

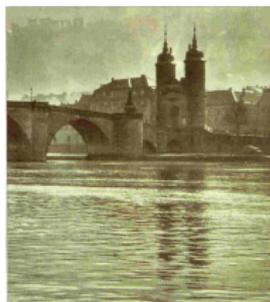
Flux and Chiral Matter in $SU(5)\times U(1)$ F-Theory GUT Models and their IIB Analogue

joint work with S. Krause and T. Weigand: arXiv:1109.3454 &
arXiv:1202.3138

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Bad Honnef, October 4th, 2012



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Possibility of **exceptional groups** and **locality** are the crucial reasons to consider F-theory!

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 - ▶ Necessary condition: $\bar{\mathbf{5}}_m$, $\bar{\mathbf{5}}_H$ on different curves otherwise: $\mathbf{10} \bar{\mathbf{5}}_m \bar{\mathbf{5}}_H$ implies $\mathbf{10} \bar{\mathbf{5}}_m \bar{\mathbf{5}}_m$

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Summary

Basics of F-theory: From IIB to F-theory

- ▶ Type IIB string theory with varying axion-dilaton:

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$$\tau \rightarrow \frac{a\tau + b}{c\tau + d}, \quad \begin{pmatrix} H \\ F \end{pmatrix} \rightarrow \begin{pmatrix} d & c \\ b & a \end{pmatrix} \begin{pmatrix} H \\ F \end{pmatrix}, \quad \begin{matrix} \tilde{F}_5 & \rightarrow & F_5 \\ g_{MN} & \rightarrow & g_{MN} \end{matrix},$$

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- ▶ F-theory basically 'book-keeping' device to describe vacua of IIB;
- ▶ From duality via M-theory and assumptions on non-compact 4d space, we find that compact space Y_4 has to be elliptically fibred CY_4 ;

Basics of F-theory: Non-abelian gauge symmetries

- ▶ Describe elliptic fibration with Weierstraß equation,

$$y^2 = x^3 + f(y_i) x z^4 + g(y_i) z^6;$$

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- ▶ Obtain also E_6 , E_7 and E_8 gauge groups beside of A_n , C_n and D_n ;

Some details on the $SU(5)$ case

- ▶ Give Weierstraß equ. in Tate form:

$$P_T = \{y^2 + a_1 x y z + a_3 y z^3 = x^3 + a_2 x^2 z^2 + a_4 x z^4 + a_6 z^6\};$$

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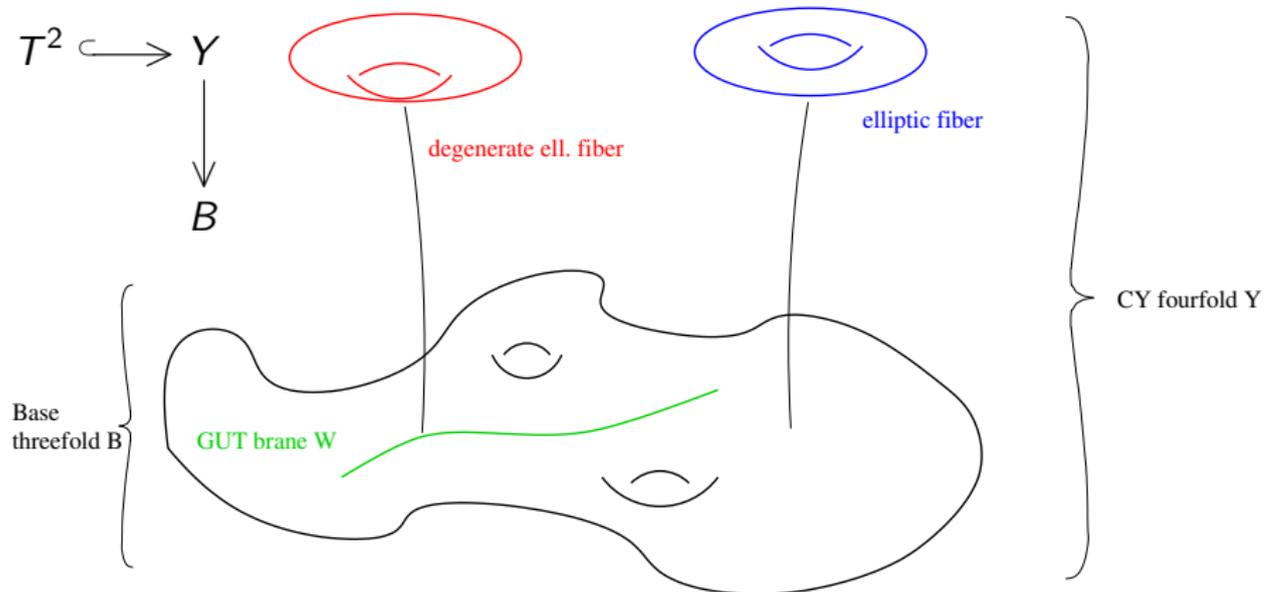
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- ▶ At codimension 3, Δ enhances further (P vanishes to a higher order):

$$E_6 : a_1 = a_{2,1} = 0, \quad SO(12) : a_1 = a_{3,2} = 0;$$

Picture of elliptically fibred CY_4



by J.K.

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- ▶ $SU(5)$ -singularity: 4 new divisors $e_i \Rightarrow$ 4 new divisor classes E_i ;
- ▶ Related to the Cartan generators of gauge symmetry;

	x	y	z	e_1	e_2	e_3	e_4	e_0
W	\cdot	1						
c_1	2	3	\cdot	\cdot	\cdot	\cdot	\cdot	\cdot
Z	2	3	1	\cdot	\cdot	\cdot	\cdot	\cdot
E_1	-1	-1	\cdot	1	\cdot	\cdot	\cdot	-1
E_2	-2	-2	\cdot	\cdot	1	\cdot	\cdot	-1
E_3	-2	-3	\cdot	\cdot	\cdot	1	\cdot	-1
E_4	-1	-2	\cdot	\cdot	\cdot	\cdot	1	-1

cf. Tops over \mathbb{P}_{231}

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- ▶ $U(1)$ since restriction of c.s. induces additional section; [Morrison, Vafa '97]

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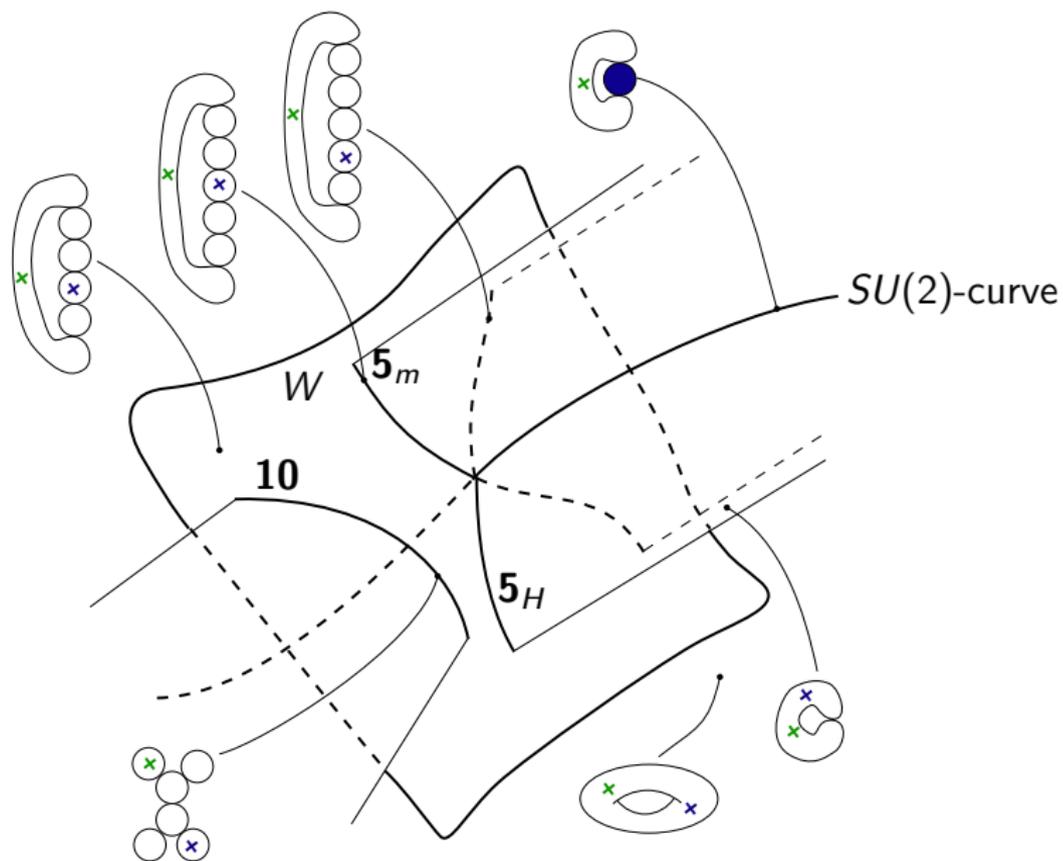
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- ▶ $SU(2)$ -singularity: 1 new divisor $s \Rightarrow$ 1 new divisor class S ; $s = 0$ second section $\leftrightarrow U(1)$ symmetry;

	x	y	z	s	e_1	e_2	e_3	e_4	e_0
W	1
c_1	2	3
Z	2	3	1
S	-1	-1	.	1
E_1	-1	-1	.	.	1	.	.	.	-1
E_2	-2	-2	.	.	.	1	.	.	-1
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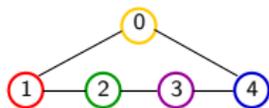
cf. Tops over $\mathcal{B}_s \mathbb{P}_{231}$

Resolved geometry

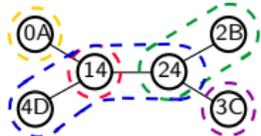


Some details on $SU(5) \times U(1)$ case: matter & Yukawas I

GUT surface



C_{10}



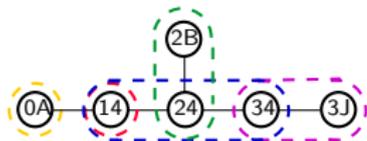
C_{5H}



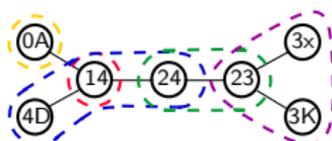
C_{5m}



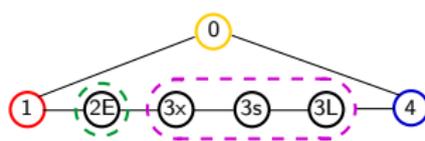
10 10 5



10 5 5



5 5 1



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2. M2-branes wrapping one (simple roots) or several \mathbb{P}^1 's \leftrightarrow positive roots; inverse orientation \leftrightarrow negative roots;

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$$(0, 1, -2, 1) \rightarrow (0, 1, -1, 0) + (0, 0, -1, 1);$$

[Marsano, Schäfer-Nameki '11]

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- ▶ Similar things happen at $\mathbf{10}$ -curve;
- ▶ The E_6 -point differs from naïve expectations—e.g. not extended [Esolé, Yau '11]; However, Yukawas still exist [Marsano, Schäfer-Nameki '11];

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- ▶ Has to be quantized: $G_4 + \frac{\omega_2}{2} \in H^4(Y_4, \mathbb{Z})$; [Collinucci, Savelli '10 & '12]

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- ▶ General conditions for 4d Poincaré invariance (from the dual M-theory picture): 'one leg in the fibre, three legs in the base'

[Rev. Deneff; '08]

$$\int_{\tilde{Y}_4} G_4 \wedge D_a \wedge D_b = 0$$
$$\int_{\tilde{Y}_4} G_4 \wedge Z \wedge D_a = 0;$$

$\forall D_a, D_b$ with both legs in the basis

- ▶ Has to be quantized: $G_4 + \frac{\omega_2}{2} \in H^4(Y_4, \mathbb{Z})$; [Collinucci, Savelli '10 & '12]
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- ▶ Supersymmetry: $G_4 \in H^{2,2}(Y_4)$;
- ▶ Generic case $\dim(H^{2,2}(Y_4) \cap H^4(Y_4, \mathbb{Z})) \sim (\dim H^{1,1})^2$;
 $G_4 = \omega \wedge \nu$ violates Poincaré invariant; Remedy: tuning complex structure to obtain appropriate G_4 without introducing new divisors [Braun, Collinucci, Valandro '11]

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- ▶ Generic combination of this fluxes breaks $SU(5)$
- ▶ Only $G_4 = F_2^{(X)} \wedge w_X$ with

$$w_X = 5([S] - [Z] - [\bar{K}]) + (2, 4, 6, 3)_i [E_i]$$

leaves $SU(5)$ invariant; (Shioda map [\[Morrison, Park '12\]](#))

Chirality

- ▶ Type IIB: chirality along curve of intersecting branes given by

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- ▶ Matter surfaces, C_{R_q} , consist of linear combinations of blow-up \mathbb{P}^1 's fibred over enhancement curve C_{R_q} ;
- ▶ Recall: linear combination such that in dual M-theory picture, M2-brane wrapping this combination is in one of the states of R_q ;

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- Rearranging of states in terms of $SO(10)$ representations

Matter curve \mathcal{C}_R	R_q	$SO(10)$ origin	GUT interpretation
$\{a_1 = w = 0\}$	$\mathbf{10}_{-1}$	$\mathbf{16}$	(Q_L, U_R^c, e_R^c)
$\{a_{3,2} = w = 0\}$	$\bar{\mathbf{5}}_3$	$\mathbf{16}$	(D_R^c, L)
$\{a_1 a_{4,3} - a_{2,1} a_{3,2} = w = 0\}$	$\mathbf{5}_2 + \bar{\mathbf{5}}_{-2}$	$\mathbf{10}$	Higgs
$\{a_{3,2} = a_{4,3} = 0\}$	$\mathbf{1}_{-5}$	$\mathbf{16}$	N_R^c

D3 tadpole

- ▶ Flux induces D3-tadpole

$$\frac{1}{2} \int_{\hat{Y}_4} G_4^{(X)} \wedge G_4^{(X)} = \int_{B_3} F_X \wedge F_X \wedge (15 [W] - 25 c_1(B_3))$$

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- ▶ Tadpole contribution differs from local constructions via spectral cover;
- ▶ Has to be cancelled by D3-branes and geometric induced tadpole:

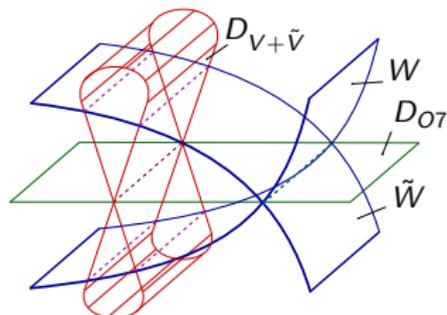
$$N_{D3} + \frac{1}{2} \int_{\hat{Y}_4} G_4 \wedge G_4 = \frac{\chi(\hat{Y}_4)}{24};$$

Brane recombination I

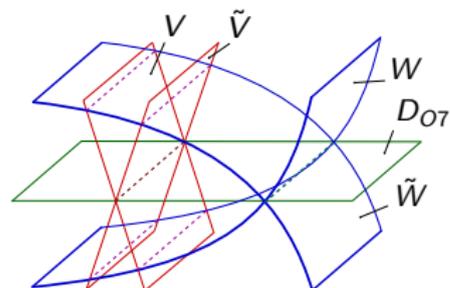
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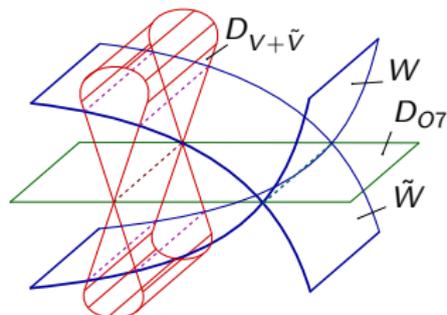
(c) Non-restricted Models



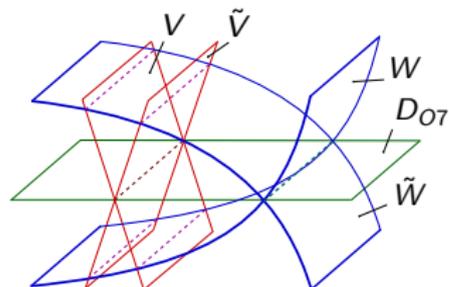
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(e) Non-restricted Models



(f) $U(1)$ -Restricted Models

- ▶ Change in the Euler numbers:

$$\Delta_{SU_n \times U_1}^{SU_n \times U_1} \chi(Y_4) = 3 \chi(C_{34})$$

Brane recombination II

- Conservation of D3-charge \Rightarrow change in G_4

$$G_4^{SU_n} = \tilde{G}_4^X(\mathcal{P}) + \tilde{G}_4^\lambda, \quad G_4^{SU_n \times U_1} = G_4^X(\mathcal{F}) + G_4^{\lambda'}$$

with

$$\mathcal{P} - \mathcal{F} = -\frac{1}{2}c_1(C_{34}), \quad \lambda - \lambda' = \frac{1}{2}$$

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- ▶ In agreement with observation:

$$[\mathcal{F}] = [\mathcal{P}] - \frac{1}{2}[a_{6,5}] \quad \& \quad 0 \leq [\mathcal{P}] \leq [a_{6,5}]$$

Sen/weak coupling limit

- ▶ Following [Sen '96 '97][Donagi & Wijnholt '09], one possible weak coupling limit:

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$$a_3 \rightarrow \epsilon a_3, \quad a_4 \rightarrow \epsilon a_4, \quad a_6 \rightarrow \epsilon^2 a_6;$$

$$\Rightarrow \Delta = -\epsilon^2 b_2^2 b_8 + \mathcal{O}(\epsilon^3)$$

$$\text{with } b_8 = \frac{1}{4}(b_2(a_3^2 + 4a_6) - (a_1 a_3 + 2a_4)^2) \text{ and } b_2 = a_1^2 + 4a_2$$

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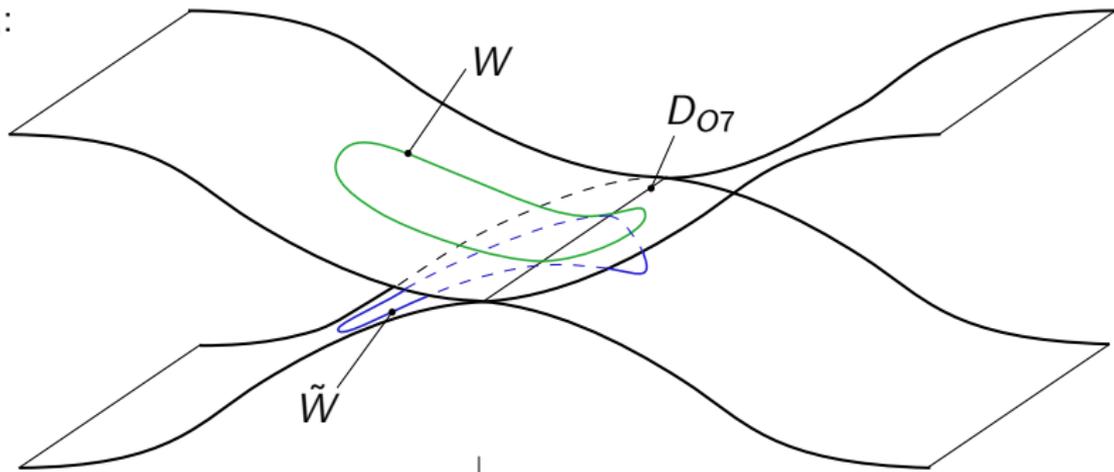
- ▶ Define a double cover of B (CY_3):

$$X_3 : \xi^2 = b_2,$$

with orientifold involution

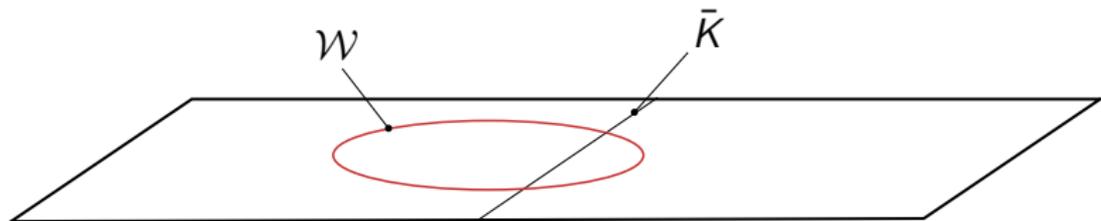
$$\sigma : \xi \longrightarrow -\xi.$$

X_3 :



$\downarrow \pi$

B :



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- ▶ Consider on the IIB side D5-tadpole cancelling flux configurations (F_a, F_b) ($a \cong$ GUT & $b \cong$ additional)

	$n = 2k + 1$	$n = 2k$
F_X :=	$\left(\frac{1}{2n}F, -\frac{1}{2}F\right)$	$\left(0, \frac{1}{n}F\right)$
F_λ :=	$\left(\frac{2\lambda}{n}D_{O7}, 0\right)$	$\left(\frac{2\lambda}{n}D_{O7}, 0\right)$

with $F \in H_+^2(X_3)$ and $B^- \in H_-^2(X_3)$;

Identification of the fluxes II:

- Calculate also the induced chiralities:

State	Chirality under F_λ	Chirality under F_X
$\mathbf{10}_{(2,0)}$	$\frac{\lambda}{5} \int_{X_3} D_{07} W_+^2$	$\frac{1}{10} \int_{X_3} D_{07} W_+ F$
$\mathbf{5}_{(1,-1)}$	$-\frac{\lambda}{10} \int_{X_3} D_{07} W_+^2$	$\frac{1}{10} \int_{X_3} (9D_{07} W_+ - 6W_+^2) F$
$\mathbf{5}_{(1,1)}$	$-\frac{\lambda}{10} \int_{X_3} D_{07} W_+^2$	$\frac{1}{10} \int_{X_3} -(10D_{07} W_+ - 6W_+^2) F$
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- Comparison of chirality and induced D3-brane charge shows:

$$G_4^\lambda \leftrightarrow F_\lambda, \quad G_4^X(\mathcal{F}) \leftrightarrow F_X = \left(\frac{1}{10}F, -\frac{1}{2}F\right) \quad \text{with} \quad F = \pi^*(\mathcal{F});$$

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- ▶ $U(1)_X$ charges q agree with spectral cover construction;
- ▶ Computed induced D3-tadpole; Correction to spectral cover construction
- ▶ Found (convincing arguments for) IIB interpretation of fluxes;

Thank you for your attention!