Determining symmetries of multi-Higgs potentials

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- Introduction
- Determining abelian symmetries
- 3 Non-abelian symmetries in 3HDM
- 4 Further developments

bSM model building

Why caring about discrete symmetry groups?

- The SM has many weak points: does not describe DM and baryogenesis, cannot explan the origin of fermion masses, mixing, CP-violation. In particular, the Higgs sector of the SM is overstretched and does not help with these issues.
- Constructions beyond the SM (bSM) based on several new fields, in particular, on extended scalar sectors, offer natural solutions (to some of them), see Ishimori et al, 1002.0211; Altarelli, Feruglio, 1003.3552; King, Luhn, 1301.1340 for classical reviews and King, 1701.04413, Ivanov, 1702.03776 for very recent ones.
- Many new fields → many interaction terms → lots of free parameters.
 Imposing extra global symmetries helps constrain the models.

Model-building with multiple Higgses

Two approaches:

- postulate some symmetry setting, add extra fields to encode it and generate the desired symmetry breaking pattern,
- ② fix a designed class of bSM models, then explore all symmetries which are possible with this field content.

I will show the second approach at work in two problems

- finding all abelian symmetry groups in any class of bSM models, with illustrations from NHDM,
- finding all non-abelian discrete symmetry groups in 3HDM scalar sector.

The focus is on the method of recognizing symmetries and on establishing exhaustive lists of possibilities, not on the specific bSM models.



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Abelian (rephasing) symmetries

NB: NHDM scalar potential is an illustration; the method itself is general.

Higgs potential V in NHDM is built of ϕ_j , $j=1,\ldots,N$:

$$V = Y_{ij}(\phi_i^{\dagger}\phi_j) + Z_{ijkl}(\phi_i^{\dagger}\phi_j)(\phi_k^{\dagger}\phi_l),$$

It may be invariant under $\phi_j \mapsto e^{i\alpha_j}\phi_j$ with some α_j . The first task is to find rephasing symmetry group A of a given potential.

- If V depends only on $|\phi_j|^2$, then $A = [U(1)]^N$: any rephasing will do.
- If not, $V = V_0 + k$ rephasing-sensitive terms. For each term, write invariance condition and solve the system of k such conditions for α_j .

Seems straightforward so far...



For example, $(\phi_1^\dagger\phi_2)(\phi_1^\dagger\phi_3)$ changes under a general rephasing as

$$(\phi_1^\dagger\phi_2)(\phi_1^\dagger\phi_3) \quad \mapsto \quad e^{i(-2\alpha_1+\alpha_2+\alpha_3)}(\phi_1^\dagger\phi_2)(\phi_1^\dagger\phi_3) \,.$$

Write it as $\sum_{j=1}^{N} d_{1j}\alpha_{j}$, with $d_{1j} = (-2, 1, 1, 0, ..., 0)$. Then if

$$d_{1j}\alpha_j=2\pi n_1$$

with any integer n_1 , this term remains invariant. Repeat for all terms to obtain

$$d_{ij}\alpha_j=2\pi n_i$$
 with $n_i\in\mathbb{N}$.

The task is to solve this system for α_j and deduce the symmetry group.

NB: the rephasing group is encoded in the $k \times N$ matrix d_{ii} .



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A 4HDM example:

$$V = V_0 + \lambda_1(\phi_4^{\dagger}\phi_1)(\phi_3^{\dagger}\phi_1) + \lambda_2(\phi_4^{\dagger}\phi_2)(\phi_1^{\dagger}\phi_2) + \lambda_3(\phi_4^{\dagger}\phi_3)(\phi_2^{\dagger}\phi_3) + \text{h.c.}$$

gives

$$d_{ij} = \left(egin{array}{cccc} 2 & 0 & -1 & -1 \ -1 & 2 & 0 & -1 \ 0 & -1 & 2 & -1 \ \end{array}
ight) \,.$$

The matrix d_{ij} always has integer entries. By certain elementary steps

- permutation of rows or columns,
- sign flips of rows or columns,
- adding a column/row to another column/row

it can be diagonalized: $d = R \cdot D \cdot C$, where $|\det R| = |\det C| = 1$ and

with $d_i > 0$ and such that d_i divides d_{i+1} .

D is known as the Smith Normal Form (SNF) of d_{ii} . It exists and is unique for any integer-valued matrix.

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The key observation: elementary steps do not change the set of solutions.

Now the equations are decoupled; each $d_i\tilde{\alpha}_i = 2\pi\tilde{n}_i$ has solutions $\tilde{\alpha}_i = 2\pi\tilde{n}_i/d_i$, which generates the group \mathbb{Z}_{d_i} .

The rephasing group is therefore

$$A = \mathbb{Z}_{d_1} \times \mathbb{Z}_{d_2} \times \cdots \times \mathbb{Z}_{d_r} \times [U(1)]^{N-r}.$$

$$V = V_0 + \lambda_1(\phi_4^{\dagger}\phi_1)(\phi_3^{\dagger}\phi_1) + \lambda_2(\phi_4^{\dagger}\phi_2)(\phi_1^{\dagger}\phi_2) + \lambda_3(\phi_4^{\dagger}\phi_3)(\phi_2^{\dagger}\phi_3) + \text{h.c.}$$

$$D = \mapsto \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 7 & 0 \end{pmatrix} , \quad A = \mathbb{Z}_7 \times U(1) .$$

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gives

$$D = \mapsto \left(\begin{array}{cccc} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 7 & 0 \end{array} \right) \;, \quad \textbf{A} = \mathbb{Z}_7 \times U(1) \;.$$

Another example: 3HDM quark sector

$$-\mathcal{L}_{Y} = \Gamma_{j_{L}j_{d}}^{(j_{\phi})} \bar{Q}_{Lj_{L}} \phi_{j_{\phi}} d_{Rj_{d}} + \Delta_{j_{L}j_{u}}^{(j_{\phi})} \bar{Q}_{Lj_{L}} \tilde{\phi}_{j_{\phi}} u_{Rj_{u}} + h.c.$$

with the following textures:

$$\Gamma^{(1)} = \begin{pmatrix} 0 & 0 & \times \\ 0 & \times & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \Gamma^{(2)} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ \times & 0 & 0 \end{pmatrix}, \quad \Gamma^{(3)} = \begin{pmatrix} \times & 0 & 0 \\ 0 & 0 & \times \\ 0 & \times & 0 \end{pmatrix},$$

$$\Delta^{(1)} = \begin{pmatrix} \times & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \times \end{pmatrix}, \quad \Delta^{(2)} = \begin{pmatrix} 0 & \times & 0 \\ 0 & 0 & 0 \\ \times & 0 & 0 \end{pmatrix}, \quad \Delta^{(3)} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & \times \\ 0 & \times & 0 \end{pmatrix}.$$

There are 12 Yukawa terms; 6 with d_R 's and 6 with u_R 's.



We order the 12 fields as $(\phi_{j_{\phi}}; Q_{Lj_L}; d_{Rj_d}; u_{Rj_u})$, where $j_{\phi}, j_L, j_d, j_u = 1, 2, 3$. Each Yukawa term produces a row d_{ij} with entries ± 1 or 0.

For example, the term with $\Gamma_{13}^{(1)}$ is $\bar{Q}_{L1}\phi_1 d_{R3}$, and its row d_{ii} is

$$(1,0,0 \mid -1,0,0 \mid 0,0,1 \mid 0,0,0),$$

and the term with $\Delta_{31}^{(2)}$ is $\bar{Q}_{L3}\tilde{\phi}_2u_{R1}$, and its row d_{ii} is

$$(0, -1, 0 | 0, 0, -1 | 0, 0, 0 | 1, 0, 0).$$

The entire matrix d_{ij} is a 12×12 matrix:

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D = \operatorname{diag}(1, 1, 1, 1, 1, 1, 1, 1, 1, 5, 0, 0).
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The symmetry group is $A = \mathbb{Z}_5 \times U(1)_Y \times U(1)_B$.

The \mathbb{Z}_5 -charges of the fields are: $q_{\mathbb{Z}_6} = (0, 2, 4 | 2, 1, 0 | 3, 1, 2 | 2, 4, 0)$.

More examples and applications:

- Remnant discrete symmetries in GUT models: Petersen, Ratz, Schieren, 0907.4049.
- NHDM scalar sector: Ivanov, Keus, Vdovin, 1112.1660; Ivanov, Lavoura, 1302.3656; Branco, Ivanov, 1511.02764,
- 3HDM quark sector: Ivanov, Nishi, 1309.3682, Nishi, 1411.4909,
- flavor symmetry groups in SO(10) GUT models with any number of Higgses in 10, 126, 120 irreps. Ivanov, Lavoura, 1511.02720.

Next task: find all rephasing symmetry groups possible with the given field content, and do it efficiently, avoiding case-by-case checks.

This is encoded in the structures of all possible matrices d_{ii} built of rows of special type, such as

$$(2, -2, 0, 0, \dots), (2, -1, -1, 0, \dots), (1, 1, -1, -1, 0, \dots),$$

up to permutations, for NHDM scalar potential, or

$$(1, -1, 1, 0, \dots), (-1, -1, 1, 0, \dots),$$

up to permutations, for NHDM Yukawa sector.

The main point:

$$|\det d| = |\det D| = \prod_j d_j.$$

The procedure is then the following:

- get rid of all "automatic" U(1)'s. For NHDM scalar sector it implies $U(N) \to U(N)/U(1) \simeq PSU(N)$;
- using the structure of d, find all values of $|\det d| = |A|$;
- if the prime decomposition of |A| involves only first powers, then A is uniquely determined without the need to explicitly find the SNF,
- if its prime decomposition involves higher powers, then one needs to explicitly find the SNF to resolve the ambiguity.

This analysis can be often done manually, without computer-algebra assistance.



For example,

- if |A| = 5, then the group A must be \mathbb{Z}_5 ;
- if |A| = 30, then the group A must be $\mathbb{Z}_2 \times \mathbb{Z}_3 \times \mathbb{Z}_5$;
- if |A|=4, then the group A can be either $\mathbb{Z}_2\times\mathbb{Z}_2$ or \mathbb{Z}_4 . One needs to check whether SNF is $(\ldots, 1, 2, 2)$ or $(\ldots, 1, 1, 4)$.

- scalar sector of NHDM: $|A| < 2^{N-1}$ for any N;
- NHDM with quarks: $|A| \le (N+1)^2/3$ for any N.

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In addition, one can often place the exact upper bound on |A|.

- scalar sector of NHDM: $|A| \le 2^{N-1}$ for any N;
- NHDM with quarks: $|A| \le (N+1)^2/3$ for any N.

What initially seemed to require a massive computer-assisted case by case check turns into an arithmetical exercise.



Non-abelian symmetries in 3HDM scalar sector

Strategy

The main problem

find all discrete symmetry groups G which can be implemented in 3HDM scalar sector without producing accidental symmetries.

- The scalar potential in any NHDM is symmetric under the simultaneous rephasing $\alpha_j=\alpha$, which is a part of $U(1)_Y$. We are interested in additional symmetries. Therefore, we will search, within 3HDM, for G's which are subgroups not of U(3) but of $PSU(3)=U(3)/U(1)=SU(3)/\mathbb{Z}_3$.
- Various families of discrete subgroups of SU(3) were studied in much detail, see e.g. the recent works Grimus, Ludl, 1006.0098, 1110.6376, and used in "group scans" in search of observed flavor-physics patterns. This body of literature does not help us much with our problem we face. We need a constructive approach to find all G's which answer the question.

4 D > 4 A > 4 E > 4 E > E 9 Q P

"Abelian LEGO" strategy

Step 1: find all possible discrete abelian groups $A_i \subset PSU(3)$; any allowed G can have only those abelian subgroups. These are "LEGO bricks" with which we will build a non-abelian model.



Step 2: build G by combining various A_i but avoid producing abelian groups not in the list!



Step 3: for each G built, check that it fits PSU(3) and that it does not produce accidental symmetry.



Step 1: Abelian groups in 3HDM

For N=3 we get the following finite $A_i \subset PSU(3)$:

$$A_i = \mathbb{Z}_2, \quad \mathbb{Z}_3, \quad \mathbb{Z}_4, \quad \mathbb{Z}_2 \times \mathbb{Z}_2, \quad \mathbb{Z}_3 \times \mathbb{Z}_3.$$

The last one is not a rephasing subgroup. Its full preimage in SU(3) is the famous $\Delta(27)$:

$$\Delta(27)/Z(SU(3)) \simeq \mathbb{Z}_3 \times \mathbb{Z}_3$$
.

For PSU(3), this is the only "new" group in addition to the rephasing groups Ivanov, Keus, Vdovin, 1112.1660.

This list is complete: imposing any other finite abelian symmetry group on the potential unavoidably leads to continuous symmetry group.

- Any finite (non-abelian) G must contain only these A_i,
- their orders have only two prime factors: 2 and $3 \Rightarrow$ by Cauchy's theorem,
- \bullet \Rightarrow by Burnside's $p^a q^b$ theorem, G is solvable (see introduction in Ivanov,

$$g^{-1}Ag = A \quad \forall g \in G$$
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- \bullet \Rightarrow so far, we don't have any restriction on the size and structure of G/A.
- We proved in Ivanov, Vdovin, 1210.6553, that, inside *PSU*(3), a stronger

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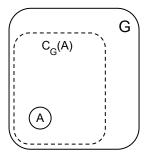
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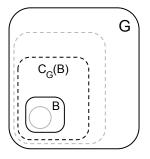


Consider A, abelian subgroup of G. Centralizer of A in G is the subgroup of all elements $g \in G$ which commute with all elements $x \in A$. We get

$$A \subseteq C_G(A) \subset G$$
.

If $A = C_G(A)$, then A is self-centralizing.

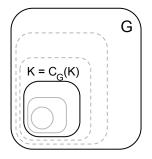
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If $A \subset C_G(A)$, pick up some $b \in C_G(A)$, $b \notin A$ and consider $B = \langle A, b \rangle$, which is also an abelian subgroup of G.

We then get:

$$A \subset B \subseteq C_G(B) \subseteq C_G(A) \subset G$$
.



If $B \subset C_G(B)$, pick up some $c \in C_G(B)$, $c \notin B$ and consider $C = \langle B, c \rangle$, which is also an abelian subgroup of G.

Repeat until we hit a self-centralizing (maximal) abelian subgroup:

$$A \subset B \subset \cdots \subset K = C_G(K) \subseteq \cdots \subseteq C_G(B) \subseteq C_G(A) \subset G$$
.

What happens if a maximal abelian (=self-centralizing) subgroup A is normal in G?

- If A is normal in G, then $g^{-1}Ag = A$, so g acts on elements of A by some group-preserving permutation (automorphism of A).
- So, for every $g \in G$ we get an automorphism $\in Aut(A)$. We get a map $f: G \to Aut(A)$.
- Note that $Ker f = C_G(A)$. Indeed, Ker f contains all elements g which induce the trivial permutation on A: $g^{-1}ag = a$ for all $a \in A$.
- If A is self-centralizing, Ker f = A. Therefore, map $\tilde{f} : G/A \to Aut(A)$ is injective: different elements of G/A map to different elements of Aut(A).
- Thus, $G/A \subseteq Aut(A)$, and G can be constructed as an extension of A by a subgroup of Aut(A).

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Automorphism groups

$$G = A \cdot P$$
, extension of A by P , $P \subseteq Aut(A)$.

Overview of possibilities:

Α	Aut(A)	"usable" subgroups P	
\mathbb{Z}_2	{1}	_	
\mathbb{Z}_3	\mathbb{Z}_2	\mathbb{Z}_2	
\mathbb{Z}_4	\mathbb{Z}_2	\mathbb{Z}_2	
$\mathbb{Z}_2\times\mathbb{Z}_2$	$GL_2(2) \simeq S_3$	\mathbb{Z}_2 , \mathbb{Z}_3 , S_3	
$\mathbb{Z}_3 imes \mathbb{Z}_3$	$GL_{2}(3)$	$\mathbb{Z}_2,\ \mathbb{Z}_4$	

Example: $A = \mathbb{Z}_4$. Then $Aut(\mathbb{Z}_4) = \mathbb{Z}_2$, so G is extension of \mathbb{Z}_4 by \mathbb{Z}_2 .

There are several possibilities.

- (1) extensions which lead to larger abelian groups $(\mathbb{Z}_8, \mathbb{Z}_4 \times \mathbb{Z}_2)$ are immediately excluded:

$$D_4 = \langle a, b | a^4 = 1, b^2 = 1, ab = ba^3 \rangle$$

$$b=\left(egin{array}{ccc} 0 & e^{i\delta} & 0 \ e^{-i\delta} & 0 & 0 \ 0 & 0 & -1 \end{array}
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- (1) extensions which lead to larger abelian groups $(\mathbb{Z}_8, \mathbb{Z}_4 \times \mathbb{Z}_2)$ are immediately excluded:
- (2) split extension $\mathbb{Z}_4 \rtimes \mathbb{Z}_2 \simeq \mathbb{D}_4$:

$$D_4 = \langle a, b | a^4 = 1, b^2 = 1, ab = ba^3 \rangle$$
.

If a = diag(i, -i, 1), then

$$b = \begin{pmatrix} 0 & e^{i\delta} & 0 \\ e^{-i\delta} & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} \quad \text{with arbitrary } \delta.$$

A generic \mathbb{Z}_4 potential can be brought to the form $V_0 + V_{\mathbb{Z}_4}$, where

$$V_0 = -\sum_{a} m_a^2 (\phi_a^\dagger \phi_a) + \sum_{a,b} \lambda_{ab} (\phi_a^\dagger \phi_a) (\phi_b^\dagger \phi_b) + \sum_{a \neq b} \lambda'_{ab} (\phi_a^\dagger \phi_b) (\phi_b^\dagger \phi_a) \,,$$

and

$$V_{\mathbb{Z}_4} = \lambda_1(\phi_3^\dagger\phi_1)(\phi_3^\dagger\phi_2) + \lambda_2(\phi_1^\dagger\phi_2)^2 + h.c.$$

$$(\phi_1^{\dagger}\phi_2)^2 \mapsto e^{-4i\delta}(\phi_2^{\dagger}\phi_1)^2$$
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and

$$V_{\mathbb{Z}_4} = \lambda_1(\phi_3^\dagger\phi_1)(\phi_3^\dagger\phi_2) + \lambda_2(\phi_1^\dagger\phi_2)^2 + h.c.$$

The λ_1 term is invariant under b, while the λ_2 term transforms as

$$(\phi_1^{\dagger}\phi_2)^2 \mapsto e^{-4i\delta}(\phi_2^{\dagger}\phi_1)^2$$
.

If we restrict parameters of V_0 ($m_{11}^2 = m_{22}^2$, $\lambda_{11} = \lambda_{22}$, $\lambda_{13} = \lambda_{23}$, $\lambda'_{13} = \lambda'_{23}$) then the potential is symmetric under one particular D_4 group in which the value of $\delta = \arg \lambda_2/2$.

(3) quaternion group $Q_4 = \langle a, b | a^4 = 1, b^2 = a^2, ab = ba^3 \rangle$. If a = diag(i, -i, 1), then

$$b(Q_4) = \left(egin{array}{ccc} 0 & e^{i\delta} & 0 \ -e^{-i\delta} & 0 & 0 \ 0 & 0 & 1 \end{array}
ight) \,.$$

Again, the \mathbb{Z}_4 part of the potential:

$$V_{\mathbb{Z}_4} = \lambda_1(\phi_3^\dagger\phi_1)(\phi_3^\dagger\phi_2) + \lambda_2(\phi_1^\dagger\phi_2)^2 + h.c.$$

Upon this b, the λ_1 term changes its sign. The only way to impose Q_4 is to set $\lambda_1 = 0$. But then the potential becomes invariant under a continuous transformation: diag($e^{i\alpha}$, $e^{i\alpha}$, 1).

We conclude that Q_4 cannot be the finite symmetry group of potential.



Finite symmetry groups for N=3

We performed this kind of analysis for all abelian groups we have.

Results:

$$\begin{split} \mathbb{Z}_2\,, \quad \mathbb{Z}_3\,, \quad \mathbb{Z}_4\,, \quad \mathbb{Z}_2 \times \mathbb{Z}_2\,, \quad S_3\,, \quad D_4\,, \quad A_4\,, \quad S_4\,, \\ (\mathbb{Z}_3 \times \mathbb{Z}_3) \rtimes \mathbb{Z}_2 &= \Delta(54)/\mathbb{Z}_3\,, \qquad (\mathbb{Z}_3 \times \mathbb{Z}_3) \rtimes \mathbb{Z}_4 &= \Sigma(36)\,. \end{split}$$

This list is complete: trying to impose any other finite symmetry group will lead to a potential symmetric under a continuous group.

For each G, we constructed the general G-invariant potential

 \Rightarrow this allows us to prove the absence of accidental symmetries in each case.

Further developments

Search for GCPs

It may happen that G-invariant potential is automatically invariant under a generalized *CP* (GCP) transformation:

$$J:\phi_i\mapsto X_{ij}\phi_j^*$$
.

For each G, we searched for such J satisfying conditions:

$$J^2 = XX^* \in G$$
, $J^{-1}\rho_g J = X\rho_g X^{\dagger} = \rho_{g'}$.

and looked whether it implies new constraints.

 \mathbb{Z}_4 , D_4 , A_4 , S_4 , $\Sigma(36)$ indeed force explicit *CP*-conservation. The others do not (this possibility was absent in 2HDM).

Search for GCPs

Matrix *d* plays a role in the problem.

$$d(A_4) = \begin{pmatrix} -2 & 2 & 0 \\ 0 & -2 & 2 \\ 2 & 0 & -2 \end{pmatrix}, \quad d(\Delta(54)) = \begin{pmatrix} -2 & 1 & 1 \\ 1 & -2 & 1 \\ 1 & 1 & -2 \end{pmatrix}.$$

- For A_4 , -d = d up to permutations \rightarrow explicit *CP*-conservation.
- For $\Delta(27)$, $-d \neq d \rightarrow$ possibility for explicit *CP*-violation.

CP4 3HDM

One peculiar possibility is 3HDM with CP4 (= GCP of order 4) without any other symmetry, Ivanov, Silva, 1512.09276.

- assumes very little: this is the minimal model realizing CP4. This is the first ever model based on CP4 without any accidental symmetry.
- CP4 can be extended to Yukawa sector, Aranda, Ivanov, Jimenez, 1608.08922.
- It is tractable analytically and is guite predictive.

In short, a good balance of minimality, predictiveness, and peculiarity. We are exploring its phenomenology.

Symmetry breaking patterns in NHDM

The vacuum expectation value alignment $\langle \phi_i^0 \rangle = v_i e^{i\xi_i} / \sqrt{2}$ of a G-symmetric NHDM can be invariant under a residual symmetry group $G_{V} \subseteq G$.

Phenomenology depends on how much of G is broken! G-symmetric NHDM can lead to viable quark masses and CKM only if G is broken completely in the space of "active" doublets Leurer, Nir, Seiberg, hep-ph/9212278; Gonzalez Felipe et al, 1401.5807.

Symmetry breaking in 3HDM

Results on strongest and weakest breaking of discrete symmetries in 3HDM and on spontaneous CP-violation, Ivanov, Nishi, 1410.6139.

group	G	$ G_v _{min}$	$ G_v _{max}$	sCPv possible?
abelian	2, 3, 4, 8	1	<i>G</i>	yes
$\mathbb{Z}_3 \rtimes \mathbb{Z}_2^*$	6	1	6	yes
S_3	6	1	6	_
$\mathbb{Z}_4 \rtimes \mathbb{Z}_2^*$	8	2	8	no
$S_3 imes \mathbb{Z}_2^*$	12	2	12	yes
$D_4 imes \mathbb{Z}_2^*$	16	2	16	no
$A_4 times \mathbb{Z}_2^*$	24	4	8	no
$S_4 imes \mathbb{Z}_2^*$	48	6	16	no
<i>CP</i> -violating $\Delta(27)$	18	6	6	_
<i>CP</i> -conserving $\Delta(27)$	36	6	12	yes
Σ(36)	72	12	12	no

The moral

The moral

When building bSM models, do not ignore unconventional mathematical tools. They may help you answer questions which traditional "poor physicist's methods" just cannot handle.