

# Heterotic string models on asymmetric orbifolds

Tatsuo Kobayashi

1. Introduction
2. Model construction
3.  $Z_3$  asymmetric orbifold models
4. Enhancement point and  
flavor symmetries
5. Summary

Beye, T.K., Kuwakino,

1304.5621, 1311.4687, 1406.XXXX

# 1. Introduction

Heterotic string theory on symmetric orbifolds

is one of very useful string models on 6D compact spaces to realize a realistic low-energy effective field theory.

compact space =  $T^6/Z_n$

Its geometrical aspects are rather simple.

String theory on orbifolds is solvable analytically.

Then, in principle any (stringy) perturbative calculations can be carried out.

..... lots of good aspects

# Heterotic symmetric orbifold models

Indeed many people have been working on heterotic (symmetric) orbifold models.

Then, we have obtained (semi-)realistic models and interesting phenomenological aspects.

Dixon, Harvey, Vafa, Witten, '85, '86

Ibanez, Nilles, Quevedo, '87, Ibanez, Kim, Nilles, Quevedo, '87

Casas, Munoz, Bailin, Love, Katsuki, Kawamura, T.K.,

Ohtsubo, Ono, Tanioka, Raby, Zhang, Buchmuler, Hamaguchi,

Lebedev, Ratz, Choi, Kye, Ramos-Sanchez, Vaudrevange,

Wingerter, Ploger, Forste, Ohki, Takahashi, Blaszczyk,

Groot Nibbelink, Ruehle, Trapletti, Loukas, Fischer, Kappl,

Cabo Bizet, Mayorga Pena, Parameswaran, Schmitz, Zavala,

..... many people

sorry if I miss your names

# Asymmetric orbifolds

Left-mover and right-mover are independent of each other in heterotic string theory.

symmetric orbifold

left - mover orbifold  $T^6 / Z_N =$  right - mover orbifold  $T^6 / Z_N$

asymmetric orbifold

left - mover orbifold  $T^6 / Z_N \neq$  right - mover orbifold  $T^6 / Z_M$

Narain, Sarmadi, Vafa, '87

# Asymmetric orbifolds

Narain, Sarmadi, Vafa, '87

left - mover orbifold  $T^6 / Z_N \neq$  right - mover orbifold  $T^6 / Z_M$

the left-movers of a string theory live on one orbifold, and the right-movers live on another orbifold. We shall call such spaces asymmetric orbifolds.

In view of the fact that the separation of left- and right-movers is already done in heterotic strings and that orbifolds are consistent, it seems somewhat surprising that these two ideas had **not** been combined before.

# Heterotic asymmetric orbifold models

## Symmetric orbifolds

Dixon, Harvey, Vafa, Witten, '85, '86

Ibanez, Nilles, Quevedo, '87,

Ibanez, Kim, Nilles, Quevedo, '87

.....

uncountable papers

## Asymmetric orbifolds

(Explicit model building  
except equivalent models)

Narain, Sarmadi, Vafa, '87

Ibanez, Mas, Nilles, Quevedo, '88

Kakushadze, Tye, '96

Ito, et. al. , '11

Bye, T.K., Kuwakino '13

small number of papers

a few of papers every ten years

# Asymmetric orbifolds

left - mover orbifold  $T^6 / Z_N \neq$  right - mover orbifold  $T^6 / Z_M$

Why a few studies ?

Maybe one of reasons is that geometrical pictures and intuitions are poor compared with the symmetric orbifolds.

However, some aspects are quite similar to the symmetric one.

Thus heterotic asymmetric orbifolds would be as interesting as the symmetric ones.

Let's study.

# Comparison between symmetric and asymmetric orbifolds before going into detail

Moduli

symmetric orbifold

left - mover orbifold  $T^6 / Z_N =$  right - mover orbifold  $T^6 / Z_N$

$$g_{i\bar{j}} \sim \psi^{i-1/2} |0\rangle_R \otimes \alpha_{-1}^{\bar{j}} |0\rangle_L \quad Z_N \text{ invariant}$$

geometrical moduli appear

asymmetric orbifold

left - mover orbifold  $T^6 / Z_N \neq$  right - mover orbifold  $T^6 / Z_M$

$g_{i\bar{j}}$  is projected out, but it may appear as charged matter.

The dilaton appears in both.



# Comparison between symmetric and asymmetric orbifolds before going into detail

Degeneracy factors

symmetric orbifold with the twist  $g$

$$n_g = \det'(1 - g)$$

the number of fixed points e.g.  $n = 27$  for  $Z_3$

asymmetric orbifold

$$n_g = \sqrt{(\det(1 - \theta_L)) \det(1 - \theta_R) / \nu}$$

Geometrical meaning is not so clear.

$$\text{For } \theta_L = \theta_R, (n_g)^{\text{symm}} = (n_g)^{\text{asymm}}.$$

# Comparison between symmetric and asymmetric orbifolds before going into detail

Left-mover massless spectra

$$\sum (\text{conformal dimensions}) = 1$$

The twisted sector in the  $Z_3$  symmetric orbifold has the conformal dimension,  $1/3$ , because of twisted B.C..  
Conformal dimension =  $1/3$  ( $1/4$ ) for  $SU(3)$  3 ( $SU(2)$  2).  
For example, the quark doublets have the conformal dimensions,  $7/12$ , and they can't have other non-Abelian charges in the twisted sector.

Asymmetric side

For example, when  $\theta_L = 1$ ,  $h = 0$ .

Quark doublets in the twisted sector can have another non-Abelian charge,  $SU(3)$  or  $SU(2)$  flavor symmetries.

# Asymmetric orbifolds

Some aspects are different between symmetric and asymmetric orbifold models, while other aspects are similar.

Anyway, heterotic asymmetric orbifolds would be as interesting as the symmetric ones.

Let's study.

## 2. Model construction

total extra dimensions     $6+16 = 22$  for left-movers  
                                   $6 = 6$  for right-movers

Our starting point is 22D torus compactification  
for left-movers  
and 6D torus compactification  
for right-movers.

We need  $\Gamma^{22,6}$  Narain lattice.

even and self-dual Lorentzian lattice

# Narain lattice

The classification of  $(22,6)$  Narain lattices is not clear  
(at least to me).

We have classification for even and self-dual  
Euclidian lattices.

We use the technical tool:  
lattice engineering technique,

Euclidian lattice  $\rightarrow$  Lorentzian lattice

Lerche, Schellekens, Warner, '89

# Lattice engineering technique

Lerche, Schellekens, Warner, '89

$E_6 \times SU(3)$  is a maximal subgroup of  $E_8$ .

$E_8$  lattice, which is even self-dual, is decomposed as

$$(0_{E_6}, 0_{SU(3)}) \cup (1_{E_6}, 1_{SU(3)}) \cup (2_{E_6}, 2_{SU(3)})$$

1 and 2 are fundamental and anti-fundamental weights of  $E_6$  and  $SU(3)$ .

We call  $E_6$  and  $SU(3)$  dual to each other.

# Lattice engineering technique

Lerche, Schellekens, Warner, '89

## lattice engineering

relating  $SU(3)$  (left - mover)  $\rightarrow \bar{E}_6$  (right - mover),  
 $0_{SU(3)} \rightarrow 0_{\bar{E}_6}$ ,  $1_{SU(3)} \rightarrow 1_{\bar{E}_6}$ ,  $2_{SU(3)} \rightarrow 2_{\bar{E}_6}$ ,

They have the same modular transformation properties.

E8 Euclidian lattice  $\rightarrow$

$$(0_{E_6}, 0_{\bar{E}_6}) \cup (1_{E_6}, 1_{\bar{E}_6}) \cup (2_{E_6}, 2_{\bar{E}_6})$$

(6,6) Narain lattice

Euclidian lattice  $\rightarrow$  Lorentzian lattice

# Lattice engineering technique

Lerche, Schellekens, Warner, '89

$G \times G_{dual} = \text{maximal subgroup of } G_{Esd}$

$G_{Esd}$  is the group factor of an even self - dual lattice,  $\Gamma_{G_{Esd}}$ .

$G$  and  $\overline{G}_{dual}$  have the same modular transformation properties

lattice engineering

We replace  $G \rightarrow \overline{G}_{dual}$ .



# Even self-dual lattices

8D even self-dual Euclidean lattice

E8

16D

E8xE8, SO(32)

24D

23 Niemeier lattices

$D_{24}, D_{16} \times E_8, (E_8)^3, A_{24}, (D_{12})^2, A_{17} \times E_7,$   
 $D_{10} \times (E_7)^2, A_{15} \times D_9, (D_8)^3, (A_{12})^2,$   
 $A_{11} \times D_7 \times E_6, (E_6)^4, (A_9)^2 \times D_6, (D_6)^4,$   
 $(A_8)^3, (A_7)^2 \times (D_5)^2, (A_6)^4, (A_5)^4 \times D_4,$   
 $(D_4)^6, (A_4)^6, (A_3)^8, (A_2)^{12}, (A_1)^{24}$

lattice engineering

We replace  $G \rightarrow \overline{G}_{dual}$ .

We can construct (22,6) Narain lattices.

# Towards Z3 orbifold models

In order to construct asymmetric Z3 orbifold models, we need right-mover lattices including

$$\bar{E}6, \quad (\bar{A}2)^3, \quad \bar{D}4 \times \bar{A}2$$

We construct (22,6) Narain lattice including these right-mover lattices by starting with Euclidean lattice and using lattice engineering technique.

# Towards Z3 orbifold models

$$A_{11} \times D_7 \times E_6 \rightarrow A_8 \times U(1) \times A_2 \times D_7 \times E_6 \\ \rightarrow A_8 \times U(1) \times \bar{E}_6 \times D_7 \times E_6$$

lots of lattices including

$$\bar{E}_6, (\bar{A}_2)^3, \bar{D}_4 \times \bar{A}_2$$

see Beye, T.K., Kuwakino, 1304.5621 **classification**

Various left-mover lattices

→ various gauge symmetries before orbifolding

We have understood the starting points  
towards Z3 asymmetric orbifold construction.

# 3. Z3 asymmetric orbifold models

Beye, T.K., Kuwakino, 1311.4687

Example of asymmetric orbifold

$$\theta_R = (1/3, 1/3, -2/3)$$

$$\theta_L = 1$$

22D Left-mover lattice is divided by shift  $V$ .

Various possibilities for shift  $V$  with  $3V$  on lattice

Massless spectrum

unbroken gauge group  
untwisted matter fields

$$P_L V = \text{integer}$$

$$P_L V = 1/3, \pmod{1}$$

twisted massless condition

$$(P_L + V)^2 / 2 - 1 = 0$$

There is no oscillator modes, because  $N = \text{integer}$ .

In some models, we can introduce Wilson lines.

# Model 1

Beye, T.K., Kuwakino, 1311.4687

Starting lattice,  $(A_3)^7 \times U(1) \times \bar{E}_6$  (22,6) Narain lattice

$$(A_3)^8 \rightarrow (A_3)^7 \times U(1) \times A_2 \rightarrow (A_3)^7 \times U(1) \times \bar{E}_6$$

We divide right-movers by Z3 twist and left-movers by Z3 shift V.

Unbroken gauge group

$$SU(3)_C \times SU(2)_L \times U(1)_Y \times SU(3)^2 \times SU(4) \times U(1)^9$$

$U(1)_Y$  is anomaly-free.

degeneracy factor in the twisted sector = 3

We did not introduce WL.

# Model 1

Beye, T.K., Kuwakino, 1311.4687

full massless spectrum

3 x 12 multiplets in untwisted sector

3 x 37 multiplets in twisted sector

maybe smaller number than symmetric models

3 generations of (MSSM) quarks and leptons  
+ vector-like matter fields

top quark has  $O(1)$  of Yukawa coupling,  
but the charm Yukawa coupling is also strong.

$U(1)_Y$  is anomaly-free.

# Model 2

Beye, T.K., Kuwakino, 1311.4687

Starting lattice,

$$(A_1)^2 \times (A_4)^4 \times U(1)^2 \times A_2 \times (\bar{A}_2)^3 \quad (22,6) \text{ Narain lattice}$$

$$(A_4)^6 \rightarrow (A_2 \times A_1 \times U(1))^2 \times (A_4)^4 \\ \rightarrow (A_1)^2 \times (A_4)^4 \times U(1)^2 \times (\bar{A}_2)^2$$

$$\times (A_2 \times \bar{A}_2)$$

We divide right-movers by Z3 twist and left-mover  
by Z3 shift V.

Unbroken gauge group

$$SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_{B-L} \\ \times SU(2)_F \times SU(3)^2 \times SU(4)^2 \times U(1)^6$$

U(1)(B-L) is anomaly-free.

SU(2)<sub>F</sub> flavor symmetry

degeneracy factor in the twisted sector = 1

# Model 2

Beye, T.K., Kuwakino, 1311.4687

full massless spectrum

3 x 5 multiplets in untwisted sector

1x 65 multiplets in twisted sector

maybe smaller number than symmetric models

3 generations of (would-be) quarks and leptons  
+ vector-like matter fields

SU(2) flavor symmetry

Left-handed quarks (1+2) under SU(2) flavor symmetry,  
in the twisted sector

top Yukawa coupling is of O(1).



# Other models

We have studied asymmetric  $Z_3$  orbifold models with only right-movers twisted and vanishing Wilson lines.

Similarly, we can construct asymmetric  $Z_3$  orbifold models with left-movers also twisted partly and/or non-vanishing Wilson lines.

Also we can study other  $Z_N$  asymmetric orbifold models in a similar way.

# 4. Enhancement point and flavor symmetry

Beye, T.K., Kuwakino, 1406.XXXX

Starting point of asymmetric orbifold models is Narain models.

Gauge enhancement point in the moduli space

Flavor symmetries: the topic in the last week

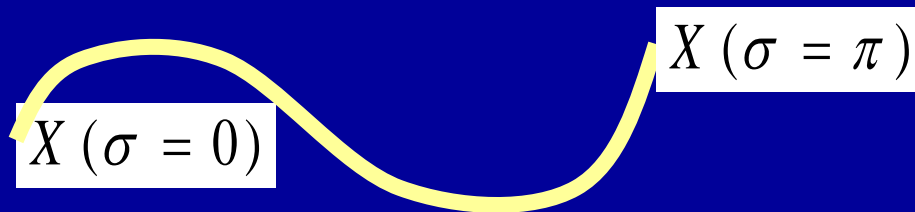
Heterotic string theory on symmetric orbifolds leads to certain non-Abelian discrete flavor symmetries.

T.K., Nilles, Ploger, Raby, Ratz, '07

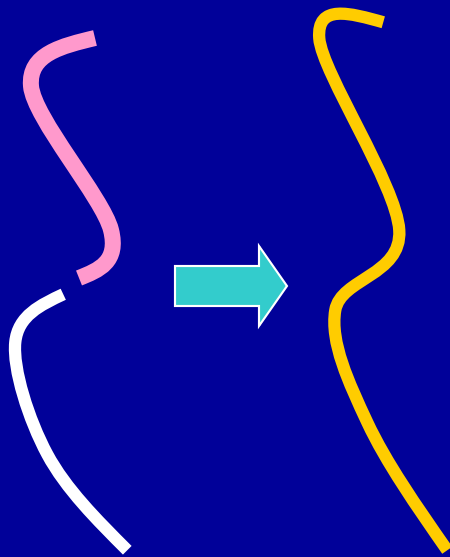
We revisit this from the viewpoint of the enhancement of point in the moduli space.

# Coupling selection rule and symmetries

A string can be specified by its boundary condition.



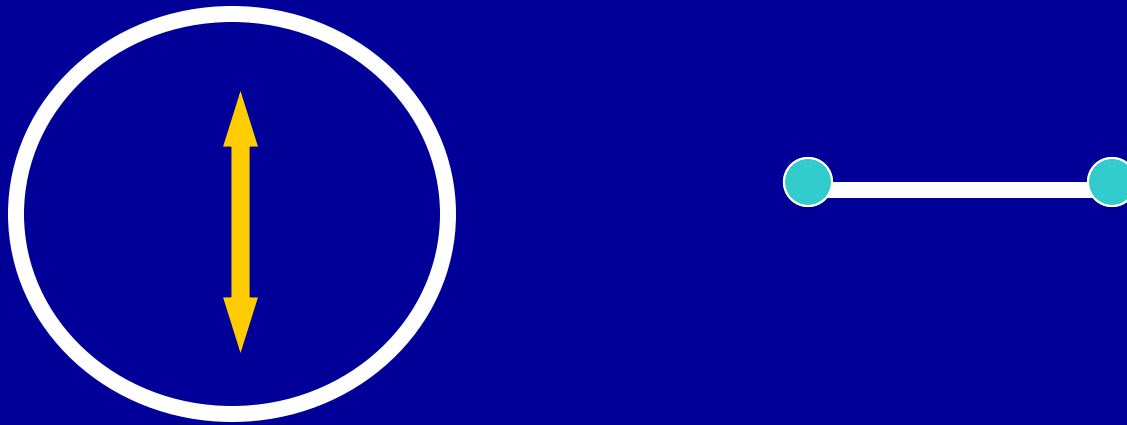
Two strings can be connected to become a string if their boundary conditions fit each other.



→ coupling selection rule  
symmetry

# Heterotic orbifold models

## $S^1/\mathbb{Z}_2$ Orbifold



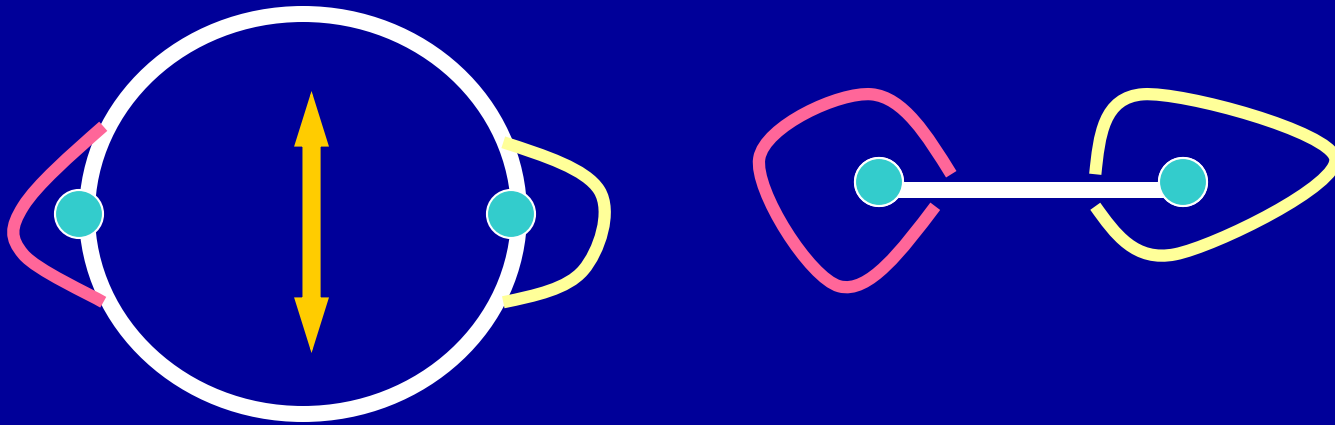
There are two singular points,  
which are called fixed points.

$$X \sim -X$$

$$X - e/2 \sim -(X - e/2)$$

# Heterotic orbifold models

## S1/Z2 Orbifold



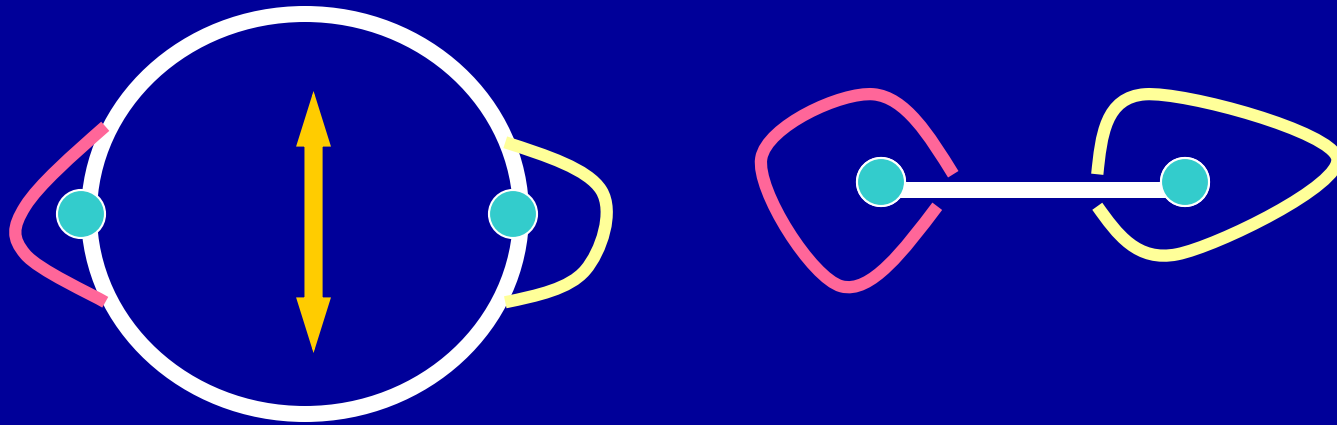
$$X(\sigma = \pi) = -X(\sigma = 0)$$

$$X(\sigma = \pi) - e/2 = -(X(\sigma = 0) - e/2)$$

$$X(\sigma = \pi) = -X(\sigma = 0) + n e, \quad n = 0, 1 \pmod{2}$$

# Heterotic orbifold models

## S1/Z2 Orbifold



twisted string

$$X(\sigma = \pi) = -X(\sigma = 0) + n e, \quad n = 0, 1 \pmod{2}$$

untwisted string  $X(\sigma = \pi) = X(\sigma = 0)$

$$X(\sigma = \pi) = (-1)^m X(\sigma = 0) + n e,$$
$$m, n = 0, 1 \pmod{2}$$

# $Z_2 \times Z_2$ in Heterotic orbifold models

## $S^1/Z_2$ Orbifold

$$X(\sigma = \pi) = (-1)^m X(\sigma = 0) + n e,$$

$$m, n = 0, 1 \pmod{2}$$

two  $Z_2$ 's

twisted string

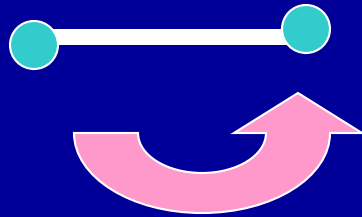
$$\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

untwisted string

$Z_2$  even for both  $Z_2$

# Geometrical symmetry

## S1/Z2 Orbifold



String theory has two Z2's.

In addition, the Z2 orbifold has the geometrical symmetry, i.e. Z2 permutation.

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$



# Non-Abelian discrete flavor symmetry

The full symmetry includes

$$\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

D4 symmetry

the twisted strings on the two fixed points

D4 doublet

untwisted strings    D4 singlets

T.K., Raby, Zhang, '05

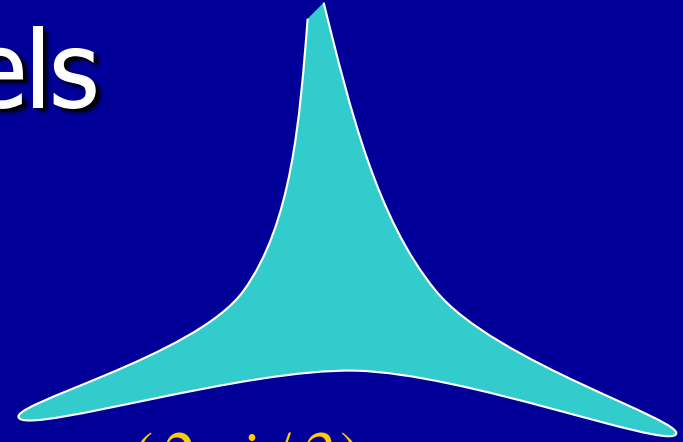
T.K., Nilles, Ploger, Raby, Ratz, '07

# Heterotic orbifold models

## T2/Z3 Orbifold

two Z3's

$$\begin{pmatrix} \omega & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}, \quad \omega = \exp(2\pi i / 3)$$



Z3 orbifold has the S3 geometrical symmetry,

$$\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

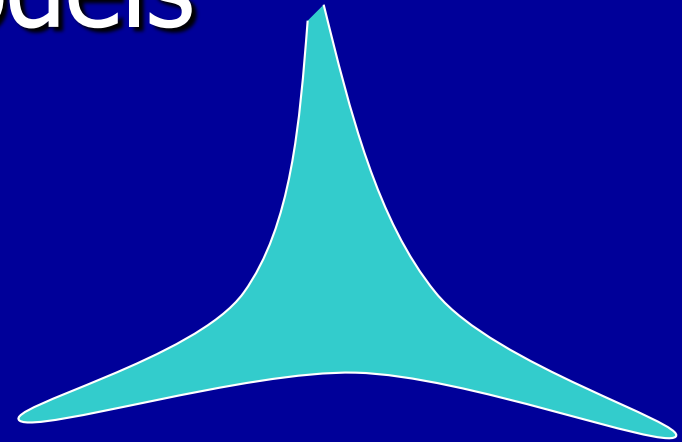
Their closed algebra is Delta(54).

T.K., Nilles, Ploger, Raby, Ratz, '07

# Heterotic orbifold models

T2/Z3 Orbifold

has  $\Delta(54)$  symmetry.



localized modes on three fixed points



$\Delta(54)$  triplet

bulk modes

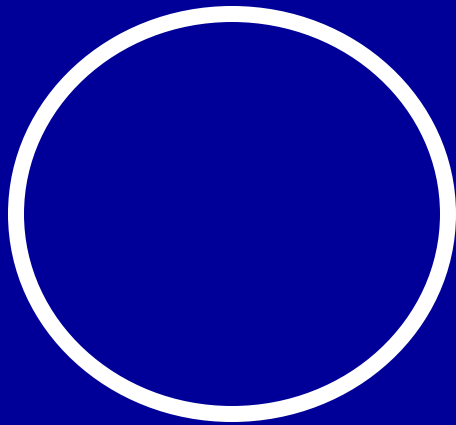


$\Delta(54)$  singlet

T.K., Nilles, Ploger, Raby, Ratz, '07

# S1 at an enhancement point

S1 before orbifolding



U(1)  $i\partial X$

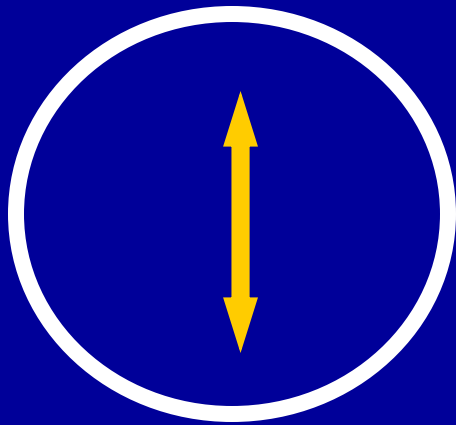
other massless modes at a special point

SU(2) non-zero roots  $E_{\pm} = \exp[\pm i\sqrt{2}x]$

Gauge symmetry is enhanced to SU(2).

# S1/Z2 at an enhancement point

S1/Z2



U(1)       $i\partial X$       not Z2 invariant

$i\partial X' = (E_+ + E_-) / \sqrt{2}$       is invariant.

SU(2) is broken to U(1) by orbifolding.

# S1/Z2 at an enhancement point

## S1/Z2

U(1) theory  $i\partial X$  '

Z2 odd     untwisted matter

$$E_+' = i\partial X / \sqrt{2} - (E_+ - E_-) / 2 \quad : U_1$$

$$E_+' = i\partial X / \sqrt{2} + (E_+ - E_-) / 2 \quad : U_2$$

U(1) charges      $\pm \sqrt{2}$

two twisted (shifted) matter

U(1) charges      $\pm \sqrt{2} / 4$

# S1/Z2 at an enhancement point

U(1) symmetry

Discrete Z2 permutation symmetry

$$U_+ \leftrightarrow U_- \quad T_+ \leftrightarrow T_-$$

remnant of SU(2)

# S1/Z2

Deformation from the enhancement point  
by moduli VEV

$$E_+' = i\partial X / \sqrt{2} - (E_+ - E_-) / 2 \quad : U_+$$

$$E_+' = i\partial X / \sqrt{2} + (E_+ - E_-) / 2 \quad : U_-$$

$$\langle U_+ \rangle = \langle U_- \rangle$$

U(1) is broken to Z4, and

permutation Z2 symmetry remains.

The full symmetry is D4.



# S1/Z2

$$\langle U_+ \rangle = \langle U_- \rangle$$

## D4

$$\langle U_+ \rangle \neq \langle U_- \rangle$$

The other directions of VEVs  
lead to just Z4.

# T2/Z3

Heterotic string on T2 with the enhancement point can have SU(3) gauge symmetry.

Orbifolding breaks SU(3) to U(1)xU(1)  
and S3.

remnant of SU(3)

# T2/Z3

## Charged untwisted fields

$$E_1' = i\partial X / \sqrt{2} + \dots : U_1 (\sqrt{2}, 0)$$

$$E_2' = i\partial X / \sqrt{2} + \dots : U_2 (-\sqrt{2}/2, \sqrt{6}/2)$$

$$E_3' = i\partial X / \sqrt{2} + \dots : U_3 (-\sqrt{2}/2, -\sqrt{6}/2)$$

There are three twisted matter fields

with charges,

$$T_1 = (\sqrt{2}, 0) / 3$$

$$T_2 = (-\sqrt{2}/2, \sqrt{6}/2) / 3$$

$$T_3 = (-\sqrt{2}/2, -\sqrt{6}/2) / 3$$

# T2/Z3

## Charged untwisted fields

$$E_1' = i\partial X / \sqrt{2} + \dots : U_1 (\sqrt{2}, 0)$$

$$E_2' = i\partial X / \sqrt{2} + \dots : U_2 (-\sqrt{2}/2, \sqrt{6}/2)$$

$$E_3' = i\partial X / \sqrt{2} + \dots : U_3 (-\sqrt{2}/2, -\sqrt{6}/2)$$

$$\langle U_1 \rangle = \langle U_2 \rangle = \langle U_3 \rangle$$

U(1) x U(1) is broken to Z3xZ3, and permutation S3 symmetry remains.

The full symmetry is Delta(54).

# T2/Z3

$$\langle U_1 \rangle = \langle U_2 \rangle = \langle U_3 \rangle$$

## Delta(54)

The other directions of VEVs,

$$\langle U_1 \rangle = 0, \langle U_2 \rangle = \langle U_3 \rangle, \dots\dots\dots$$

Z3xS3, Z6x(U(1) xS2), Z3xZ3,

-> S1/Z2

# Non-Abelian discrete symmetries

We have not used explicitly the geometrical symmetries, but gauge symmetries, although of course gauge symmetries and geometrical symmetries are tightly related.

Our approach can be extended into string models, where geometrical pictures are not so clear such as asymmetric orbifolds, Gepner models, etc.

# Applications

We can start e.g.

field-theoretical models with many  $U(1)$ s  
and their permutation symmetries.

Then, break it by orbifolding.

We would get various  
non-Abelian discrete symmetries.

# Summary

We have studied on asymmetric heterotic orbifold models, in particular  $Z_3$ .

We have constructed models with MSSM matter contents + vector-like matter as well as  $SU(2)$  flavor symmetry models.

We also discussed the gauge origin of non-Abelian discrete symmetries.



# Summary

Perturbative calculations such as higher-order couplings are not clear.

Introduction of WLs is important.

We can extend our analysis to other asymmetric ZN orbifolds.

Further studies: Dilaton stabilizatin, ...