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# ***Connecting $\alpha$ , $\beta$ , $\delta$ and $Y_B$ with flavor and CP symmetries***

C. Hagedorn

CP<sup>3</sup>-Origins, SDU, Odense, Denmark

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CP<sup>3</sup> Origins  
Cosmology & Particle Physics

SDU 

# *Outline*

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- underlying scenario for leptonic CP phases  $\alpha, \beta, \delta$
- framework for leptogenesis
  - some generalities
  - correlations between  $\alpha, \beta, \delta$  and  $Y_B$  via leptogenesis
- conclusions

## ***Scenario for CP phases $\alpha, \beta, \delta$***

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- leptonic mixing arises from mismatch of residual symmetries  $G_e$  and  $G_\nu$
- features:  
  - prediction of Majorana phases becomes possible, if neutrino sector is invariant under CP
  - at the same time lepton mixing angles are less constrained and non-trivial Dirac phase possible, if neutrinos are invariant under residual flavor  $Z_2$  only

# ***Scenario for CP phases $\alpha, \beta, \delta$***

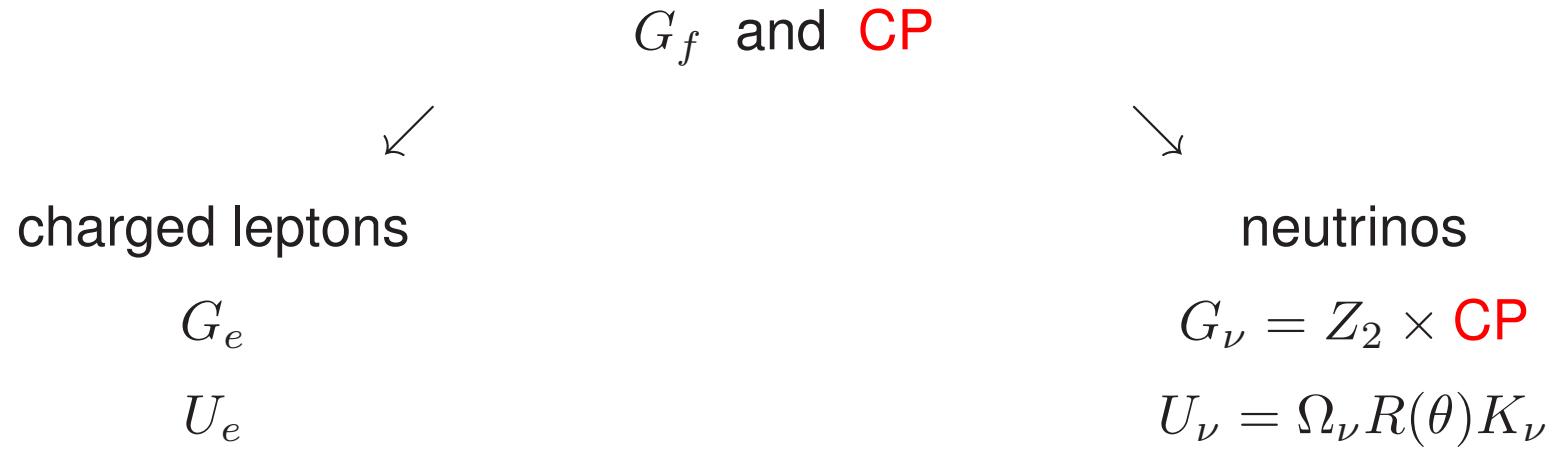
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- CP symmetry acts non-trivially on flavor space  
 $\phi_i \rightarrow X_{ij} \phi_j^*$  and  $XX^\dagger = XX^* = 1$  (**Grimus/Rebelo ('95)**)
- leptonic mixing arises from mismatch of residual symmetries  $G_e$  and  $G_\nu$
- in a theory with  $G_f$  and CP certain conditions have to be fulfilled

(**Feruglio/H/Ziegler ('12,'13), Holthausen et al. ('12), Chen et al. ('14)**)

# **Scenario for CP phases $\alpha, \beta, \delta$**

(Feruglio/H/Ziegler ('12,'13))



$$U_{PMNS} = U_e^\dagger \Omega_\nu R(\theta) K_\nu$$

[Masses do not play a role in this approach.]

# ***Scenario for CP phases $\alpha, \beta, \delta$***

---

$$U_{PMNS} = U_e^\dagger \Omega_\nu R(\theta) K_\nu$$

- 3 unphysical phases are removed by  $U_e \rightarrow U_e K_e$
- $U_{PMNS}$  contains one free parameter  $\theta$
- permutations of rows and columns of  $U_{PMNS}$  possible



## Predictions

Mixing angles and all CP phases are predicted  
in terms of one free parameter  $\theta$  only,  
up to permutations of rows/columns

# **Scenario for CP phases $\alpha, \beta, \delta$**

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(H/Meroni/Molinaro ('14); see also Ding et al. ('14))

$\Delta(3 n^2), \Delta(6 n^2)$  and **CP**

charged leptons

$$G_e = Z_3$$

$$U_e$$

neutrinos

$$G_\nu = Z_2 \times \mathbf{CP}$$

$$U_\nu = \Omega_\nu R(\theta) K_\nu$$

$$U_{PMNS} = U_e^\dagger \Omega_\nu R(\theta) K_\nu$$

four different types of mixing patterns with different characteristics

# ***Scenario for CP phases $\alpha, \beta, \delta$***

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Case 1)

(H/Meroni/Molinaro ('14))

- one column is trimaximal, i.e.  $\sin^2 \theta_{12} \gtrsim \frac{1}{3}$
- Dirac phase is trivial, i.e.  $\delta = 0, \pi$
- one of the Majorana phases  $\beta$  is also trivial, i.e.  $\sin \beta = 0$
- value of Majorana phase  $\alpha$  depends on choice of CP transformation  $X = X(s)$

$$|\sin \alpha| = |\sin 6 \phi_s| \quad \text{with} \quad \phi_s = \frac{\pi s}{n} \quad \text{and} \quad s = 0, \dots, n-1$$

# ***Scenario for CP phases $\alpha, \beta, \delta$***

---

Case 1) and  $n = 4$ : all choices of  $s$  are viable

- fixing  $\theta \approx 0.18$  or  $\theta \approx 2.96$ , we find

$$\sin^2 \theta_{13} \approx 0.0219 , \quad \sin^2 \theta_{12} \approx 0.341 \quad \text{and} \quad \sin^2 \theta_{23} \approx \begin{cases} 0.605 \\ 0.395 \end{cases}$$

so, lepton mixing angles are compatible with global fit data

- non-trivial Majorana phase  $\alpha$  is predicted

$s$	0	1	2	3
$\sin \alpha$	0	1	0	-1

# ***Scenario for CP phases $\alpha, \beta, \delta$***

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Case 3 b.1)

(H/Meroni/Molinaro ('14))

- first column is fixed via choice of residual  $Z_2$  symmetry  $Z_2(m)$  in neutrino sector
- in particular, solar mixing angle constrains  $m$  to be  $m \approx \frac{n}{2}$
- free parameter  $\theta$  is fixed by reactor mixing angle
- for  $m = \frac{n}{2}$  we find **lower limit** on CP violation via Dirac phase

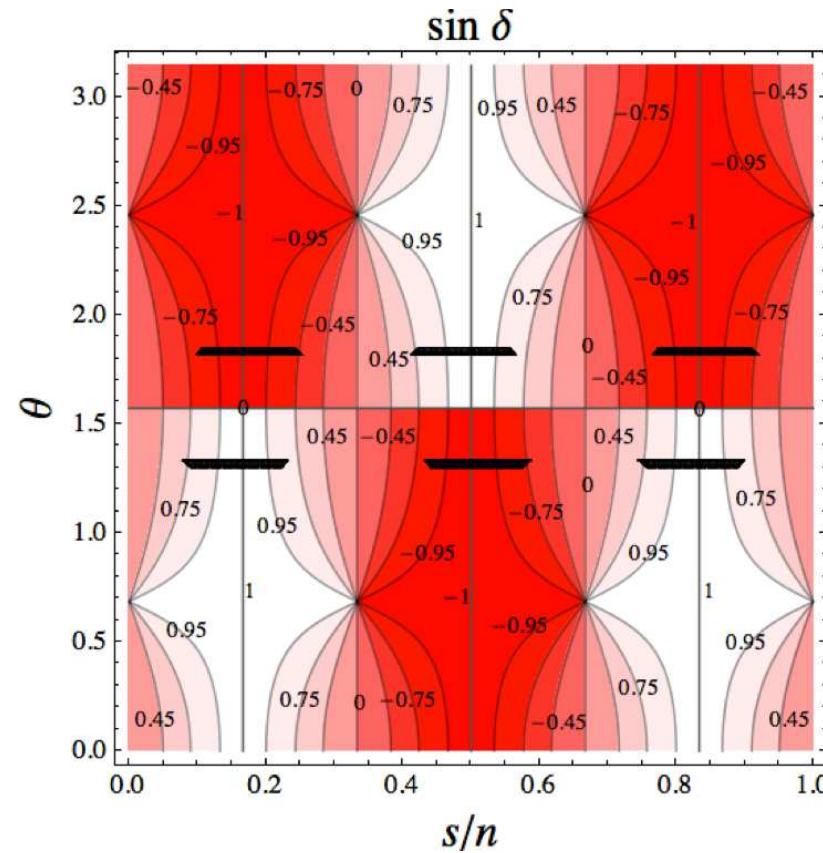
$$|\sin \delta| \gtrsim 0.71$$

and both **Majorana phases  $\alpha, \beta$**  depend on  $X = X(s)$  only

$$|\sin \alpha| = |\sin \beta| = |\sin 6\phi_s| \quad \text{with} \quad \phi_s = \frac{\pi s}{n} \quad \text{and} \quad s = 0, \dots, n-1$$

# *Scenario for CP phases $\alpha, \beta, \delta$*

Results for Dirac phase  $\delta$  for  $m/n = 1/2$  and  $n = 20$



# ***Scenario for CP phases $\alpha, \beta, \delta$***

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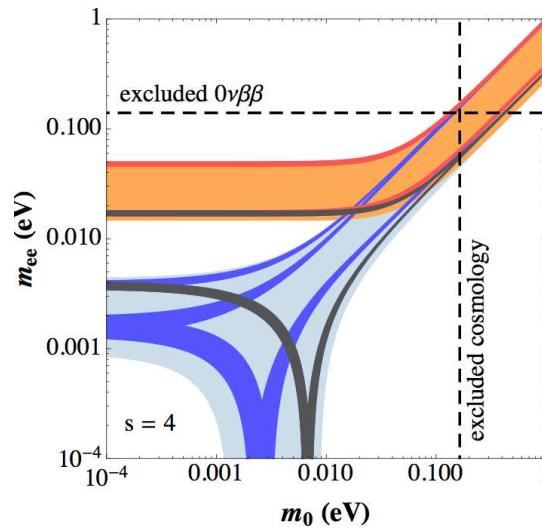
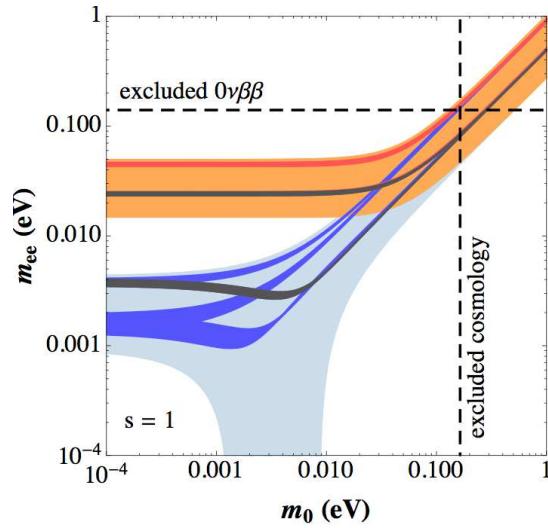
Case 3 b.1) and  $n = 8, m = 4$ :

some viable choices of  $s$

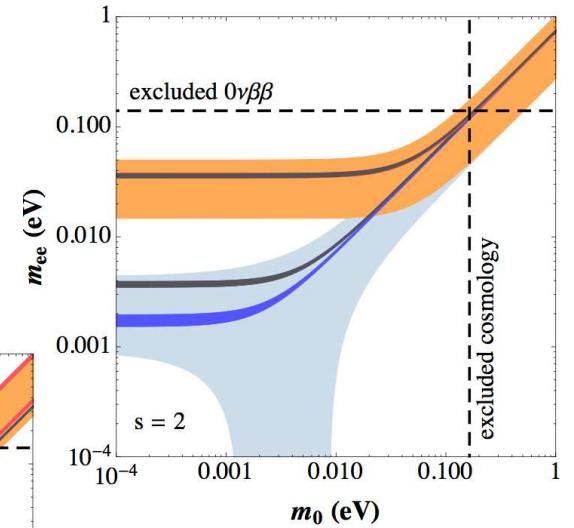
$s$	$\sin^2 \theta_{13}$	$\sin^2 \theta_{12}$	$\sin^2 \theta_{23}$	$\sin \delta$	$\sin \alpha = \sin \beta$
$s = 1$	0.0220	0.318	0.579	0.936	$-1/\sqrt{2}$
	0.0220	0.318	0.421	-0.936	$-1/\sqrt{2}$
$s = 2$	0.0216	0.319	0.645	-0.739	1
$s = 4$	0.0220	0.318	0.5	$\mp 1$	0

# *Scenario for CP phases $\alpha, \beta, \delta$*

Case 3 b.1) and  $n = 8, m = 4$



(H/Molinaro ('16))



See also works by *Ding, King, Neder*.

# *Framework for leptogenesis*

---

- type-1 seesaw mechanism
- three RH neutrinos  $N_i$  forming **3**
- explain  $Y_B = (8.65 \pm 0.09) \times 10^{-11}$  (*Planck ('15)*)
- leptogenesis mechanism: **unflavored leptogenesis**  
*(Fukugita/Yanagida ('86))*

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i.e.

$$10^{12} \text{ GeV} \lesssim M_i \lesssim 10^{14} \text{ GeV}$$

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$$10^{12} \text{ GeV} \lesssim M_i \lesssim 10^{14} \text{ GeV}$$

- all RH neutrinos  $N_i$  can be relevant for baryon asymmetry

$$Y_B = \sum_{i=1}^3 Y_{Bi}$$

# *Framework for leptogenesis*

---

- relation of  $Y_{Bi}$  to lepton asymmetry

$$Y_{Bi} \approx 1.38 \times 10^{-3} \epsilon_i \sum_{j=1}^3 \eta_{ij}$$

with  $\epsilon_i$ : CP asymmetry from  $N_i$  decays

$\eta_{ij}$ : efficiency factor with  $10^{-3} \lesssim \eta_{ij} \lesssim 1$

- computation of  $\epsilon_i$

$$\epsilon_i = -\frac{\sum_\alpha [\Gamma(N_i \rightarrow H l_\alpha) - \Gamma(N_i \rightarrow H^\star \bar{l}_\alpha)]}{\sum_\alpha [\Gamma(N_i \rightarrow H l_\alpha) + \Gamma(N_i \rightarrow H^\star \bar{l}_\alpha)]}$$

which should be of order  $10^{-4} \gtrsim \epsilon_i \gtrsim 10^{-7}$

# *Framework for leptogenesis*

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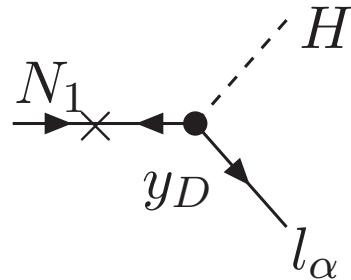
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- diagrammatically:  
the CP asymmetry arises from interference of tree-level diagram



# Framework for leptogenesis

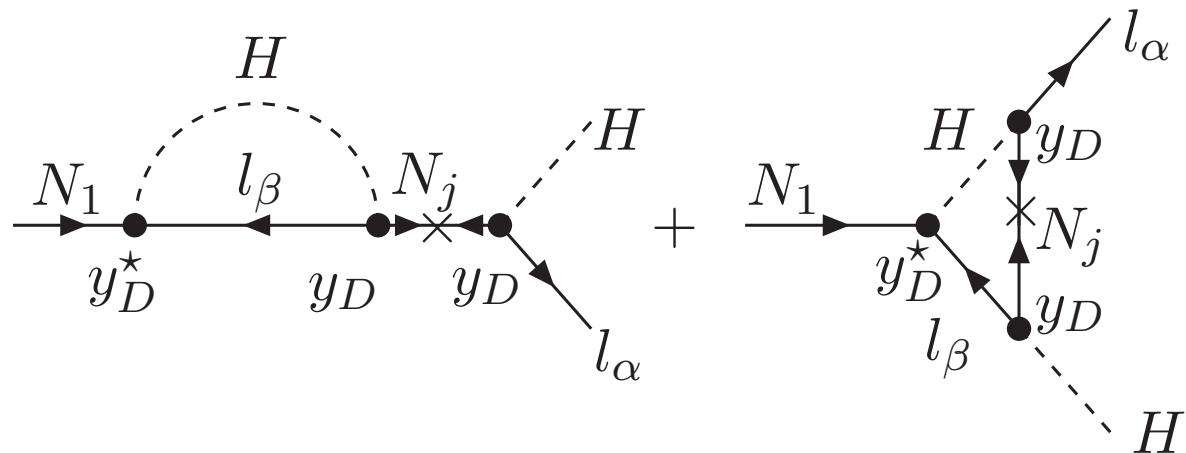
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- diagrammatically:  
and one-loop diagrams



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- in formula:

$$\epsilon_i = -\frac{1}{8\pi} \sum_{j \neq i} \frac{\text{Im} \left( (\hat{Y}_D^\dagger \hat{Y}_D)_{ij}^2 \right)}{(\hat{Y}_D^\dagger \hat{Y}_D)_{ii}} f(M_j/M_i)$$

with  $\hat{Y}_D$  Dirac Yukawa coupling of neutrinos in RH neutrino mass basis  
and  $f(\cdot)$  loop function

# *Framework for leptogenesis*

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(H/Molinaro ('16))

- charged lepton mass matrix  $m_e$  invariant under  $G_e = Z_3$  and thus flavor diagonal in the chosen basis  
**(no change with respect to study above)**

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- charged lepton mass matrix  $m_e$  invariant under  $G_e = Z_3$  and thus flavor diagonal in the chosen basis  
**(no change with respect to study above)**
- light neutrino mass matrix invariant under  $G_\nu = Z_2 \times CP$  in context of type-1 seesaw mechanism
  - Dirac Yukawa coupling of neutrinos invariant under  $G_f$  and CP

$$Y_D = y_0 \mathbf{1}$$

- lepton mixing encoded in RH neutrino mass matrix  $M_R$ , i.e.  $M_R$  is most general Majorana mass matrix invariant under  $G_\nu = Z_2 \times CP$

$$U_R^T M_R U_R = \text{diag } (M_1, M_2, M_3) \quad \text{with} \quad U_R = \Omega_\nu R_{ij}(\theta) K_\nu$$

# *Framework for leptogenesis*

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- charged lepton mass matrix  $m_e$  invariant under  $G_e = Z_3$  and thus flavor diagonal in the chosen basis  
**(no change with respect to study above)**
- light neutrino mass matrix invariant under  $G_\nu = Z_2 \times CP$  we find
  - seesaw relation of light and heavy neutrino masses

$$m_i \propto \frac{1}{M_i}$$

- PMNS mixing matrix is given by

$$U_{PMNS} = U_\nu = U_R = \Omega_\nu R_{ij}(\theta) K_\nu$$

# *Framework for leptogenesis*

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- however, CP asymmetries  $\epsilon_i$  vanish,  
since

$$\hat{Y}_D^\dagger \hat{Y}_D \propto 1$$

known in flavor symmetry-only context (*Jenkins/Manohar ('08),  
Bertuzzo et al. ('09), H/Molinaro/Petcov ('09), Aristizabal Sierra et al. ('09))*)

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*Bertuzzo et al. ('09), H/Molinaro/Petcov ('09), Aristizabal Sierra et al. ('09))*)

- in order to achieve non-zero  $\epsilon_i$  further symmetry breaking is needed,  
in particular

$$\hat{Y}_D = Y_D U_R$$

should get corrections,  
i.e.

$$\hat{Y}_D = Y_D U_R = (Y_D^0 + \delta Y_D) (U_R^0 \delta U_R)$$

# *Framework for leptogenesis*

---

- notice  $\delta U_R$  can only be effective, if there is also  $\delta Y_D$
- we focus on  $\delta Y_D \neq 0$
- we get then

$$\hat{Y}_D^\dagger \hat{Y}_D \approx U_R^\dagger \left( (Y_D^0)^\dagger Y_D^0 + (\delta Y_D)^\dagger Y_D^0 + (Y_D^0)^\dagger \delta Y_D \right) U_R$$

- the **first term** is irrelevant, since  $(Y_D^0)^\dagger Y_D^0 \propto 1$
- the **second** and **third term** depend in general not only on CP phases contained in  $U_R$ ,  
but also on phases encoded in correction  $\delta Y_D$
- four instances in which phases of  $\delta Y_D$  become irrelevant ...

# *Framework for leptogenesis*

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- four instances in which phases of  $\delta Y_D$  become irrelevant ...
  - i)  $(Y_D^0)^\dagger \delta Y_D$  is real
  - ii)  $(Y_D^0)^\dagger \delta Y_D$  is imaginary
  - iii)  $(Y_D^0)^\dagger \delta Y_D$  is complex and symmetric
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  - iv)  $(Y_D^0)^\dagger \delta Y_D$  is complex and antisymmetric
- condition iii) can naturally be realized
  - $Y_D^0 \propto 1$  at leading order
  - $\delta Y_D$  flavor diagonal, since invariant under  $G_e = Z_3$   
 $\delta Y_D$  has two (in general complex) parameters

# *Framework for leptogenesis*

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- comment on size of  $\epsilon_i$ :  
for  $\delta Y_D \propto \kappa$  ( $\kappa$  symmetry breaking parameter) we find

$$\epsilon_i \propto \kappa^2$$

that fits for  $\kappa \sim 10^{-(2 \div 3)}$  the needed order of magnitude for  $\epsilon_i$

# ***Framework for leptogenesis***

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First, assume  $U_R$  to be of general form of PMNS mixing matrix

- we use parametrization for  $\delta Y_D$

$$\text{Re}(z_1) = z \cos \zeta \quad \text{and} \quad \text{Re}(z_2) = z \sin \zeta$$

- formulae become simple, if we assume  $z_2 = 0$ , i.e. we get

$$\epsilon_1 \approx -\frac{3z^2}{2\pi} \kappa^2 \left[ I_1 f\left(\frac{m_1}{m_2}\right) + I_2 f\left(\frac{m_1}{m_3}\right) \right]$$

$$\epsilon_2 \approx \frac{3z^2}{2\pi} \kappa^2 \left[ I_1 f\left(\frac{m_1}{m_2}\right) - I_3 f\left(\frac{m_2}{m_3}\right) \right]$$

$$\epsilon_3 \approx \frac{3z^2}{2\pi} \kappa^2 \left[ I_2 f\left(\frac{m_3}{m_1}\right) + I_3 f\left(\frac{m_3}{m_2}\right) \right]$$

# *Framework for leptogenesis*

---

- form of  $I_i$

$$I_1 = \text{Im}[U_{PMNS,12}^2 (U_{PMNS,11}^*)^2] = \sin^2 \theta_{12} \cos^2 \theta_{12} \cos^4 \theta_{13} \sin \alpha$$

$$I_2 = \text{Im}[U_{PMNS,13}^2 (U_{PMNS,11}^*)^2] = \sin^2 \theta_{13} \cos^2 \theta_{12} \cos^2 \theta_{13} \sin \beta$$

$$I_3 = \text{Im}[U_{PMNS,13}^2 (U_{PMNS,12}^*)^2] = \cos^2 \theta_{13} \sin^2 \theta_{13} \sin^2 \theta_{12} \sin(\beta - \alpha)$$

- using experimental constraints for lepton mixing angles

$$I_1 \approx (0.18 \div 0.22) \sin \alpha$$

$$I_2 \approx (1.2 \div 1.8) \times 10^{-2} \sin \beta$$

$$I_3 \approx (4.9 \div 8.4) \times 10^{-3} \sin(\beta - \alpha)$$

- so, term with  $I_1$  dominates, if  $\sin \alpha$  is not suppressed

# ***Framework for leptogenesis***

---

- we also consider  $z_1 = 0$  with

$$\beta = k_\beta \pi , \quad \delta = k_\delta \pi \quad \text{with} \quad k_{\beta,\delta} = 0, 1$$

- we find

$$\epsilon_1 \approx -\frac{z^2}{2\pi} \kappa^2 \sin \alpha \left[ \frac{1}{2} (1 + \sin^2 \theta_{13}) \sin 2\theta_{12} \cos 2\theta_{23} \right.$$

$$\left. + (-1)^{k_\delta} \sin \theta_{13} \cos 2\theta_{12} \sin 2\theta_{23} \right]^2 f\left(\frac{m_1}{m_2}\right)$$

$$\epsilon_3 \approx \frac{z^2}{2\pi} \kappa^2 (-1)^{k_\beta+1} \sin \alpha \cos^2 \theta_{13} \left[ \cos \theta_{12} \sin 2\theta_{23} \right.$$

$$\left. + (-1)^{k_\delta} \sin \theta_{13} \sin \theta_{12} \cos 2\theta_{23} \right]^2 f\left(\frac{m_3}{m_2}\right)$$

# *Framework for leptogenesis*

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- we also consider  $z_1 = 0$  with

$$\beta = k_\beta \pi , \quad \delta = k_\delta \pi \quad \text{with} \quad k_{\beta,\delta} = 0, 1$$

- as well as

$$\epsilon_2 = -\epsilon_1 f\left(\frac{m_2}{m_1}\right) \left(f\left(\frac{m_1}{m_2}\right)\right)^{-1} - \epsilon_3 f\left(\frac{m_2}{m_3}\right) \left(f\left(\frac{m_3}{m_2}\right)\right)^{-1}$$

# *Framework for leptogenesis*

---

- we consider  $z_1 = 0$  instead with

$$\alpha = k_\alpha \pi , \quad \beta + 2\delta = k_\beta \pi \quad \text{with} \quad k_{\alpha,\beta} = 0, 1 ,$$

we get e.g.

$$\epsilon_3 \propto \frac{z^2}{\pi} \kappa^2 (-1)^{k_\beta} \sin \delta \sin \theta_{13} \cos^2 \theta_{13} \cos 2\theta_{23} (\dots)$$

# *Framework for leptogenesis*

---

Second, we discuss the results for Case 1)

- remember Case 1) leads to only  $\alpha$  non-trivial
- for  $\epsilon_{1,3}$  we find

$$\epsilon_1 \approx (-1)^{k_1} \frac{z^2}{3\pi} \kappa^2 \cos^2(\theta + \zeta) f\left(\frac{m_1}{m_2}\right) \sin 6\phi_s$$

and

$$\epsilon_3 \approx (-1)^{k_1+k_2} \frac{z^2}{3\pi} \kappa^2 \sin^2(\theta + \zeta) f\left(\frac{m_3}{m_2}\right) \sin 6\phi_s$$

# *Framework for leptogenesis*

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Third, we discuss the results for Case 3 b.1)

- in general, the analytic formulae are lengthy ...

# *Framework for leptogenesis*

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Third, we discuss the results for Case 3 b.1)

- in general, the analytic formulae are lengthy ...
- for this reason I only show them for  $m = n/2$  and  $s = n/2$  ( $n$  even)

$$\epsilon_1 \approx \frac{z^2 \kappa^2}{6 \sqrt{2} \pi} (-1)^{k_2} \left[ f\left(\frac{m_1}{m_2}\right) + (-1)^{k_1+1} f\left(\frac{m_1}{m_3}\right) \right] \sin 2\zeta \sin 2\theta$$

$$\epsilon_2 \approx -\frac{z^2 \kappa^2}{6 \sqrt{2} \pi} (-1)^{k_2} \left[ f\left(\frac{m_2}{m_1}\right) + (-1)^{k_1+k_2} f\left(\frac{m_2}{m_3}\right) \right] \sin 2\zeta \sin 2\theta$$

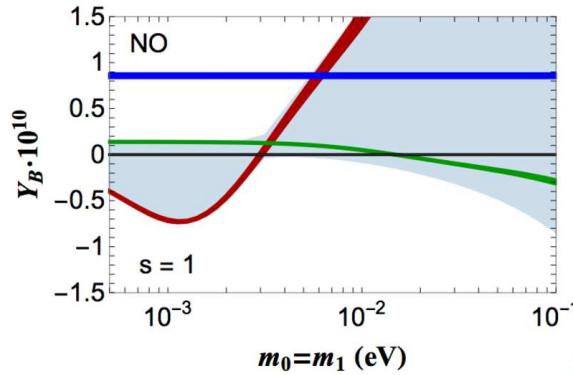
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(remember in this case only the CP phase  $\delta$  is non-trivial)

# Framework for leptogenesis

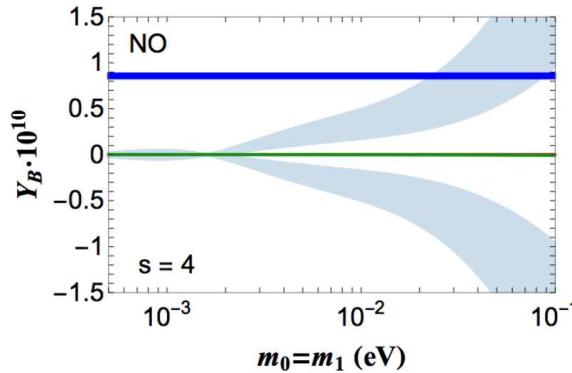
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(H/Molinaro ('16))

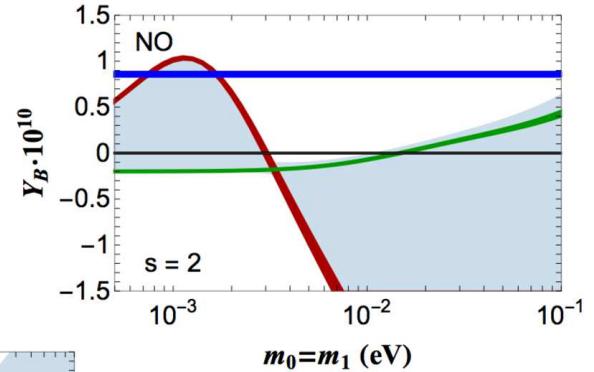


only  $\sin\delta$   
non-zero

$\sin\alpha < 0$



$\sin\alpha > 0$



# ***Comments on flavored leptogenesis***

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- we observe two major changes
  - change in relation between  $\epsilon_i^\alpha$  and  $\kappa$

$$\epsilon_i^\alpha \propto \kappa$$

- in general the sign of  $Y_B$  cannot only depend anymore on sine of CP phases and light neutrino mass spectrum, e.g. for Case 1) we find

$$\epsilon_1^\alpha \approx (-1)^{k_1+1} \frac{y_0 z}{6 \sqrt{3} \pi} \kappa \cos(\theta + \zeta) \cos(\theta + \rho_\alpha \frac{\pi}{3}) \sin 6 \phi_s f\left(\frac{m_1}{m_2}\right)$$

$$\epsilon_3^\alpha \approx (-1)^{k_1+k_2+1} \frac{y_0 z}{6 \sqrt{3} \pi} \kappa \sin(\theta + \zeta) \sin(\theta + \rho_\alpha \frac{\pi}{3}) \sin 6 \phi_s f\left(\frac{m_3}{m_2}\right)$$

with  $\rho_e = 3$ ,  $\rho_\mu = 1$  and  $\rho_\tau = -1$

# ***Comments on flavored leptogenesis***

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- you can also consider  $Y_D$  invariant under  $G_\nu = Z_2 \times CP$  only
- then CP asymmetries do not vanish – even without  $\delta Y_D$
- however, there is in general no one-to-one correspondence between  $\alpha, \beta, \delta$  and sign of  $Y_B$ , e.g. we find for **Case 2)**

$$\epsilon_{1(3)}^\alpha = \frac{y_1 y_3 (y_{1(3)}^2 - y_{3(1)}^2) \sin 2(\theta - \theta_R) \sin(\phi_u - \rho_\alpha \frac{\pi}{3})}{48 \pi \left( y_{1(3)}^2 \cos^2(\theta - \theta_R) + y_{3(1)}^2 \sin^2(\theta - \theta_R) \right)} \\ \times \left[ (-1)^{k_2} f\left(\frac{M_{3(1)}}{M_{1(3)}}\right) + g\left(\frac{M_{3(1)}}{M_{1(3)}}\right) \right]$$

and  $\epsilon_2^\alpha$  vanish

For further studies of flavored leptogenesis in theories with flavor & CP symmetry

see **Mohapatra/Nishi ('15), Chen et al. ('16), Yao/Ding ('16).**

# ***Conclusions***

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- flavor and CP symmetries can be powerful in constraining lepton mixing parameters, in particular leptonic CP phases
- series of  $\Delta(3 n^2)$  and  $\Delta(6 n^2)$ ,  $n \geq 2$ , and CP are very interesting
- strong correlation of  $\alpha$ ,  $\beta$ ,  $\delta$  and  $Y_B$  possible in unflavored leptogenesis framework

Thank you for your attention.