



Universität Hamburg

DER FORSCHUNG | DER LEHRE | DER BILDUNG

Discrete symmetries in semi-realistic orientifold compactifications

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work in progress

with P. Anastasopoulos, M. Cvetič, J. Halverson, and P. Vaudrevange.

Bad Honnef - 03/10/2012

Plan of the talk

- ✧ Motivation
- ✧ Discrete gauge symmetries in the MSSM
- ✧ Intersecting D-Brane Models
- ✧ Bottom-up search
- ✧ Discrete symmetries in D-brane compactifications
- ✧ Discrete symmetries in local D-brane setups

Motivation

- ❖ Superpotential terms invariant under the Standard model gauge symmetries

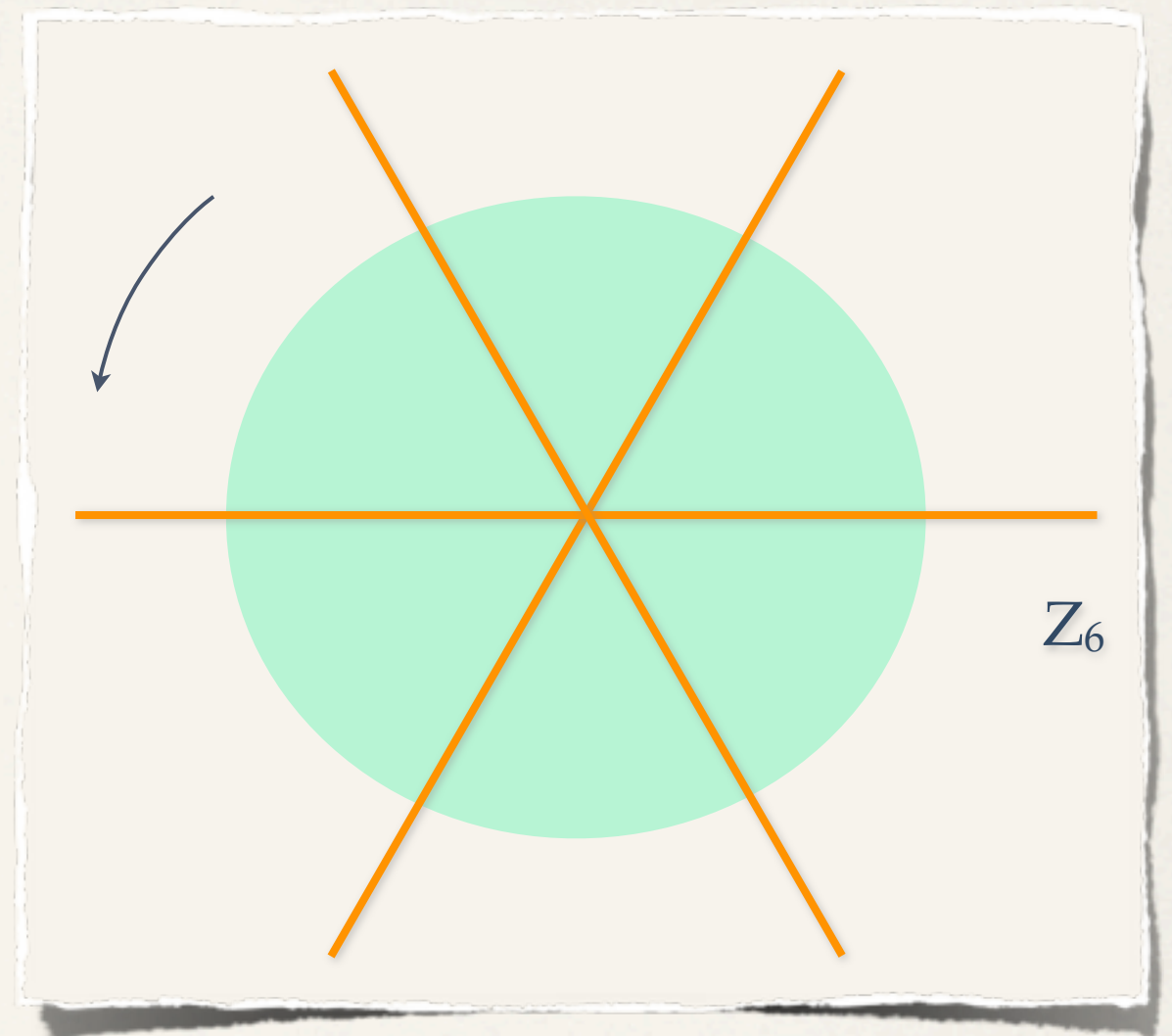
$$W = Q_L H_u u_R + Q_L H_d d_R + L H_d E_R + Q_L L d_R + d_R d_R u_R + L L E_R$$

- ❖ the first three terms gives masses to quarks and leptons
- ❖ the last three terms, if present, lead to **dangerously high** proton decay rate
- ❖ there are allowed dangerous dimension 5 operators $Q_L Q_L Q_L L$ and $u_R u_R d_R E_R$
- ❖ **discrete symmetries** may explain the absence of these undesired terms
- ❖ However, **global discrete symmetries** are expected to be violated in consistent theory of quantum gravity
- ❖ an exception are discrete symmetries that have a **gauge symmetry origin**

 **discrete gauge symmetries**

Motivation

- ❖ Those **discrete gauge symmetries** are subject to **discrete anomaly cancellation conditions**, just as normal gauge symmetries are.
- ❖ Intriguing **discrete gauge symmetries**:
 - **Matter parity**: M_2 forbids the R-parity violating terms
 - **Baryon triality**: B_3 forbids the dangerous dimension 5 operators
 - **Proton hexality**: P_6 forbids the R-parity violating terms and the dangerous dimension 5 operators
- ❖ In this talk we will investigate the presence and the role of **discrete gauge symmetries** in local **D-brane realizations of the MSSM**.



Discrete gauge symmetries in the MSSM

Discrete gauge symmetries in the MSSM

- * Discrete gauge symmetries are subject to discrete anomaly cancellations
- * Classification of all family independent discrete gauge symmetries within the MSSM:

Dreiner, Luhn, Thormeier

- Cancellation of mixed, cubic and gravitational anomalies:

$$A_{SU(3) \times SU(3) \times Z_N}, \quad A_{SU(2) \times SU(2) \times Z_N}, \quad A_{Z_N \times Z_N \times Z_N}, \quad A_{G \times G \times Z_N}$$

- Allowed Yukawa couplings : $Q_L H_u U$, $Q_L H_d D$, $L H_d E$

- * Any family independent discrete gauge symmetry of the MSSM can be expressed as:

$$g_N = R_N^m \times A_N^n \times L_N^p \quad \text{where} \quad m, n, p = 0, 1, \dots, N-1$$

Ibanez, Ross

Discrete gauge symmetries in the MSSM

- ✧ The **MSSM** particles are **charged** under these independent Z_N gauge symmetries:

	Q^i	U^i	D^i	L^i	E^i	N^i	H_u	H_d
R	0	-1	1	0	1	-1	1	-1
A	0	0	0	-1	1	1	0	0
L	0	0	-1	-1	0	1	0	0

- ✧ All possible **family independent** **discrete gauge symmetry** have been **classified**
- ✧ They belong to classes of Z_2 , Z_3 , Z_6 , Z_9 , Z_{18} Dreiner, Luhn, Thormeier
 - **Matter parity:** $M_2 = R_2$
 - **Baryon triality:** $B_3 = R_3 L_3$
 - **Proton hexality:** $P_6 = R_6^5 L_6^2$

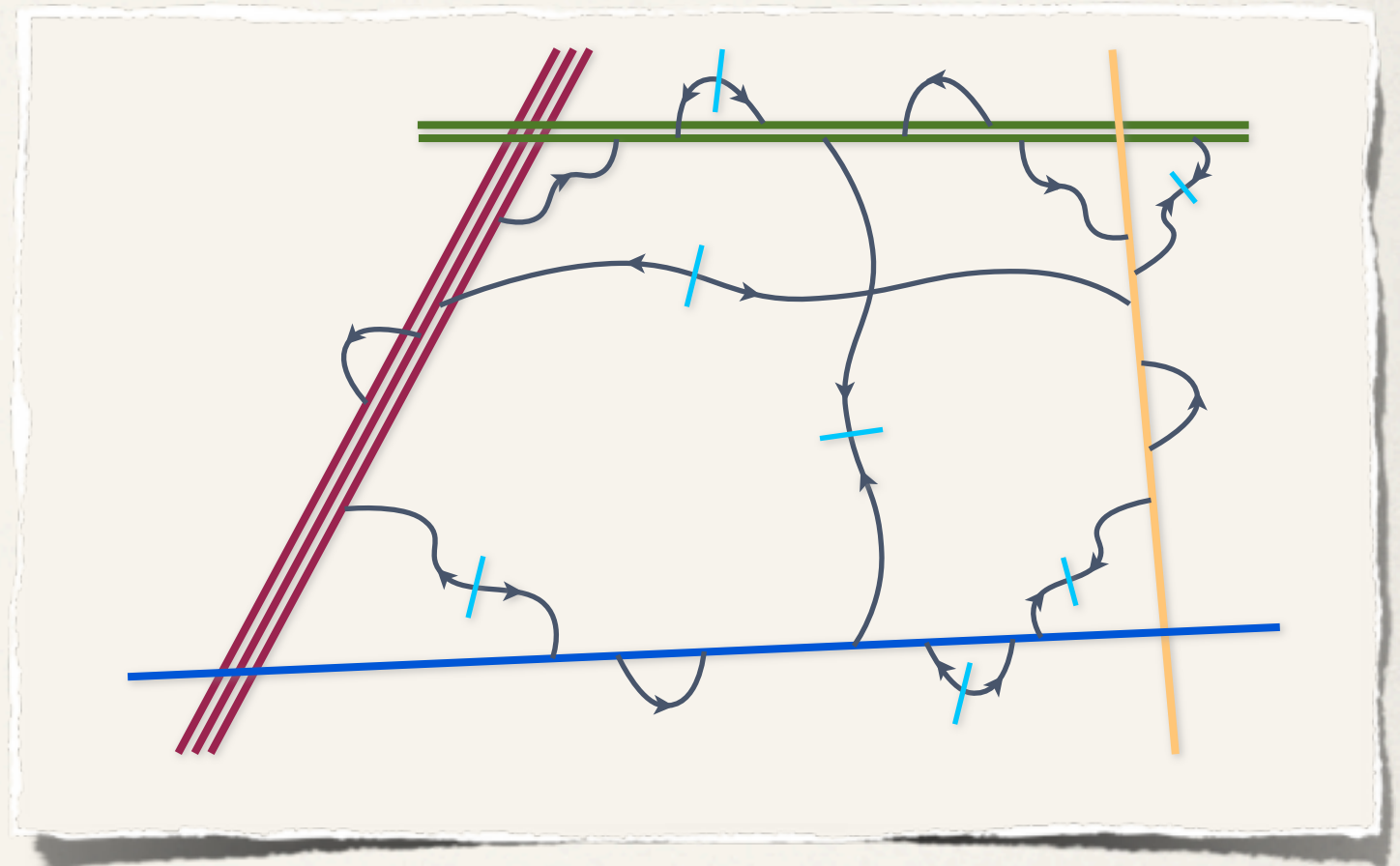
Discrete gauge symmetries vs couplings

- Physical consequences of the discrete gauge symmetries:

	R_2	$R_3 L_3$	R_3	L_3	$R_3^2 L_3$	$R_6^5 L_6^2$	R_6	$R_6^3 L_6^2$	$R_6 L_6^2$	all Z_9 & Z_{18}
$H_u H_d$	✓	✓	✓	✓	✓	✓	✓	✓	✓	
$H_u L$		✓								
$L L \bar{E}$		✓								
$L Q \bar{D}$		✓								
$\bar{U} \bar{D} \bar{D}$				✓						
$Q Q Q L$	✓		✓				✓			
$\bar{U} \bar{U} \bar{D} \bar{E}$	✓		✓				✓			
$L H_u L H_u$	✓	✓				✓				

Dreiner Luhn Thormeier

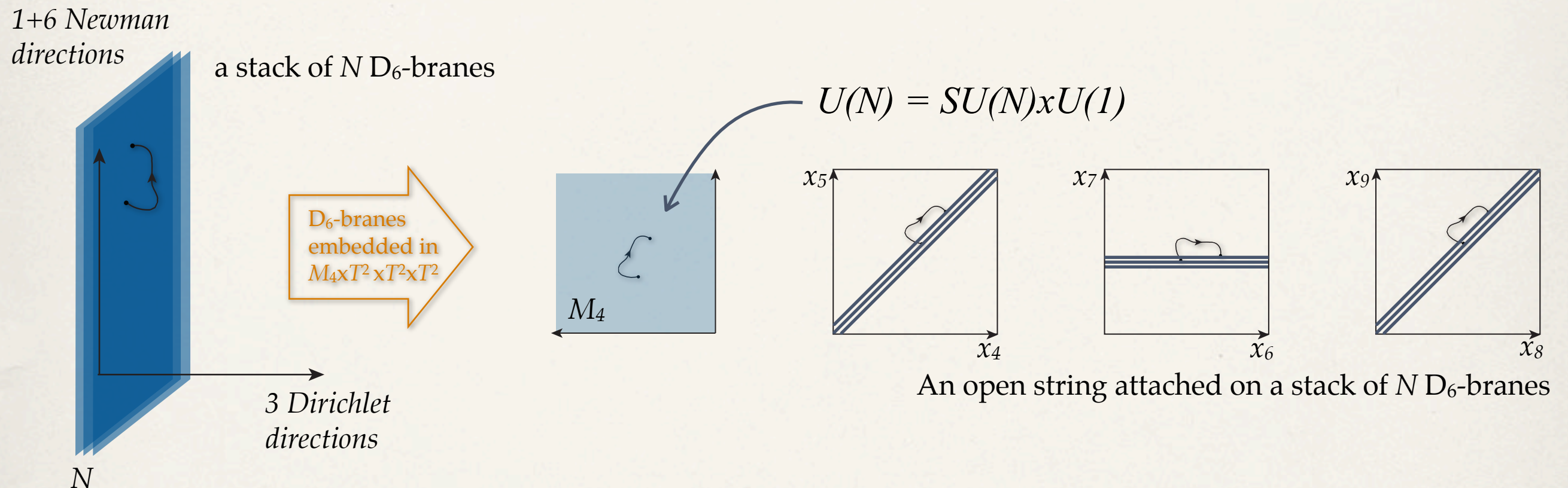
- The Yukawas couplings $Q_L H_u U$, $Q_L H_d D$, $L H_d E$ are allowed for each of the above.



D-brane Model Building

Intersecting D-brane models

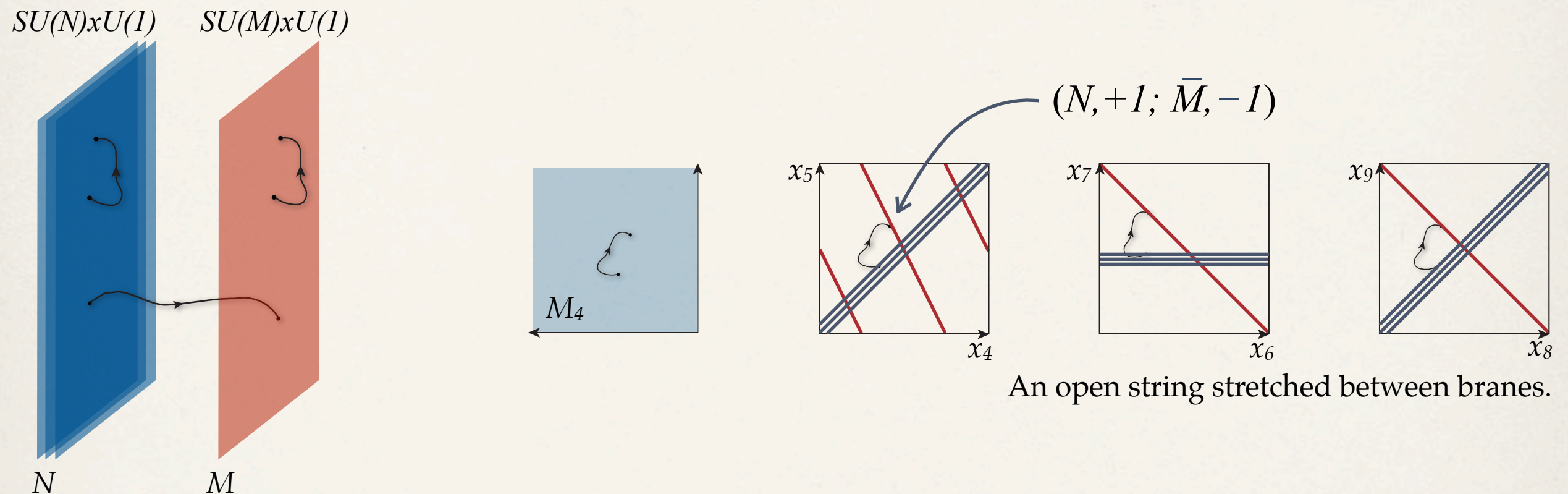
- ❖ We focus on type IIA constructions with intersecting D6 branes:
- ❖ D6 branes fill out 4D space-time and wrap **three-cycles** π_α in the internal manifold



- ❖ Strings with **both ends** on a stack of branes give rise to **$U(N) = SU(N) \times U(1)$** group.

Intersecting D-brane models

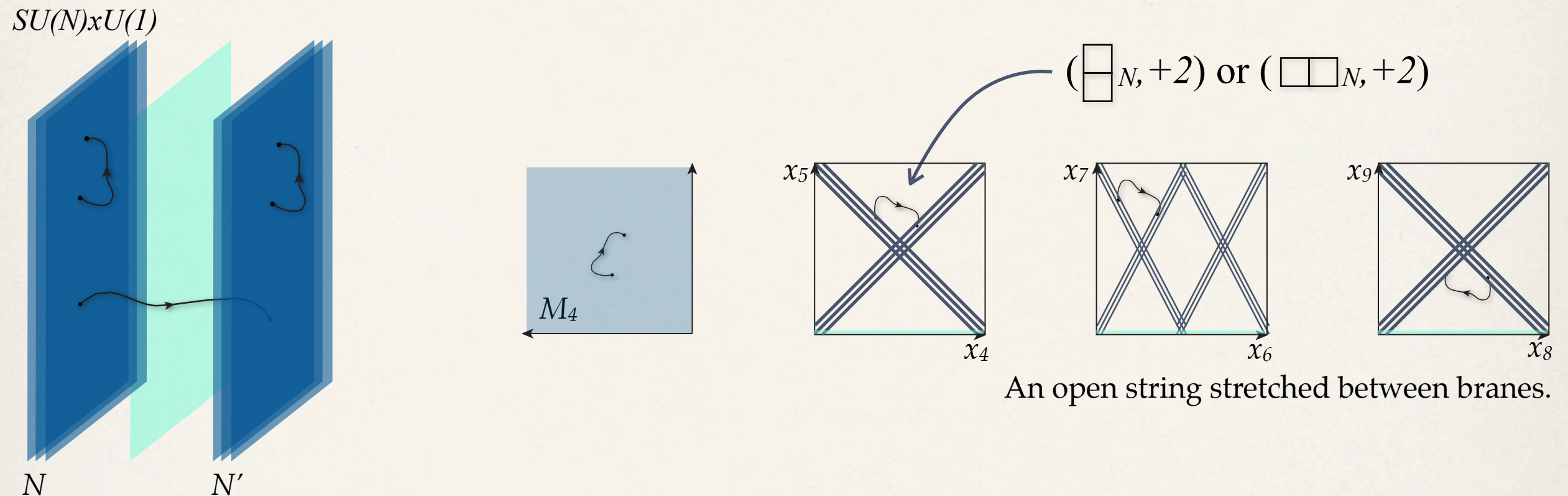
- ❖ Chiral matter and family replication:



- ❖ At each intersection of two stacks of D6-branes appears a chiral fermion transforming as **bifundamentals**
- ❖ Their **multiplicity** is given by the number of intersections: $\#(\square_a, \bar{\square}_b) = \pi_a \circ \pi_b$

Intersecting D-brane models

❖ Orientifold:



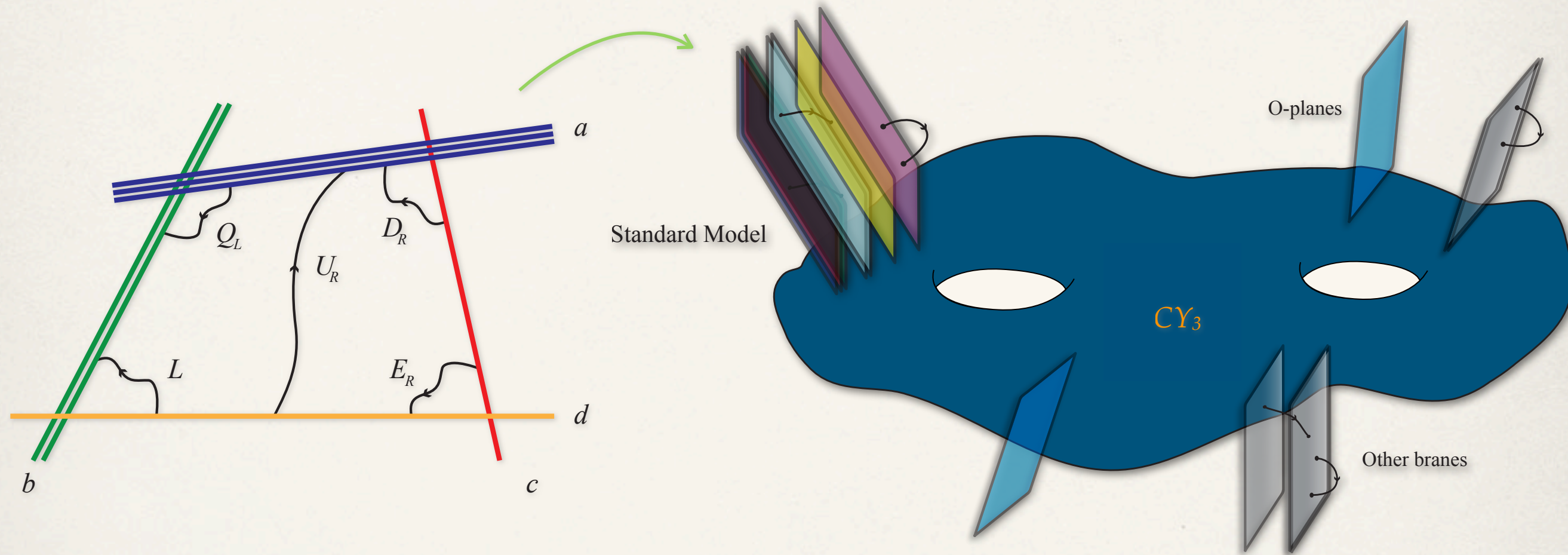
- ❖ Strings stretched between a **brane and its image** transform as **(anti)symmetric** reps.
- ❖ Their **multiplicity** is given by:

$$\#(\boxminus_a) = \frac{1}{2} (\pi_a \circ \pi'_a - \pi_a \circ \pi_{O6})$$

$$\#(\boxplus_a) = \frac{1}{2} (\pi_a \circ \pi'_a + \pi_a \circ \pi_{O6})$$

Bottom-Up models

- ❖ D-branes allow for a **bottom-up** building approach:



- ❖ **Local models**: set of D6-branes, which are localized at some region of the CY_3 .
- ❖ **Does not care** about **global aspects** of compactification. Antoniadis, Kiritsis, Tomaras
Aldazabal, Ibanez, Quevedo, Uranga
- ❖ **Global construction** is more **satisfying**, but **local setups** are more efficient and also sufficient to address **various phenomenological** issues.

Tadpole condition

- ❖ Consistency and stability of D-brane models require cancellations of **tadpoles**:

$$\sum_x N_x (\pi_x + \pi'_x) - 4\pi_{O6} = 0$$

- ❖ This constraint is a condition on three-cycles the D-branes wrap
- ❖ Using the intersection formulae we can translate **cycle-** to **representation-language**:

$$\begin{aligned} \#(\boxminus_a) &= \frac{1}{2} (\pi_a \circ \pi'_a + \pi_a \circ \pi_{O6}) & \#(\square_a, \bar{\square}_b) &= \pi_a \circ \pi_b \\ \#(\boxplus_a) &= \frac{1}{2} (\pi_a \circ \pi'_a - \pi_a \circ \pi_{O6}) & \#(\square_a, \square_b) &= \pi_a \circ \pi'_b \end{aligned}$$

For each **U(N) stacks** one obtains:

$$\sum_{x \neq a} N_x (\#(\square_a, \bar{\square}_x) + \#(\square_a, \square_x)) + (N_a - 4)\#(\boxminus_a) + (N_a + 4)\#(\boxplus_a) = 0$$

This is the usual anomaly cancellation for non-abelian gauge symmetries

Tadpole condition

- ❖ However, there are additional constraints arising from single D-brane stacks, so called **U(1) stacks**.
- ❖ For each U(1) stack one has

$$\sum_{x \neq a} (\#(\square_a, \bar{\square}_x) + \#(\square_a, \square_x)) + 5\#(\square\square_a) = 0 \pmod{3}$$

- ❖ These constraints have no four-dimensional field theory analogue.
- ❖ They are related to anomalies in higher dimensions.
- ❖ Both types of constraints are only **necessary conditions** but not **sufficient**.

U(1) masslessness condition

- ❖ For MSSM constructions we need a massless U(1) identified with the **hypercharge**.
- ❖ Each D-brane carries a **U(1)** which typically is **anomalous** and becomes massive via the **Green-Schwarz mechanism**
- ❖ The **U(1)** survives as a global symmetry that is respected by all perturbative quantities
 - $\rightsquigarrow$ **D-instantons** can break global symmetries and induce forbidden couplings
- ❖ A **linear** combination $U(1) = \sum_x q_x U(1)_x$ remains **massless** (no coupling to axions) if:

$$\frac{1}{2} \sum_x q_x N_x (\pi_x - \pi'_x) = 0$$

U(1) masslessness condition

- ✧ Again we translate the **cycle-constraint** into a constraint on the **representation** behavior

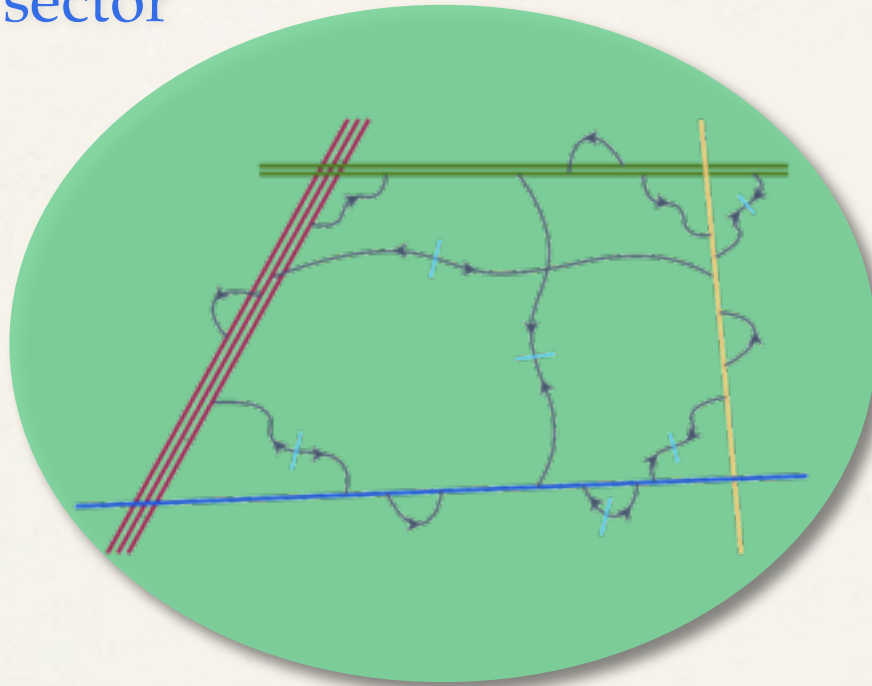
$$\frac{1}{2} \sum_{x \neq a} q_x N_x \#(\square_a, \bar{\square}_x) - \frac{1}{2} \sum_{x \neq a} q_x N_x \#(\square_a, \square_x) - \frac{q_a N_a}{2(4 - N_a)} \left(\sum_{x \neq a} N_x (\#(\square_a, \bar{\square}_x) + \#(\square_a, \square_x)) + 8\#(\square\square_a) \right) = 0$$

- ✧ Here, we have used **tadpole condition** to substitute for the **antisymmetric reps**.
- ✧ Constraint implies cancellation of 4D **mixed, cubic** and **gravitational** anomalies
- ✧ Constraint is **stronger** $\rightsquigarrow$ related to higher dimensional anomalies
- ✧ Constraints are only **necessary conditions** but not **sufficient**.

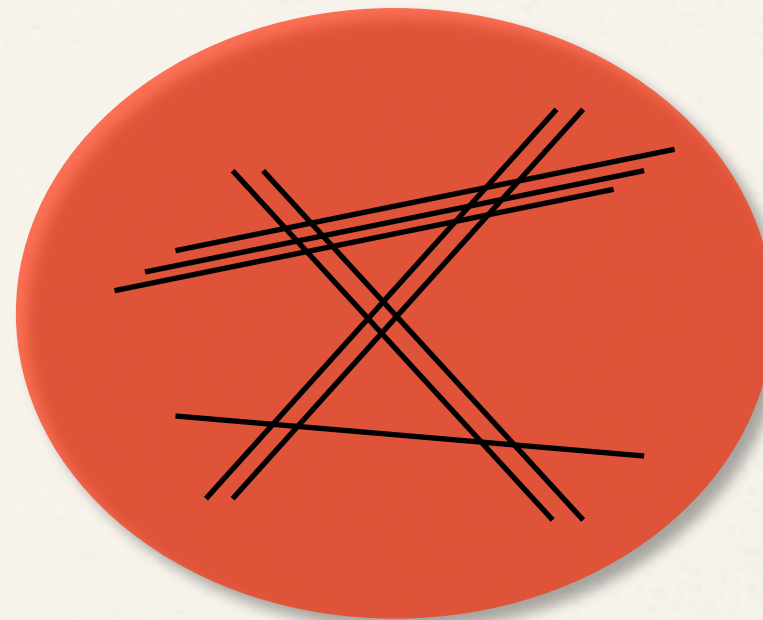
Local D-brane quiver

- ❖ Assume we have a local D-brane setup on which the MSSM is realized

Visible sector



Hidden sector that does not
intersect chirally the visible sector



within the **visible sector** the constraints arising from **tadpole cancellation** and **masslessness of the hypercharge** have to be satisfied

Bottom-up search

- ❖ Bottom-up search for realistic D-brane quivers:

Cvetič Halverson Richter

- **Top-down constraints:** tadpole cancellation

massless hypercharge

- **Bottom-up constraints:** MSSM spectrum

Yukawa couplings are pert. or non-pert. realized

R-parity violating terms are absent on pert. and (non-pert.) level

no dim. 5 proton decay operators

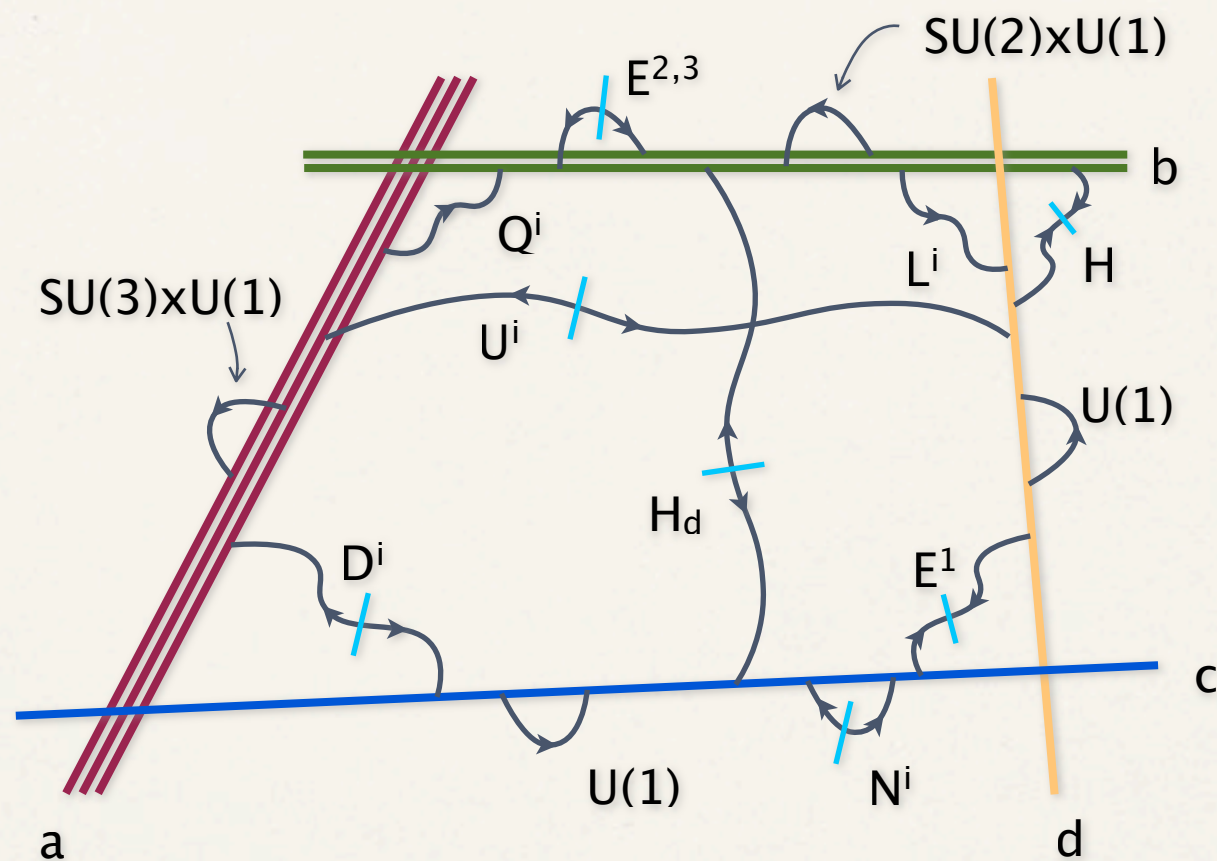
realistic CKM matrix

mechanism to generate small Neutrino masses

A D-brane Standard Model

- Consider a specific **D-brane Standard Model** with: $Y = -\frac{1}{3}U(1)_a - \frac{1}{2}U(1)_b + U(1)_d$

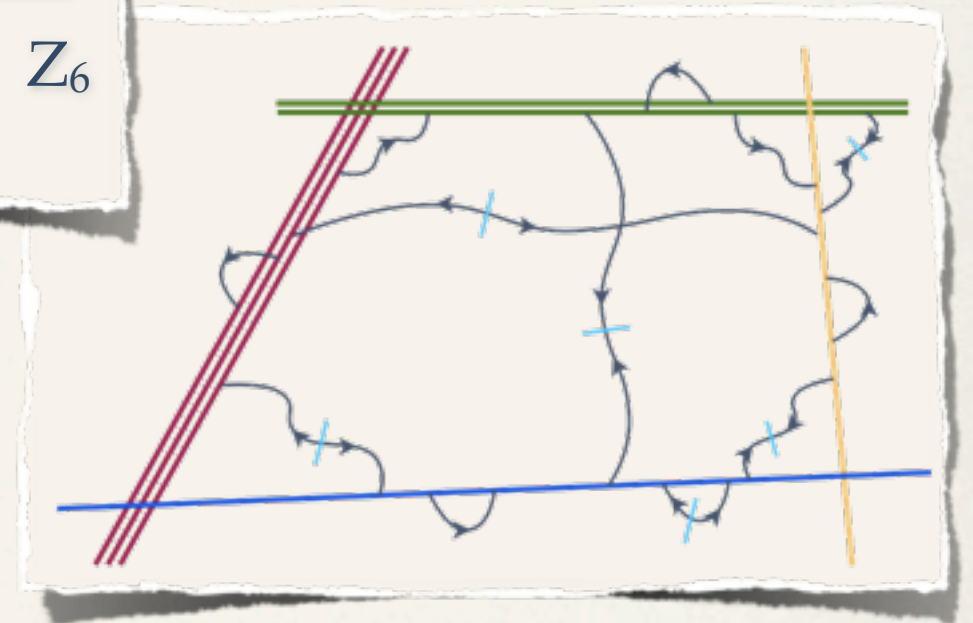
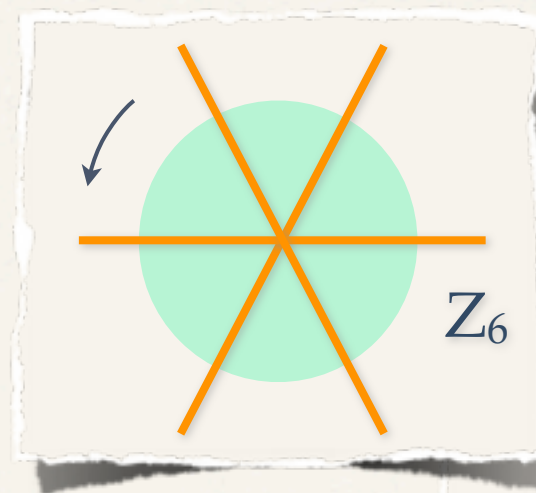
$Q^i (3,2)_{1/6}$	$U^i (\bar{3},1)_{-2/3}$	$D^i (\bar{3},1)_{1/3}$	$L^i (1,2)_{-1/2}$	$E^i (1,1)_1$	$N^i (1,1)_0$	$H_u (1,2)_{+1/2}$	$H_d (1,2)_{-1/2}$
$3(a, \bar{b})$	$3(\bar{a}, \bar{d})$	$3(\bar{a}, \bar{c})$	$3(b, \bar{c})$	$(c, d), 2\bar{\square}_b$	$3\square_c$	(b, d)	(b, c)



- there are many more D-instantons that may induce undesired couplings



discrete gauge symmetries may help



Discrete gauge symmetries in D-brane SM

Discrete symmetry in D-brane models

- * D-brane compactifications generically give rise to multiple **anomalous U(1)** gauge symmetries
- * Those anomalous U(1)'s become **massive** via the **Green-Schwarz** mechanism and survive as **global symmetries** on the perturbative level.
- * **D-instanton effects** can break those global symmetries **inducing** sometimes **desired**, but **perturbatively forbidden, couplings** (Majorana mass terms, Yukawa couplings etc)
- * However, we have to ensure that **other instantons** do not induce **dangerous couplings**
- * **Discrete gauge symmetries** are an efficient way to **guarantee** it
- * Our aim is to do an analysis over **semi-realistic D-brane Standard Model** configurations by the effect of **all allowed discrete gauge symmetries**

Discrete gauge symmetries

- Consider a **discrete gauge symmetry** $\mathbf{Z}_N = \sum_x k_x U(1)_x$

- This symmetry **survives** in the low energy effective action if:

$$\frac{1}{2} \sum_x k_x N_x (\pi_x - \pi'_x) = 0 \pmod{N}$$

Berasaluce-Gonzalez, Ibanez, Soler, Uranga

- This condition becomes:

$$\frac{1}{2} \left(\sum_{x \neq a} k_x N_x \#(\square_a, \bar{\square}_x) - \sum_{x \neq a} k_x N_x \#(\square_a, \square_x) - \#(\square\square_a) - \#(\square_a) \right) = 0 \pmod{N}$$

- Using **tadpole conditions**, we can substitute again the **antisymmetries** and obtain

$$\begin{aligned} & \frac{1}{2} \sum_{x \neq a} k_x N_x \#(\square_a, \bar{\square}_x) - \frac{1}{2} \sum_{x \neq a} k_x N_x \#(\square_a, \square_x) \\ & - \frac{k_a N_a}{2(4 - N_a)} \left(\sum_{x \neq a} N_x (\#(\square_a, \bar{\square}_x) + \#(\square_a, \square_x)) + 8\#(\square\square_a) \right) = 0 \pmod{N} \end{aligned}$$

An additional discrete symmetry condition

- ❖ The fact that **discrete symmetries** require **$0 \bmod N$** instead of **0** brings troubles...
- ❖ One can compensate that by requiring an **additional constraint**:

$$\sum_a k_a N_a (\#(\square_a) - \#(\square\square_a)) = 0 \bmod N$$

arising from multiplying the **homology class** of the orientifold with the discrete symmetry constraint.

- ❖ After **replacing** again the antisymmetrics we get:

$$\sum_a \frac{k_a N_a}{4 - N_a} \left(\sum_{x \neq a} N_x (\#(\square_a, \bar{\square}_x) + \#(\square_a, \square_x)) + 2N_a(\square\square_a) \right) = 0 \bmod N$$

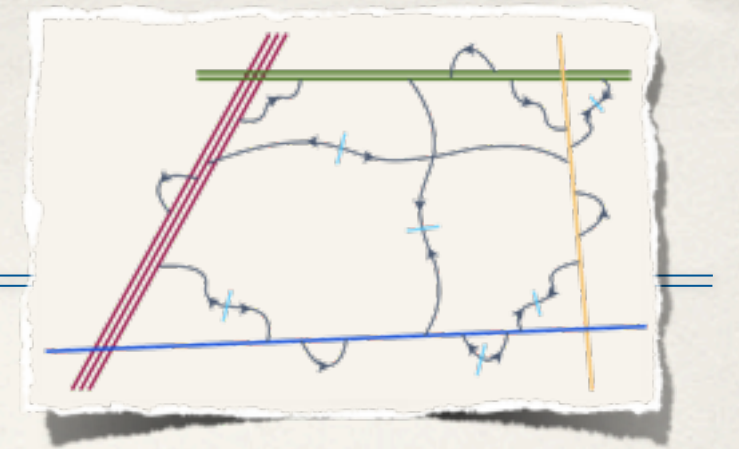
- ❖ One can prove the **absence** of mixed, cubic and gravitaional anomalies in 4 dimensions

$$SU(N) \times SU(N) \times \mathbf{Z}_N$$

$$\mathbf{Z}_N \times \mathbf{Z}_N \times \mathbf{Z}_N$$

$$G \times G \times \mathbf{Z}_N$$

D-brane Standard Models



- Consider again the [previous embedding](#):

$Q^i (3,2)_{1/6}$	$U^i (\bar{3},1)_{-2/3}$	$D^i (\bar{3},1)_{1/3}$	$L^i (1,2)_{-1/2}$	$E^i (1,1)_1$	$N^i (1,1)_0$	$H_u (1,2)_{+1/2}$	$H_d (1,2)_{-1/2}$
$3(a, \bar{b})$	$3(\bar{a}, \bar{d})$	$3(\bar{a}, \bar{c})$	$3(b, \bar{c})$	$(c, d), 2\bar{\square}_b$	$3\square_c$	(b, d)	$(\bar{b}, \bar{c})$

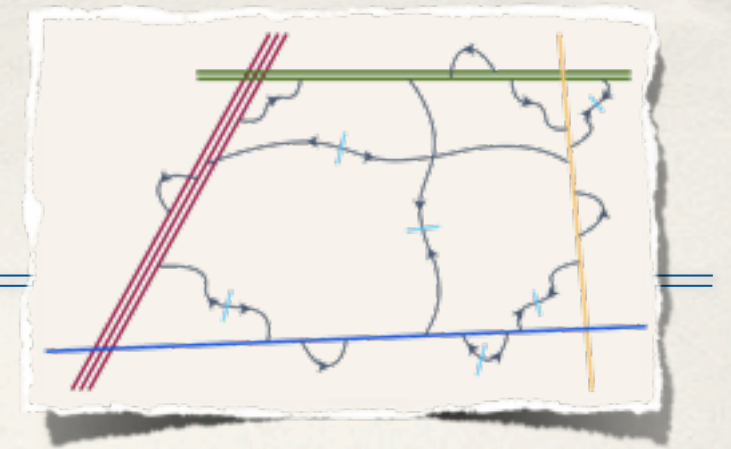
- With the discrete charges: $Q_{discrete} = k_a Q_a + k_b Q_b + k_c Q_c + k_d Q_d$

- We want to find [all](#) (k_a, k_b, k_c, k_d) that for [various](#) Z_N satisfy:

$$\frac{1}{2} \sum_x k_x N_x (\pi_x - \pi'_x) = 0 \pmod{N}$$

- Each k take values from [0, 1, ... 2N](#) (due to the 1/2 overall factor).

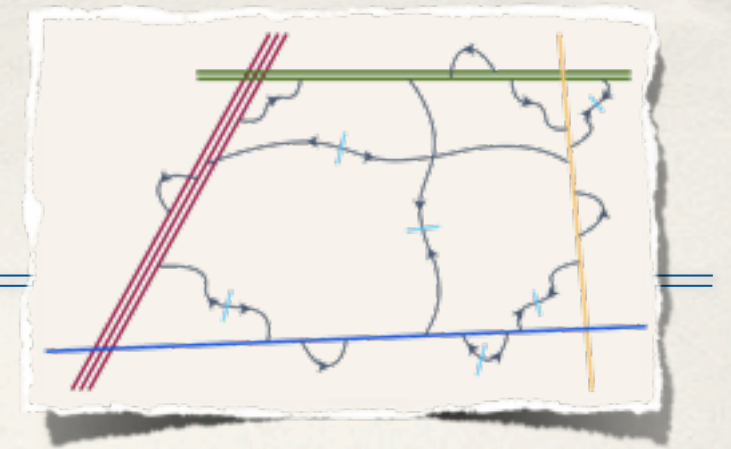
Search for discrete symmetries



- ❖ Not all sets of (k_a, k_b, k_c, k_d) are **independent**.
- ❖ To **avoid overcounting**, we have to remember that one solution gives others:
 - by a Hypercharge shift: $(k_a, k_b, k_c, k_d) + m(q_a, q_b, q_c, q_d) \mod N$
 - there is an overall freedom so we **fix** the discrete charge of Q_L to **zero** by: $k_a = k_b$.
- ❖ Within independent vectors (k_a, k_b, k_c, k_d) we check which of them **satisfy**:
 - the **discrete symmetry** condition
 - the **Symmetric-Antisymmetric** condition
 - allow **Yukawa** terms.

} **Anomalies**
- ❖ For all **Z_N** with $N \in 2, 3, 4, \dots, 20$.

Results



- ❖ for concrete example we find the **discrete gauge symmetries**
 - The $\mathbf{Z}_2$: $R_2 = U(1)_a + U(1)_b + U(1)_c + U(1)_d$ is the usual **matter parity**.
 - The $\mathbf{Z}_3$: $L_3 R_3 = U(1)_a + U(1)_b + U(1)_d$ is the **baryon triality**.
 - The $\mathbf{Z}_6$: $L_6^2 R_6^5 = U(1)_a + U(1)_b + 9U(1)_d + 13U(1)_d$ is the **proton hexality**.
- ❖ Therefore, the above **discrete gauge symmetries** ensure for:
 - all desired **Yukawa** couplings,
 - allowed **μ -term**, **Weinberg** operator,
 - **No bad terms** (like R-violating, no proton decay operators).
- ❖ We have extended this analysis over **all semi-realistic 4 stack D-brane models**.

More results

- ❖ From the systematic search over **all realistic 4 stack quivers (40)** we find:
 - **No family dependent** discrete symmetries.
 - **No Z_9 and Z_{18}** realizations.
 - **All Z_2 , Z_3 and Z_6** can be realized.
 - Madrid embedding $\left(\frac{1}{6}, 0, \frac{1}{2}, -\frac{1}{2}\right)$ favors matter parity, but disfavors Baryon triality.
 - Embeddings $\left(-\frac{1}{3}, \frac{1}{2}, 0, 0\right)$ and $\left(-\frac{1}{3}, \frac{1}{2}, 0, 1\right)$ favors Baryon triality, disfavors matter parity.
 - Only in **few realizations** **proton hexality** realized (4/40).

Spectrum	Hypercharge	Solution	R_2	$R_3 L_3$	R_3	L_3	$R_3^2 L_3$	$R_6^5 L_6^2$	R_6	$R_6^3 L_6^2$	$R_6 L_6^2$
MSSM	$(-\frac{1}{3}, -\frac{1}{2}, 0, 1)$	1		✓							
		2		✓							
		3									
	$(-\frac{1}{3}, -\frac{1}{2}, 0, 1)$	1									
MSSM+3N	$(\frac{1}{6}, 0, \frac{1}{2}, -\frac{3}{2})$	1			✓						
	$(-\frac{1}{3}, -\frac{1}{2}, 0, 0)$	1	✓								
		2	✓								
		3	✓								
		4									
		5									
		6	✓								
		7	✓								
		8	✓								
		9	✓								
		10	✓								
		11	✓								
		12	✓								
	$(-\frac{1}{3}, -\frac{1}{2}, 0, 1)$	1	✓	✓				✓			
		2	✓			✓				✓	
		3	✓								
		4	✓		✓				✓		
	$(\frac{1}{6}, 0, \frac{1}{2}, -\frac{1}{2})$	1	✓	✓	✓	✓	✓	✓	✓	✓	✓
		2		✓							
		3		✓							
		4		✓							
		5		✓							
		6		✓							
		7		✓							
		8		✓							
		9		✓							
		10		✓							
		11		✓							
		12	✓	✓	✓	✓	✓	✓	✓	✓	✓
	$(\frac{1}{6}, 0, \frac{1}{2}, -\frac{1}{2})$	1	✓	✓	✓	✓	✓	✓	✓	✓	✓
NMMSM+3N	$(\frac{1}{6}, 0, \frac{1}{2}, -\frac{1}{2})$	1									
		2									
		3		✓							

Conclusions

- ❖ We have analyzed all four D-brane stack Standard Model configurations with interesting phenomenology (around 40 local configurations) with respect to discrete symmetries
- ❖ No family dependence, not in the quark sector which is somewhat expected and also desired, but also not in the lepton sector.
- ❖ Would be interesting to see whether the family independence holds true also for 5 stack realizations.
- ❖ No Z_9 and Z_{18} realizations
- ❖ All Z_2 , Z_3 and Z_6 can be realized
- ❖ Matter parity is tied to Madrid embedding $\left(\frac{1}{6}, 0, \frac{1}{2}, -\frac{1}{2}\right)$
- ❖ Baryon triality is tied to embeddings $\left(-\frac{1}{3}, \frac{1}{2}, 0, 0\right)$ and $\left(-\frac{1}{3}, \frac{1}{2}, 0, 1\right)$
- ❖ Proton hexality appears very rarely.