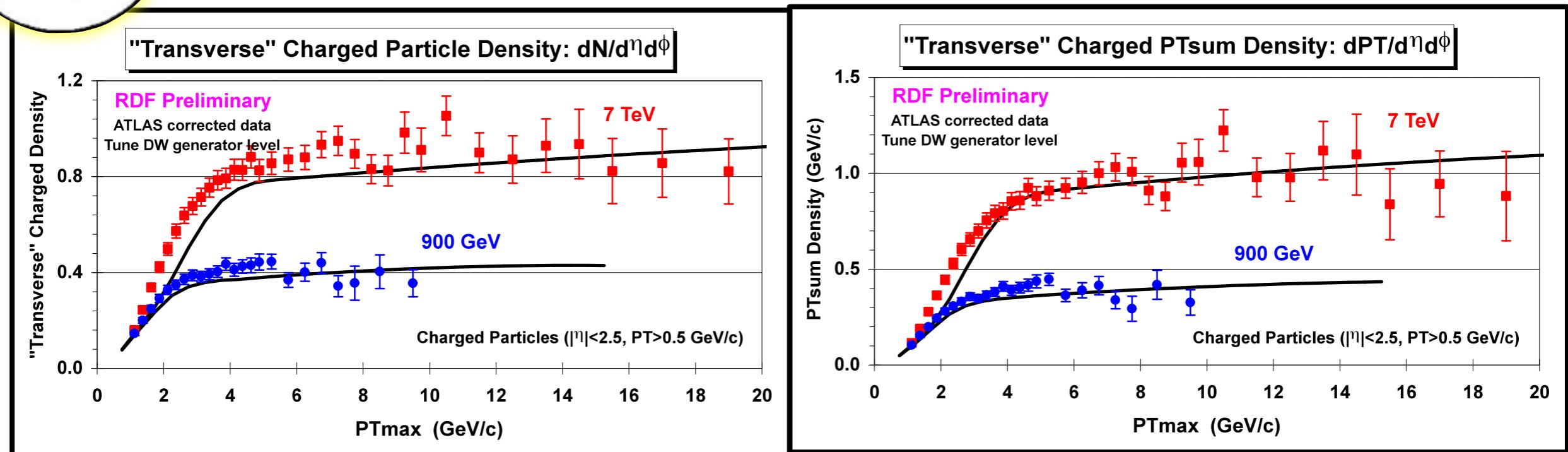
There are many UE variables.
The most important is $\langle \Sigma p_T \rangle$ in the “Transverse Region”



The Pedestal (now called the Underlying Event)



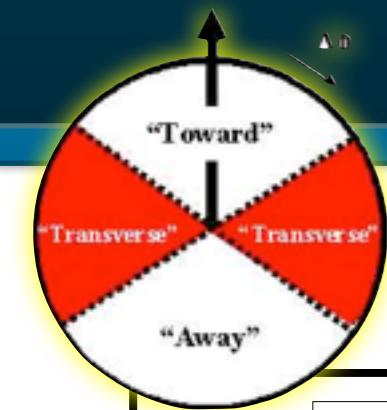
LHC from 900 to 7000 GeV - ATLAS



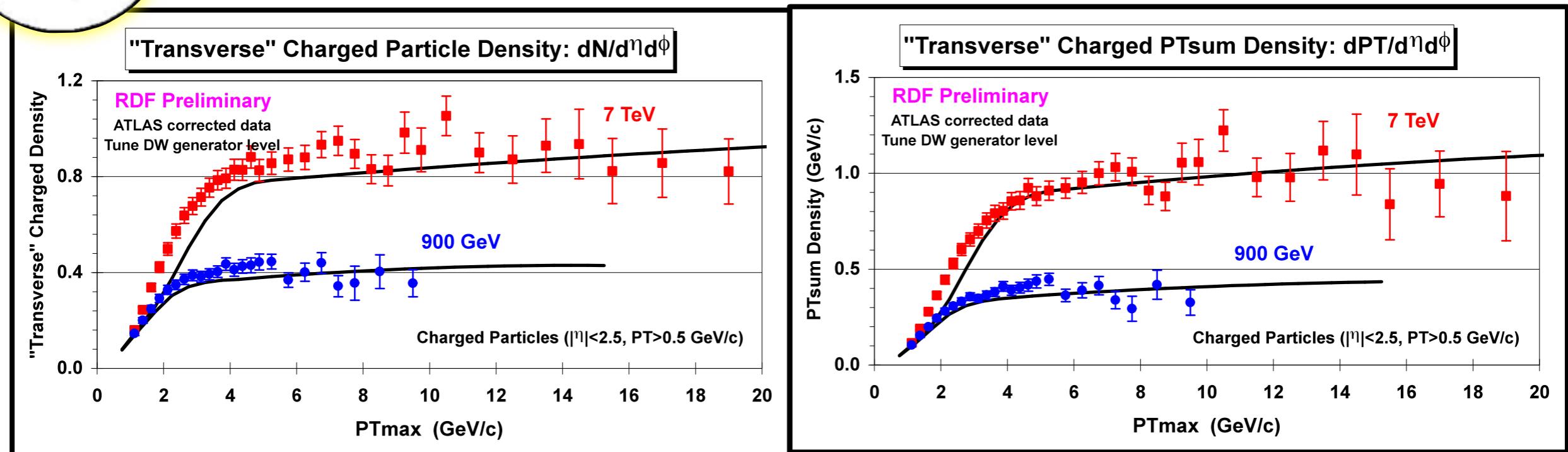
Track Density (TRANS)

Sum(pT) Density (TRANS)

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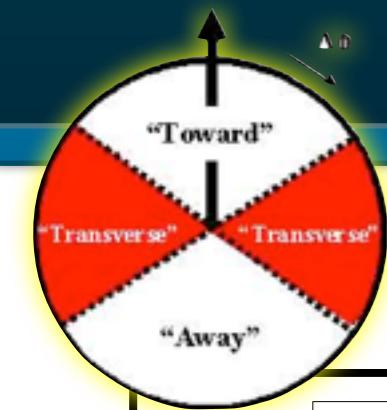


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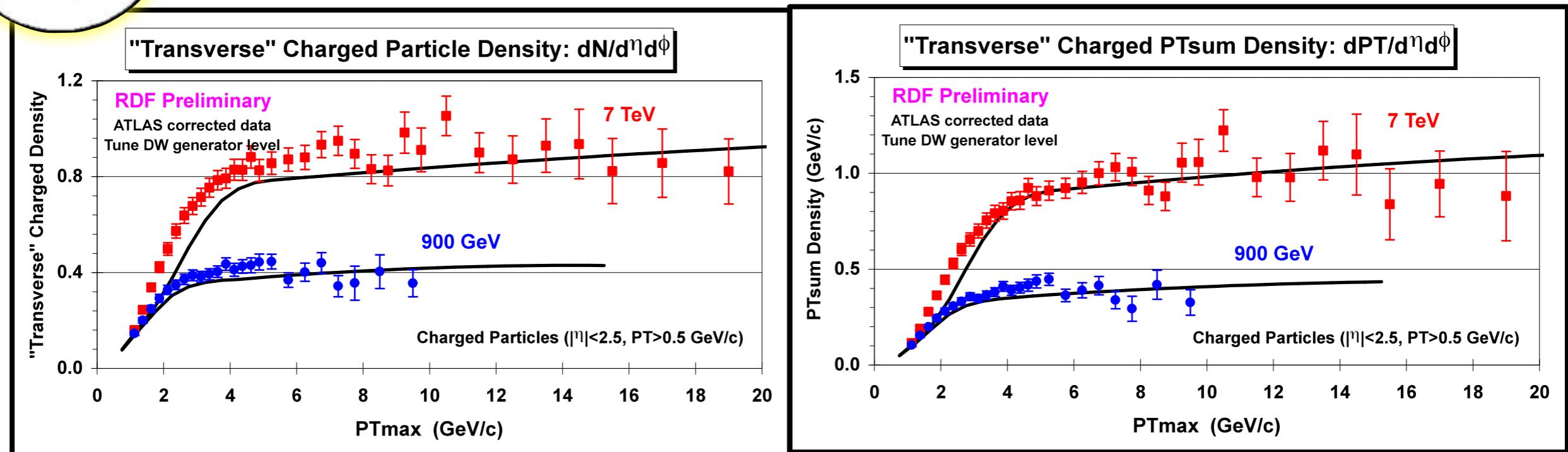
Not Infrared Safe
Large Non-factorizable Corrections
Prediction off by $\approx 10\%$

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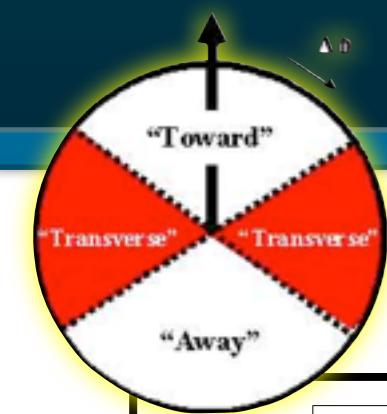
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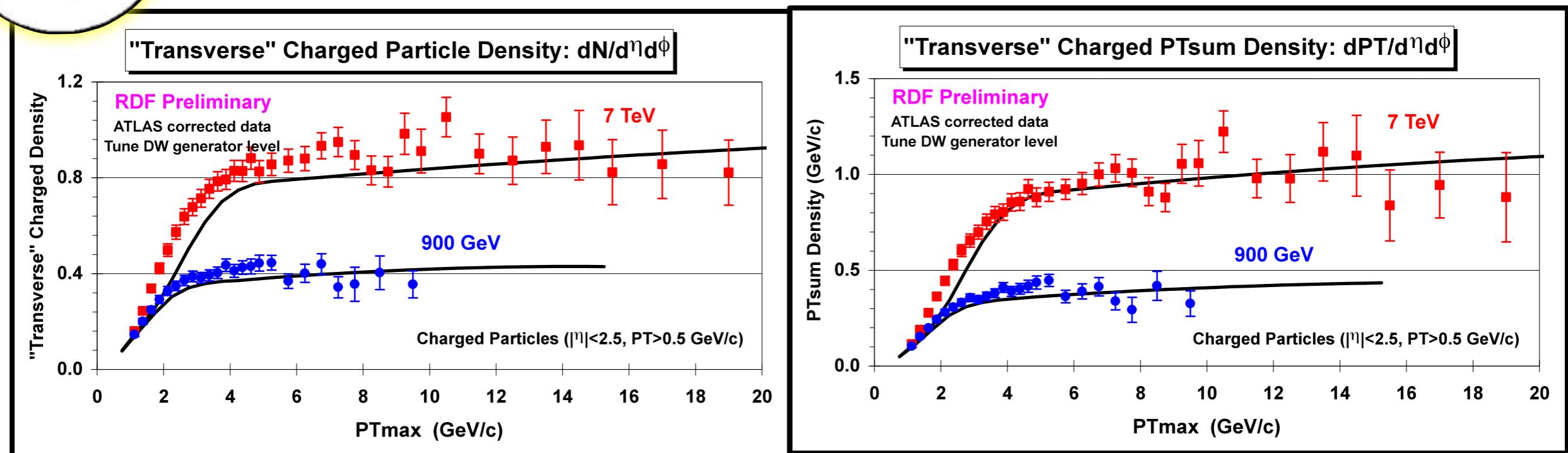
Sum(pT) Density (TRANS)

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LHC from 900 to 7000 GeV - ATLAS



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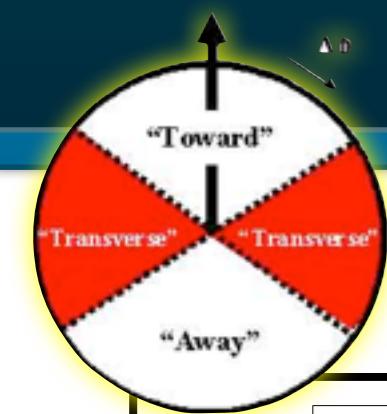
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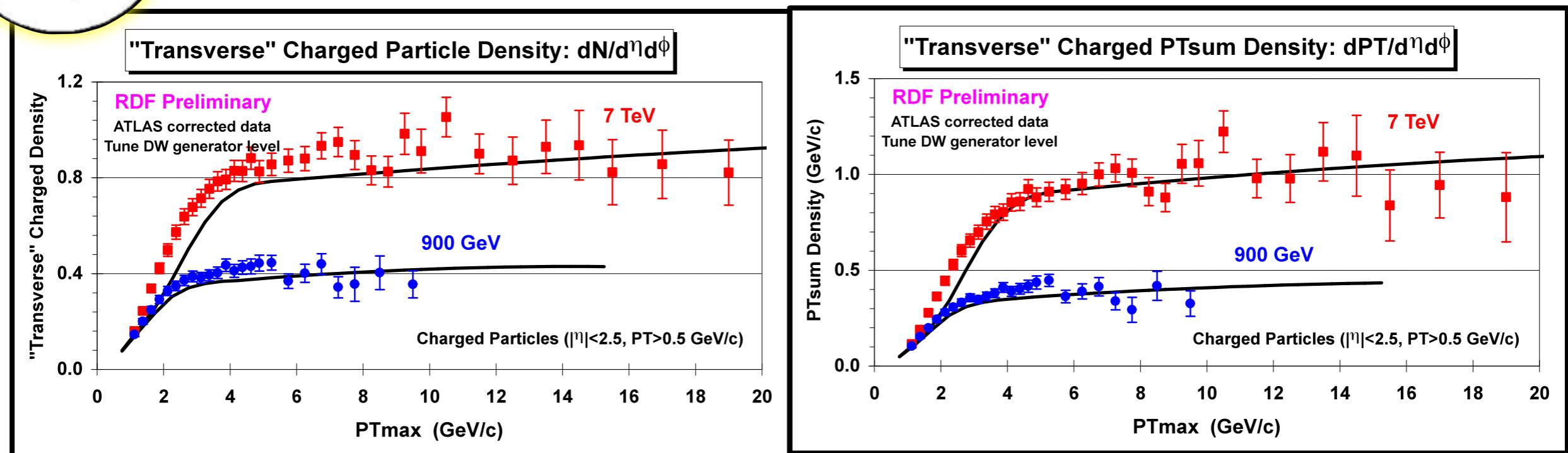
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R. Field: "See, I told you!"

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LHC from 900 to 7000 GeV - ATLAS



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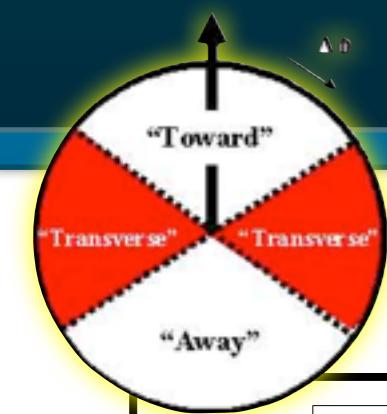
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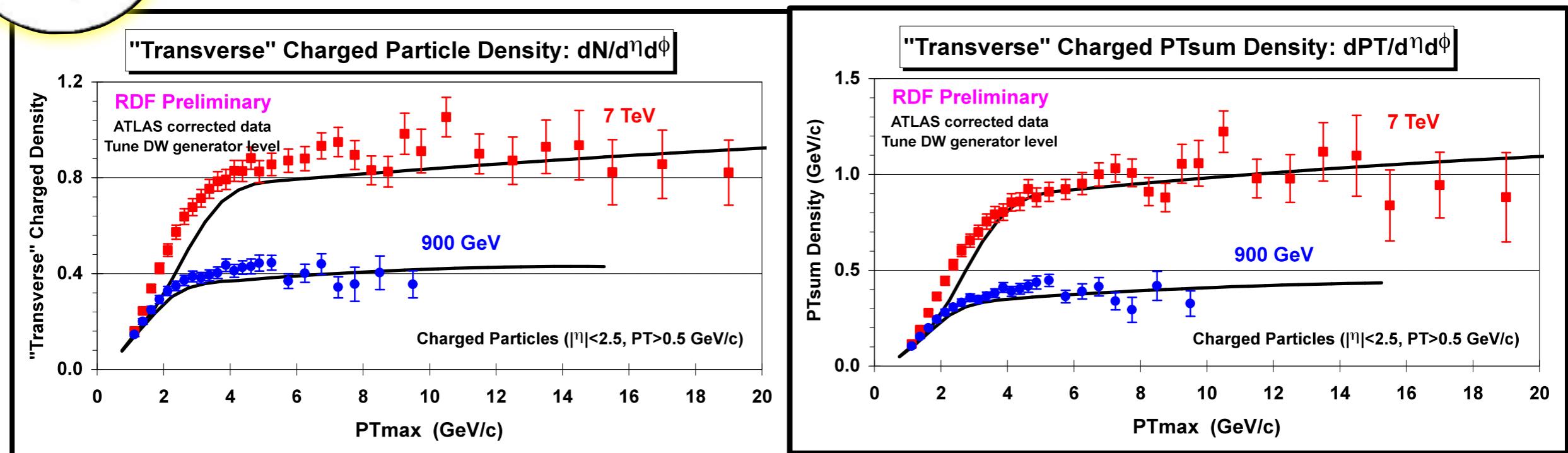
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R. Field: "See, I told you!" Y. Gehrstein: "they have to fudge it again"

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Truth is in the eye of
the beholder:

R. Field: "See, I told you!" Y. Gehrstein: "they have to fudge it again"

From Hard to Soft

Main tools for high- p_T calculations

Factorization and IR safety

Corrections suppressed by powers of $\Lambda_{\text{QCD}}/Q_{\text{Hard}}$

Soft QCD / Min-Bias / Pileup

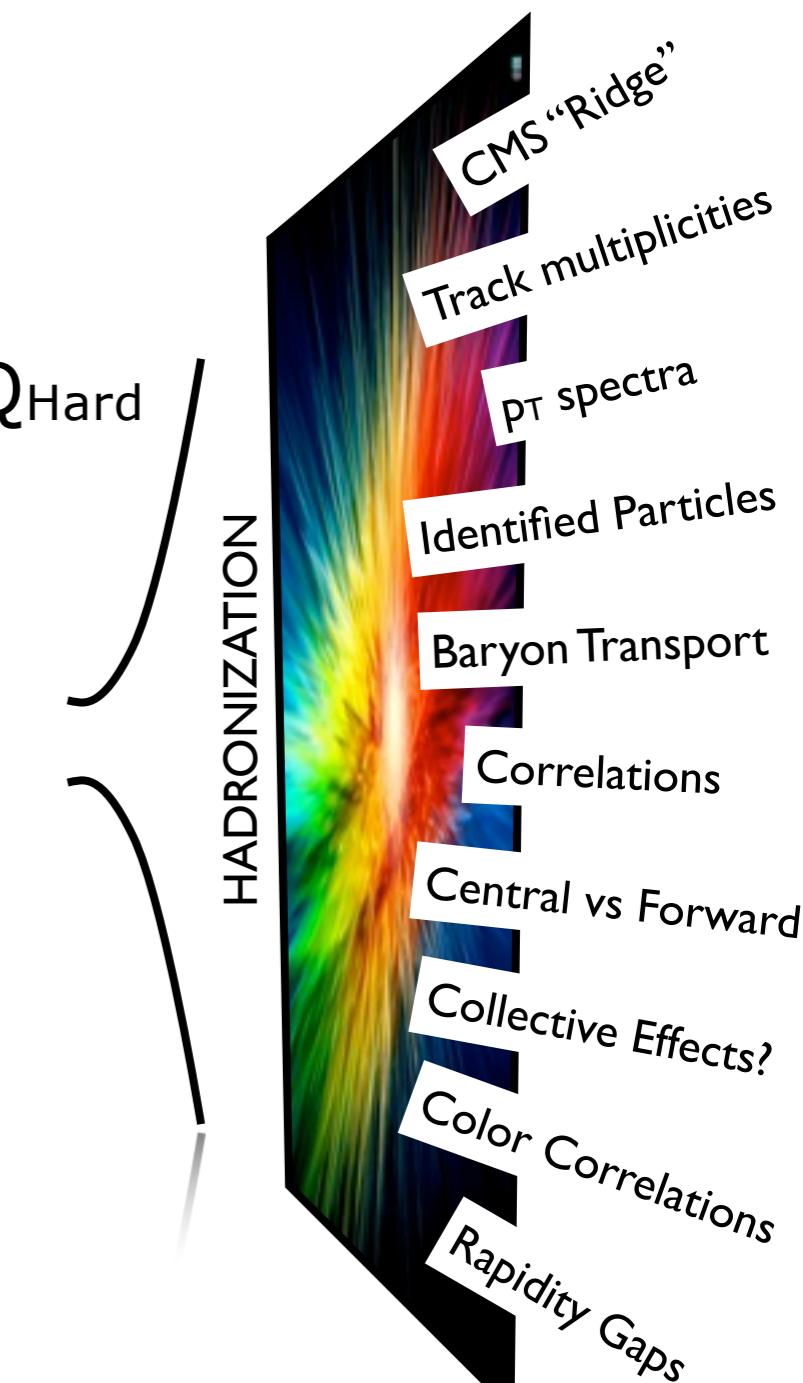
NO HARD SCALE

- Typical Q scales $\sim \Lambda_{\text{QCD}}$
- Extremely sensitive to IR effects
- Excellent LAB for studying IR effects

$\sim \infty$ statistics for min-bias

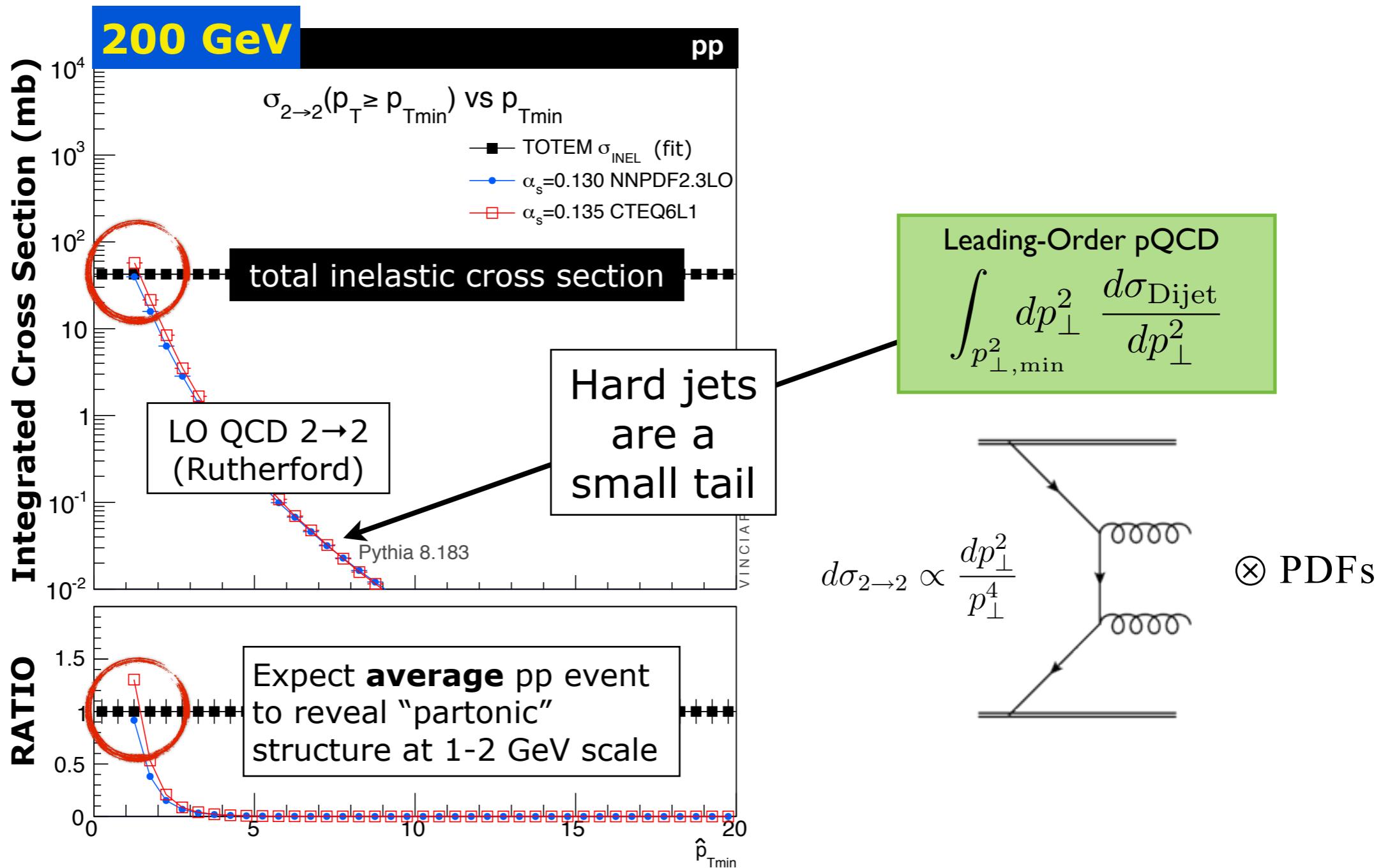
→ **Access tails, limits**

Universality: Recycling PU \leftrightarrow MB \leftrightarrow UE



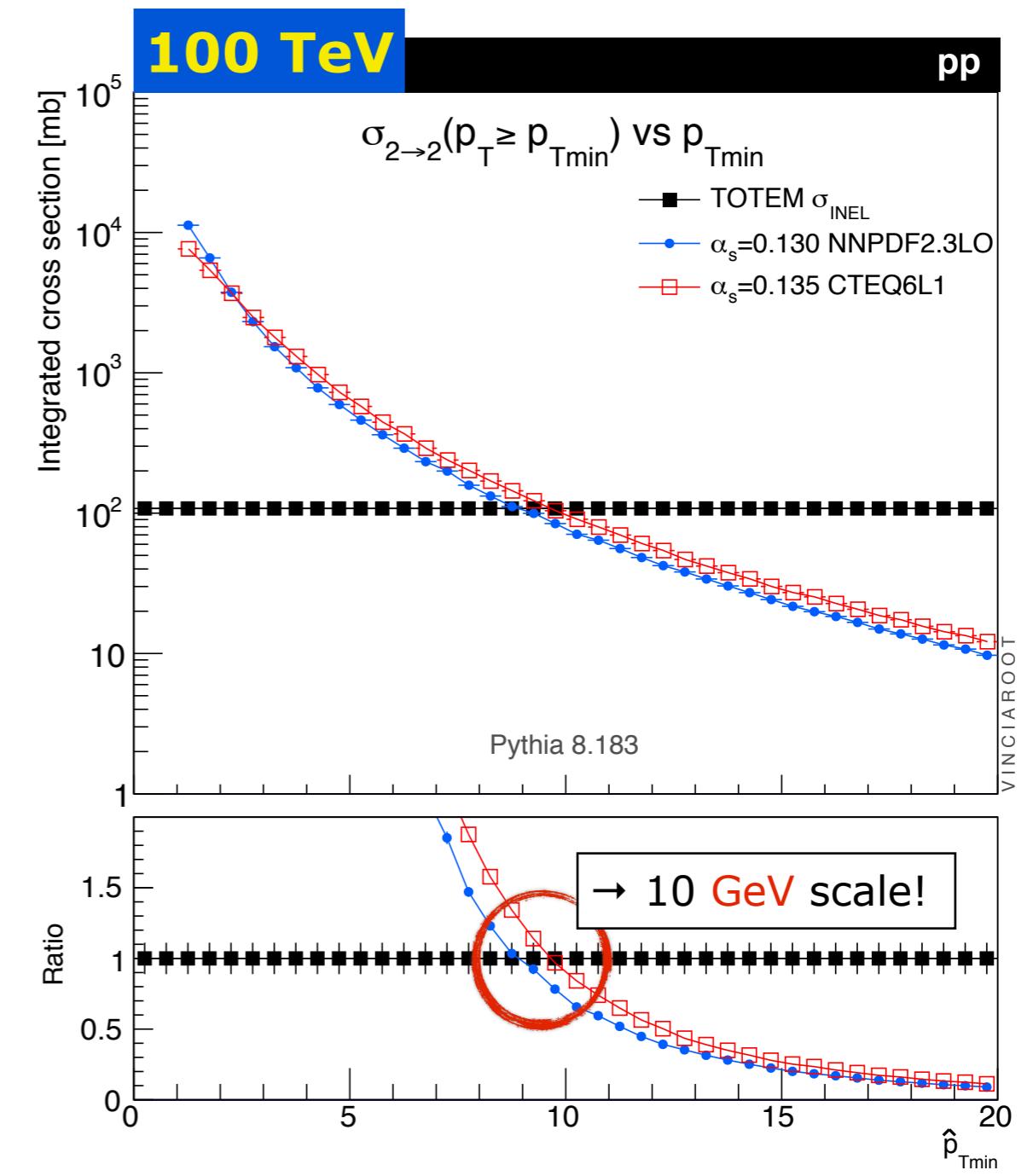
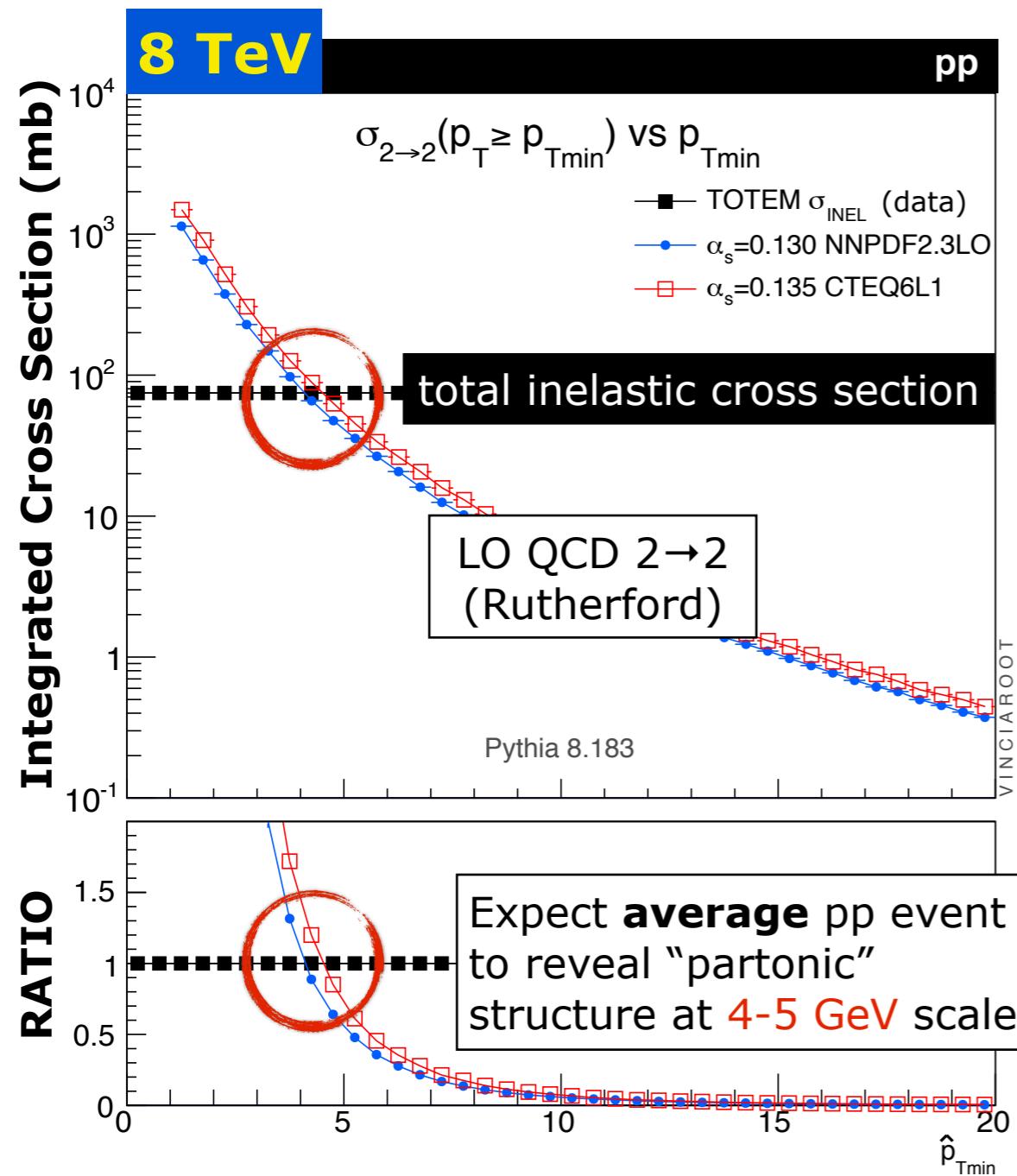
Is there no hard scale?

Compare total (inelastic) hadron-hadron cross section to calculated parton-parton (LO QCD 2→2) cross section



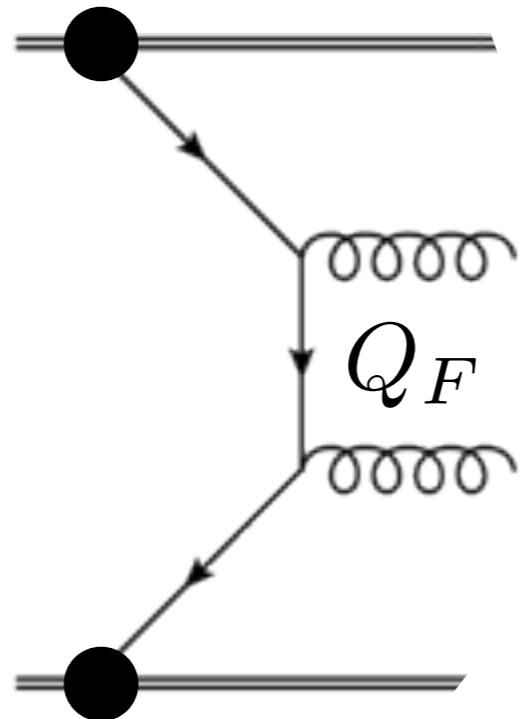
$\rightarrow 8 \text{ TeV} \rightarrow 100 \text{ TeV}$

\rightarrow Trivial calculation indicates hard scales in min-bias



Physics of the Pedestal

Factorization: Subdivide Calculation

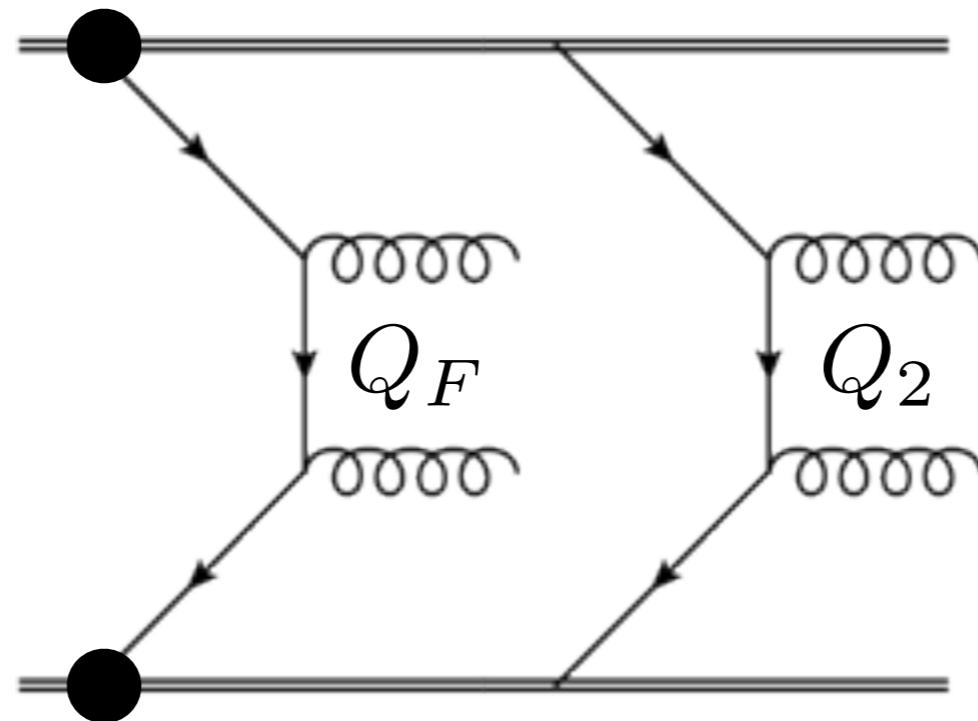


Multiple Parton Interactions go beyond existing theorems

- perturbative short-distance physics in Underlying Event
- Need to generalize factorization to MPI

Physics of the Pedestal

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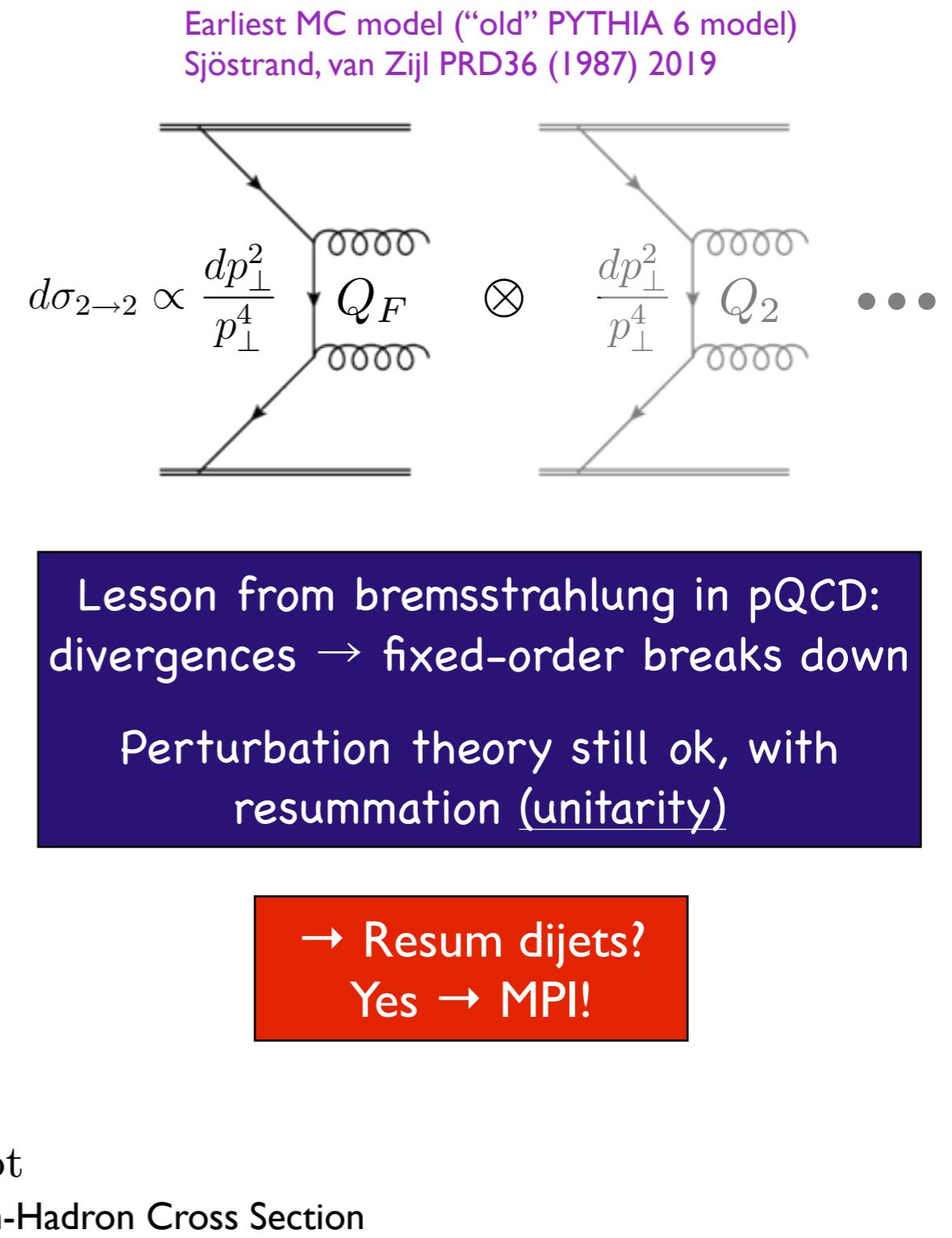
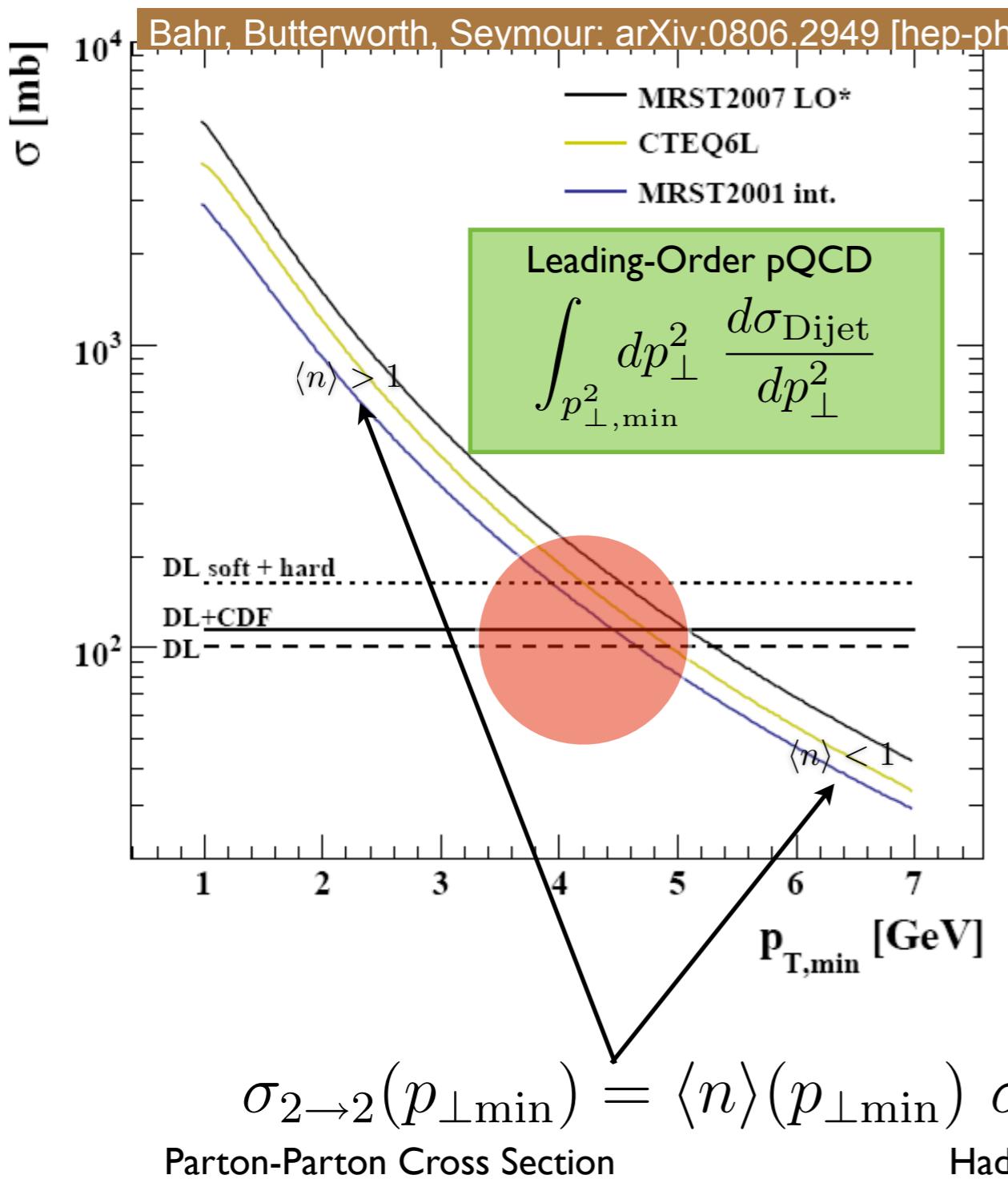


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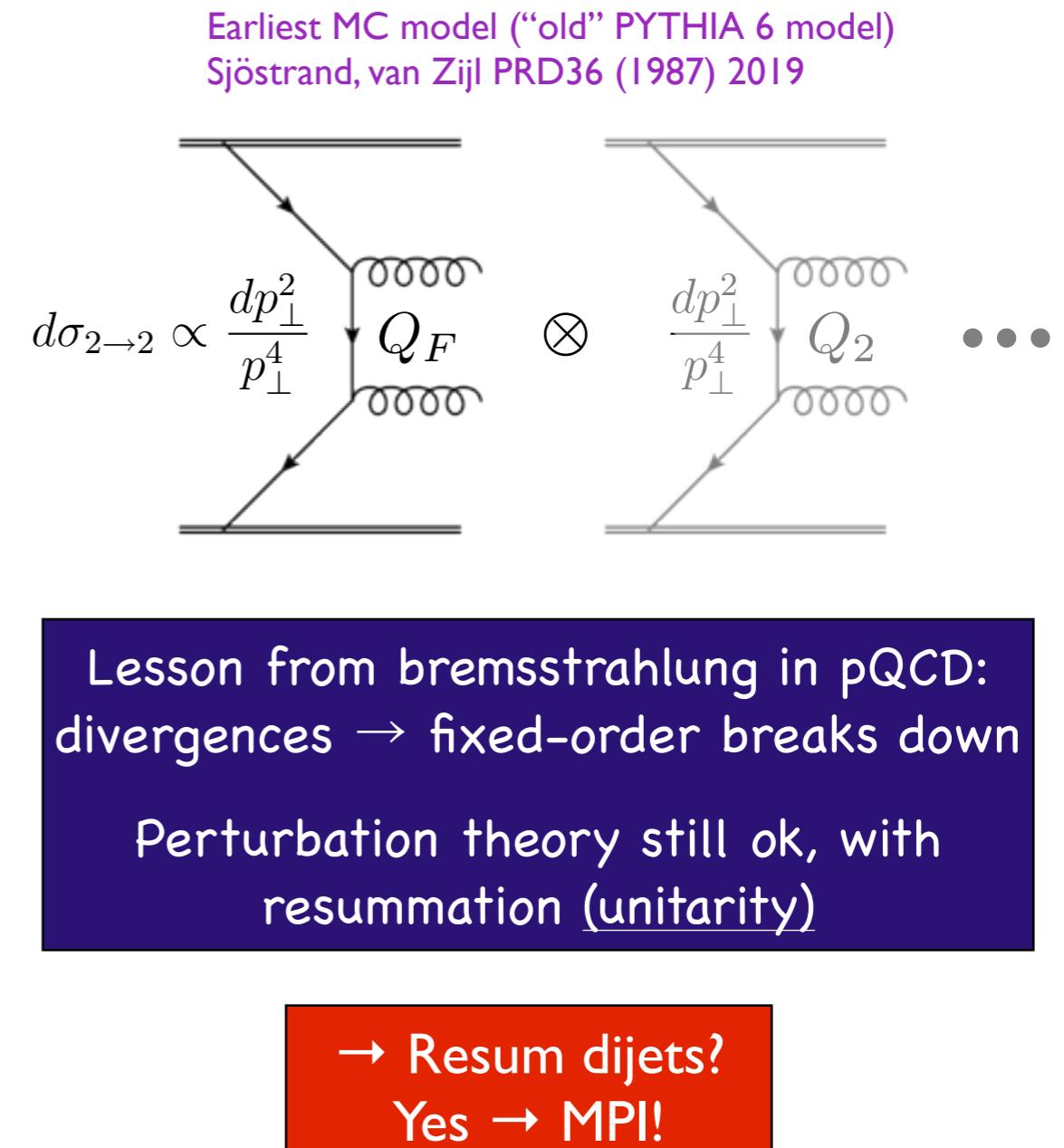
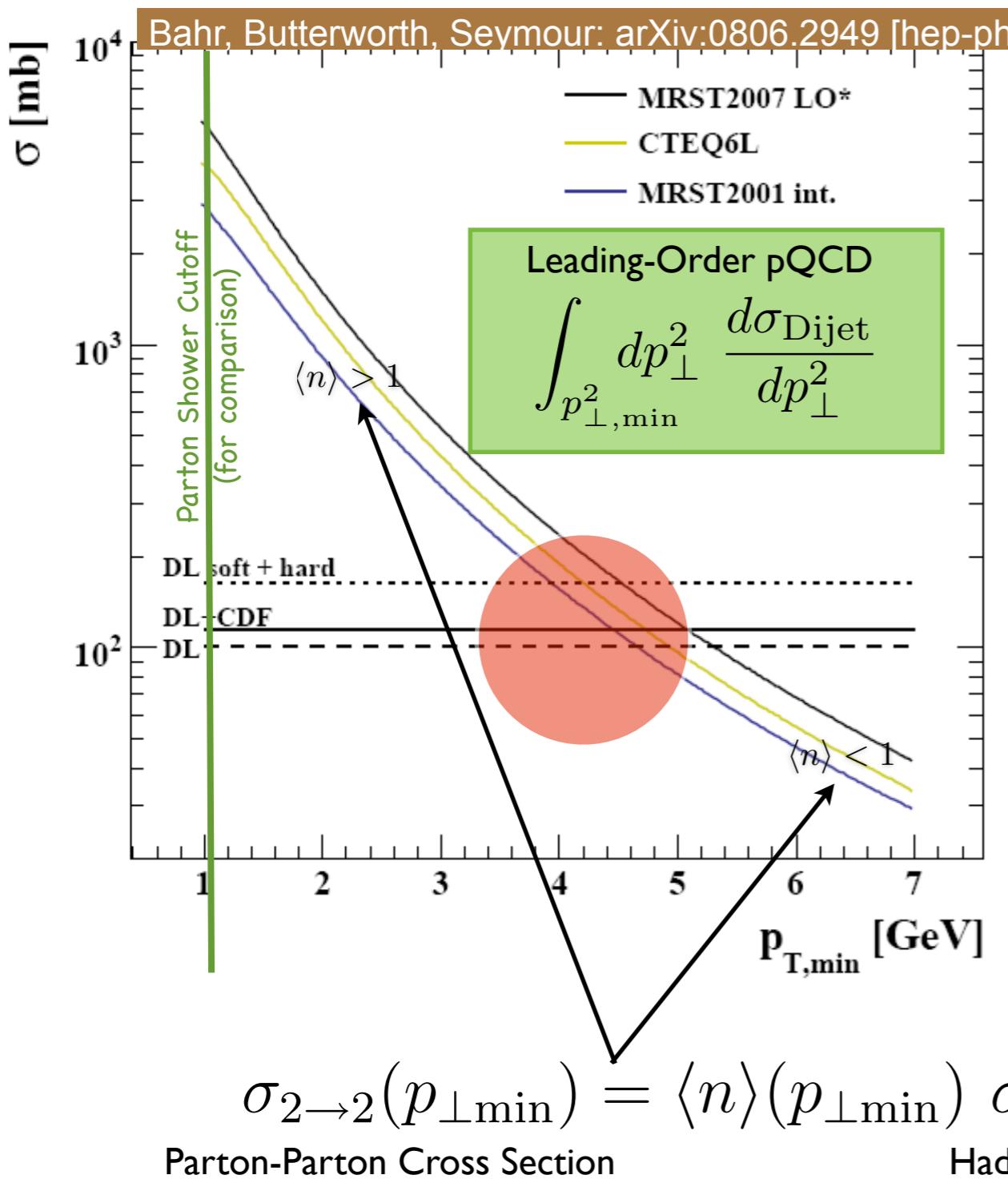
Multiple Parton Interactions

= Allow several parton-parton interactions per hadron-hadron collision. Requires extended factorization ansatz.



Multiple Parton Interactions

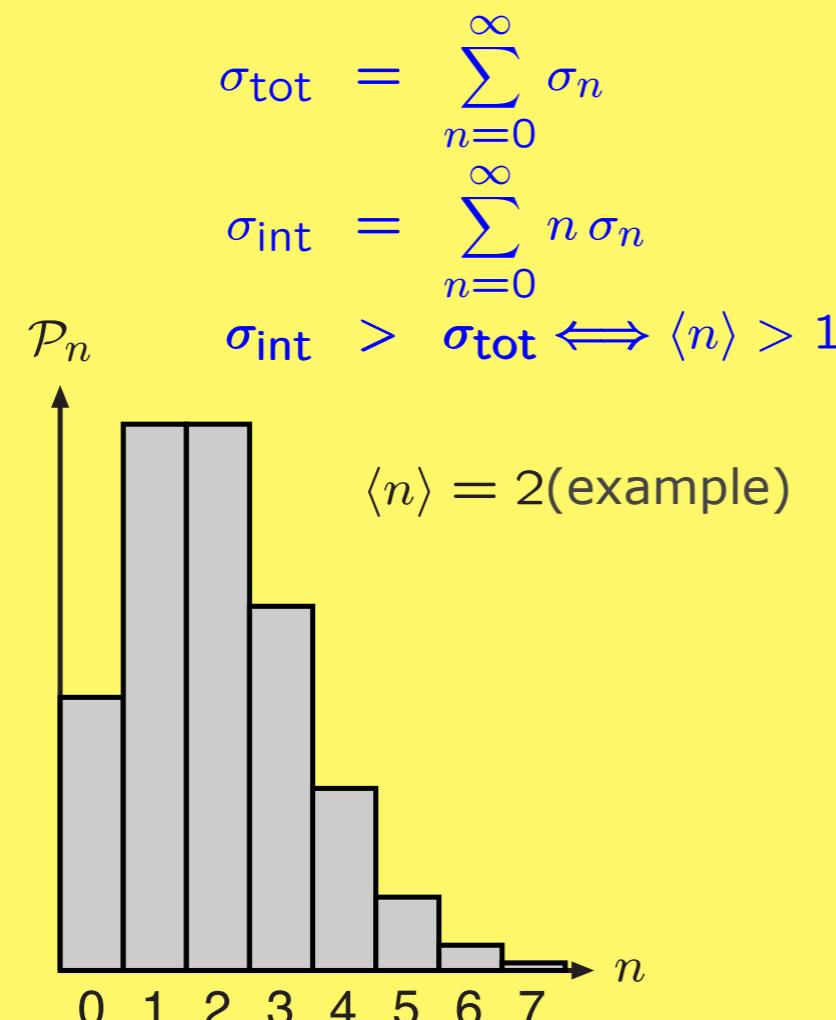
= Allow several parton-parton interactions per hadron-hadron collision. Requires extended factorization ansatz.



How many?

Naively $\langle n_{2 \rightarrow 2}(p_{\perp \min}) \rangle = \frac{\sigma_{2 \rightarrow 2}(p_{\perp \min})}{\sigma_{\text{tot}}}$

Interactions independent (**naive factorization**) \rightarrow Poisson

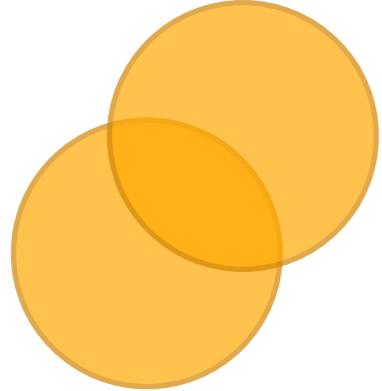


$$P_n = \frac{\langle n \rangle^n}{n!} e^{-\langle n \rangle}$$

Real Life

- Color screening: $\sigma_{2 \rightarrow 2} \rightarrow 0$ for $p_{\perp} \rightarrow 0$
- Momentum conservation suppresses high-n tail
- Impact-parameter dependence + physical correlations
- \rightarrow not simple product

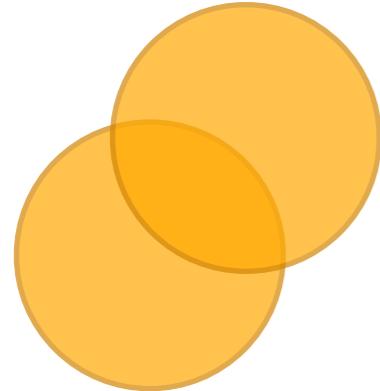
Impact Parameter



1. Simple Geometry (in impact-parameter plane)

Simplest idea: smear PDFs across a uniform disk of size πr_p^2
→ simple geometric overlap factor ≤ 1 in dijet cross section
Some collisions have the full overlap, others only partial
→ Poisson distribution with different mean $\langle n \rangle$ at each b

Impact Parameter



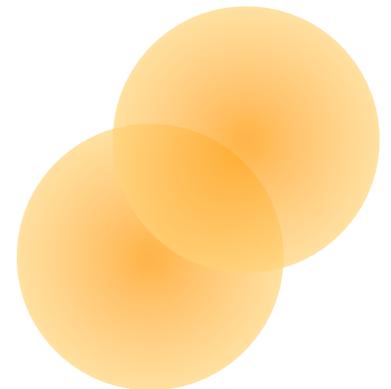
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2. More realistic Proton b-shape

Smear PDFs across a non-uniform disk
MC models use Gaussians or **more/less** peaked
Overlap factor = convolution of two such distributions

→ Poisson distribution with different mean $\langle n \rangle$ at each b
“Lumpy Peaks” → large matter overlap enhancements, higher $\langle n \rangle$



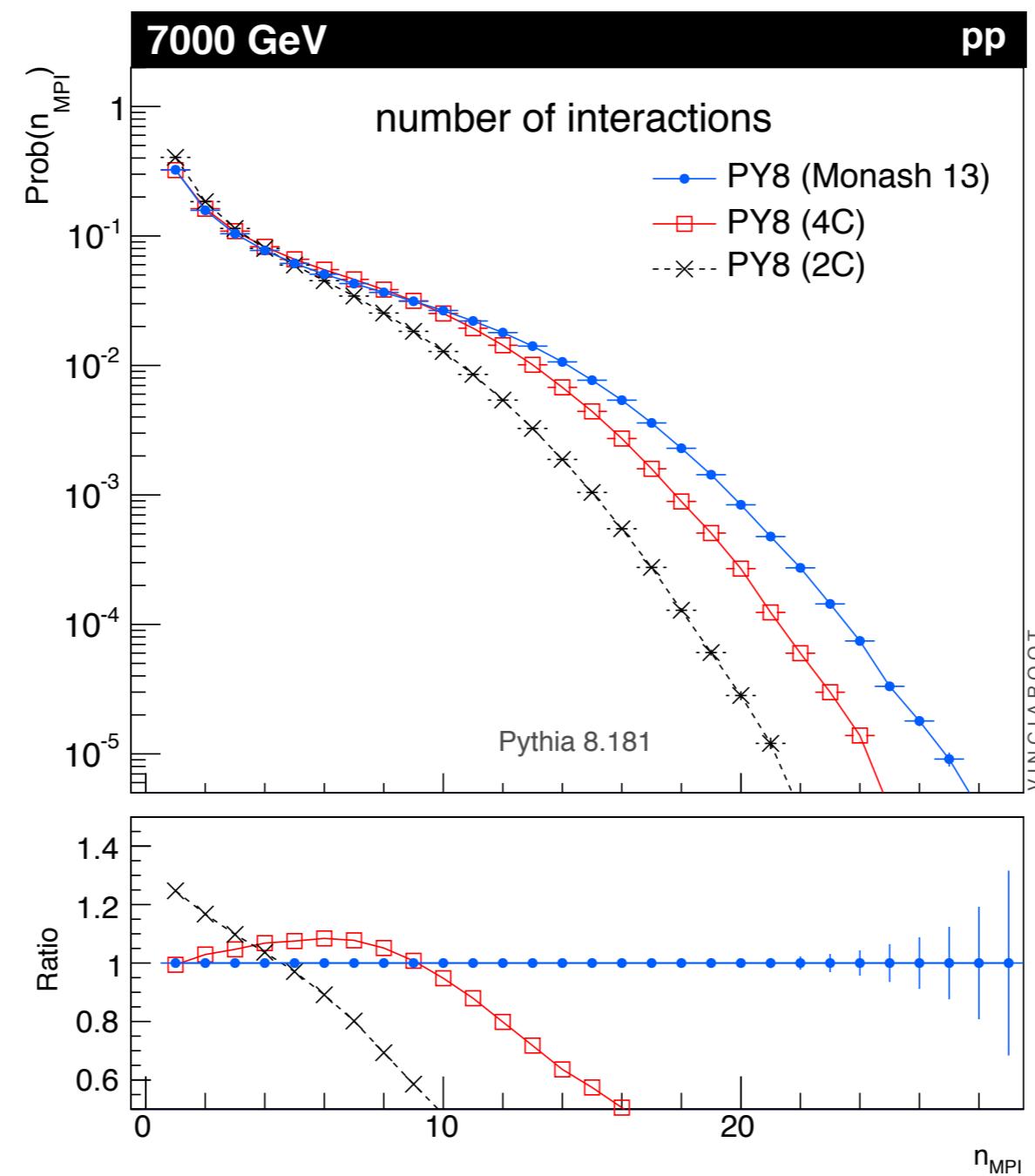
Note: this is an *effective* description. Not the actual proton mass density.
E.g., peak in overlap function ($\gg 1$) can represent unlikely configurations
with huge overlap enhancement. Typically use total σ_{inel} as normalization.

Number of MPI *

Minimum-Bias pp collisions at 7 TeV

Averaged over all
pp impact
parameters

(Really:
averaged over all
pp overlap
enhancement
factors)

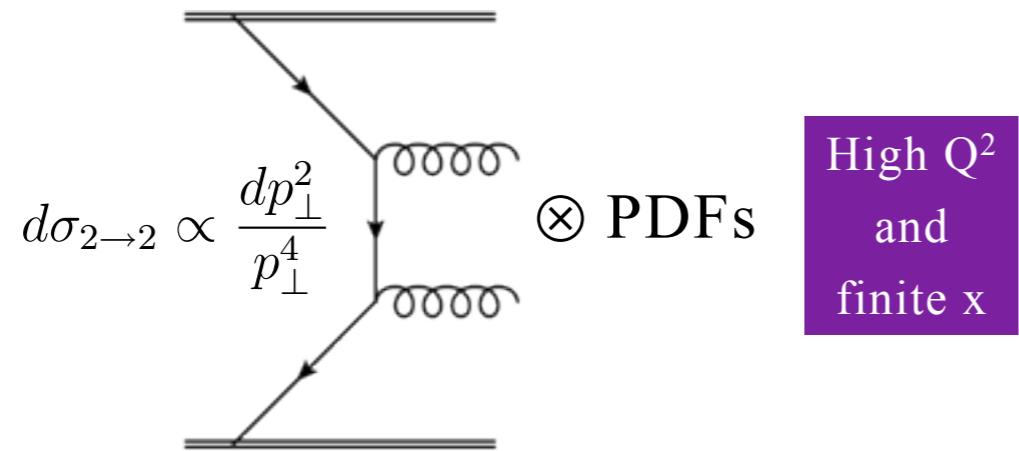


*note: can be
arbitrarily soft

Caveats of MPI-Based Models

Main applications:

Central Jets/EWK/top/
Higgs/New Physics

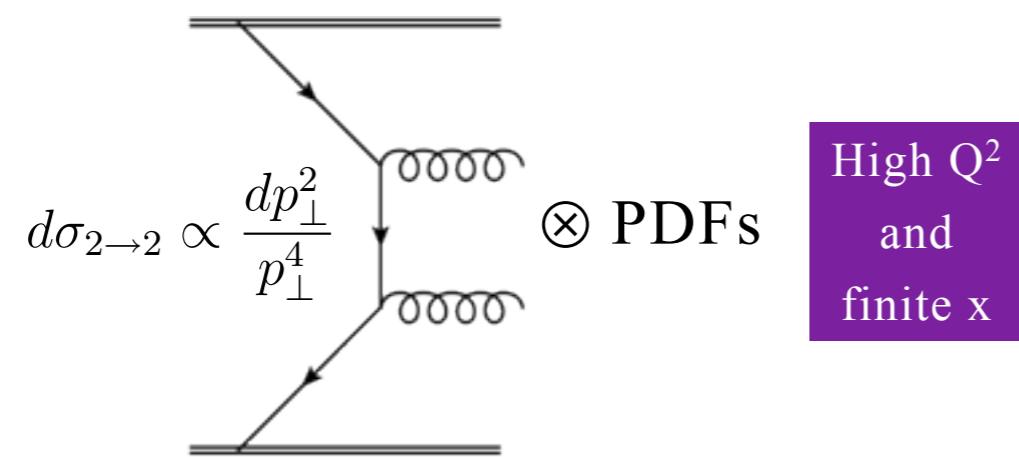


See also Connecting hard to soft: KMR, EPJ C71 (2011) 1617 + PYTHIA “Perugia Tunes”: PS, PRD82 (2010) 074018 + arXiv:1308.2813

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Extrapolation to soft scales delicate.

Impressive successes with MPI-based models but still far from a solved problem

Form of PDFs at small x and Q^2 ← → Saturation

Form and E_{cm} dependence of p_{T0} regulator

Modeling of the diffractive component

Proton transverse mass distribution

Colour Reconnections, Collective Effects

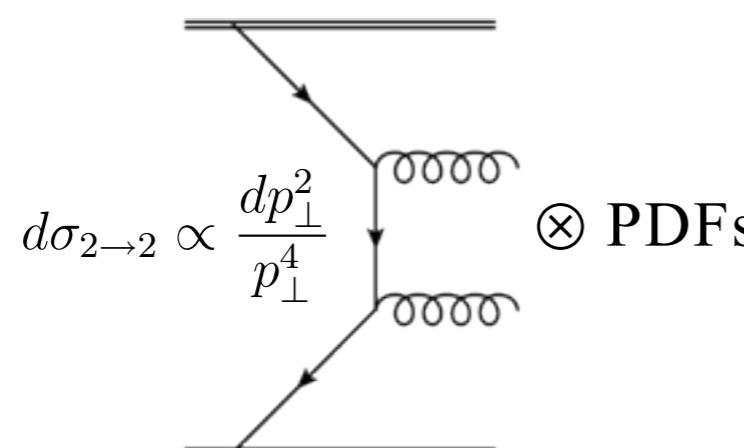
See talk on UE
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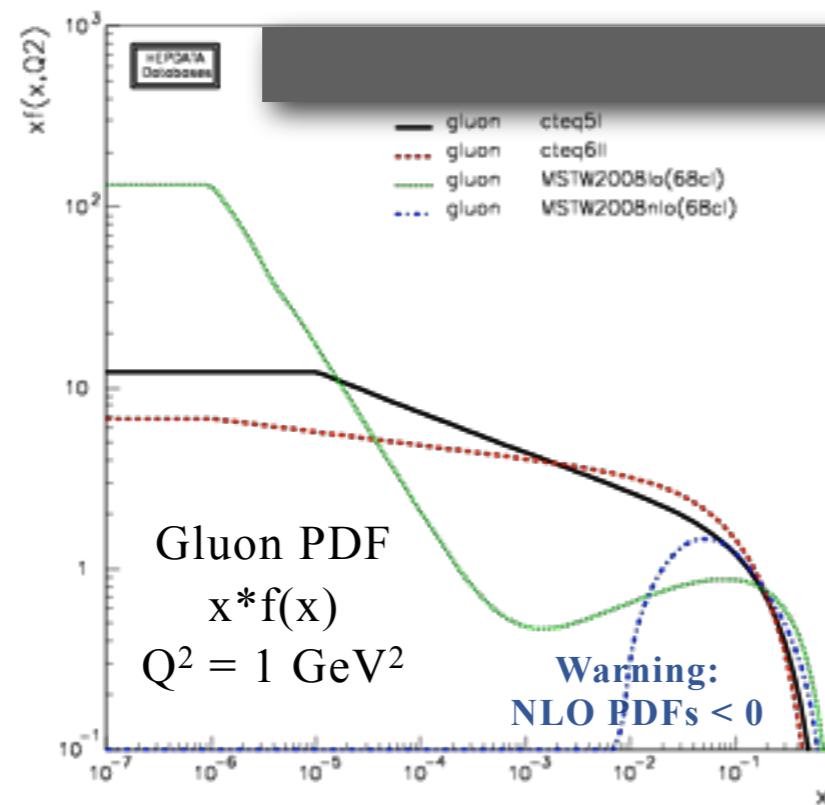
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High Q^2
and
finite x

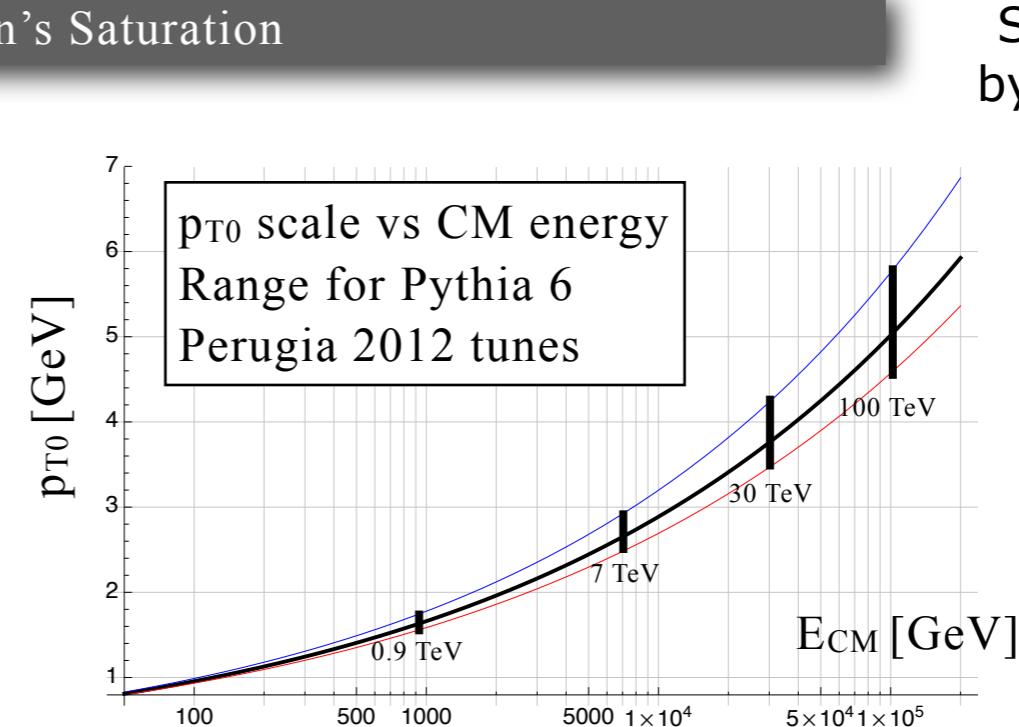


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1: A Simple Model

The minimal model incorporating single-parton factorization, perturbative unitarity, and energy-and-momentum conservation

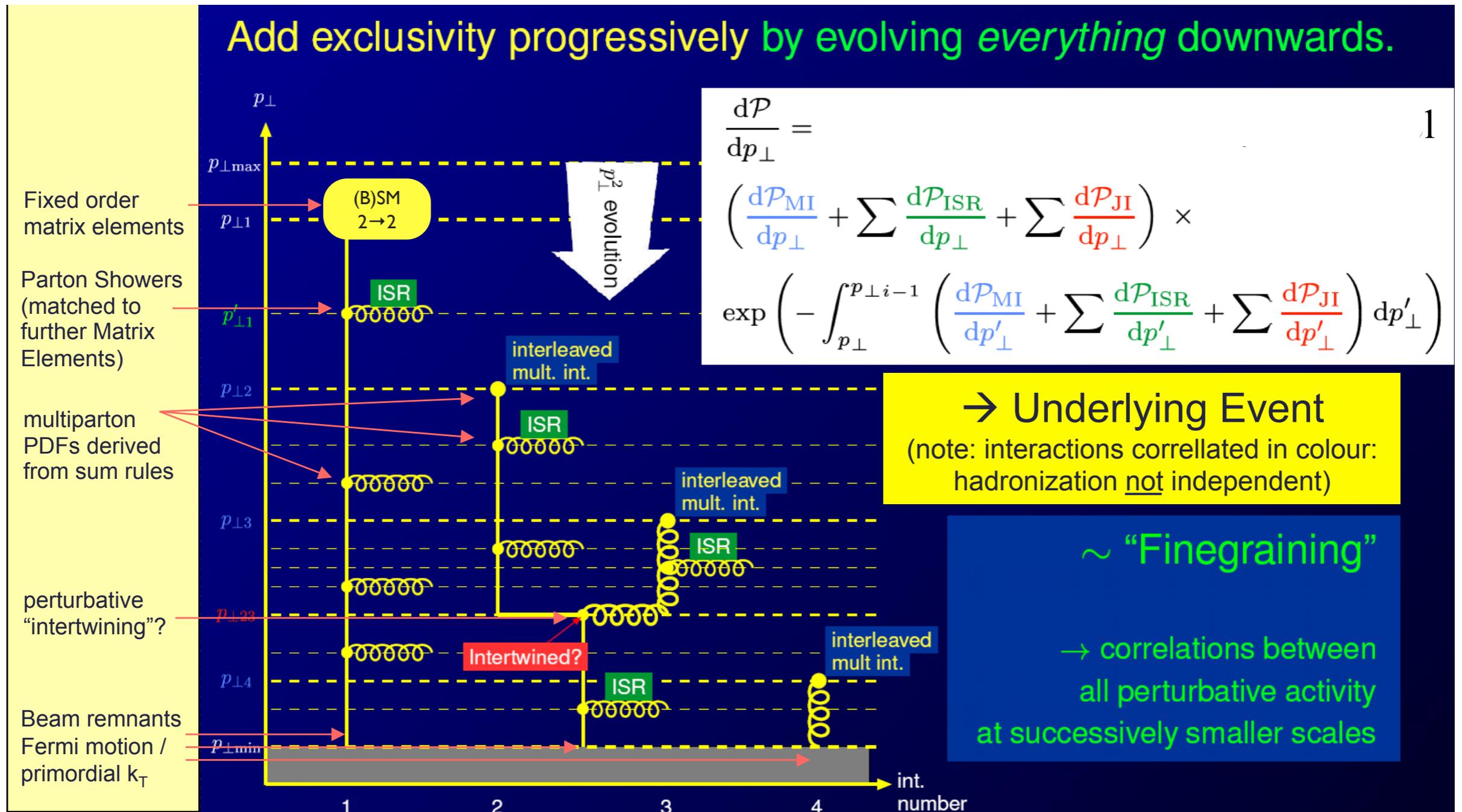
$$\sigma_{2 \rightarrow 2}(p_{\perp \min}) = \langle n \rangle(p_{\perp \min}) \sigma_{\text{tot}}$$

Parton-Parton Cross Section	Hadron-Hadron Cross Section
-----------------------------	-----------------------------

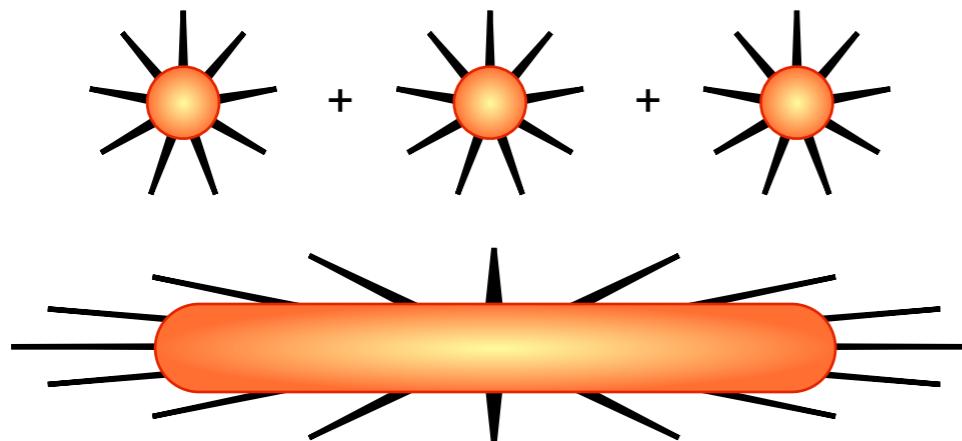
- I. Choose $p_{T\min}$ cutoff
= main tuning parameter
 2. Interpret $\langle n \rangle(p_{T\min})$ as mean of Poisson distribution
Equivalent to assuming all parton-parton interactions equivalent and independent \sim each take an instantaneous “snapshot” of the proton
 3. Generate n parton-parton interactions (pQCD 2 \rightarrow 2)
Veto if total beam momentum exceeded \rightarrow overall (E,p) cons
 4. Add impact-parameter dependence $\rightarrow \langle n \rangle = \langle n \rangle(b)$
Assume factorization of transverse and longitudinal d.o.f., \rightarrow PDFs : $f(x,b) = f(x)g(b)$
 b distribution \propto EM form factor \rightarrow **JIMMY model** Butterworth, Forshaw, Seymour Z.Phys
Constant of proportionality = second main tuning parameter
 5. Add separate class of “soft” (zero-p_T) interactions representing interactions with $p_T < p_{T\min}$ and require $\sigma_{\text{soft}} + \sigma_{\text{hard}} = \sigma_{\text{tot}}$
 \rightarrow **Herwig++ model** Bähr et al, arXiv:0905.4671

2: Interleaved Evolution

Sjöstrand, P.S., JHEP 0403 (2004) 053; EPJ C39 (2005) 129

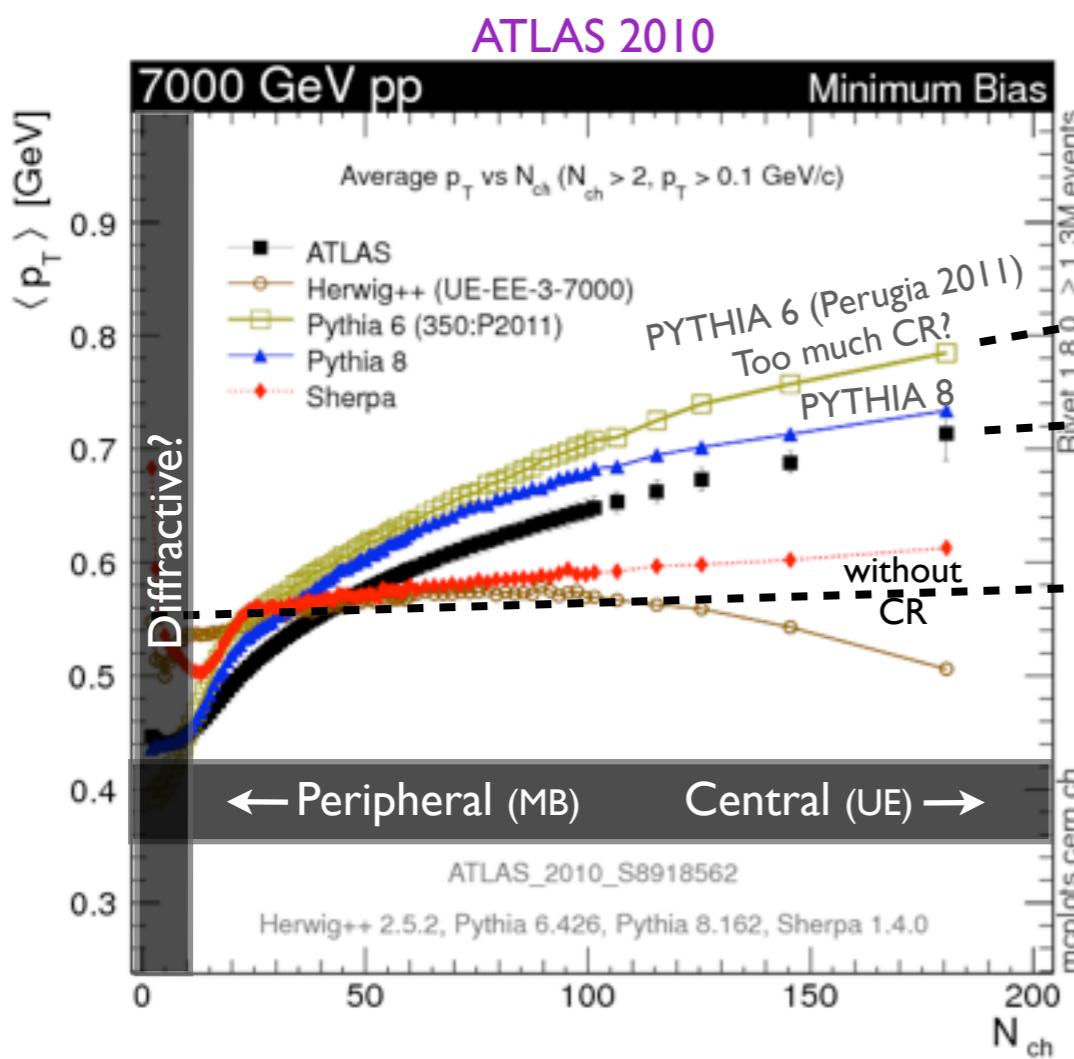


$\langle p_T \rangle$ vs N_{ch}



Independent Particle Production:
→ **averages stay the same**

Correlations / Collective effects:
→ **average rises**



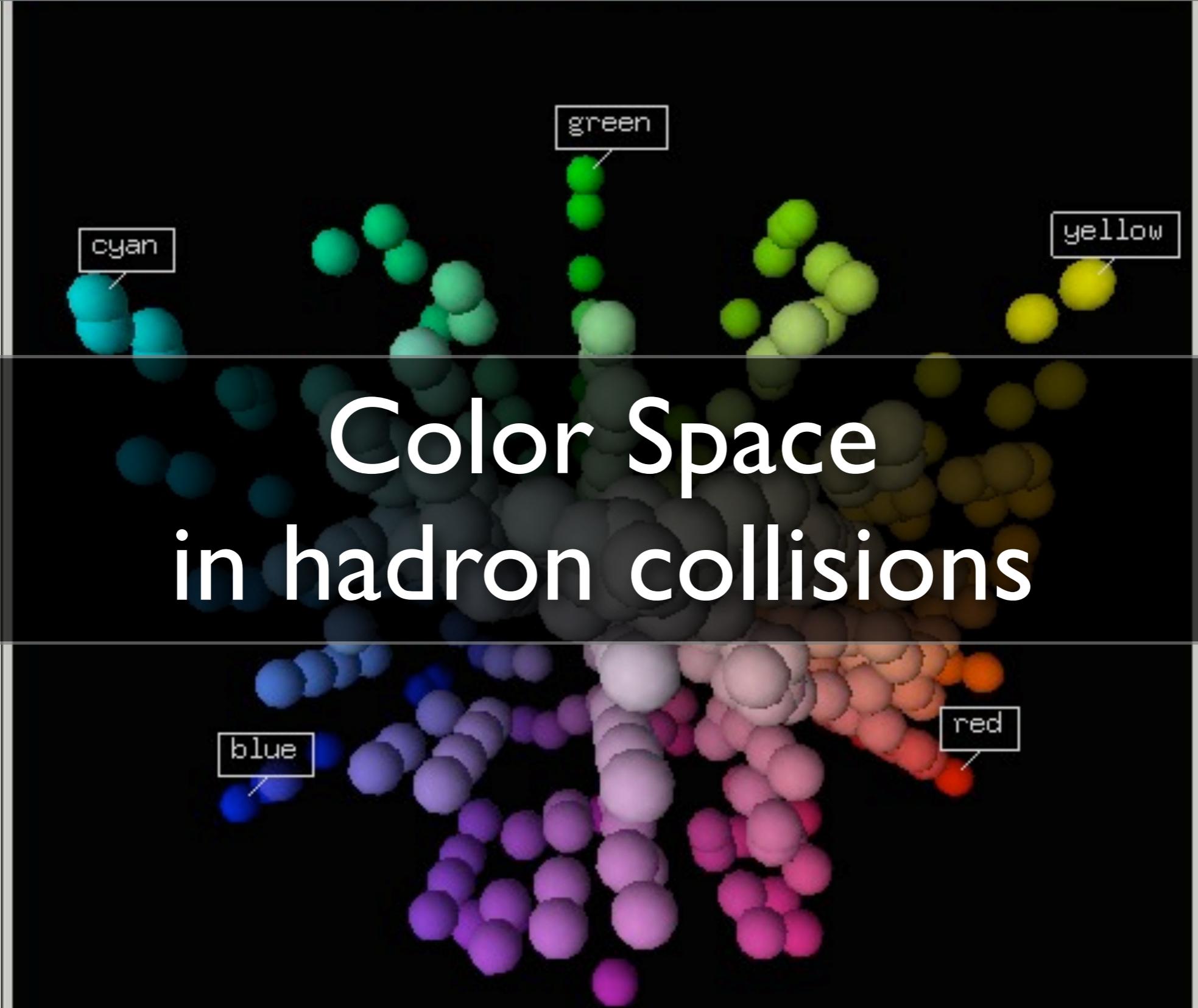
Extrapolation to high multiplicity ~ UE

Average particles slightly too hard
→ Too much energy, or energy distributed on too few particles

~ OK?

Average particles slightly too soft
→ Too little energy, or energy distributed on too many particles

Evolution of other distributions with N_{ch} also interesting: e.g., $\langle p_T \rangle(N_{ch})$ for identified particles, strangeness & baryon ratios, 2P correlations, ...



Color Space in hadron collisions

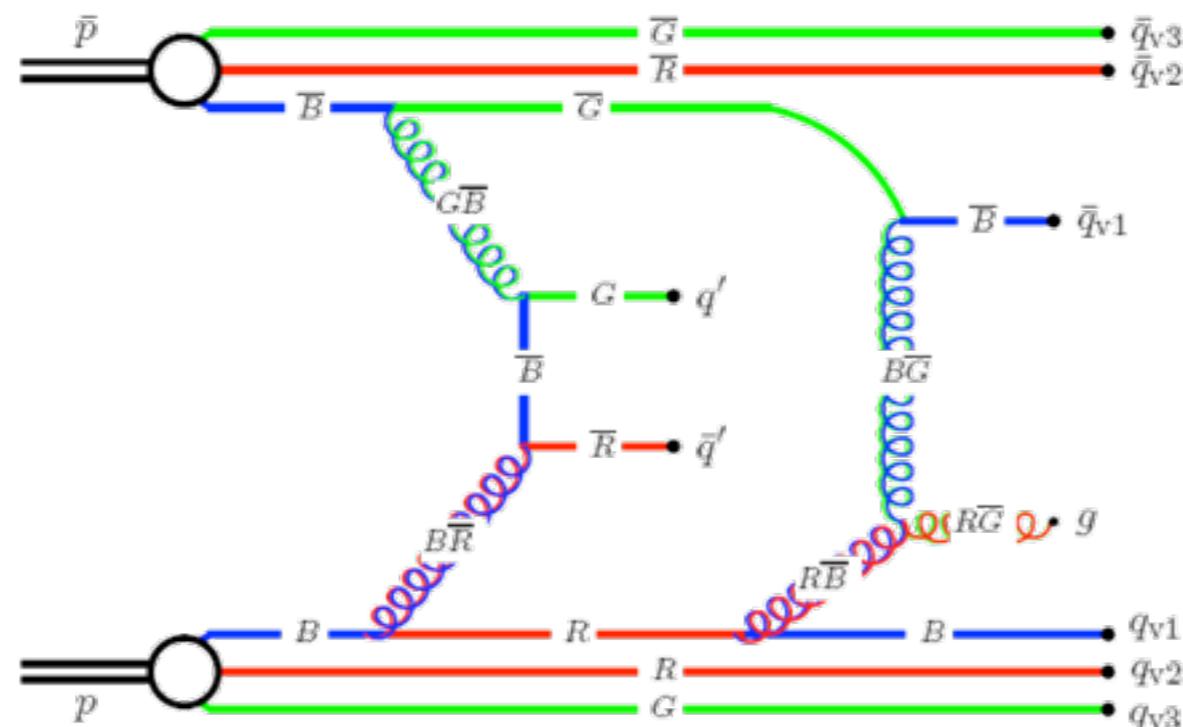
Color Correlations

Each MPI (or cut Pomeron) exchanges color between the beams

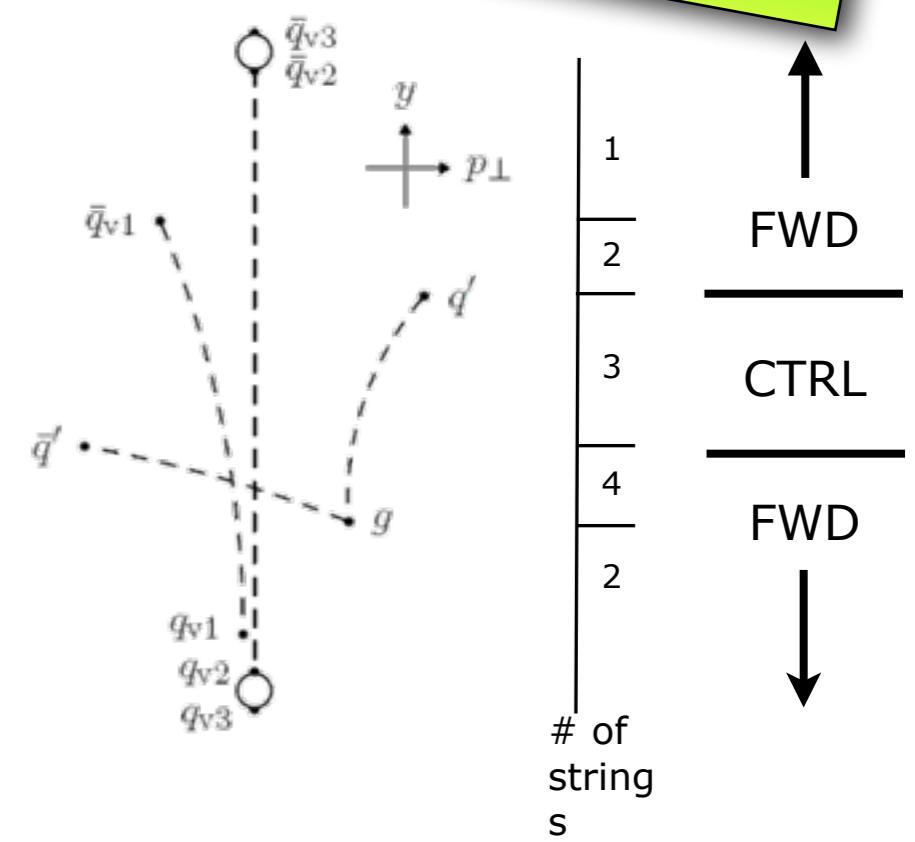
► The colour flow determines the hadronizing string topology

- Each MPI, even when soft, is a color spark
- Final distributions crucially depend on color space

Different models
make different
ansätze



Sjöstrand & PS, JHEP 03(2004)053



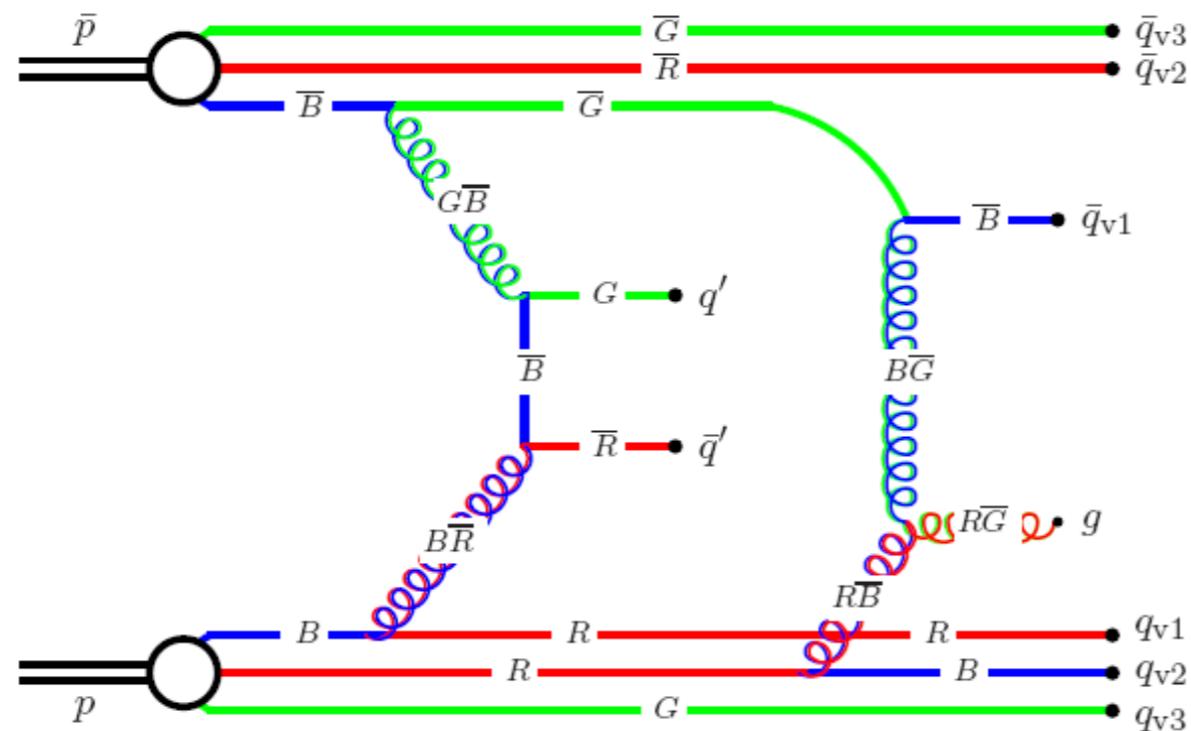
Color Correlations

Each MPI (or cut Pomeron) exchanges color between the beams

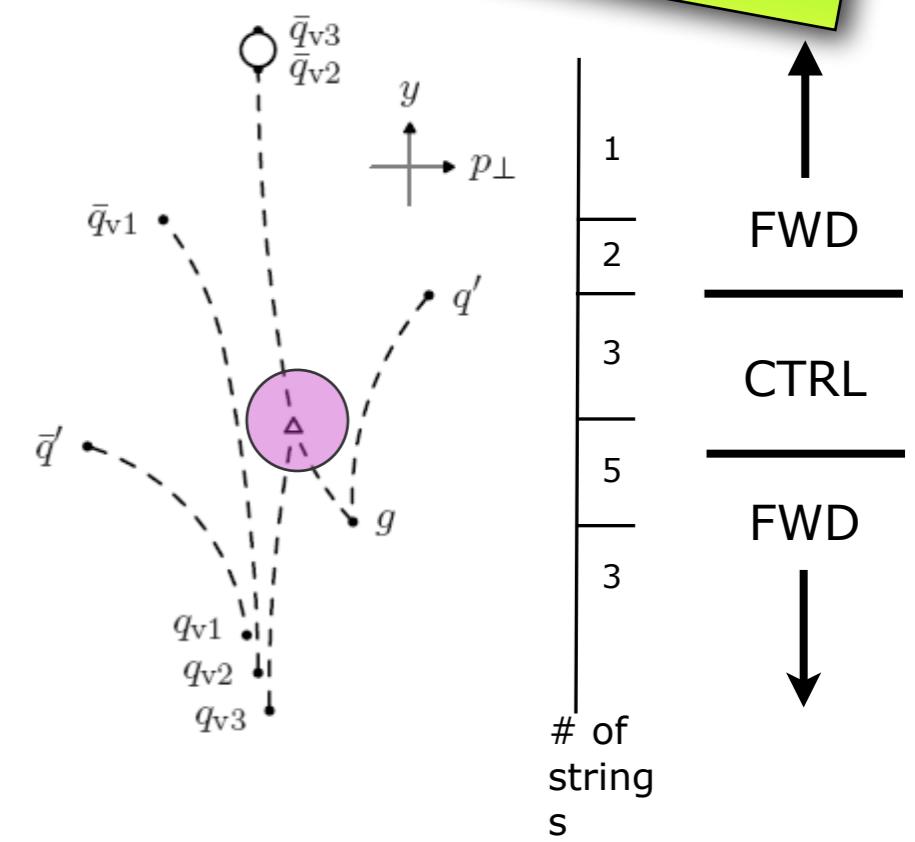
► The colour flow determines the hadronizing string topology

- Each MPI, even when soft, is a color spark
- Final distributions crucially depend on color space

Different models
make different
ansätze

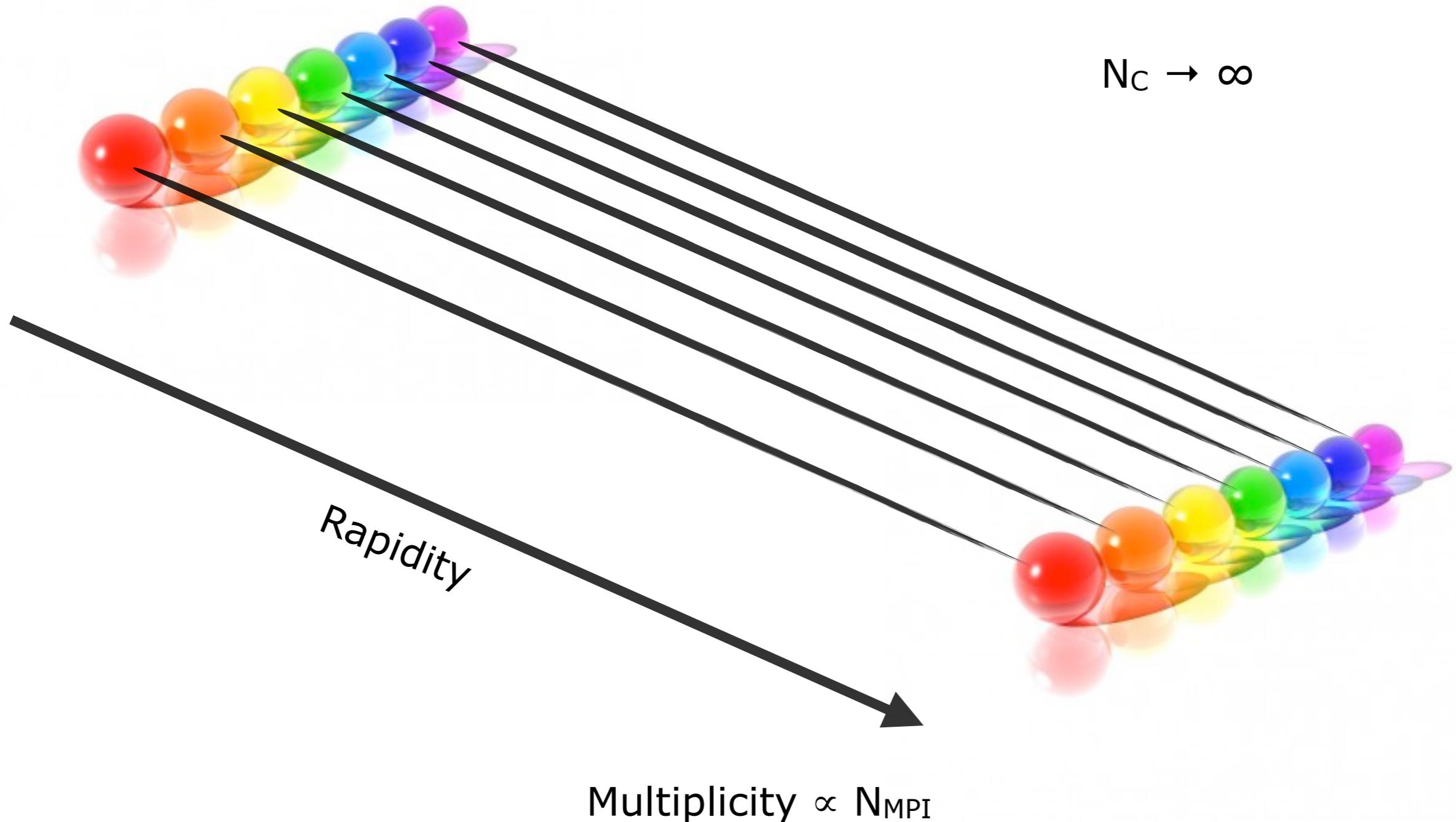


Sjöstrand & PS, JHEP 03(2004)053



Color Connections

Better theory models needed



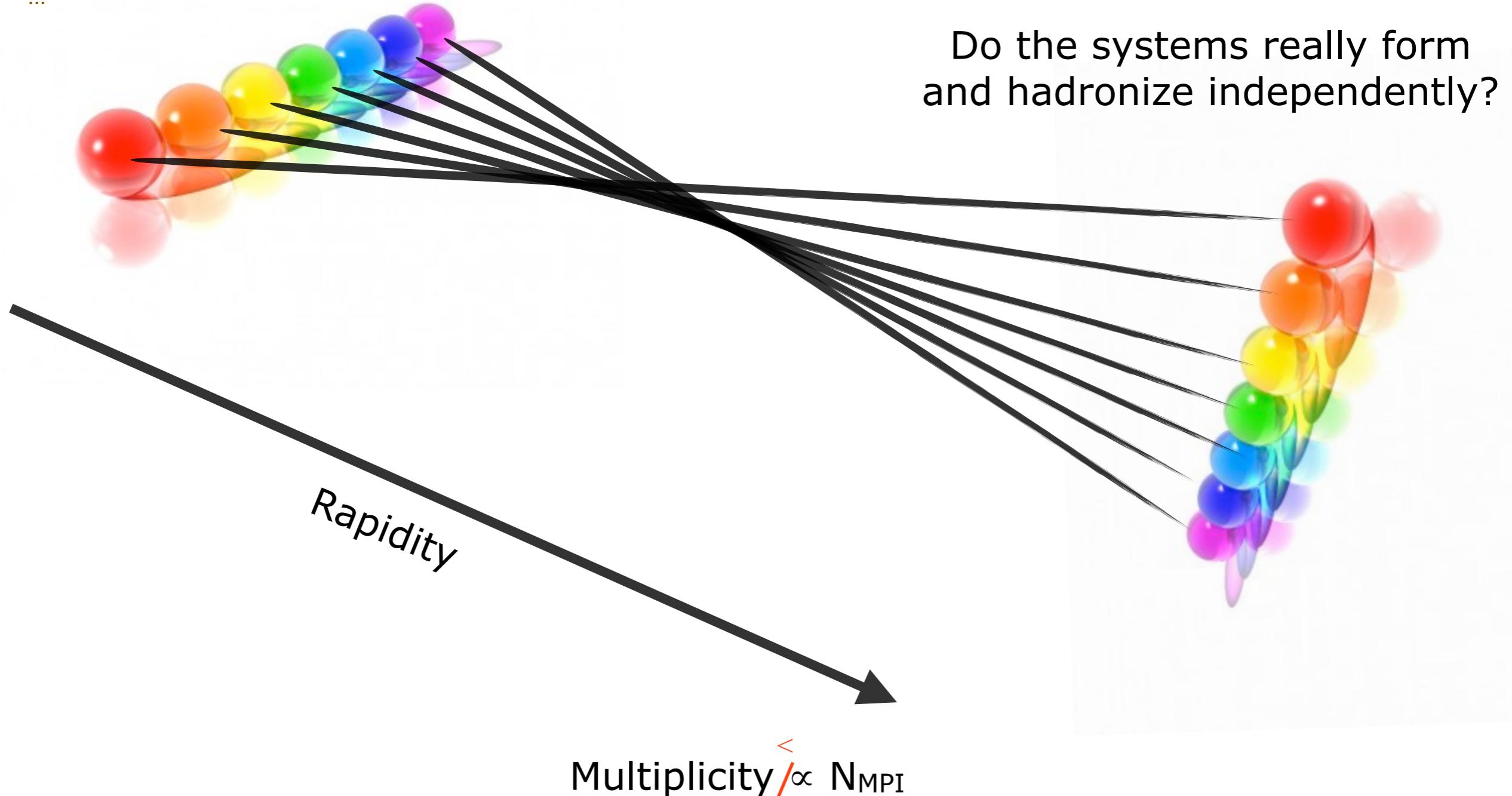
Color Reconnections?

E.g.,

Generalized Area Law (Rathsman: Phys. Lett. B452 (1999) 364)
Color Annealing (P.S., Wicke: Eur. Phys. J. C52 (2007) 133)

Better theory models needed

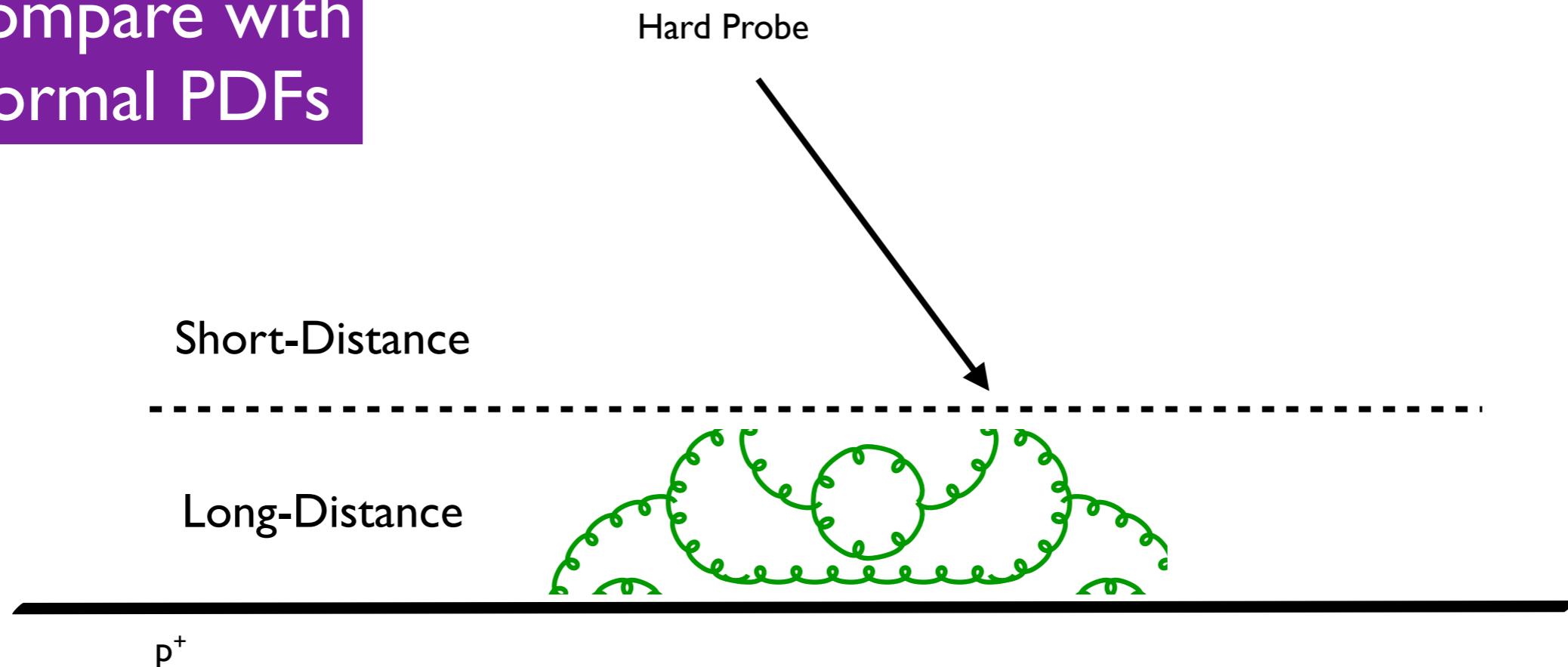
...



(+ Diffraction)

“Intuitive picture”

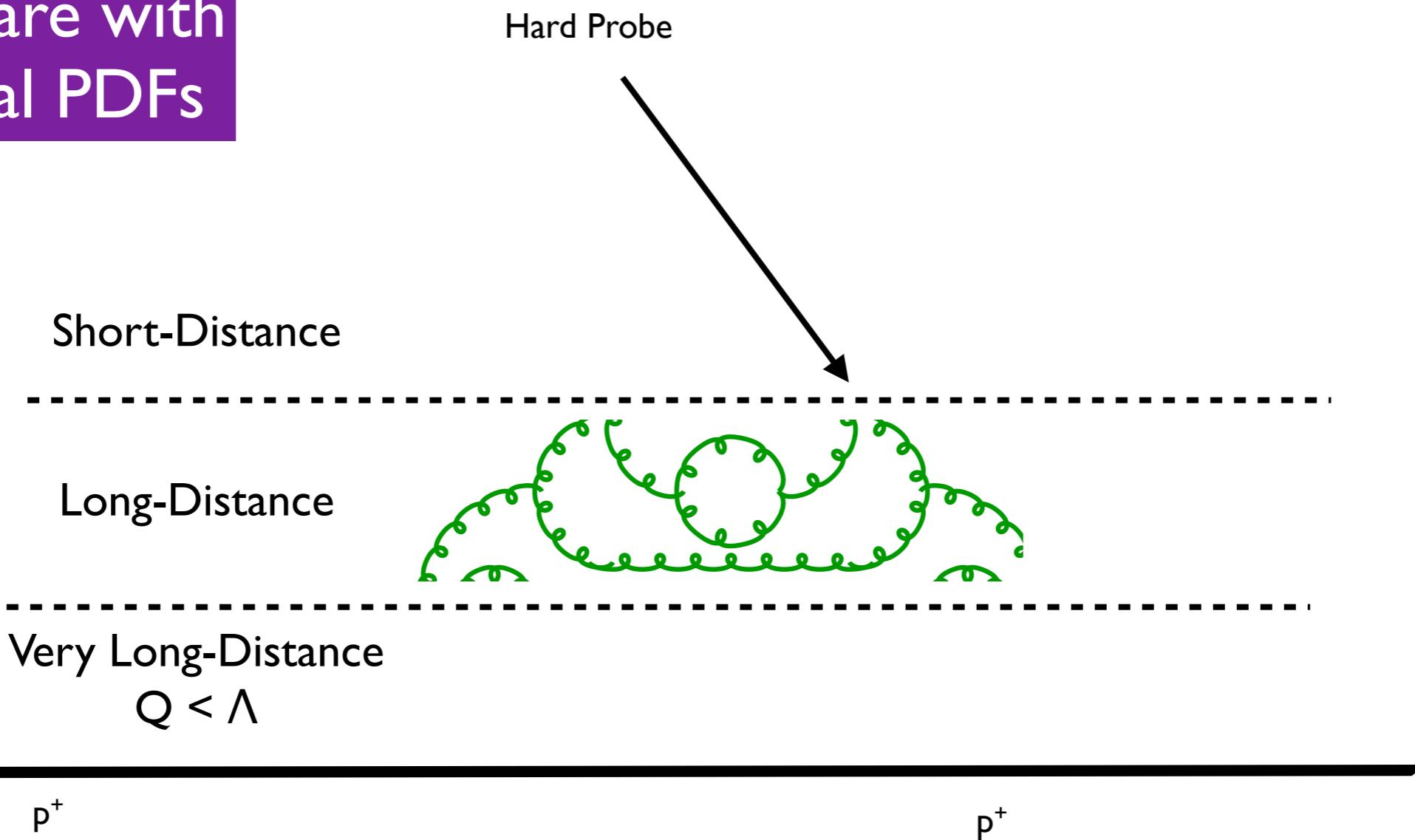
Compare with
normal PDFs



(+ Diffraction)

“Intuitive picture”

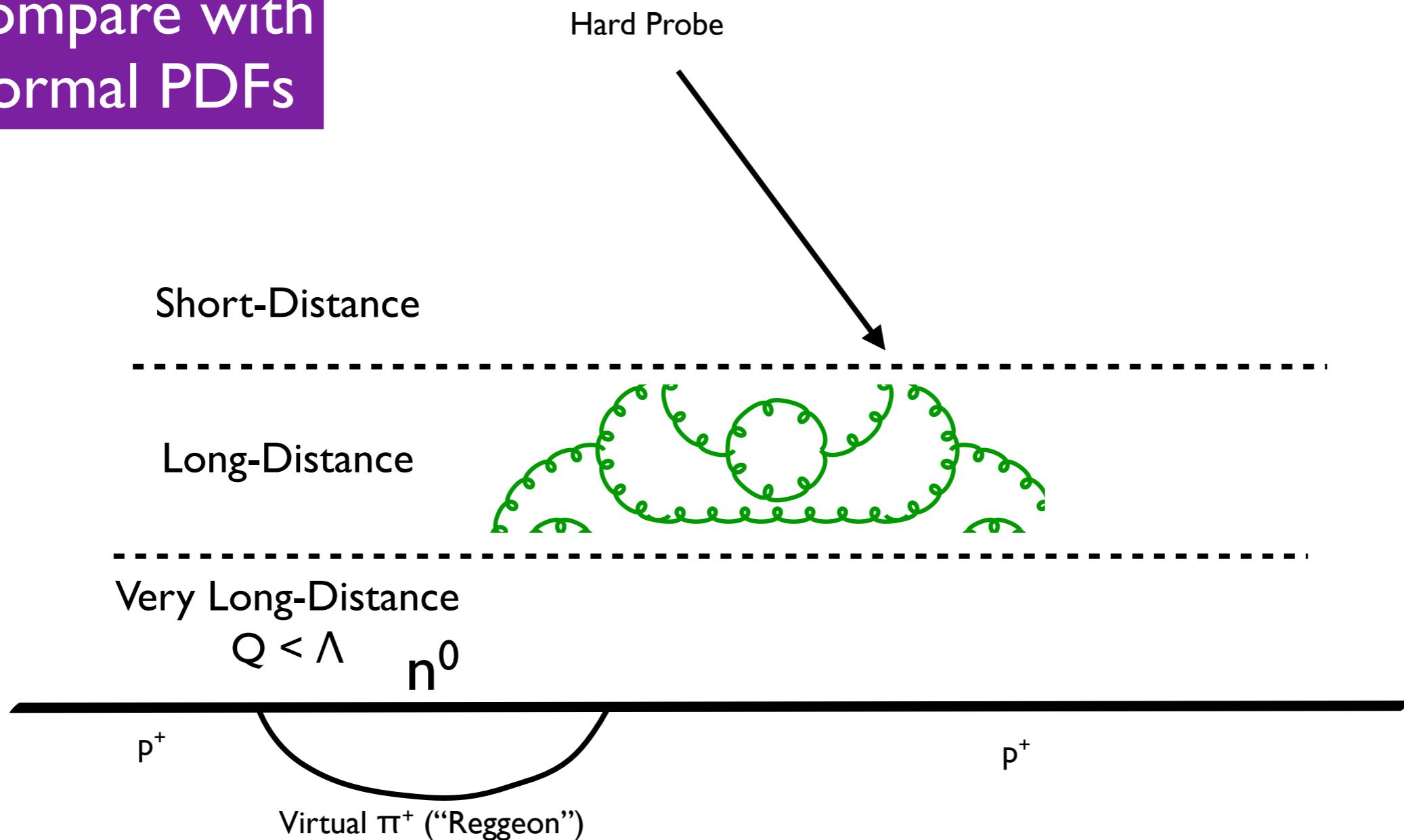
Compare with
normal PDFs



(+ Diffraction)

“Intuitive picture”

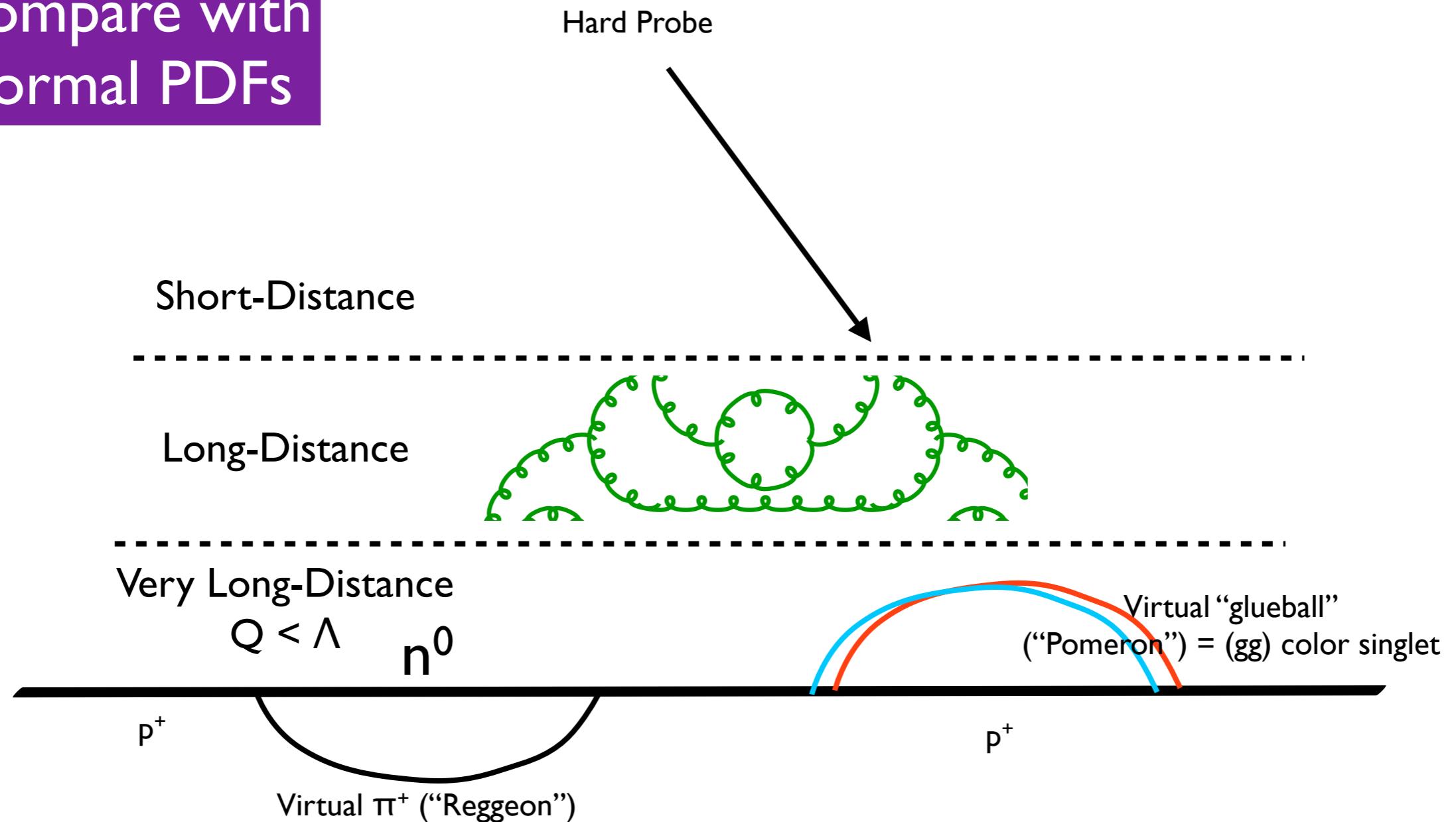
Compare with
normal PDFs



(+ Diffraction)

“Intuitive picture”

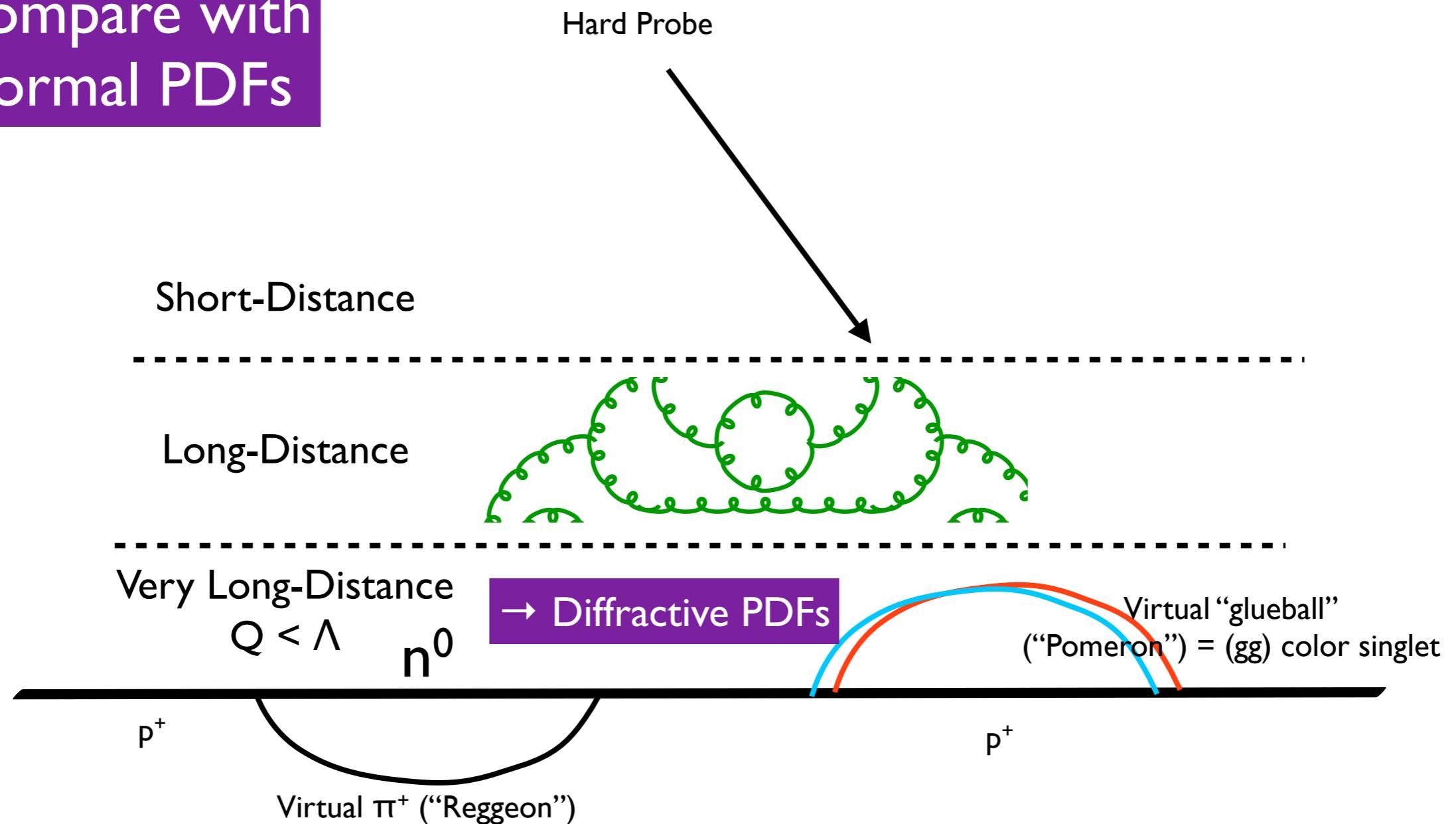
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normal PDFs



(+ Diffraction)

“Intuitive picture”

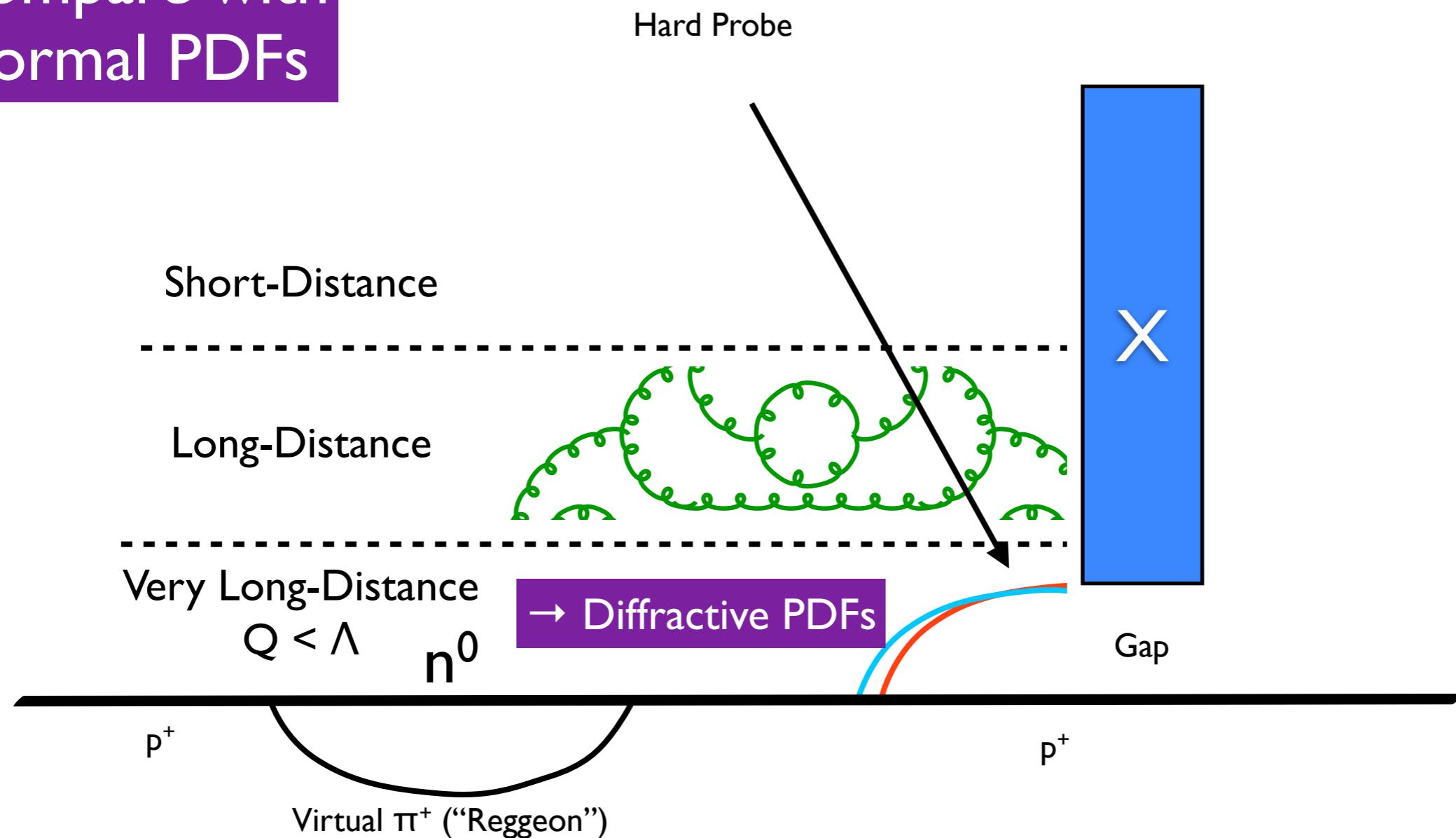
Compare with
normal PDFs



(+ Diffraction)

“Intuitive picture”

Compare with
normal PDFs





Tuning
means different things to different people

