# Background-independent renormalization in quantum gravity models

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- Motivation: Why quantum gravity?
- Spin Foam Models:
  - i. Plebański action & constrained BF theory
  - ii. discretization and quantisation
  - iii.successes and shortcomings
- Renormalization without length scale:

i. general setupii. diffeomorphism symmetryiii.easy example

- How to do it in practice: Approximation methods
- Summary

### **Classical General Relativity**

Gravity  $\iff$  Curvature of space-time metric  $g_{\mu\nu}$ 

$$S_{\rm EH}[g_{\mu\nu}] = \frac{1}{16\pi G_{\rm N}} \int d^4x \; \sqrt{-g} \; R$$

 $\mathcal{M}$  $\mathcal{T}_{\mu\nu}$  $\mathcal{G}_{\mu\nu}$ 

 $\text{Diffeomorphisms:} \quad \phi: \ \mathcal{M} \ \rightarrow \ \mathcal{M}$ 







Einstein's "hole argument":

diffeomorphisms = gauge symmetry of GR

#### **Quantum General Relativity**

Quantum gravity: make sense of

$$Z = \int \mathcal{D}g_{\mu\nu} e^{iS_{\rm EH}[g_{\mu\nu}]}$$

or rather:

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}g_{\mu\nu} \mathcal{O}[g_{\mu\nu}] e^{iS_{\rm EH}[g_{\mu\nu}]}$$

Perturbative ansatz:  $g_{\mu\nu} = \eta_{\mu\nu} + \underline{h}_{\mu\nu} + \dots$ renormalization: scale-dependent couplings  $g_i(k)$  and effective action

$$S_{\rm EH} \rightarrow S_{\rm eff}^{(k)}[h_{\mu\nu}] = S_{\rm EH}[\eta_{\mu\nu} + h_{\mu\nu}] + \sum_{i} g_i(k)\mathcal{O}_i[h_{\mu\nu}]$$

non-renormalizable

[Goroff, Sagnotti '85]

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### GR as topological theory with constraints:

Vierbein formalism: Vierbein  $e^{I}_{\mu}$ , spin connection  $\omega^{IJ}_{\mu}$   $g_{\mu\nu} = \eta_{IJ} e^{I}_{\mu} e^{J}_{\nu}$ 

$$S_{\text{Pal}}[\omega, e] = \frac{1}{2} \int \epsilon_{IJKL} e^{I} \wedge e^{J} \wedge F^{KL}[\omega] + \frac{1}{2\gamma} \int e_{I} \wedge e_{J} \wedge F^{IJ}[\omega]$$

$$S_{\rm BF}[\omega, B] = \int B_{IJ} \wedge F^{IJ}[\omega]$$
 [Horowicz '89]

+ simplicity constraints:

$$B \stackrel{!}{=} *(e \wedge e) + \frac{1}{\gamma} e \wedge e$$
[Plebański '77, Capovilla, Jacobson, Dell, Mason '91]

Strategy: quantize BF theory (easy), and impose simplicity constraints on path integral

In the following:  $\cdot D = 4$ 

- Riemannian signature
- fixed  $\mathcal{M}$  (no sum over topologies)
- vacuum GR (no matter)

### **Quantizing (discretized) BF theory:**

Triangulation  $\Delta$  of manifold  $\mathcal{M}$ 

Variables: 
$$h_e = \mathcal{P} \exp \int_e \omega \in Spin(4) \simeq SU(2) \times SU(2)$$
  
 $B_f = \int_{f^*} B \in \mathfrak{spin}(4) \simeq \mathfrak{su}_2 \times \mathfrak{su}_2$ 

Action: 
$$S_{BF,\text{disc}}[g_e, B_f] = \sum_f \text{tr}(B_f H_f)$$

f
••
$\overset{v}{\bullet}$

 $\Delta^*$ 

 $\Delta$ 

•

Path integral:

$$Z_{\rm BF} = \int dg_e dB_f \exp\left(i\sum_f \operatorname{tr}(B_f H_f)\right)$$
$$= \sum_{\substack{j_f^{\pm}, \iota_e^{\pm}}} \prod_f \mathcal{A}_f \prod_e \mathcal{A}_e \prod_v \mathcal{A}_v$$
$$j_f^{\pm} = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots \qquad \iota_e^{\pm} \quad SU(2)$$

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e.g. [Ponzano, Regge, '69, Baez '99]

$$\iota_e^\pm \quad SU(2)$$
 -invariant tensors

### **Constraining quantized BF theory:**

[Reisenberger '94, Barrett, Crane '99, Livine, Speziale '07, Engle, Pereira, Rovelli, Livine '07, Freidel, Krasnov '07, Oriti Baratin '11, ...]

Sate sum (path integral) 
$$Z_{\rm BF} = \sum_{j_f^{\pm}, \iota_e^{\pm}} \prod_f \mathcal{A}_f \prod_e \mathcal{A}_e \prod_v \mathcal{A}_v$$
  
for BF theory:

Classical simplicity constraints:  $B \stackrel{!}{=} *(e \wedge e) + \frac{1}{\gamma}e \wedge e$ 

Restriction of spins / intertwiners in quantum theory:

$$j_f^{\pm} = \frac{|1 \pm \gamma|}{2} k_f$$
 for some half-integer  $k_f$ 

Spin Foam model:

$$Z_{\text{EPRL}} = \sum_{k_f, \iota_e} \prod_f \mathcal{A}_f \prod_e \mathcal{A}_e \prod_v \mathcal{A}_v$$

### **Geometrical interpretation of states**

2-complex dual to triangulation, with spins and intertwiners Spatial slice: graph



(spatial) boundary states: spin networks

Shape space of quantized tetrahedra with fixed areas given by  $k_1, \ldots, k_4$ 

### **Properties of the EPRL Spin Foam Model:**

[Barrett, Dowdall, Fairbairn, Gomez, Hellmann, '07]

Geometrical interpretation of large quantum number limit:





#### **Properties of the Spin Foam Model ctd:**

Gap in geometric quantities: e.g. (spatial) area observables have discrete spectrum

$$\hat{A}_f |\psi\rangle = \gamma \ell_{\text{Planck}}^2 \sqrt{k_f (k_f + 1) |\psi\rangle}$$

[Ashtekar, Lewandowski, '93, Rovelli, Smolin, '95]

3d: Ponzano-Regge model

3d + cosm. const: Turaev-Viro model

[Ponzano, Regge, '69]

[Witten '89, Reshetikhin, Turaev, '91, Turaev, Viro, '92]

Tensor Field Theories: QFTs on Lie groups, spin foam amplitudes as Feynman graphs

. . .

$$Z_{\rm TFT} = \sum_{\Delta} \frac{\lambda^{|\Delta|}}{|\Delta|!} Z_{\Delta}$$

[DePietri, Freidel, Kransov, Rovelli '00, Oriti '06, Gurau '09, Gurau, Rivasseau '11, ...]

In 2d: matrix model for quantum gravity

Symmetry reduced case: cosmological condensate

[Gielen, Oriti, Sindoni, '13]

### Problems with the EPRL model:

classical diffeo symmery broken in EPRL spin foam model continuum limit, perfect discretization?

renormalization without background structure?

[Dittrich '08, BB, Dittrich, '09]

[Oeckl et al '04, BB, Dittrich, Steinhaus, '09, Hellmann, Kamiński '13, Hoehn '13]

[BB '11, BB, Dittrich, Hellmann, Kamiński '12, Dittrich, Seinhaus, '13]

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# **Generalized spin foam models**

[Pfeiffer '01, Pfeiffer, Oeckl '02, Magliaro, Perini, '10, BB, Dittrich, Hellmann, Kamiński '12]

Start with manifold  $\mathcal M$  and consider embedded, oriented 2-complexes  $\Gamma \ \subset \ \mathcal M$ 

variables: group elements  $h_{ef}$  for  $e \subset f$  $h_{ef} \in G$  compact Lie group

configuration space:  $\mathcal{A}_{\Gamma} \simeq G^{n}$ integration measure:  $\mu_{\Gamma}$ observables:  $\mathcal{O}_{\Gamma} \in C^{0}(\mathcal{A}_{\Gamma})$ 

$$\langle \mathcal{O} \rangle_{\Gamma} = \int_{G^n} d\mu_{\Gamma}(h_{ef}) \mathcal{O}(h_{ef})$$





### **Generalized spin foam models**

special cases: lattice gauge theory:

 $G = SU(N), \ \Gamma$  hypercubic lattice

$$d\mu_{\Gamma}(h_{ef}) = dh_{ef} \prod_{f} e^{-S_{\text{Wilson}}[H_f]} \prod_{e} \int_{G} dg_{e} \prod_{f \subset e} \delta(g_e, h_{ef})$$

EPRL spin foam model:

 $G = SU(2) \times SU(2), \ \Gamma$  dual to 4d triangulation

$$d\mu_{\Gamma}(h_{ef}) = dh_{ef} \,\delta(H_f) \int dg_{ve} \prod_{ef} E(g_{ve}^{-1}h_{ef}g_{we})$$

# **Continuum limit**



Not every two  $\Gamma$  's can be compared, but: for each two  $\Gamma$ ,  $\Gamma'$  there is a finer one:

projection ("coarse graining map")  $\pi_{\Gamma'\Gamma} : \mathcal{A}_{\Gamma'} \to \mathcal{A}_{\Gamma}$ 

$$\pi_{\Gamma'\Gamma}(\{h_{e'f'}\})_{ef} = \overrightarrow{\prod_{e' \subset e, f' \subset f}} h_{e'f'}$$

# **Continuum limit**

[Ashtekar, Isham '92, Ashtekar, Lewandowski '94]

Consider all  $\Gamma \,$  (or sufficiently many) at the same time:

projective limit: 
$$\overline{\mathcal{A}} := \lim_{\Gamma \leftarrow} \mathcal{A}_{\Gamma} = \{ \{a_{\Gamma}\}_{\Gamma} \mid a_{\Gamma} \in \mathcal{A}_{\Gamma}, \pi_{\Gamma'\Gamma}a_{\Gamma'} = a_{\Gamma} \}$$

space of (generalized) continuum connections: compact Hausdorff space

$$\mathcal{A} \subset \overline{\mathcal{A}}$$

condition for continuum measure  $\mu$  on  $\overline{\mathcal{A}}$ :

$$\langle \mathcal{O}_{\Gamma} 
angle_{\Gamma} = \langle \mathcal{O}_{\Gamma} \circ \pi_{\Gamma'\Gamma} 
angle_{\Gamma'}$$

for all observables  $\mathcal{O}_{\Gamma} \in C^0(\mathcal{A}_{\Gamma})$  "cylindrical consistency"

 $\{\mu_{\Gamma}\}_{\Gamma} \rightarrow \mu$  Radon measure on  $\overline{\mathcal{A}}$ 

# Where is the physical intuition?

configuration space:  $\mathcal{A}_{\Gamma}$  are finite-dim "slices" through  $\overline{\mathcal{A}}$ 

 $\Gamma$  provides cut-off: only finitely many holonomies

The two-complexes are the scales!

observables: all  $\mathcal{O}_{\Gamma} \in C^{0}(\mathcal{A}_{\Gamma})$  for all  $\Gamma$ only finitely many holonomies measurable at a time

expectation values of observables:  $\langle \mathcal{O}_{\Gamma} \rangle = \int_{\overline{\mathcal{A}}} d\mu \ \mathcal{O}_{\Gamma} := \langle \mathcal{O}_{\Gamma} \circ \pi_{\Gamma'\Gamma} \rangle_{\Gamma'}$ 

for any  $\Gamma' \geq \Gamma$ 

### Where is the physical intuition?

cylindrical consistency:

$$\langle \mathcal{O} \rangle_{\Gamma} = \langle \mathcal{O} \circ \pi_{\Gamma'\Gamma} \rangle_{\Gamma'}$$

is precisely the idea of Wilsonian RG flow!



measure ("action") parametrised by parameters  $g_i(a)$  which depend on a

$$e^{-S[h_e, g_i(a)]} = \int dh_{e'} \ e^{-S[h_{e'}, g_i(a')]} \delta\left(h_e = \overrightarrow{\prod}_{e' \subset e} h_{e'}\right)$$

# "scale" with background:





# **Diffeomorphism group action**



 $A \longmapsto (\phi^{-1})^* A$ 

induced action on generalized connections via action on 2-complexes.

$$\phi \; : \; \overline{\mathcal{A}} \; \longrightarrow \; \overline{\mathcal{A}}$$

invariance of continuum measure under  $\boldsymbol{\phi}$  equivalent to

$$\langle \mathcal{O} \rangle_{\Gamma} = \langle \phi^* \mathcal{O} \rangle_{\phi(\Gamma)}$$



$$\Leftrightarrow \quad \phi_*\mu \ = \ \mu$$

# **Diffeomorphism group action**

Diffeomorphism-invariance of partial measures  $\mu_{\Gamma}$ 

 $\langle \mathcal{O} \rangle_{\Gamma} = \langle \phi^* \mathcal{O} \rangle_{\phi(\Gamma)}$ 

together with cylindrical consistency this is a very strong condition:

$$\mathcal{O}_1 = \mathcal{O} \circ \pi_{\Gamma'\Gamma}$$
  
$$\mathcal{O}_2 = \phi(\mathcal{O}) \circ \pi_{\Gamma'\phi(\Gamma)}$$

$$\langle \mathcal{O}_1 \rangle_{\Gamma'} \stackrel{!}{=} \langle \mathcal{O}_2 \rangle_{\Gamma'}$$



 $\dim \mathcal{M} = 2, \qquad G = U(1) \qquad \qquad \mathcal{A}_{\Gamma} = U(1)^E$ 

"charge-network functions"  $\mathcal{O}(h_e) = \prod h_e^{m_e}$ 

Near trivial example:

$$\begin{split} \langle \mathcal{O} \rangle_{\Gamma} &:= \frac{1}{Z} \int_{U(1)^E} dh_e \ \mathcal{O}(h_e) \prod_f \sum_{n_f \in \mathbb{Z}} \exp\left(-n_f^2/2a_f + i\theta_f n_f\right) \left(\prod_{e \subset f} h_e^{[e,f]}\right)^{n_f} \\ &= \int_{U(1)^E} d\mu_{\Gamma}^{\vec{a},\vec{\theta}}(h_e) \ \mathcal{O}(h_e) \qquad a_f > 0, \ \theta_f \in \mathbb{R} \end{split}$$



RG equations:



Obvious solution:

 $g \in \operatorname{Sym}^2 T^* M$  (area) metric  $\theta \in \Omega^2(M)$  2-form  $a_f = \int_f d\operatorname{vol}_g \qquad \theta_f = \int_f \theta$ 

Limit solutions:

$$\theta = 0$$
 2d Yang-Mills  
 $g \rightarrow 0$   $\int_{\mathcal{A}} \mathcal{D}A \, \delta \big( F[A] - \theta \big)$ 

Diffeomorphism-invariance:

$$\langle \mathcal{O} \rangle = \langle \mathcal{O} \rangle_{\Gamma} \stackrel{!}{=} \langle \phi_* \mathcal{O} \rangle_{\phi(\Gamma)} = \langle \phi_* \mathcal{O} \rangle$$

$$\Rightarrow \quad \langle \mathcal{O} \circ \pi_{\Gamma'\Gamma} \rangle_{\Gamma'} = \langle \phi_* \mathcal{O} \circ \pi_{\Gamma'\phi(\Gamma)} \rangle_{\Gamma'}$$

$$\Rightarrow \quad a_{f_1} + a_f + a_{f_2} = a_{f_1} - a_f + a_{f_2}$$
$$\theta_{f_1} + \theta_f + \theta_{f_2} = \theta_{f_1} - \theta_f + \theta_{f_2}$$

Only solutions:

$$g \rightarrow 0, \infty$$
$$\theta = 0$$



(limits exist as measures on  $\ \overline{\mathcal{A}}$  )

Could have been guessed from  $\phi_*\mu_{g,\theta} = \mu_{\phi_*g,\phi_*\theta}$ 

Space of solutions to GR equations:



$$d\mu^{(g,\theta)} = \frac{1}{Z} \prod_{e} dh_{e} \prod_{f} \sum_{n_{f} \in \mathbb{Z}} \exp\left(-n_{f}^{2}/2a_{f} + i\theta_{f}n_{f}\right) \left(\prod_{e \subset f} h_{e}^{[e,f]}\right)^{n_{f}}$$
$$a_{f} = \int_{f} d\mathrm{vol}_{g} \qquad \theta_{f} = \int_{f} \theta$$

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# **Approximations:**

RG flow equations: conditions of cylindrical consistency of partial measures

 $\langle \mathcal{O} \rangle_{\Gamma} = \langle \mathcal{O} \circ \pi_{\Gamma' \Gamma} \rangle_{\Gamma'}$ 

for all  $\Gamma \leq \Gamma'$  and all  $\mathcal{O} \in C^0(\mathcal{A}_{\Gamma})$ 

 $\Rightarrow$  In most cases impossible to check.

Possible approximations:

- Don't check for all 2-complexes Γ, just for subset (e.g. lattices, or hose dual to triangulations)
- Don't check on all observables  $\mathcal{O}$ , just on few interesting ones
- Search for solutions in subset of all measures, e.g.

$$d\mu(h_{ef}) = \prod_{ef} dh_{ef} \exp\left(-S^{(g_i)}[h_{ef}]\right)$$

truncation to few parameters  $g_i$  and minimize the error.

Use finite group:

 $G = S_3 = \{1, (12), (13), (23), (123), (132)\}$ 

has three irreps: trivial  $\mathbf{0}_{S_3}$  sign  $\mathbf{1}_{S_3}$  and  $\mathbf{2}_{S_3}$ 

$$\rho_{\mathbf{2}_{S_3}}(12) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \qquad \rho_{\mathbf{2}_{S_3}}(123) = \begin{pmatrix} c & -s \\ s & c \end{pmatrix} \qquad \begin{array}{c} c = \cos(2\pi/3), \\ s = \sin(2\pi/3) \\ \end{array}$$

Truncation of measure space (mimics EPRL model):

$$d\mu_{\Gamma} = dh_{ef} \prod_{f} \delta(H_{f}) \int dg_{ve} \prod_{e \subset f} \left( \mathbf{e_{0}} + \mathbf{e_{1}}\rho_{\mathbf{1}_{S_{3}}}(\tilde{h}_{ef}) + \mathbf{e_{01}}\rho_{\mathbf{1}_{S_{3}}}(\tilde{h}_{ef})_{\uparrow\uparrow} + \mathbf{e_{02}}\rho_{\mathbf{1}_{S_{3}}}(\tilde{h}_{ef})_{\downarrow\downarrow} \right)$$
$$\tilde{h}_{ef} = g_{ve}^{-1}h_{ef}g_{we}$$

3d: hierarchical lattics made from tetrahedra, only consider observables at boundary RG step:





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# Summary:

- Spin foam models are interesting models for background-independent QGR
- EPRL model nice candidate with interesting properties
- Renormalization of spin foam models in principle understood (flow along partially ordered set rather than line)
- Diffeomorphism-invariance strong condition!
- Approximation methods are being developed

# **Open questions:**

- How to incorporate Lorentzian signature? (non-compact groups)
- Other interesting diff-invariant models (lower-dimensional, Seiberg-Witten?)
- How good are approximation methods (e.g. compared to Migdal-Kadanoff)?
- Interesting coupling constants for QGR: R-terms, etc.
- Asymptotic safety? Renormalizability?