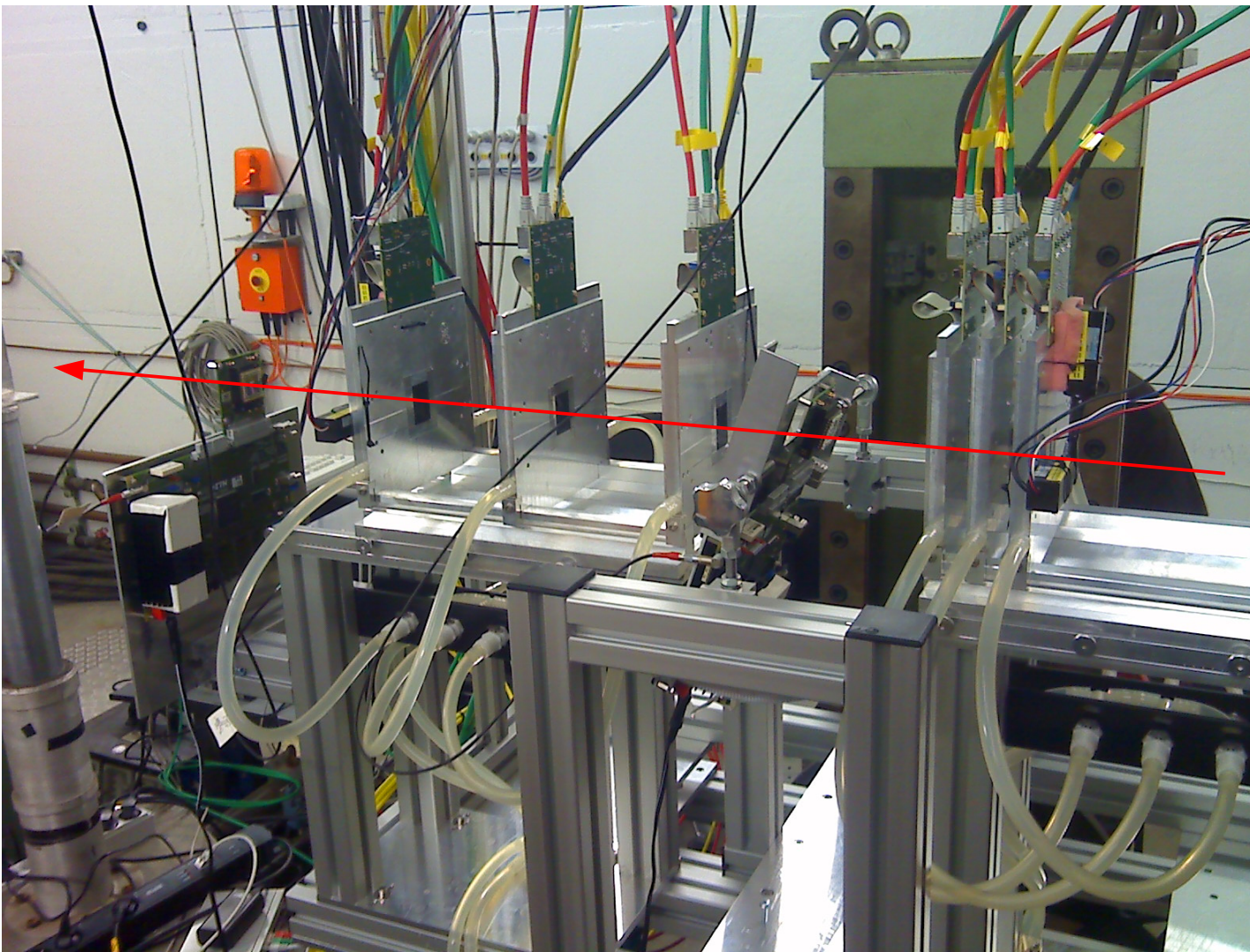


Tracking at the test beam

Daniel Pitzl, DESY

Test beam meeting, 2.4.2012



- Triplets
- General Broken Lines
- Resolution

EUTelescope

3 planes
downstream

3 planes
upstream

common
scintillator
trigger

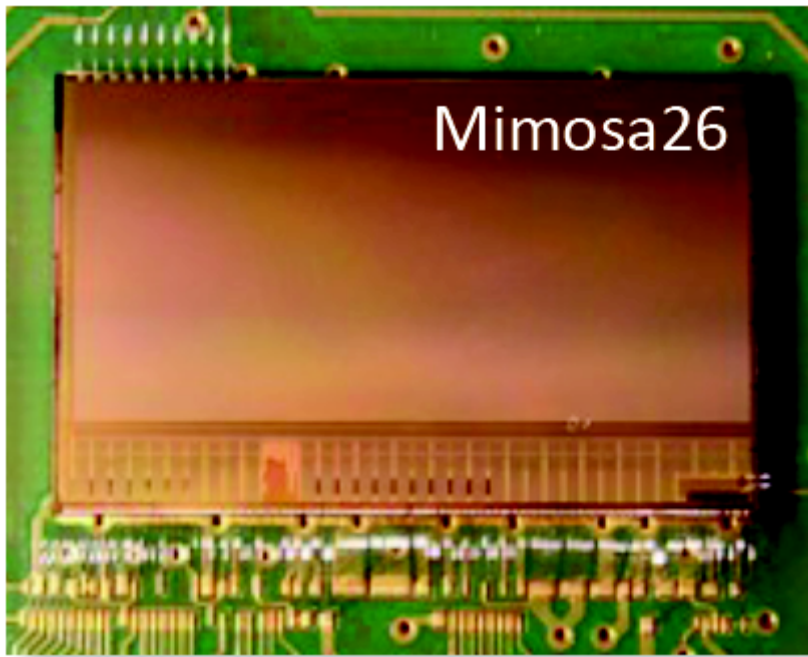
CMS Pixel
timing
reference



CMS Pixel
tilt 0-30°

test
beam
21:
1 - 5 GeV
positrons

Mimosa26 pixel chip



PCB has opening
underneath sensor

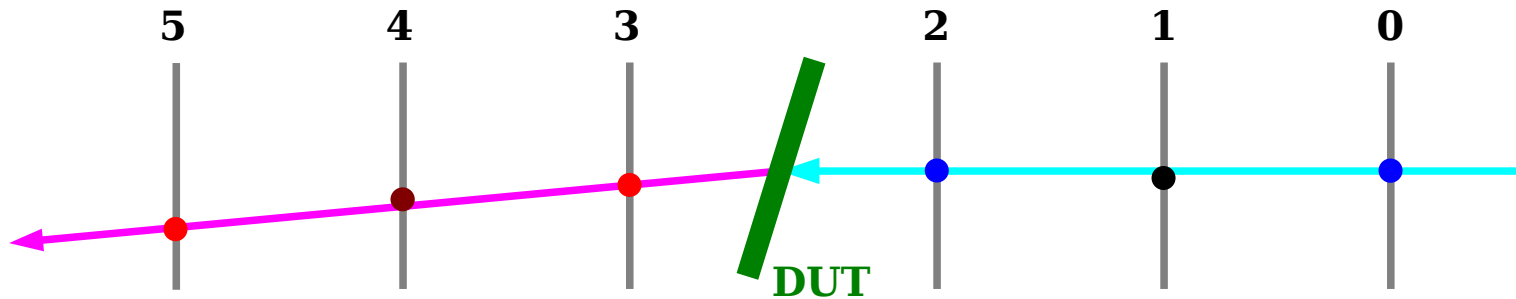
- Mimosa26 monolithic active pixel sensors (Strasbourg, 2009):
- thinned to 50 μm ,
- 0.05% X_0 per plane,
- $18.4 \times 18.4 \mu\text{m}^2$ pixel size,
- $1152 \times 576 = 663\text{k}$ pixels,
- $10.6 \times 21.2 \text{ mm}^2$ active area,
- binary readout,
- integration time 115 μs .
 - 0.5 - 5 pile-up tracks, depending on beam rate.

EuTelescope software in Marlin

step	output.format	constants
0. EUDAQ data taking: 900s	native.bin , e.g. 200 MB 500k triggers	
1. convert, find hot pixels: 70s	raw.lcio , e.g. 200 MB	hotpixel.db
2. clustering: 240s	clusters.lcio , e.g. 400 MB	offset.db
3. hits, coarse align: 250s	hits.lcio , e.g. 600 MB	pre-align.db
4. Millepede alignment: 12s	pede.bin , e.g. 120 MB	align.db
5. track fitting: 270s	tracks.lcio , e.g. 25 MB	

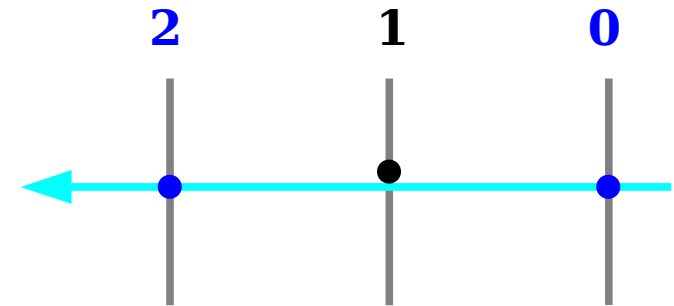
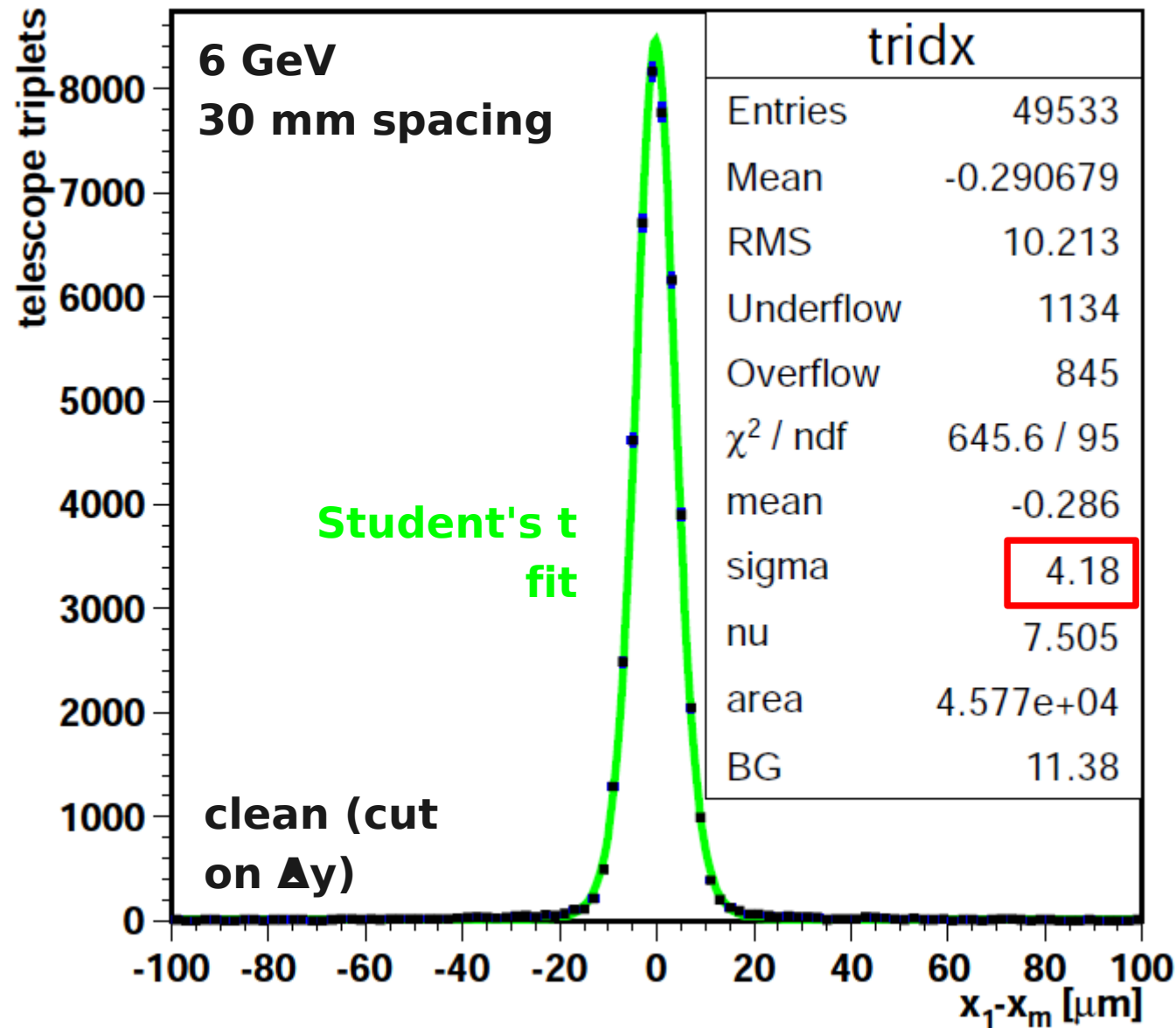
All steps produce ROOT histograms for monitoring

track finding with triplets



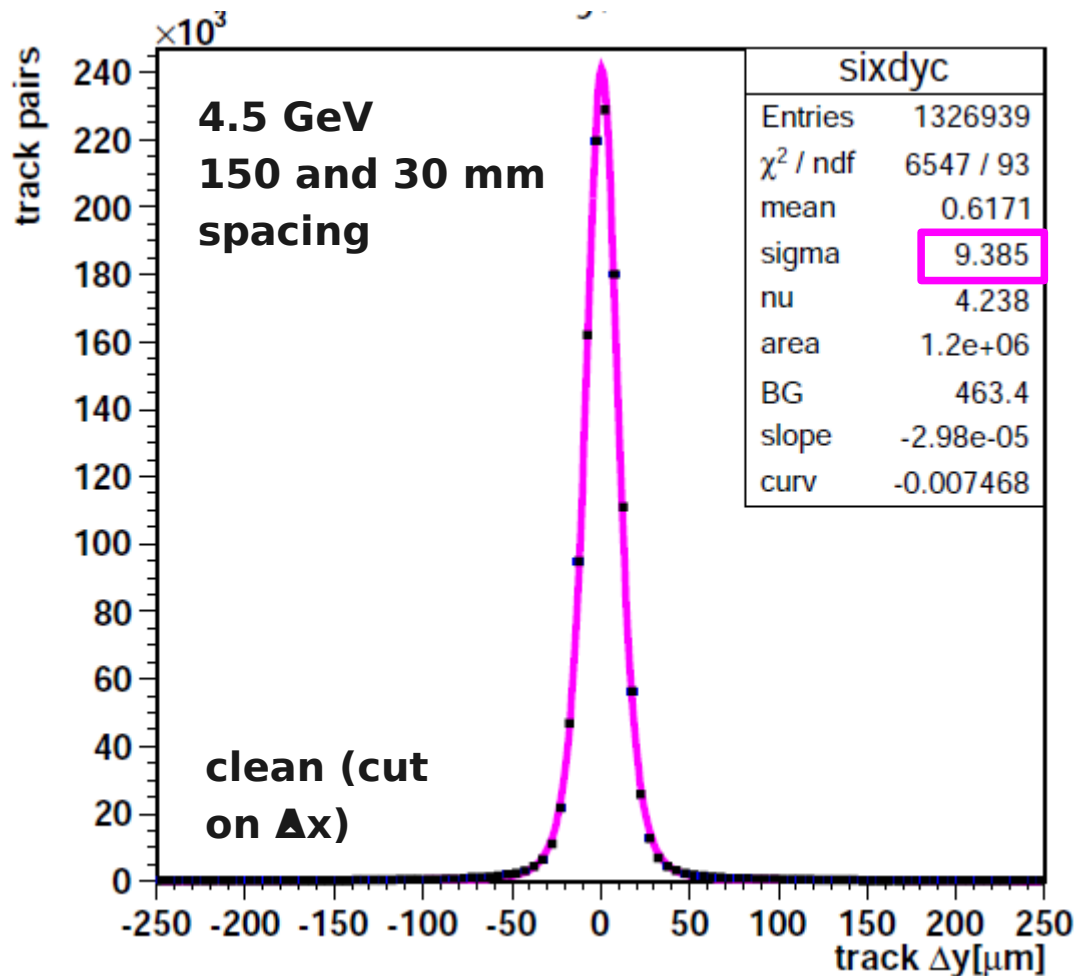
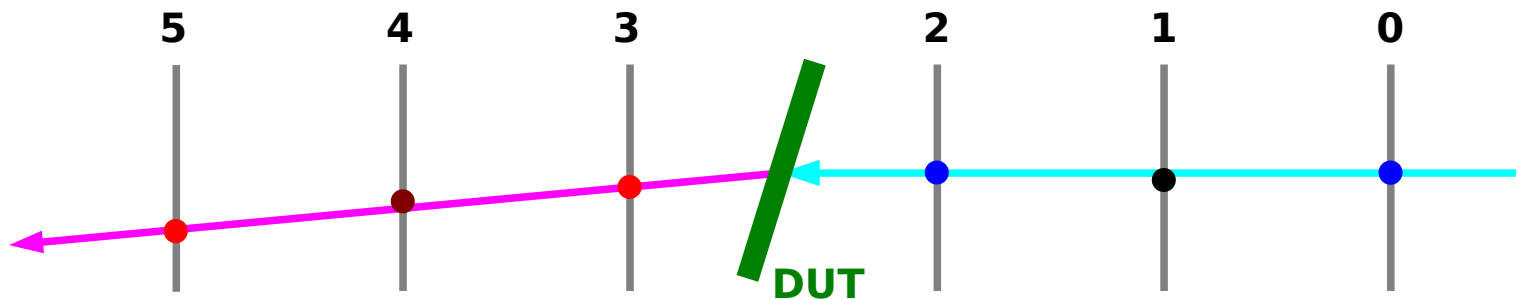
- Sort (pre-)aligned hits along z
- Upstream triplet search:
 - ▶ **Double loop over planes 0 and 2, form all vectors t_{02}**
 - ▶ **loop over plane 1, calculate $residual = hit_1 - t_{02}$, cut, store**
- Repeat for downstream triplet:
 - ▶ **Double loop over planes 3 and 5, form all vectors t_{35}**
 - ▶ **loop over plane 4, calculate $residual = hit_4 - t_{35}$, cut, store**
- **Match upstream and downstream triplets at DUT, cut**
- **Refit all 6 hits with General Broken Lines, or include DUT**

Telescope resolution from triplets



- Triplet residuals:
 - ▶ hits in plane 0 and 2 form vector,
 - ▶ residual to hit in middle plane 1,
 - ▶ $\sigma_r = 4.2 \mu\text{m}$
 - ▶ $\sigma_r = \sigma_i \sqrt{3/2}$
 - ▶ $\sigma_i = 3.4 \mu\text{m}$ intrinsic telescope resolution

triplet matching at the DUT



- Triplet matching:
 - ▶ extrapolate both triplets to the DUT
 - ▶ $\sigma_{\Delta} = 9.4 \mu\text{m}$
 - ▶ $\sigma_{\Delta} = \sigma_{\text{ex}} \sqrt{2}$
 - ▶ $\sigma_{\text{ex}} = 6.6 \mu\text{m}$
 - ▶ allows clean selection of tracks
 - ▶ allows DUT mapping with $7 \mu\text{m}$ steps

General Broken lines

Track model: broken line from V. Blobel, generalized by C. Kleinwort.
Takes multiple scattering and intrinsic resolution into account.
Covariance matrix of track parameters is calculated.
The General Broken Line fit is equivalent to a Kalman filter, but faster, exploiting the bordered band matrix structure of the problem.
GBL solves the entire track fit in one step; outlier rejection requires iteration.

GBL

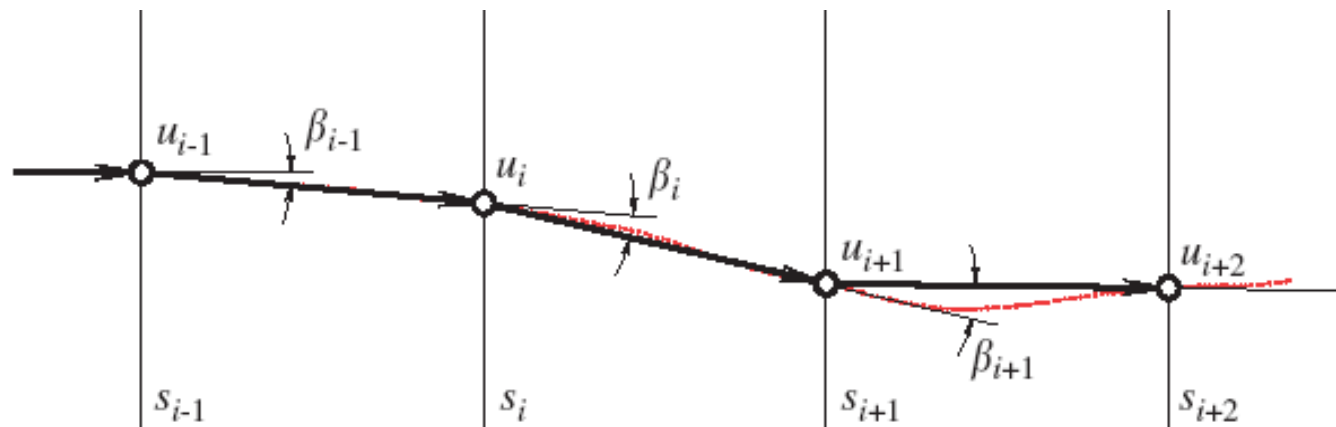


Fig. 3. Particle trajectory with fitted residuals u_i and kink angles β_i .

Volker Blobel: Software alignment for tracking detectors, NIM A566 (2006) 5-13

Volker Blobel: A new fast track-fit algorithm based on broken lines, NIM A566 (2006) 14-17

V. Blobel, C. Kleinwort, F. Meier: Fast alignment of a complex tracking detector using advanced track models, Comp Phys Comm 182 (2011) 1760-3

C. Kleinwort, F. Meier: Alignment of the CMS silicon tracker, NIM A 650 (2011) 240-4

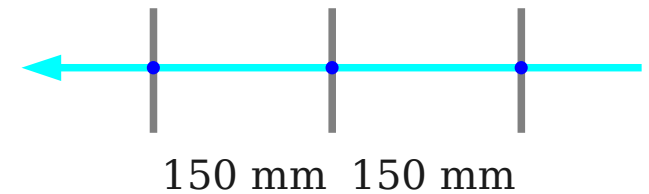
Claus Kleinwort: General broken lines as advanced track fitting method, NIM A 673 (2012) 107-10

<https://www.wiki.terascale.de/index.php/GeneralBrokenLines>

<http://www.desy.de/~kleinwrt/GBL/html/>

multiple scattering: Si and air

- Air at NTP (20°C, 1 bar):
 - ▶ $\rho X_0 = 36.6 \text{ g/cm}^2$, $\rho = 1.2 \text{ mg/cm}^3$
 - ▶ $X_0 = 305 \text{ m}$ (cosmic air showers!)
 - ▶ 150 mm air $\doteq 0.50\text{‰} X_0$
 - ▶ approximated by $2\times$ thin scattering



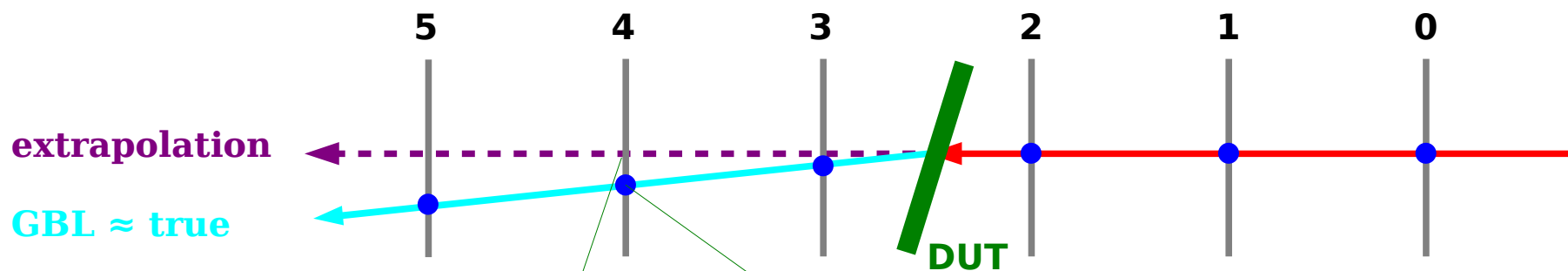
- Telescope planes:
 - ▶ 50 μm Si $\doteq 0.54\text{‰} X_0$
 - ▶ black Kapton foil $\approx 0.1\text{‰} X_0$
 - ▶ described by thin scatter

$$\text{PDG: } \langle \theta \rangle [\text{rad}] = \frac{0.0136}{p [\text{GeV}/c]} \sqrt{d/X_0} \left(1 + 0.038 \ln(d/X_0) \right)$$

(fit to Geant simulation, 98% Gaussian core)

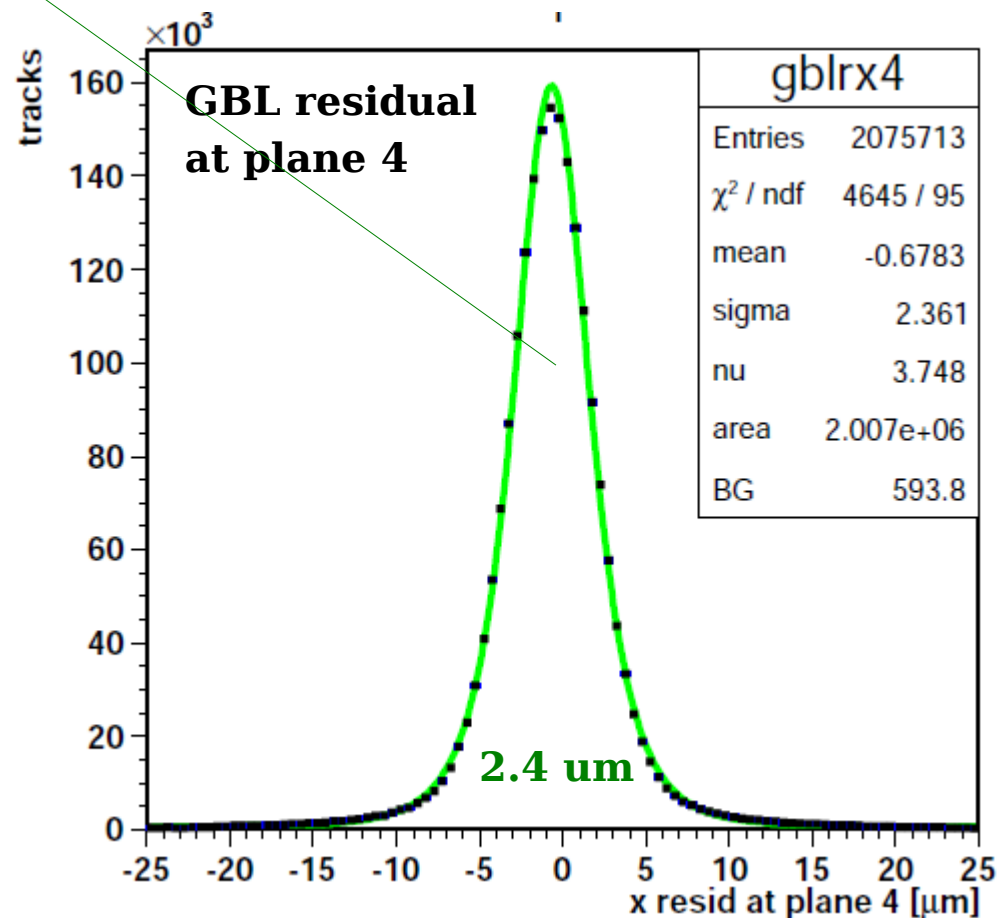
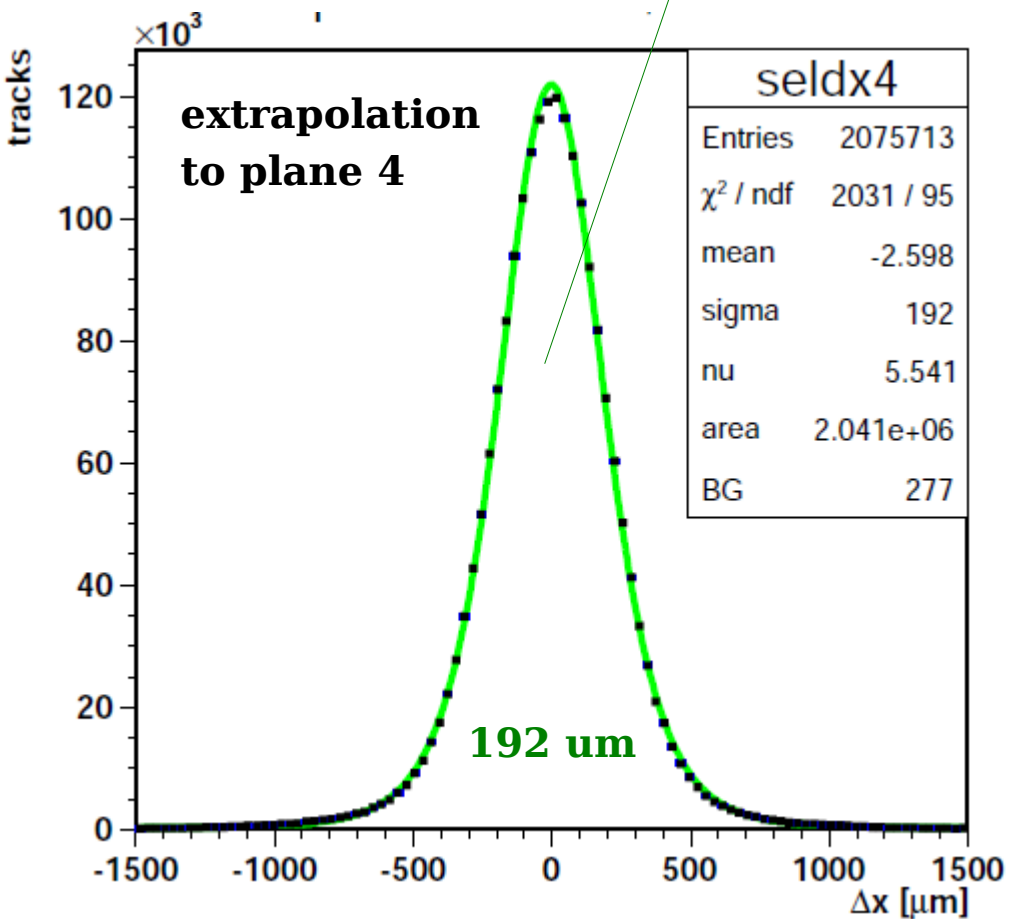
alternative formulae by Lynch & Dahl and Frühwirth & Regler are numerically identical in the range studied here.

telescope tracking with GBL

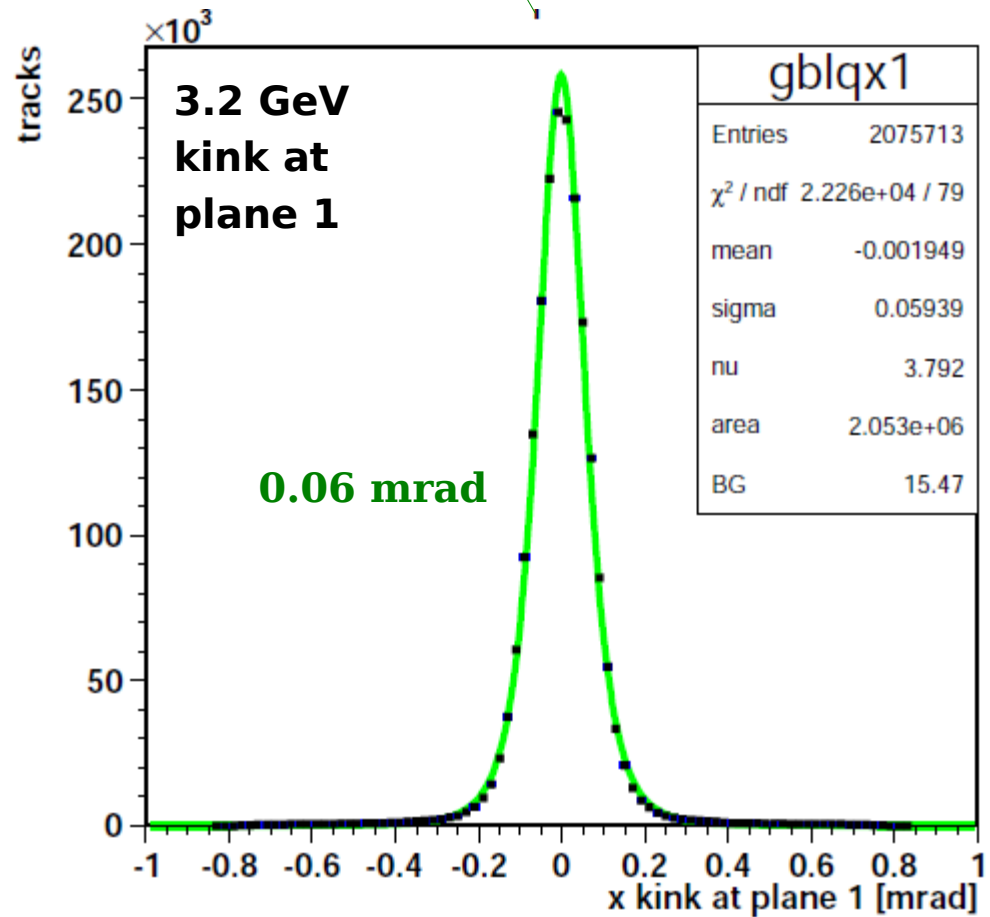
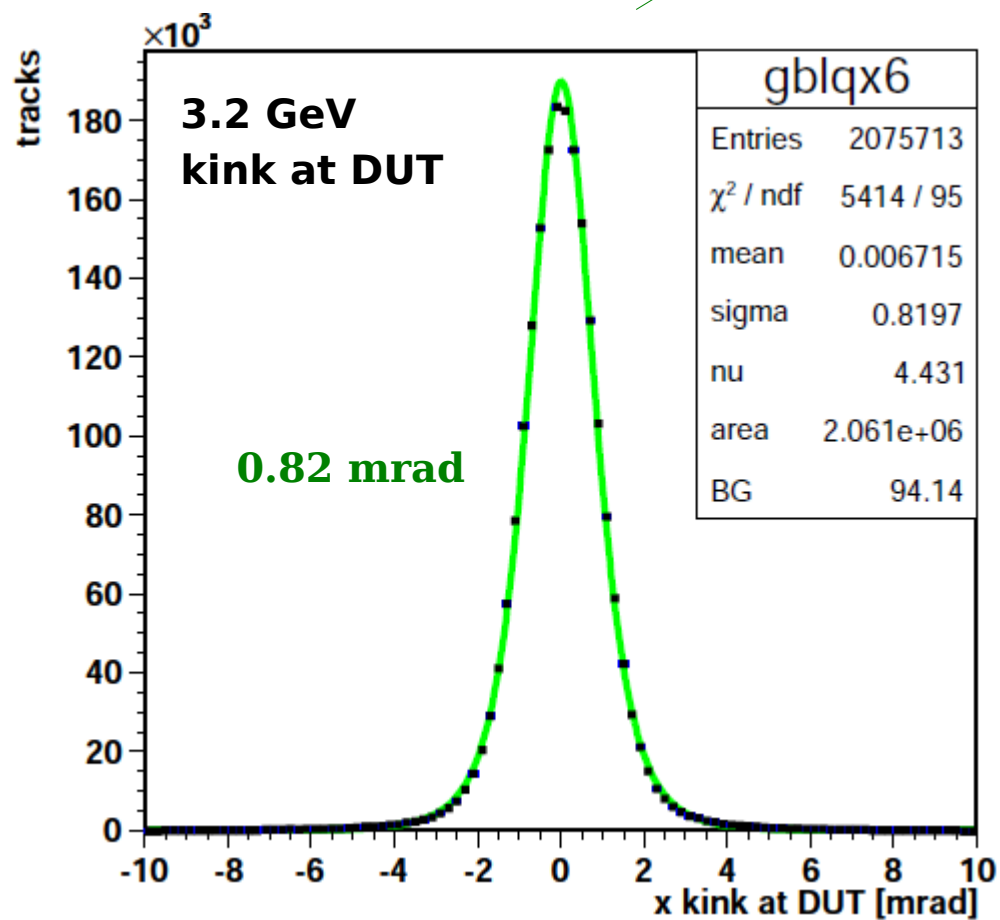
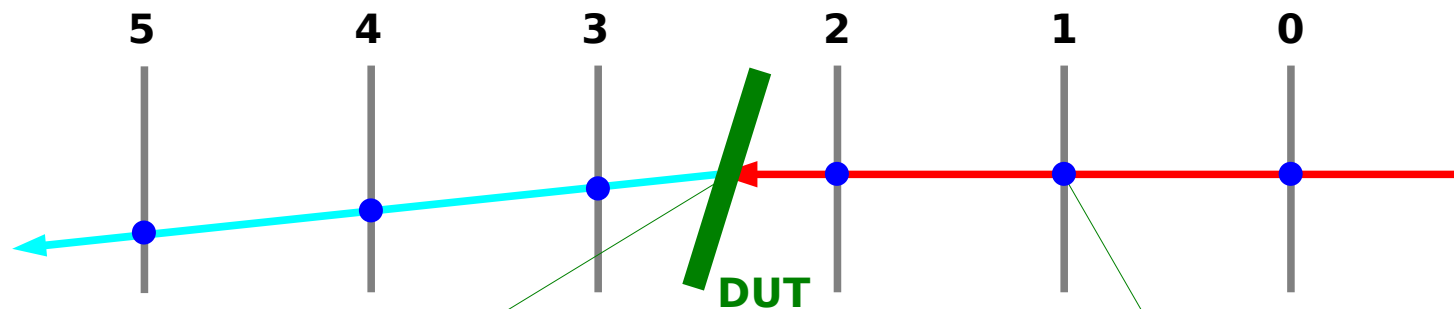


planes 2 and 0 define the reference track, verified by plane 1

run 218: 3.2 GeV, 1 mm Cu



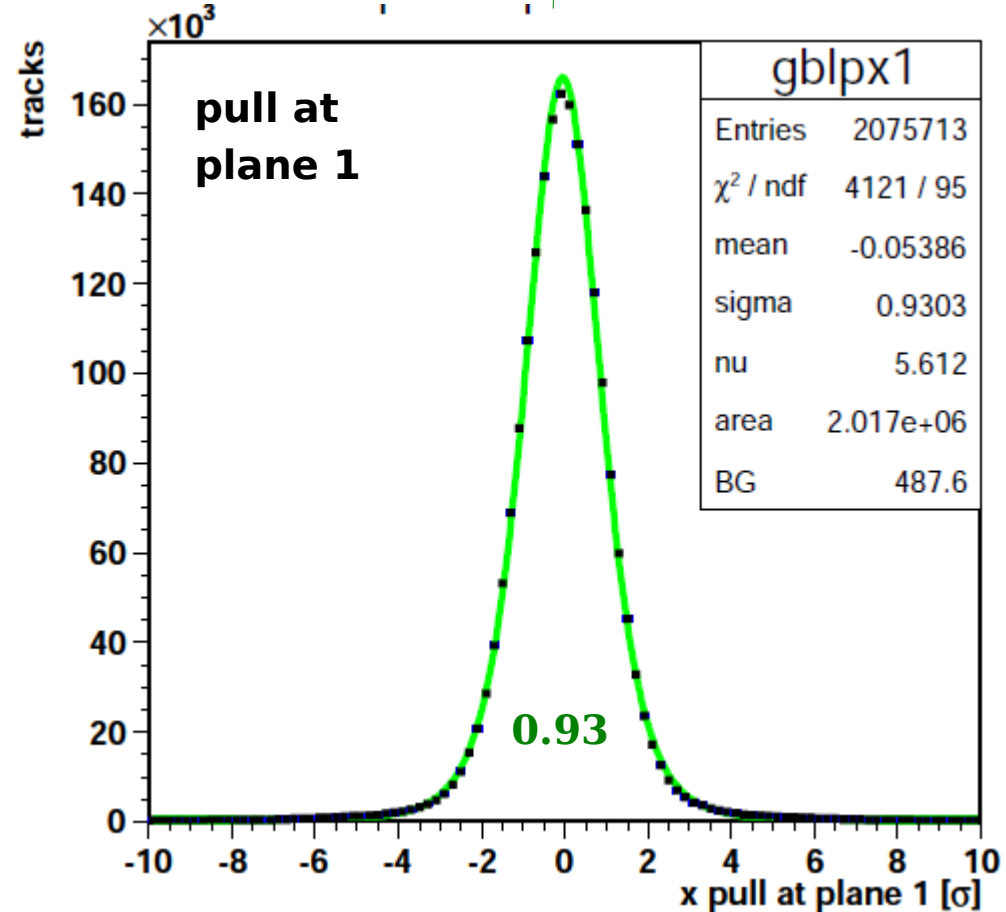
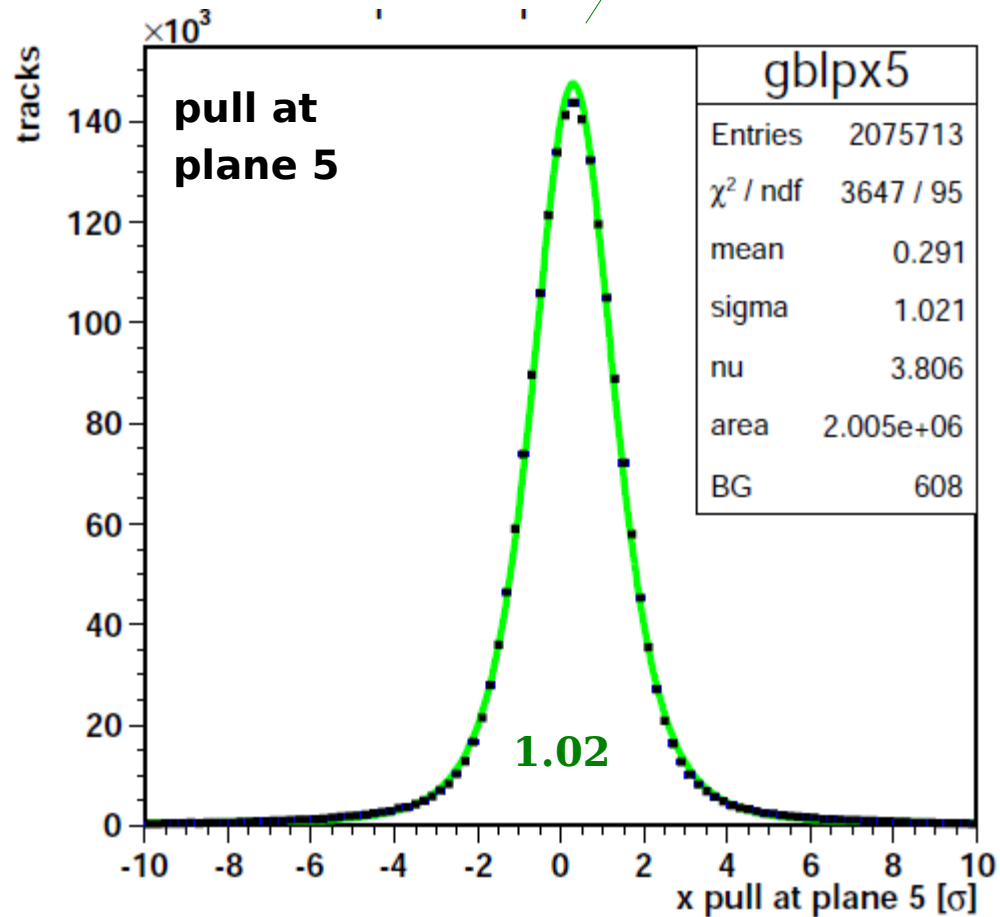
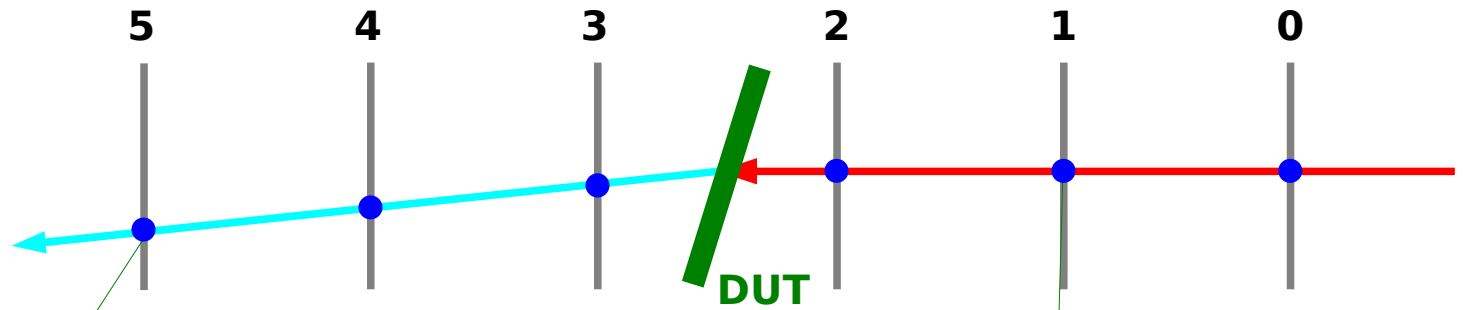
GBL kinks



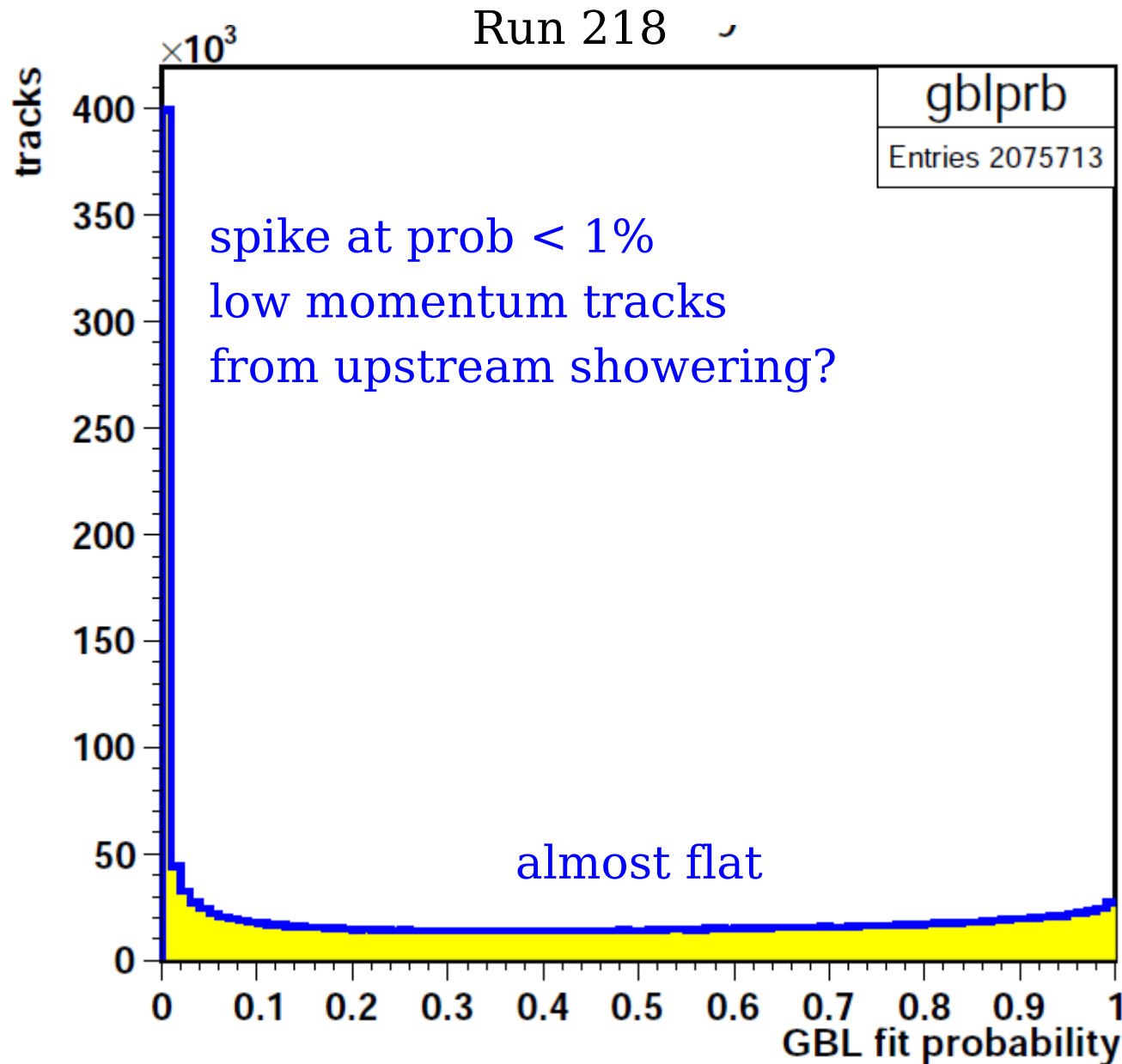
GBL pulls

$$p = \frac{\Delta}{\sqrt{\sigma_i^2 - \sigma_{fit}^2}}$$

Gaussian
with $\sigma = 1$



GBL fit probability



- 3.2 GeV e^+ beam.
- 6% X_0 DUT
- ▶ adjusted (reduced) to get \sim flat probability

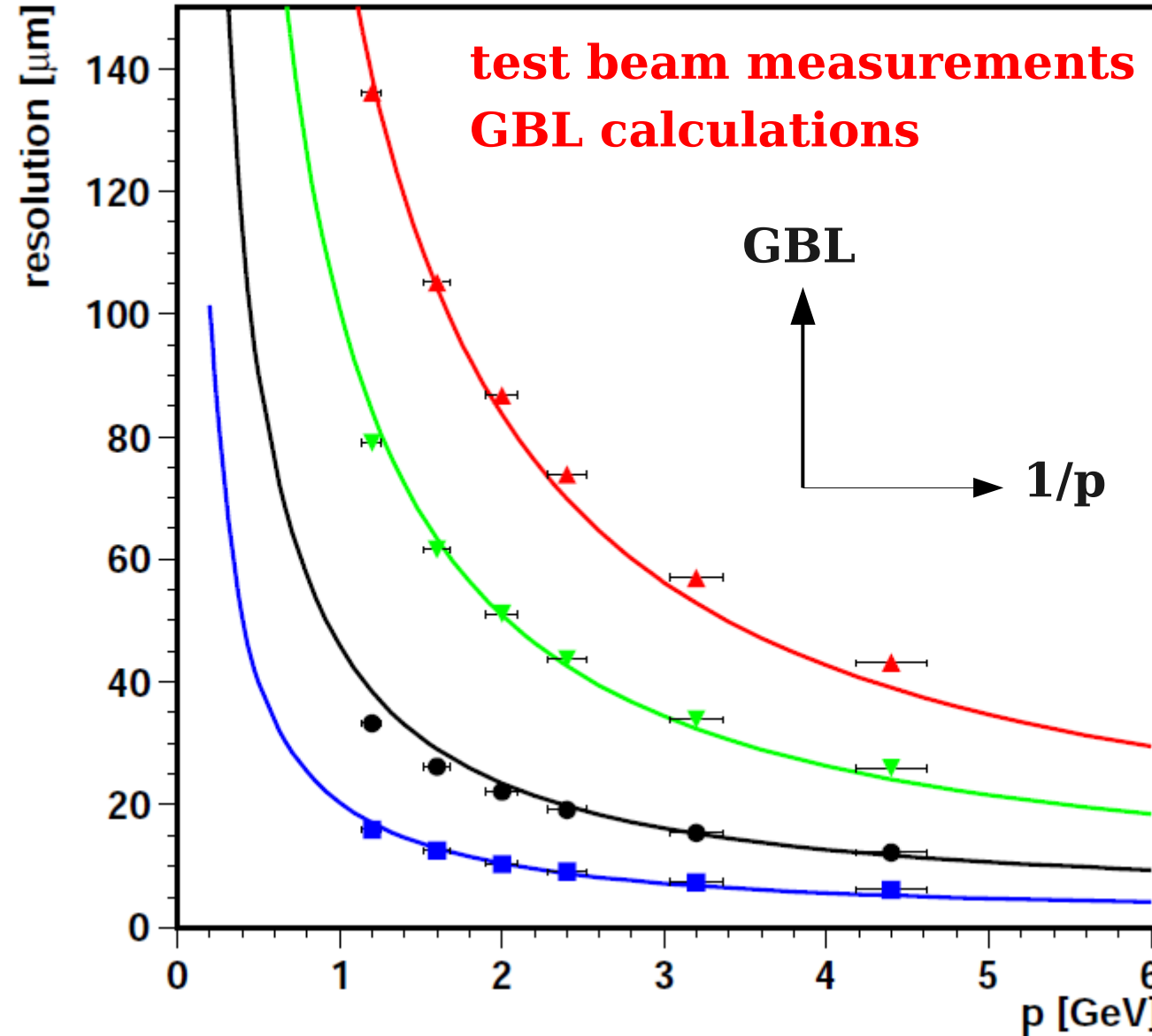
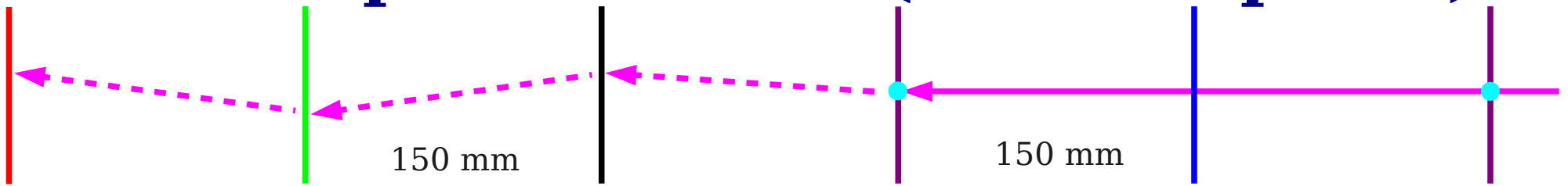
General Broken Lines for resolution study

```
GblTrajectory traj(false); // curvature = false (straight line track)
double measRes = 3.5E-3; // [mm]
double p = 3.2; // [GeV]
double X0Si = 60e-3 / 94; double X0Air = 50 / 304E3; // [mm]
double tetSi = 0.0136 * sqrt(X0Si) / p * ( 1 + 0.038*log(X0Si) );
double tetAir = 0.0136 * sqrt(X0Air) / p * ( 1 + 0.038*log(X0Air) );
double step = 150; // [mm] spacing

// Si plane 0:
GblPoint *point = new GblPoint(jacPointToPoint);
point->addMeasurement( unit, 0, 1/measRes/measRes );
point->addScatterer( 0, 1/tetSi/tetSi );
unsigned int iLabel = traj.addPoint(*point); delete point;

// Air:
jacPointToPoint = Jac5( 0.5*step ); // Jacobian for track parameters
point = new GblPoint(jacPointToPoint);
point->addScatterer( 0, 1/tetAir/tetAir );
iLabel = traj.addPoint(*point);
...
// fit:
traj.fit( Chi2, Ndf, downWeight );
traj.getResults( iLabel, Corrections, Covariance );
extrapolationErrorX = sqrt(Covariance(3,3)); // [mm] traj = (k, x', y', x, y)
```

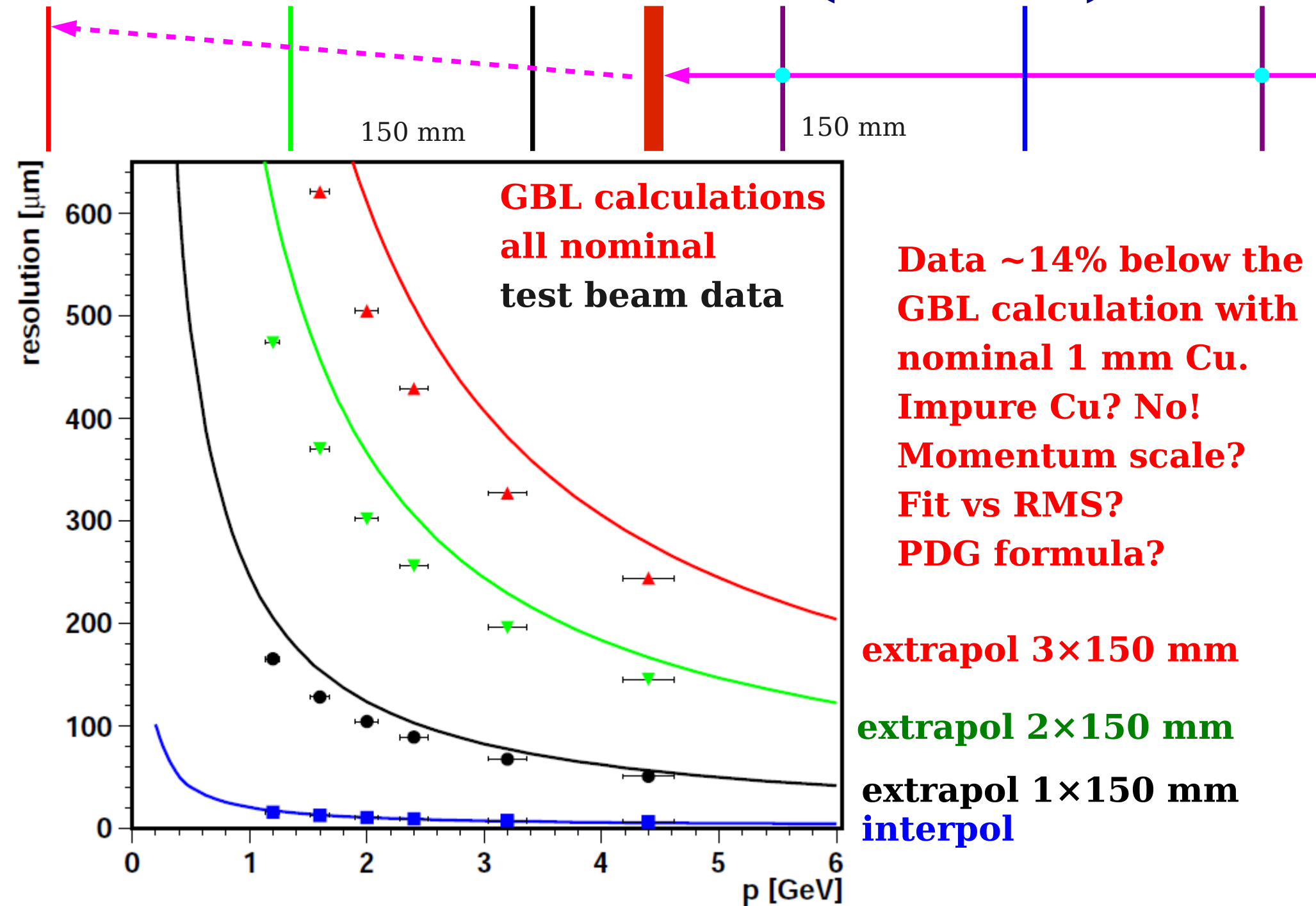

Telescope resolution (0.1% X0/plane)



**Data well described
by GBL calculation
using adjusted
material:
65 μm Si + Kapton
2 \times 25 mm air (?)**

extrapol 3 \times 150 mm
extrapol 2 \times 150 mm
extrapol 1 \times 150 mm
interpol

1 mm Cu inserted (7.0% X0)



Data ~14% below the GBL calculation with nominal 1 mm Cu.
Impure Cu? No!
Momentum scale?
Fit vs RMS?
PDG formula?

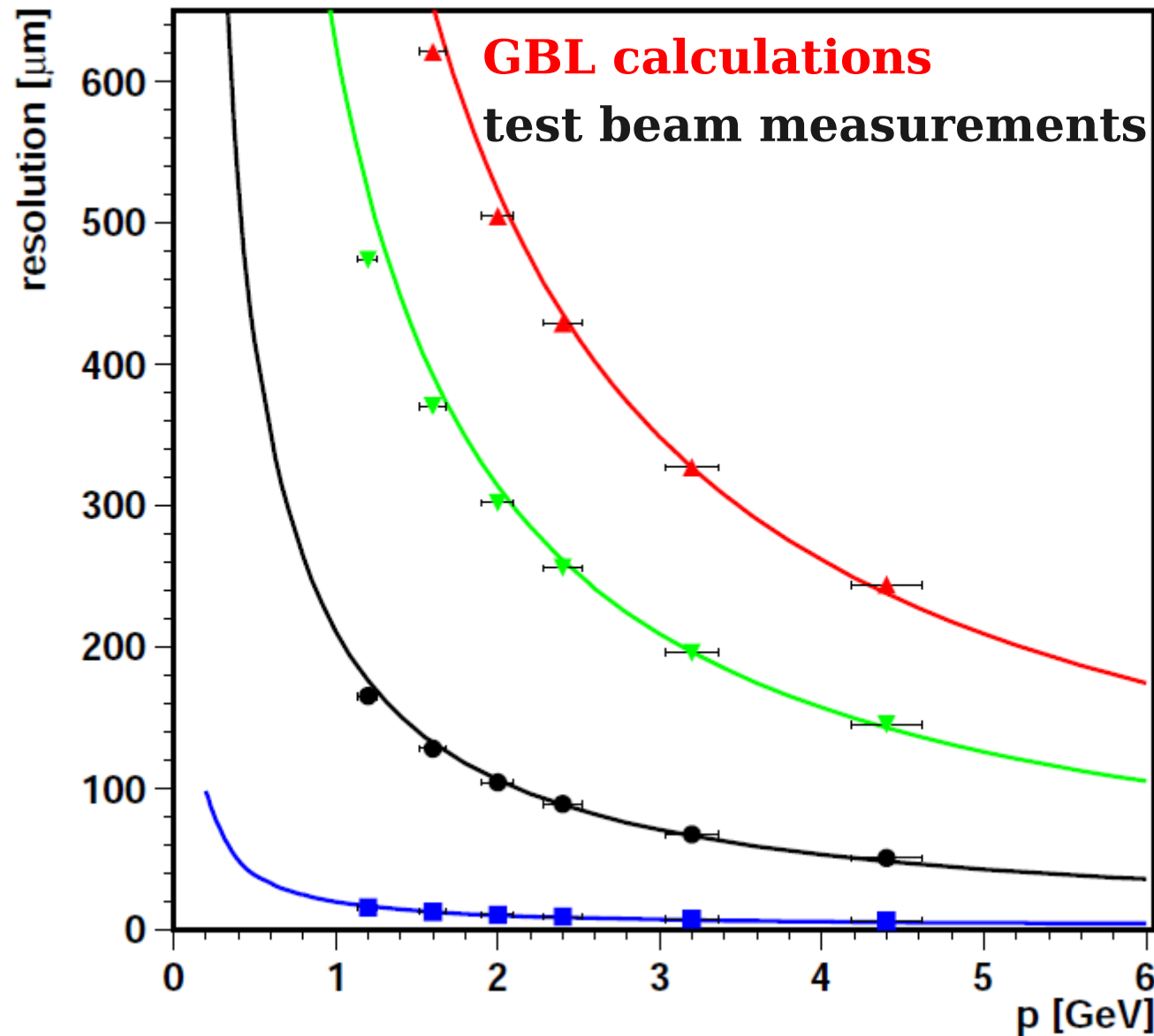
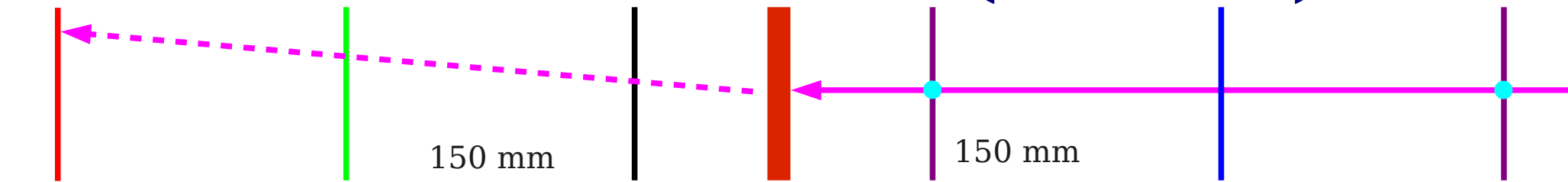
extrapol 3x150 mm

extrapol 2x150 mm

extrapol 1x150 mm

interpol

1 mm Cu inserted (7.0% X0)



**Data well described
by GBL calculation
with reduced Cu:
0.70 instead 1.0 mm.**

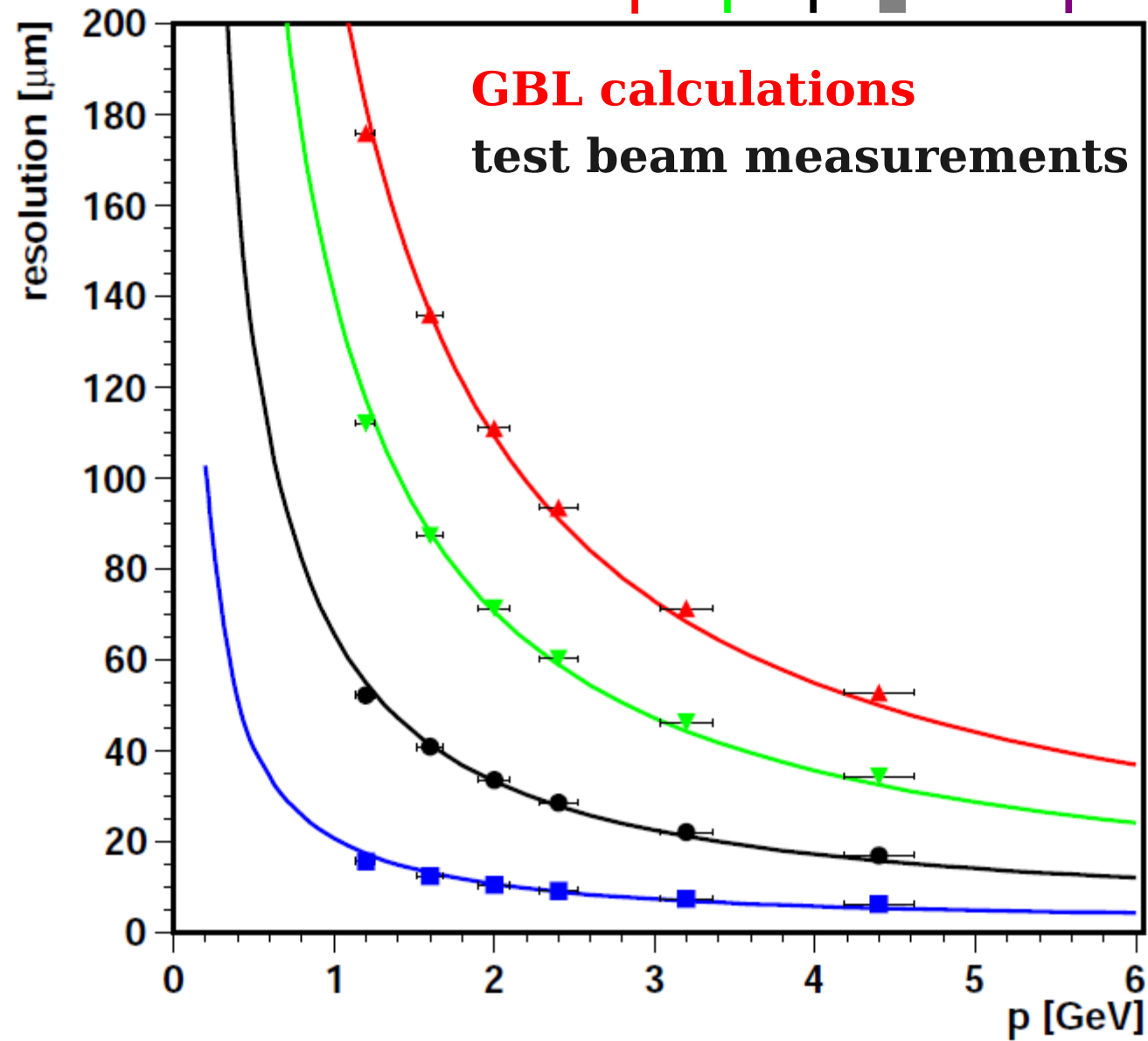
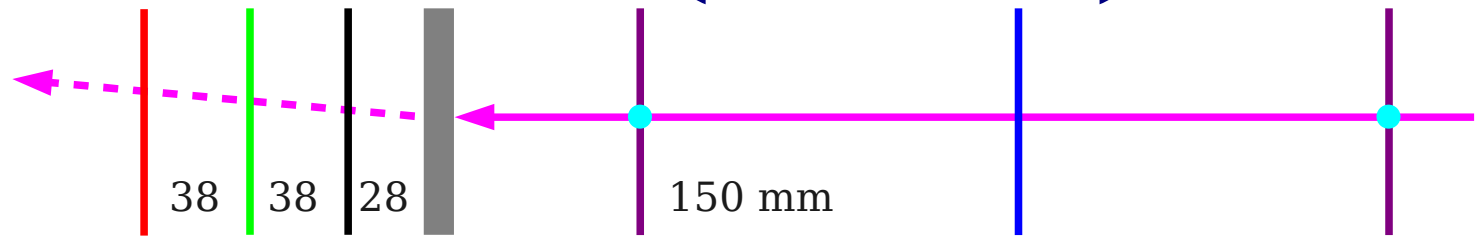
extrapol 3x150 mm

extrapol 2x150 mm

extrapol 1x150 mm

interpol

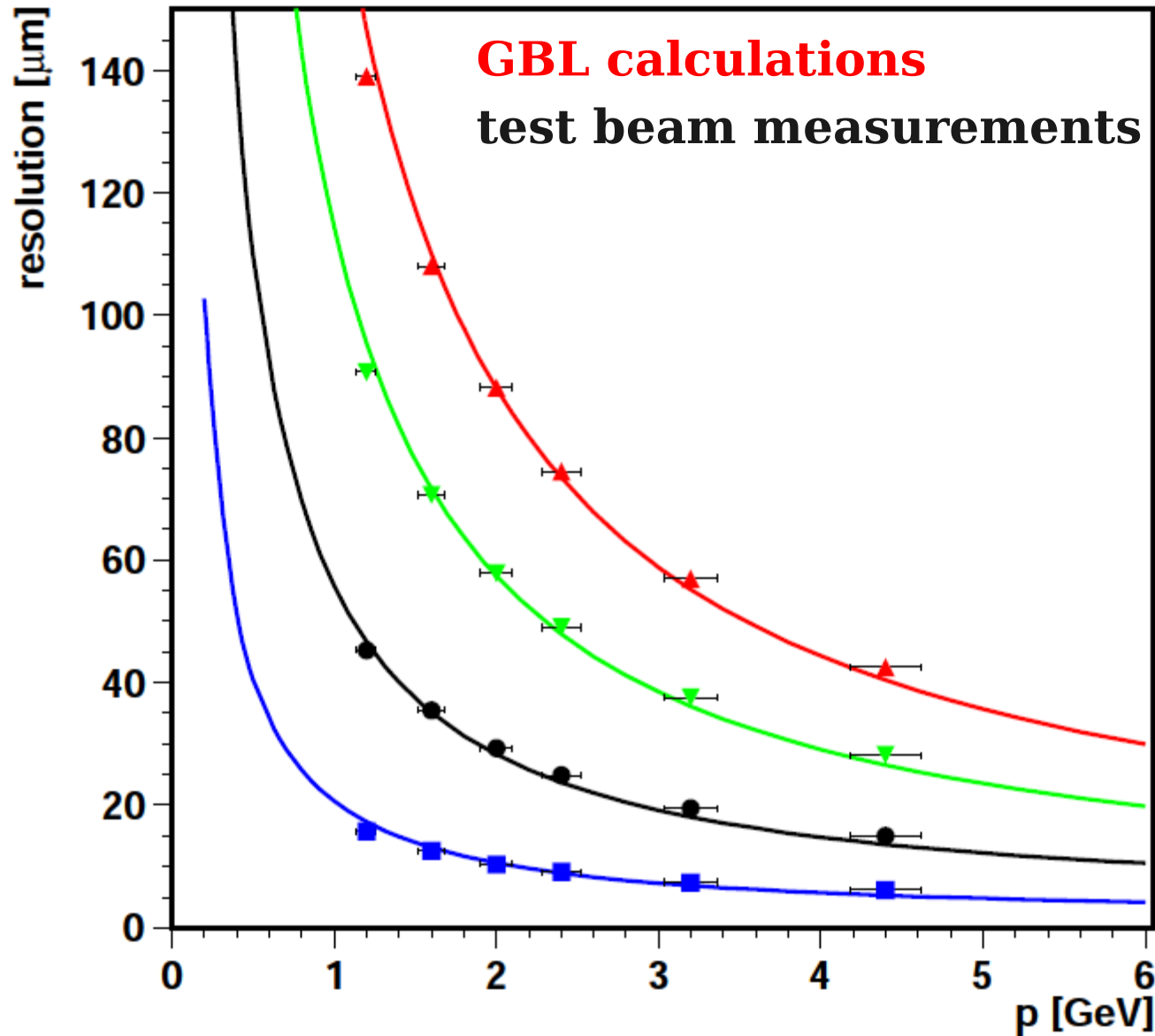
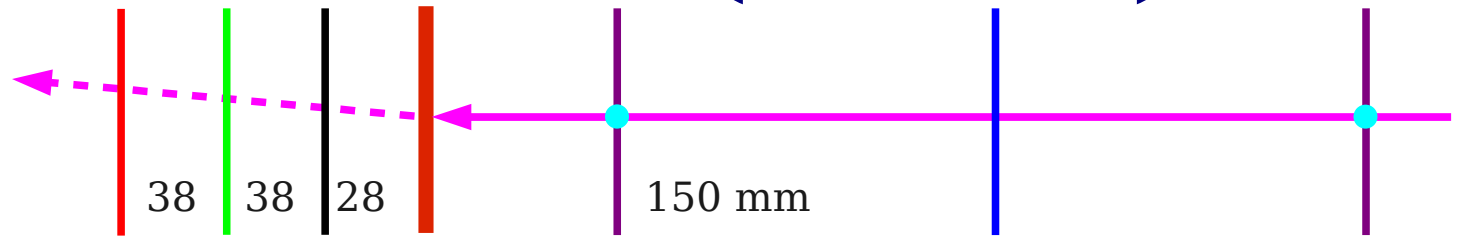
4 mm Al inserted (4.5% X0)



**Data well described
by GBL calculation
with reduced Al:
2.6 instead 4.0 mm.**

extrapol 104 mm
extrapol 66 mm
extrapol 28 mm
interpol

0.4 mm Cu inserted (2.8% X0)



**Data well described
by GBL calculation
with reduced Cu:
0.27 instead 0.4 mm.**

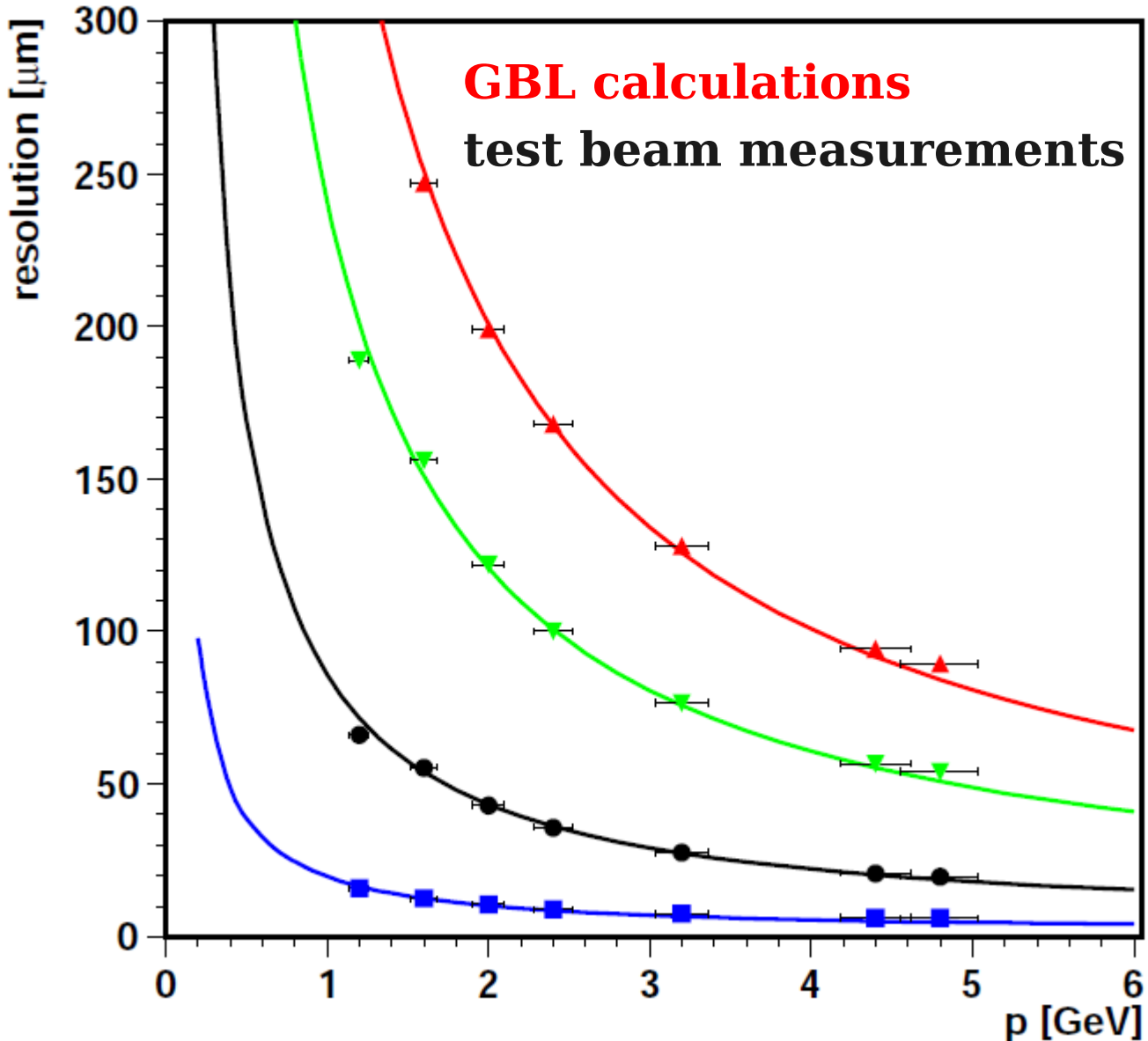
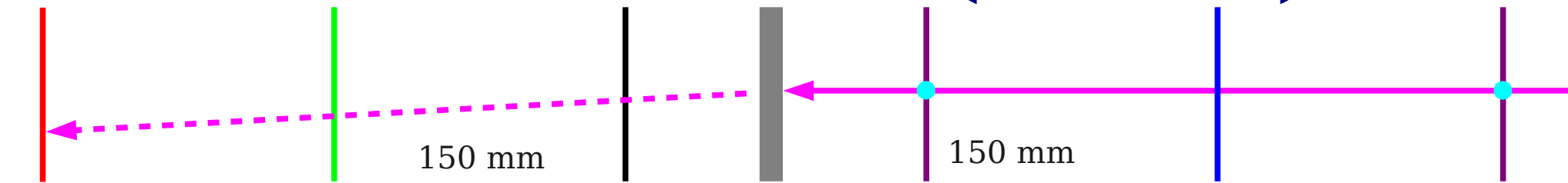
extrapol 104 mm

extrapol 66 mm

extrapol 28 mm

interpol

1 mm Al inserted (1.1% X0)

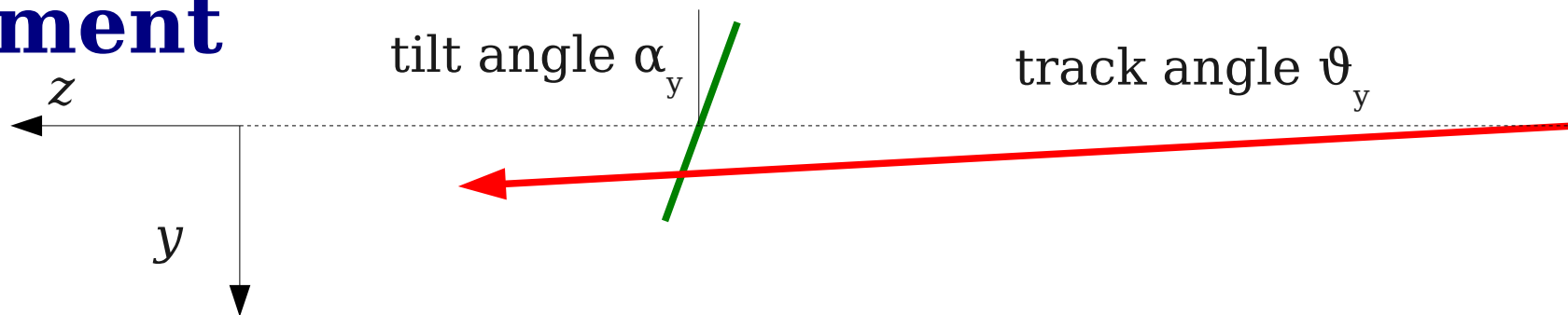


GBL calculations
test beam measurements

**Data well described
by GBL calculation
with reduced Al:
0.7 instead 1.0 mm.
PDG formula?**

extrapol 3×150 mm
extrapol 2×150 mm
extrapol 1×150 mm
interpol

alignment



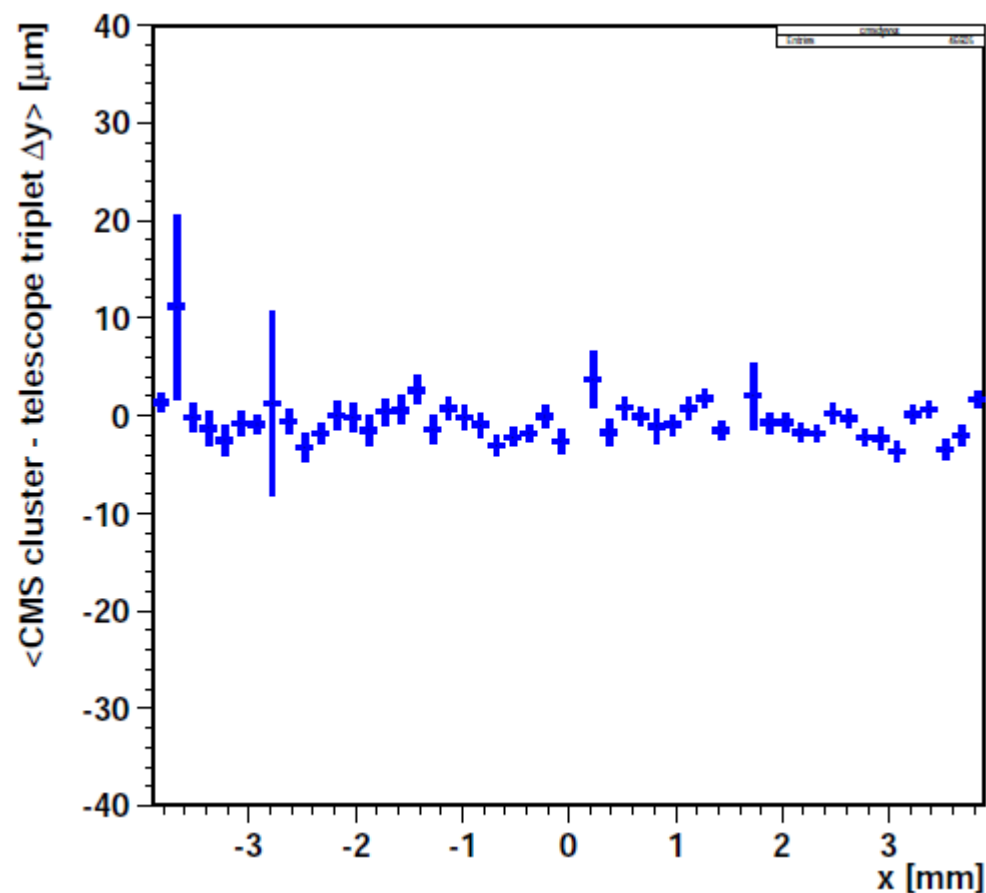
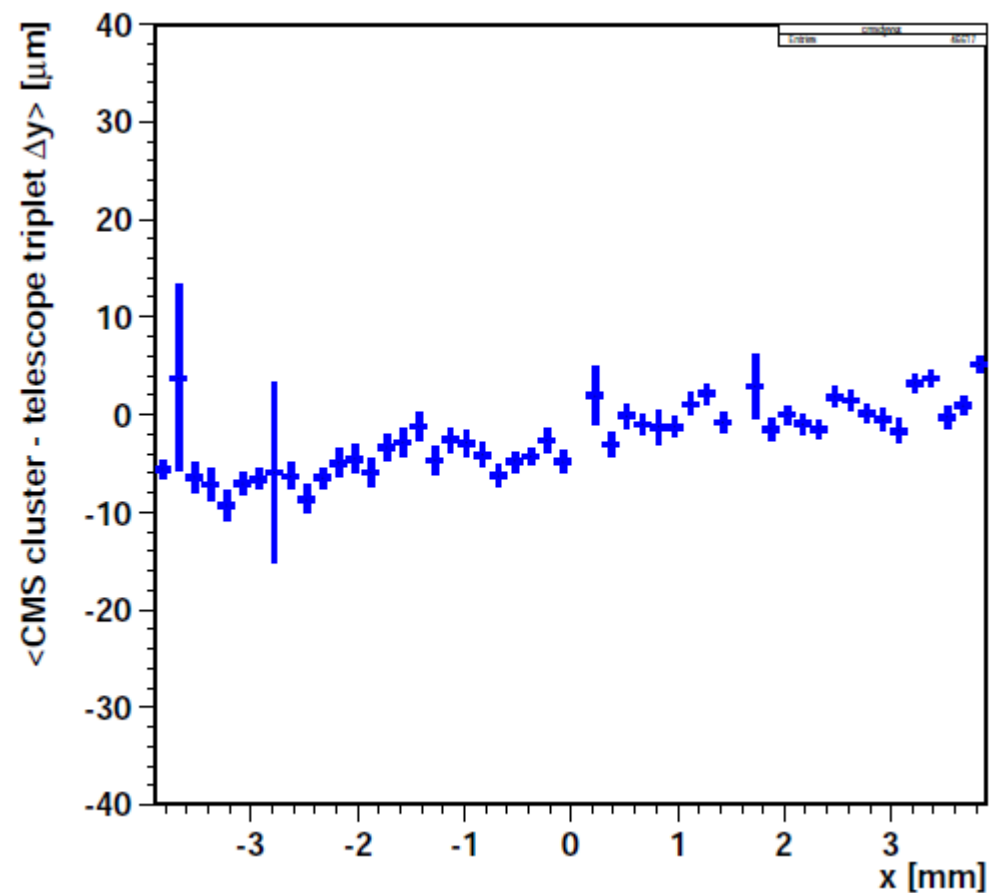
- tilted sensor: $z = z_s + y \tan\alpha$, $z_s = y$ of sensor at $y = 0$
- track: $y = y_0 + (z - z_0) \tan\theta_y$, $y_0 = y$ of track at some z_0
- track at sensor: $y_t = (y_0 + (z_s - z_0) \tan\theta_y) / (1 - \tan\alpha \tan\theta_y)$
- hit: shift and rotate around z :
$$\begin{pmatrix} x_n \\ y_n \end{pmatrix} = \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix} \begin{pmatrix} x_i \\ y_i \end{pmatrix} - \begin{pmatrix} x_s \\ y_s \end{pmatrix}$$
- hit: rotate around x : $y_r = y_n \cos\alpha_y$
- residual: $\Delta_y = y_r - y_t$
- alignment corrections: $\delta x, \delta y, \delta z, \delta\phi, \delta\alpha$
- derivatives: $\frac{\partial \Delta_y}{\partial y_s} = -1$, $\frac{\partial \Delta_y}{\partial z_s} = -\tan\theta_y$, $\frac{\partial \Delta_y}{\partial \phi} = x_i$, $\frac{\partial \Delta_y}{\partial \alpha_y} = y_n$

rotate pixel around z

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

$\phi = 20$ mrad

$\phi = 30$ mrad

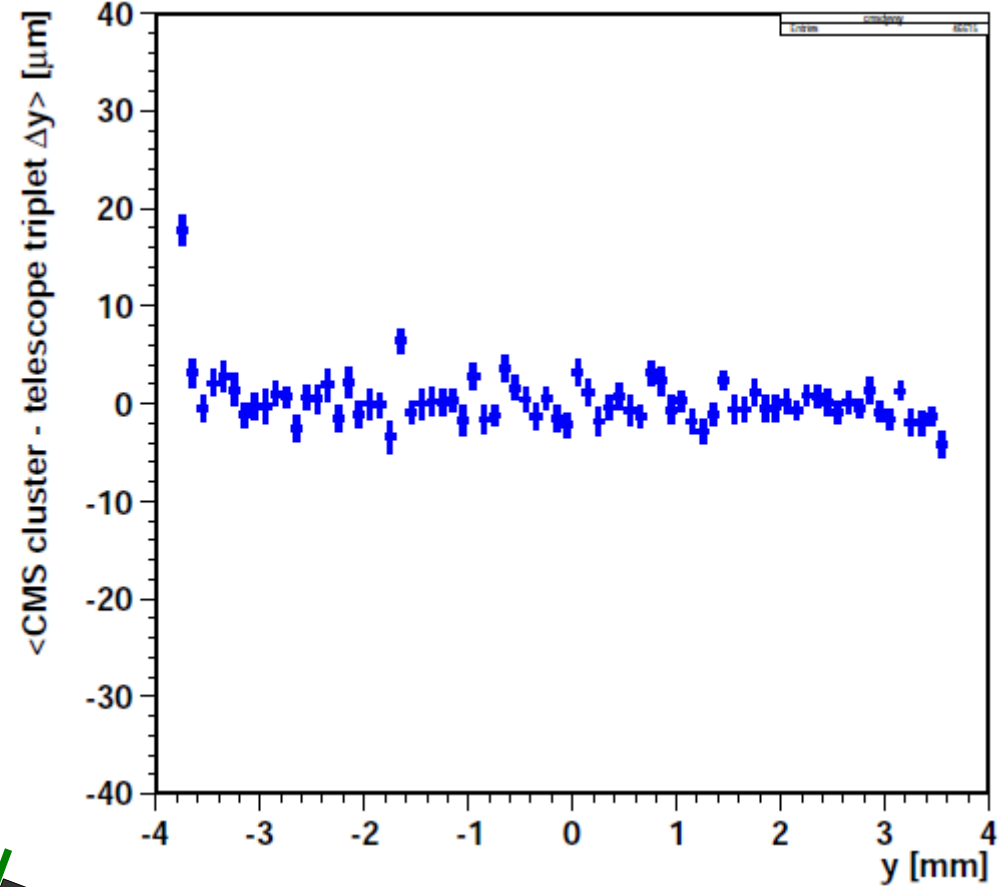
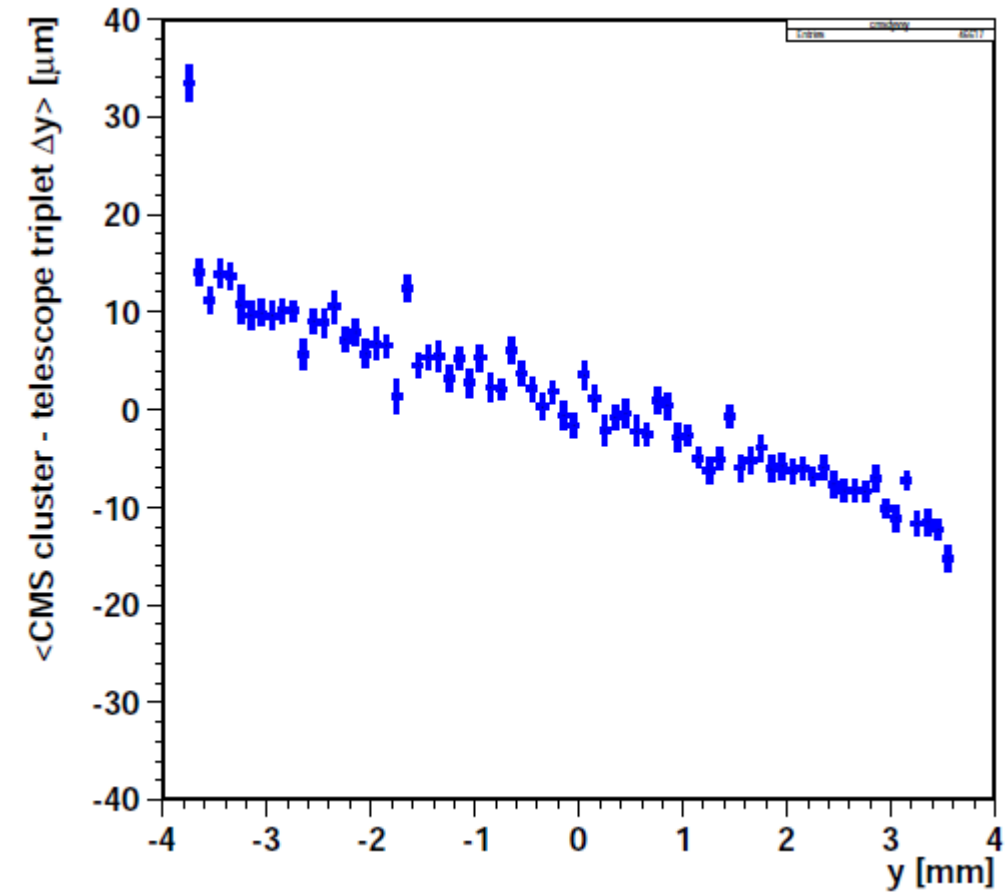


- monitored by mean Δy vs x

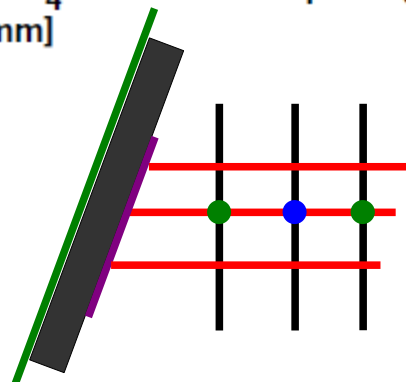
tilt angle

$\alpha = 20.5^\circ$

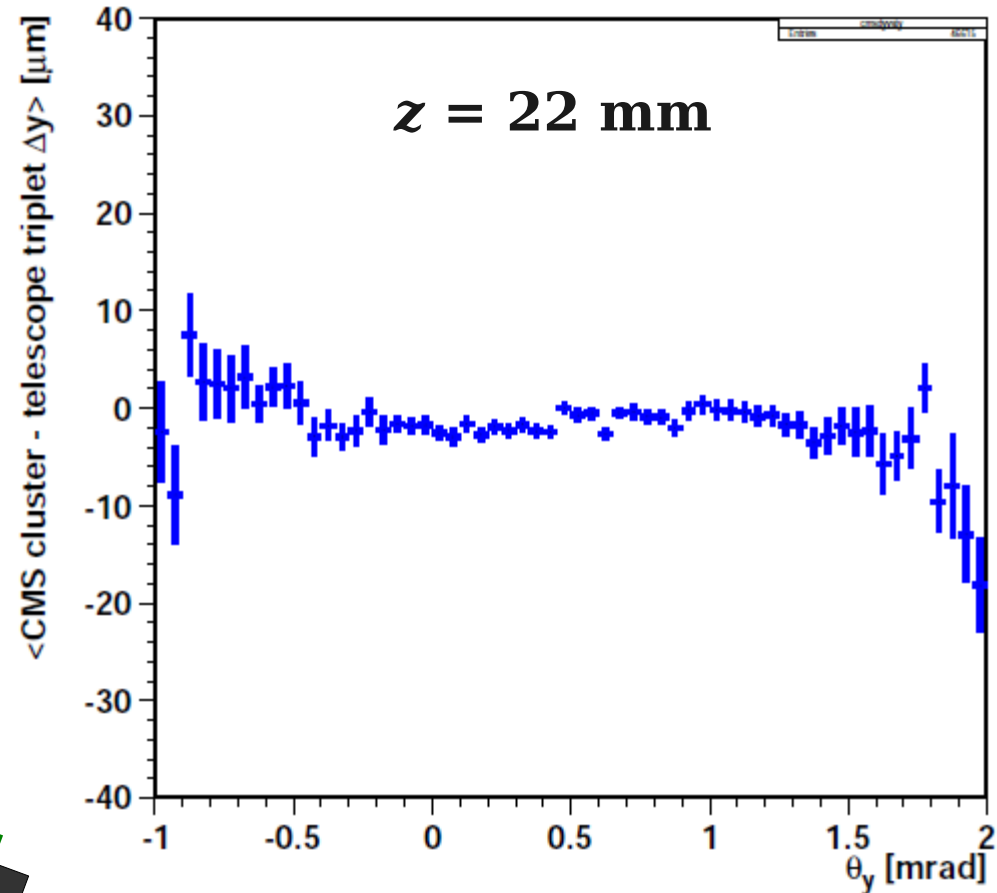
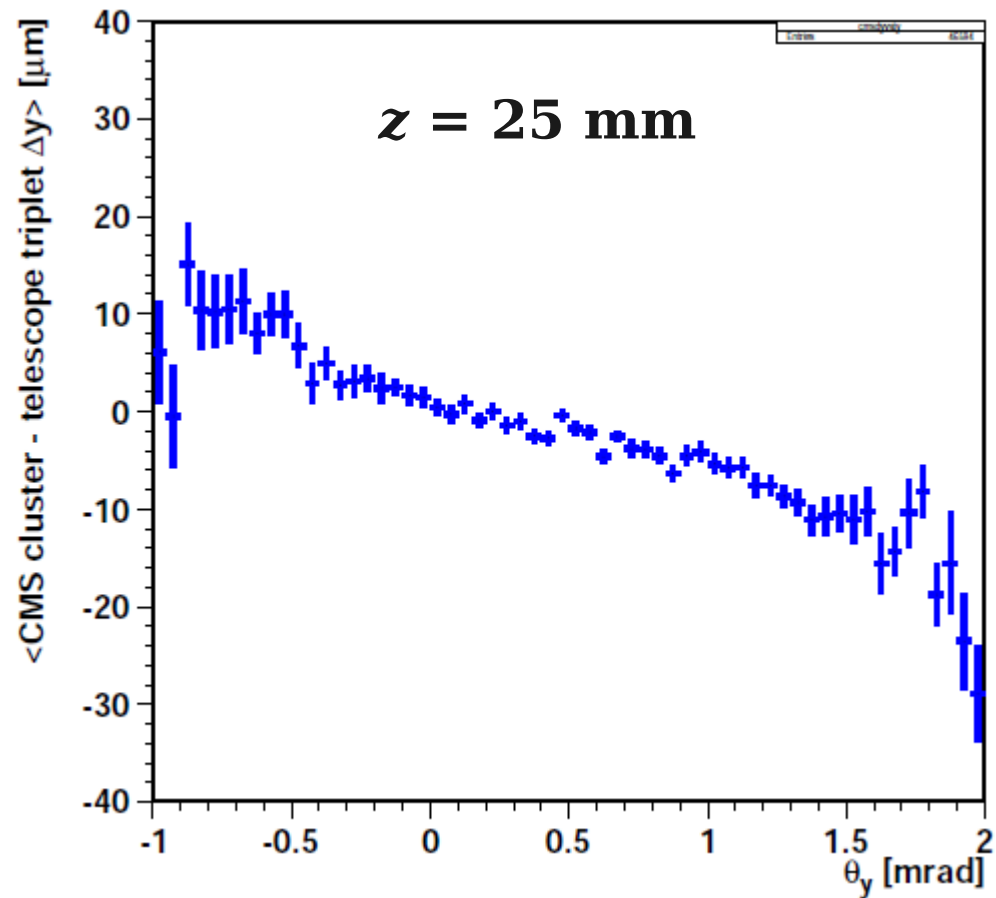
$\alpha = 20.0^\circ$



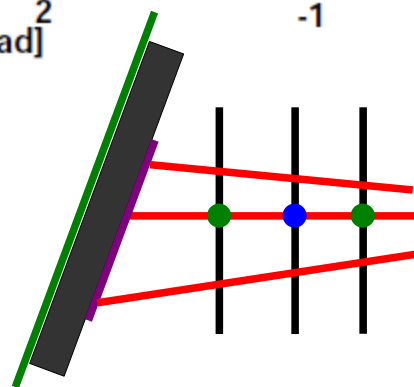
- monitored by mean Δy vs y



z shift



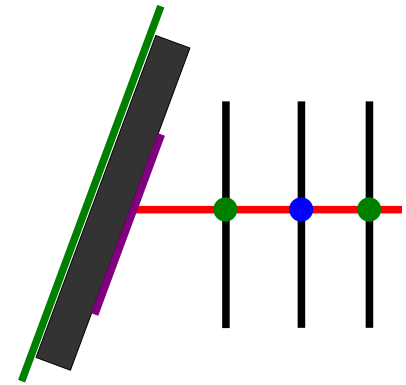
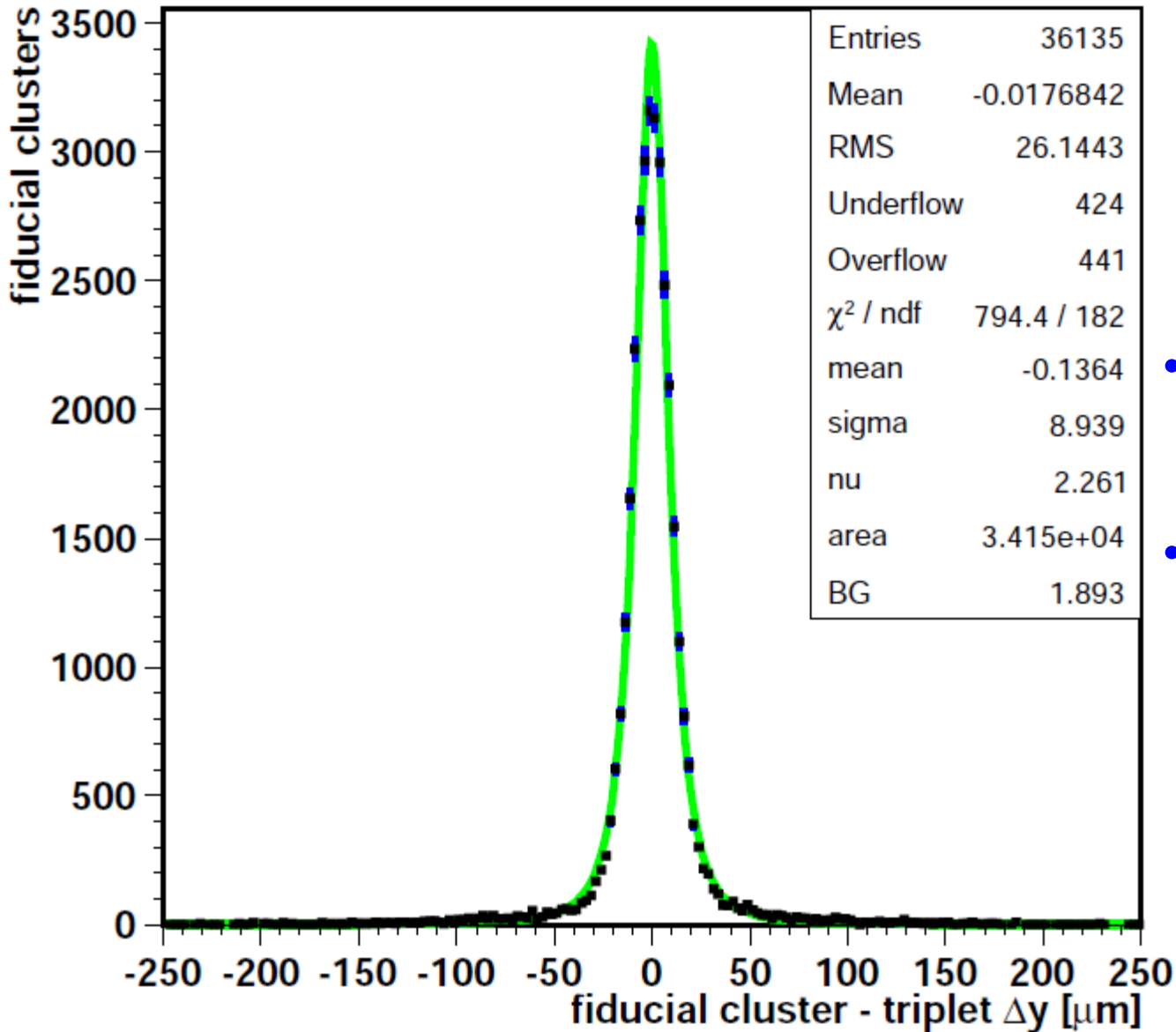
- monitored by mean Δy vs ϑ_y



beam has $\sim 1 \text{ mrad}$ divergence

CMS pixel row resolution

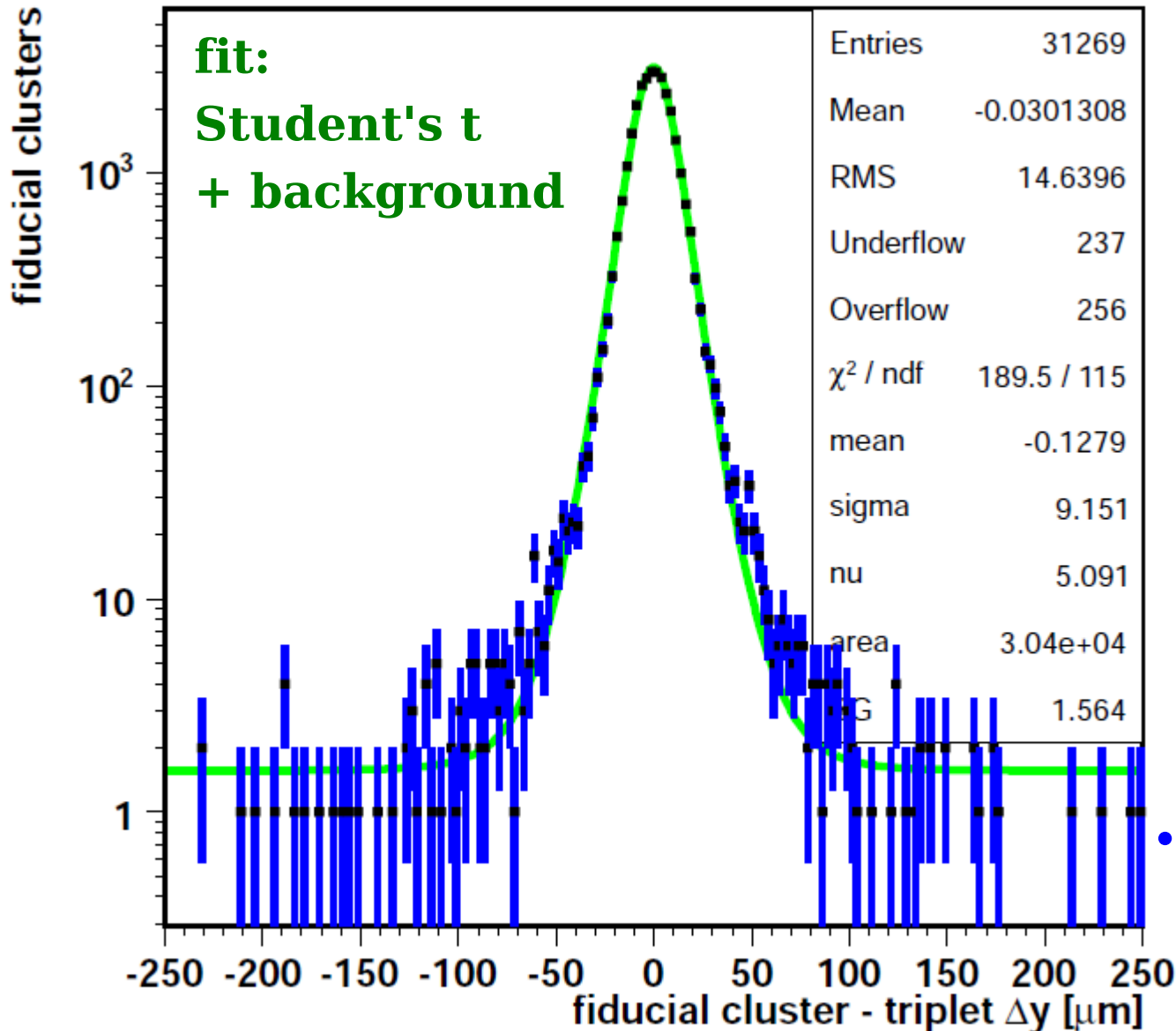
run 2322, 6 GeV, 20° tilt



- Vertical = rows
 - CMS pixel = 100 μm .
- Residual:
 - $\sigma = 9 \mu\text{m}$,
 - telescope extrapolation: 4.5 μm ,
 - **CMS resolution: 8 μm .**

CMS pixel residual distribution

run 2322, 6 GeV, 20° tilt



- cleaning cuts:
 - ▶ cluster one pixel away from edges and dead columns,
 - ▶ $|\Delta x| < 0.15$ mm,
 - ▶ only 1- and 2-row clusters (against δ -rays)
 - ▶ cluster charge > 18 ke (against wrong timing),
 - ▶ $|\text{track angle}| < 2$ mrad (against scattering).
- Result:
 - ▶ less tails, more Gaussian

Tilted CMS pixel in the EuTelescope

**common
scintillator
trigger**

**tilting
support**

**CMS
pixel**

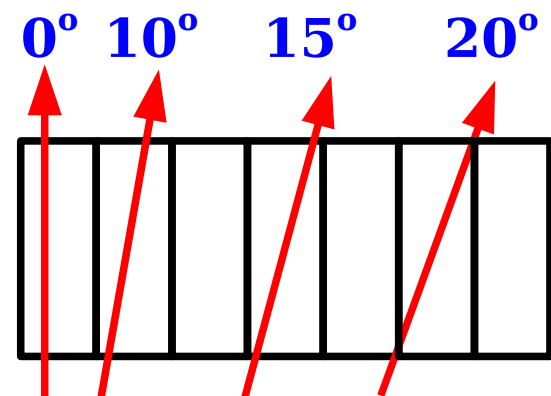
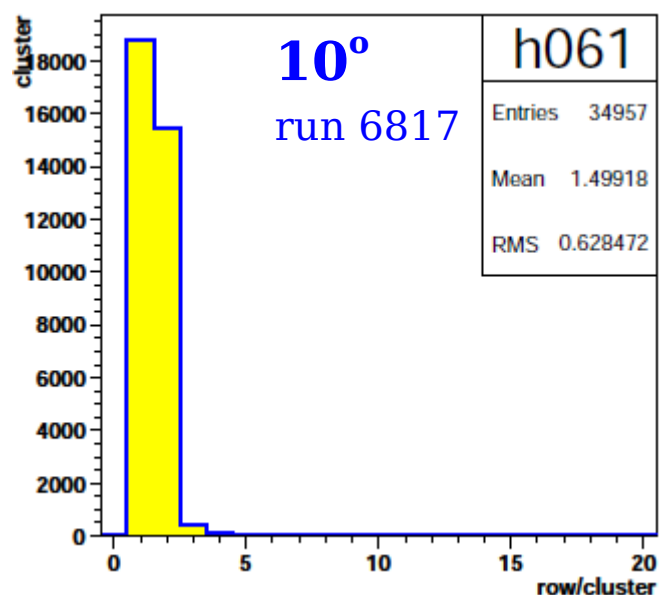
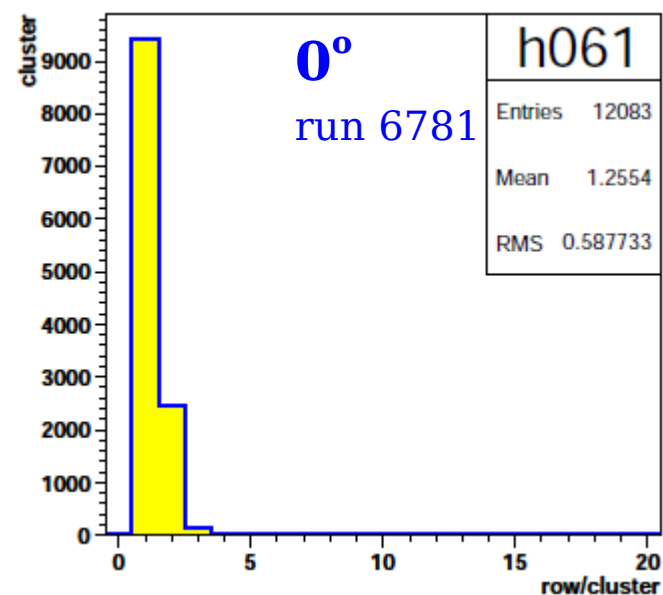
**6 GeV
positrons**

**PSI test
board**

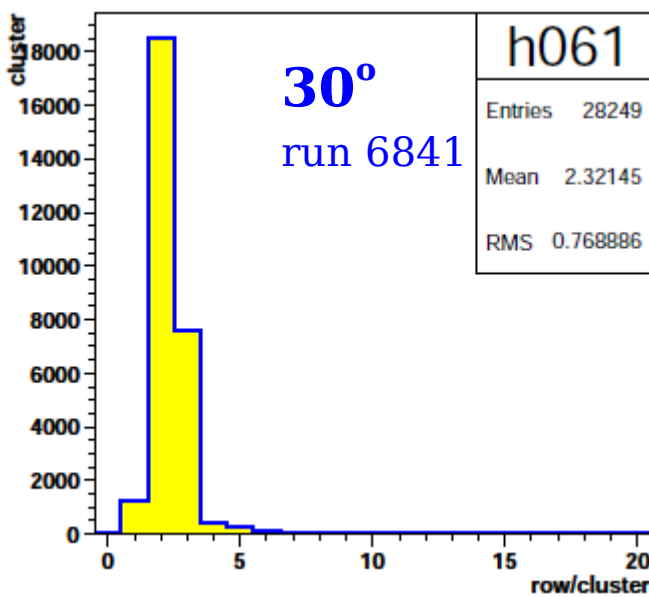
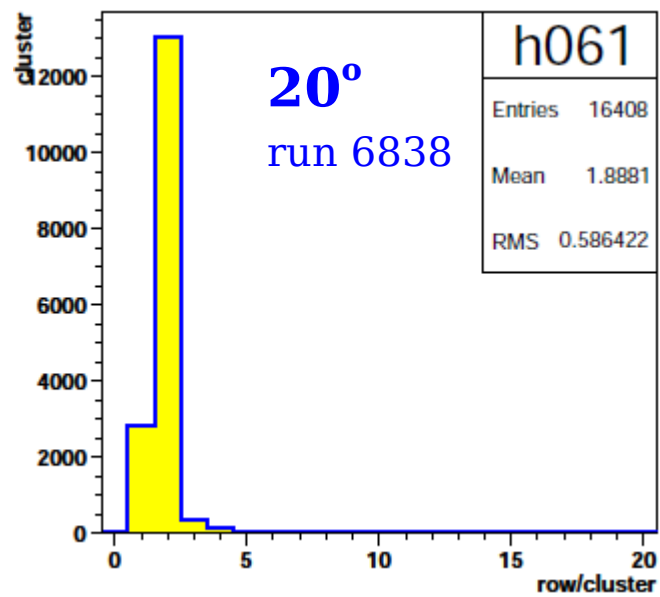
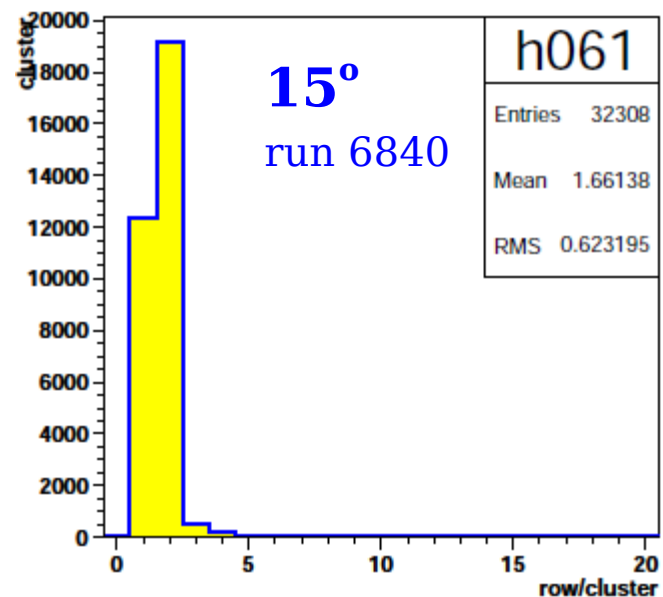
**sliding
telescope
support**



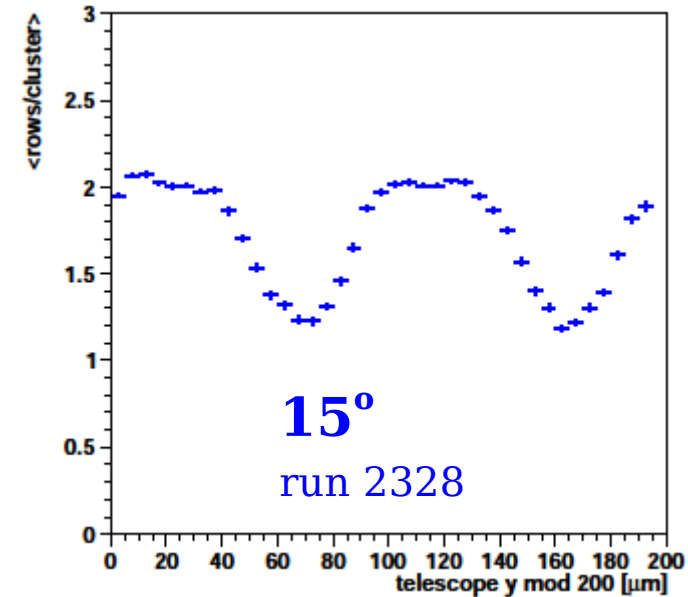
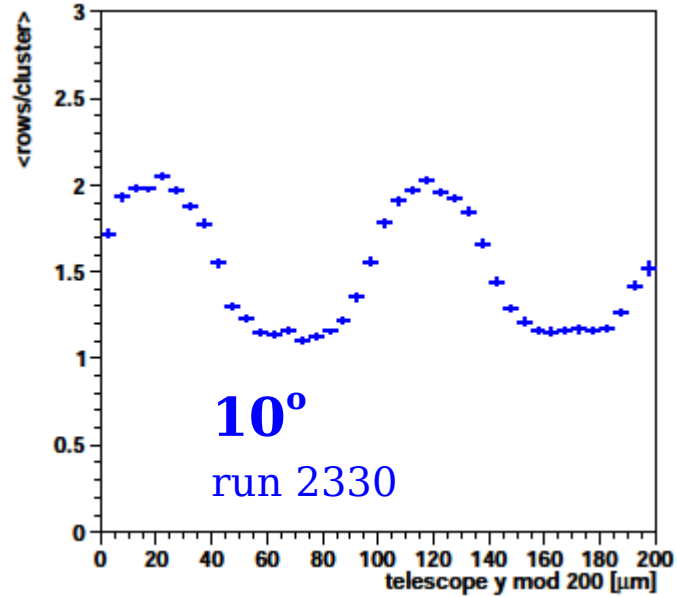
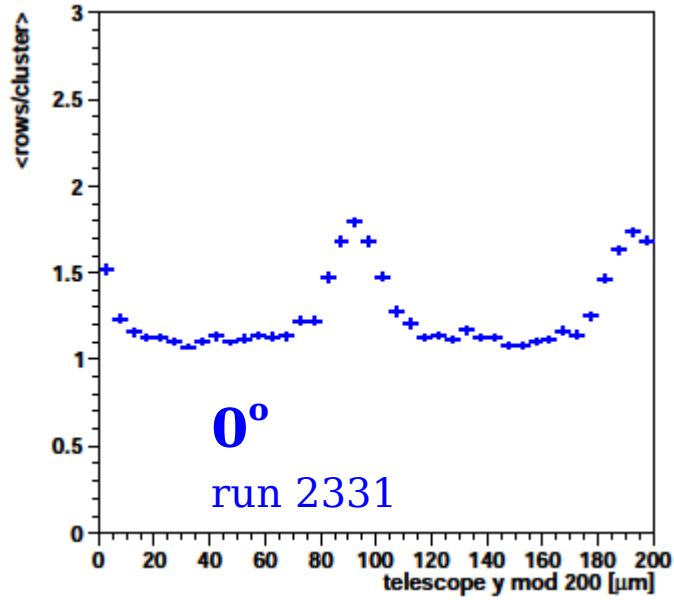
cluster size vs tilt angle



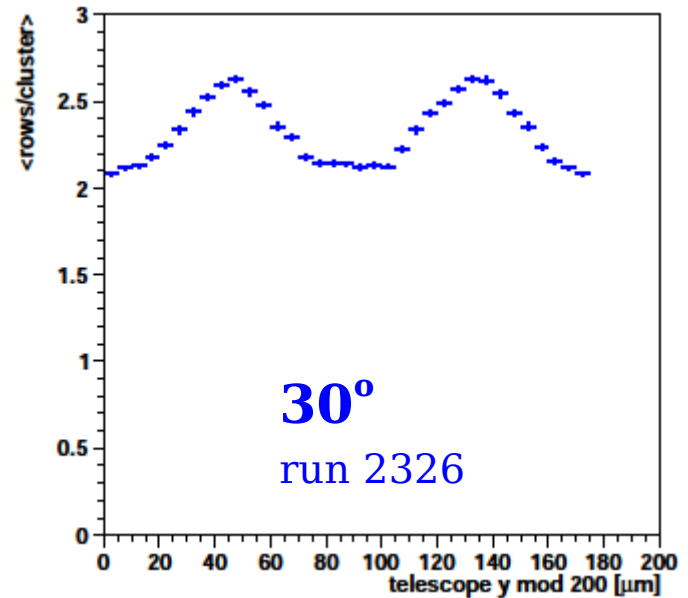
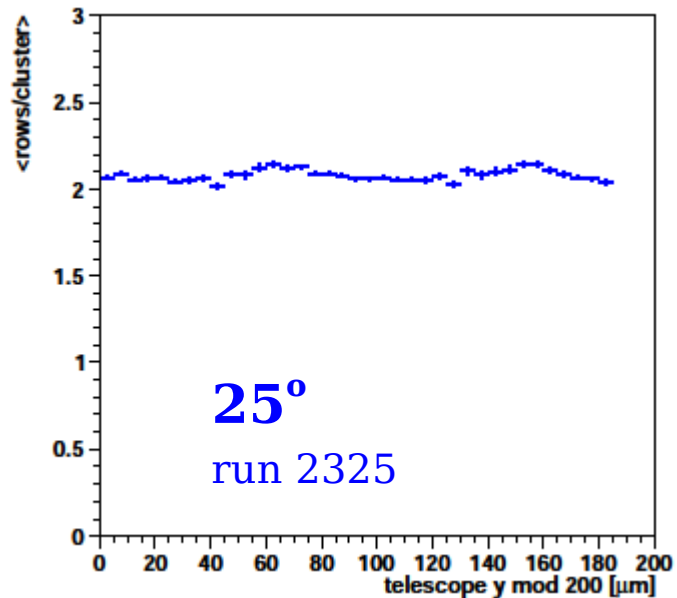
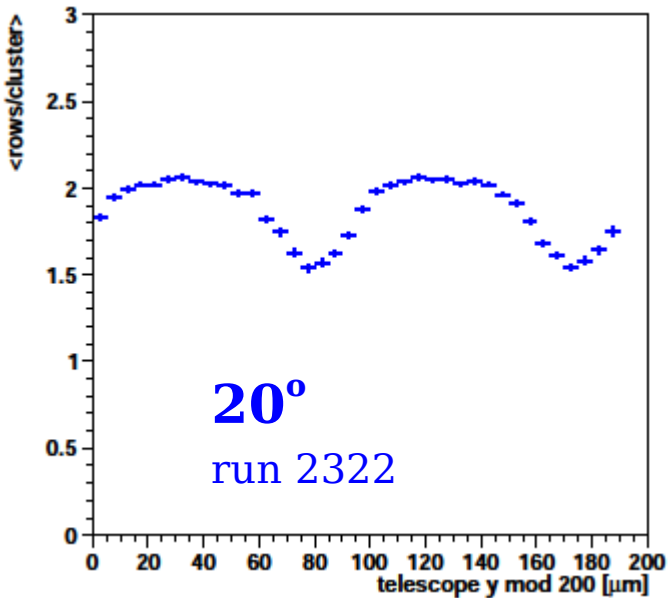
$$\text{atan}(100/285) = 19.3^\circ$$



cluster size vs impact point and tilt angle

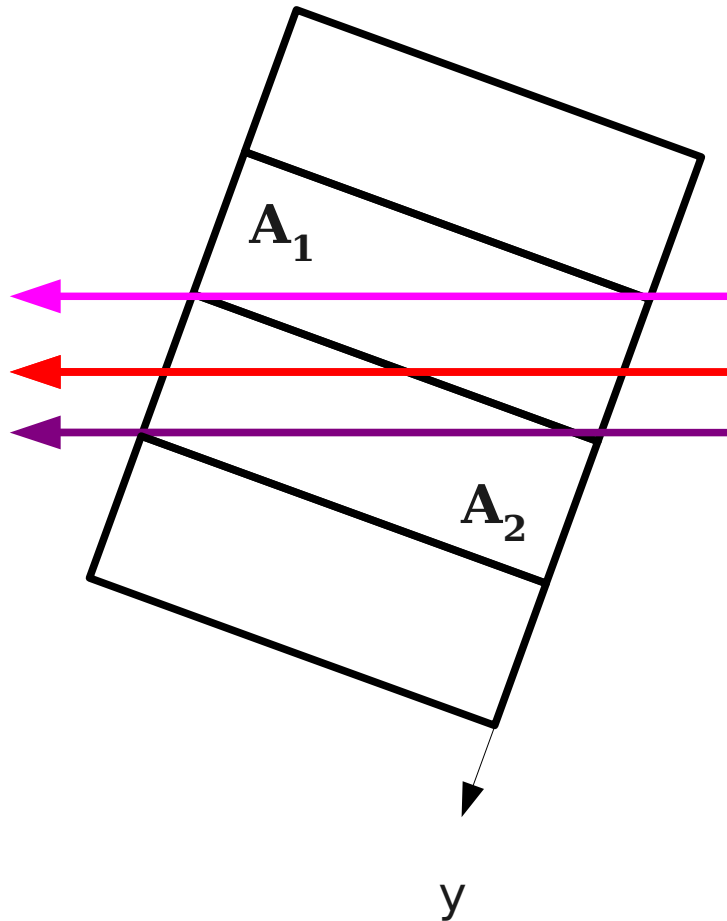


$y_{\text{impact}} \bmod 200 \mu\text{m}: 2 \text{ pixels}$



charge sharing: η

at 20°:



$$\eta = (A_1 - A_2) / (A_1 + A_2)$$

1.0

0.0

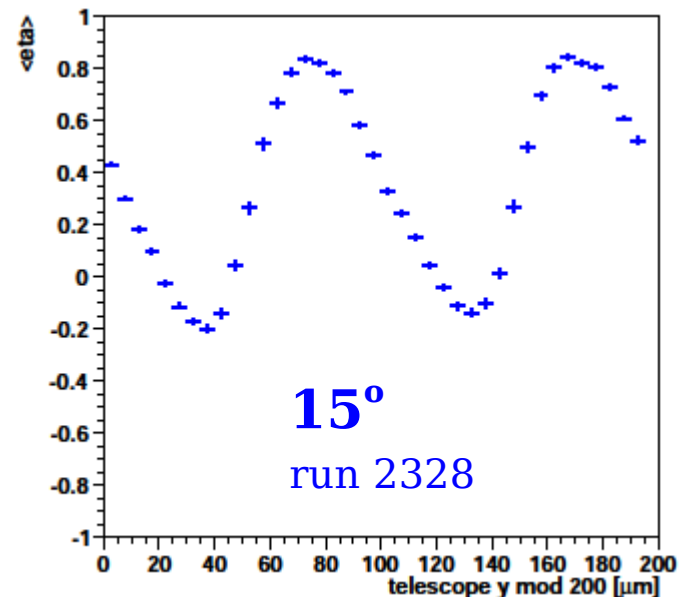
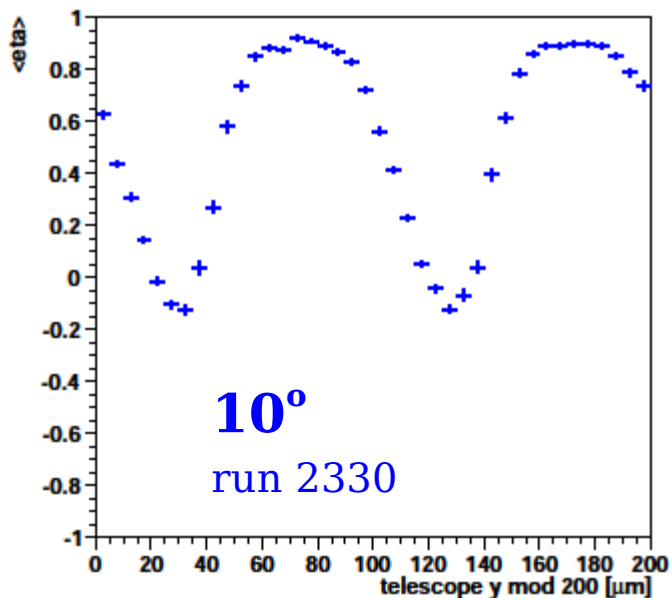
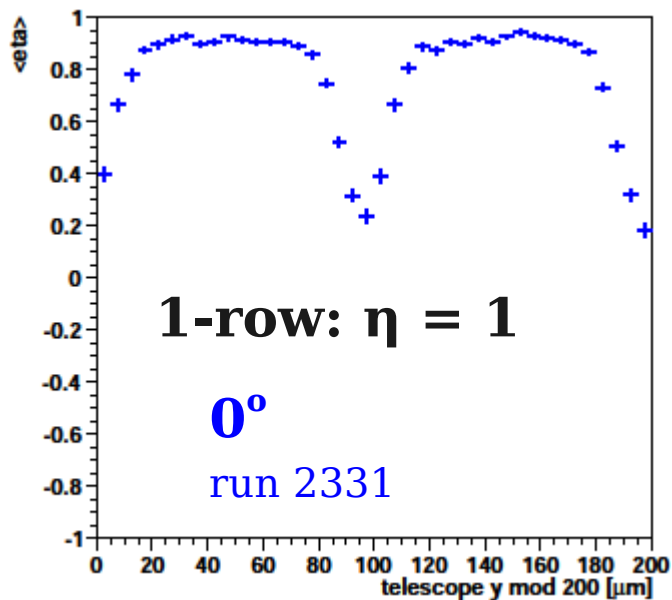
(-1.0)

**1-row clusters
have $\eta = 1$.**

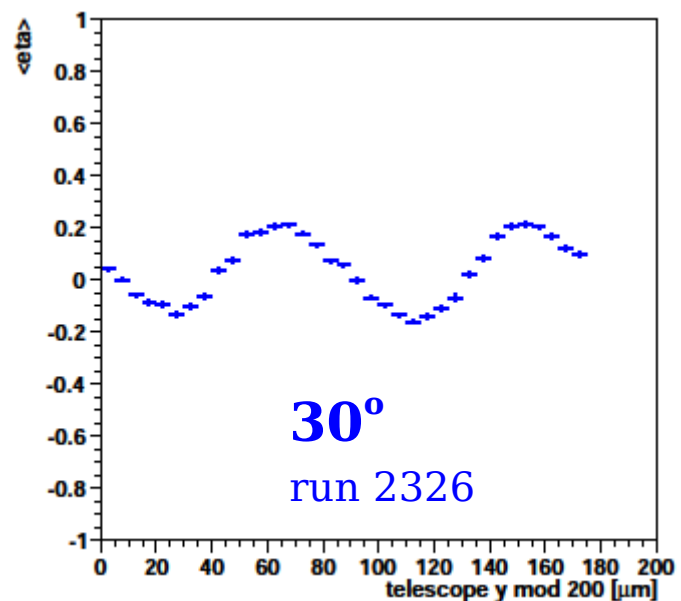
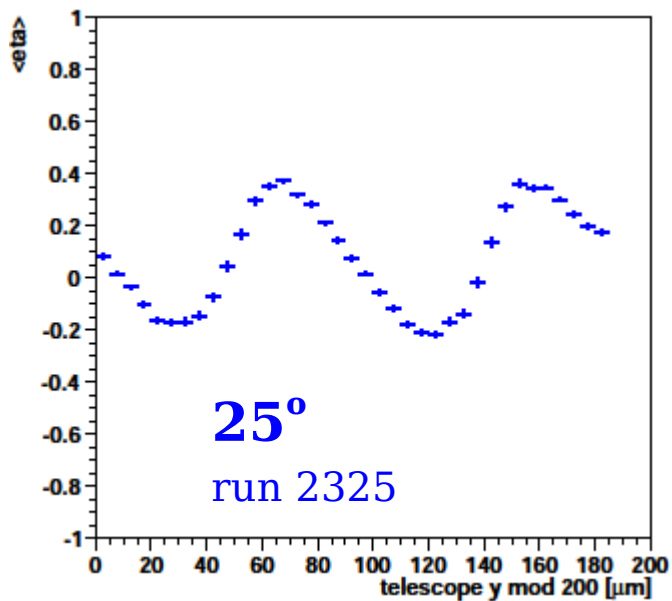
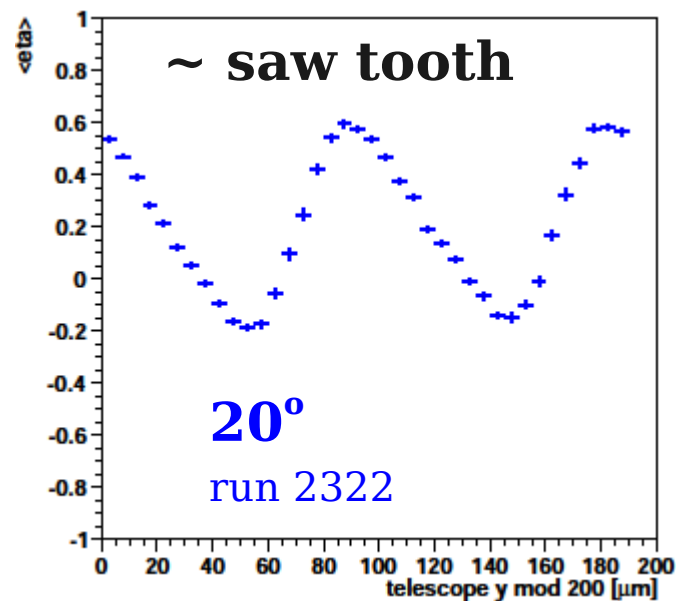
**ideal:
linear η vs y
(saw tooth)**

**deviations:
diffusion
thresholds
trapping
delta-rays**

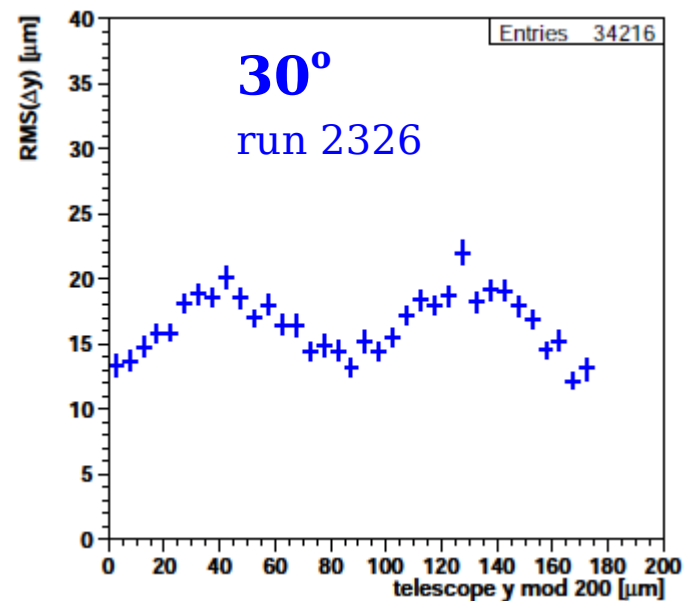
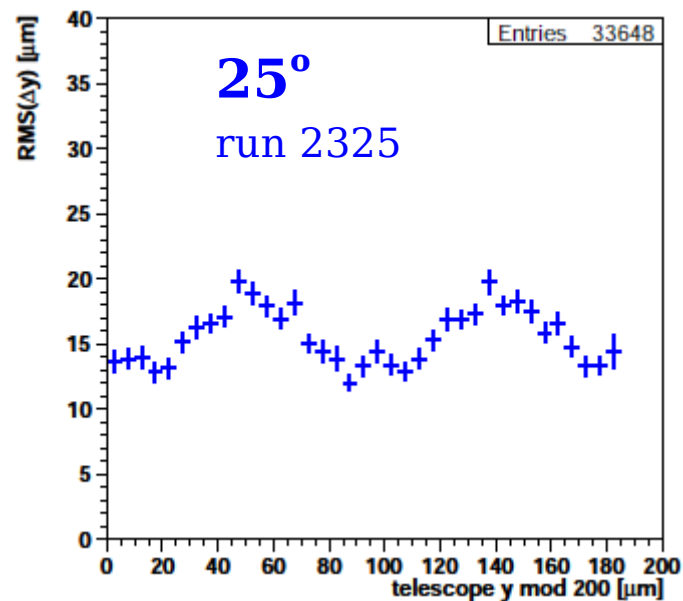
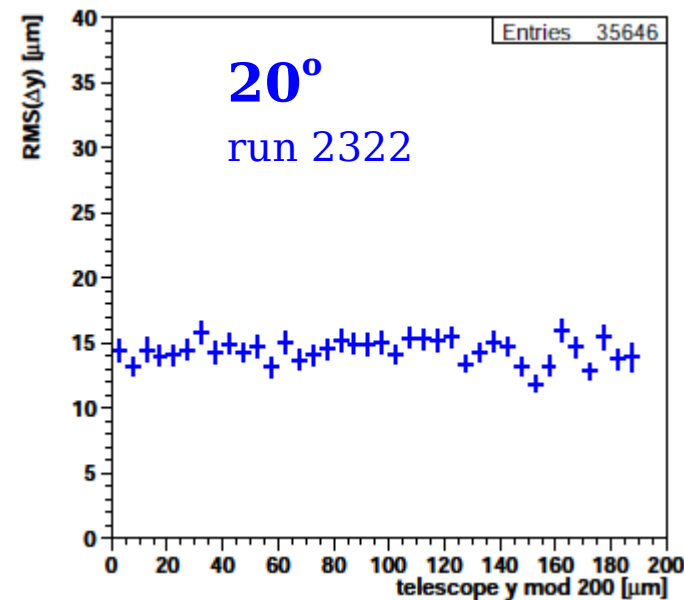
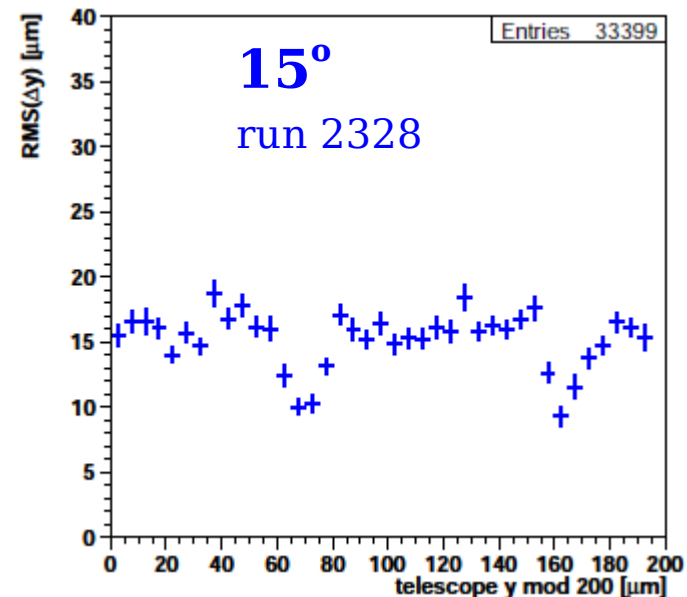
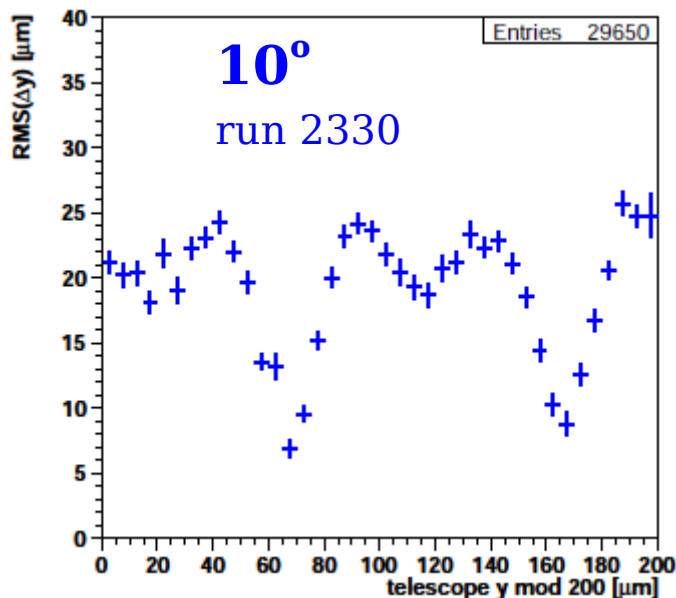
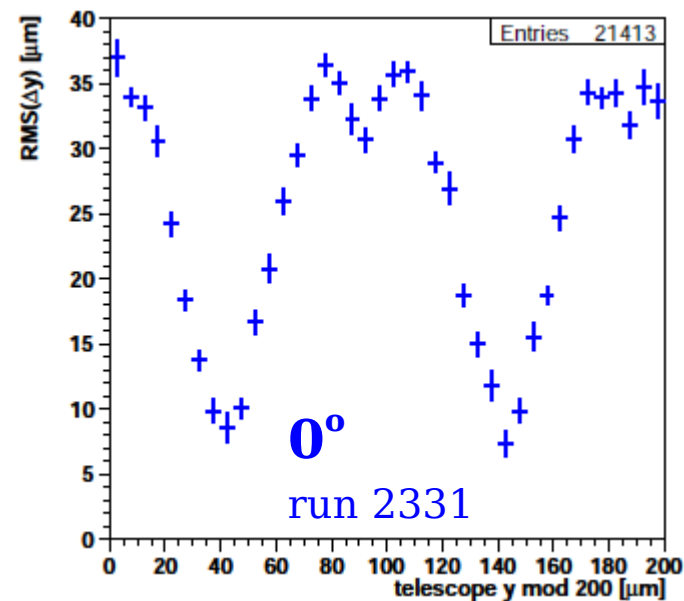
charge sharing vs tilt angle



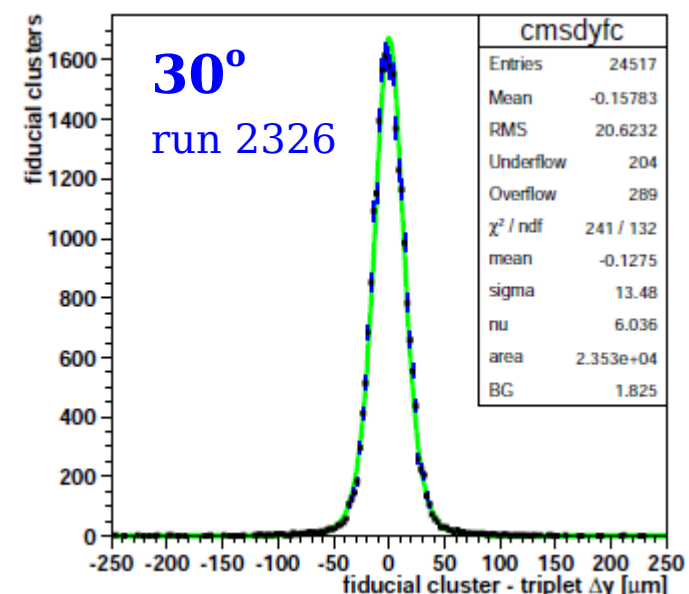
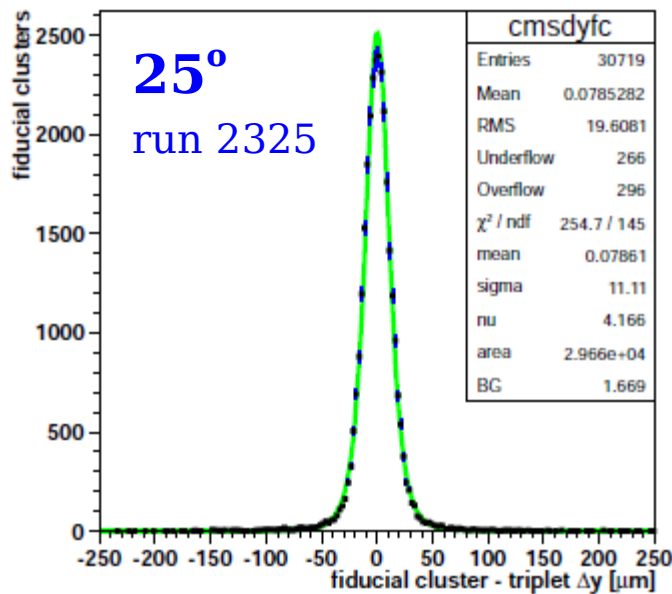
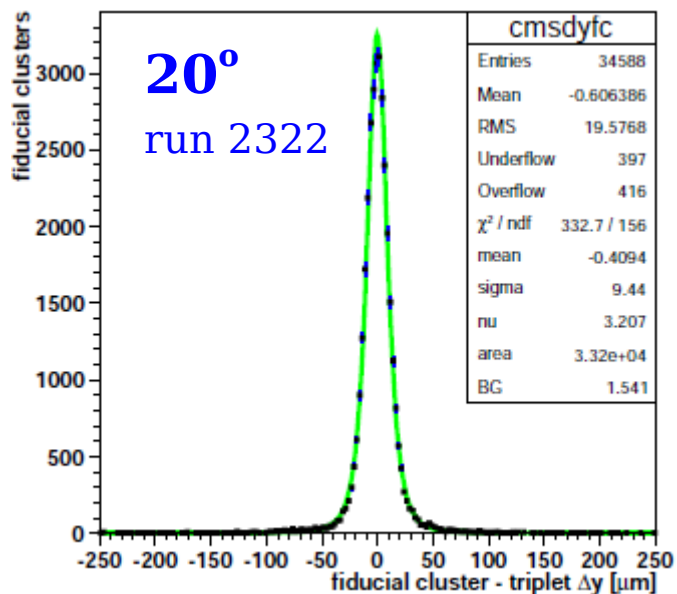
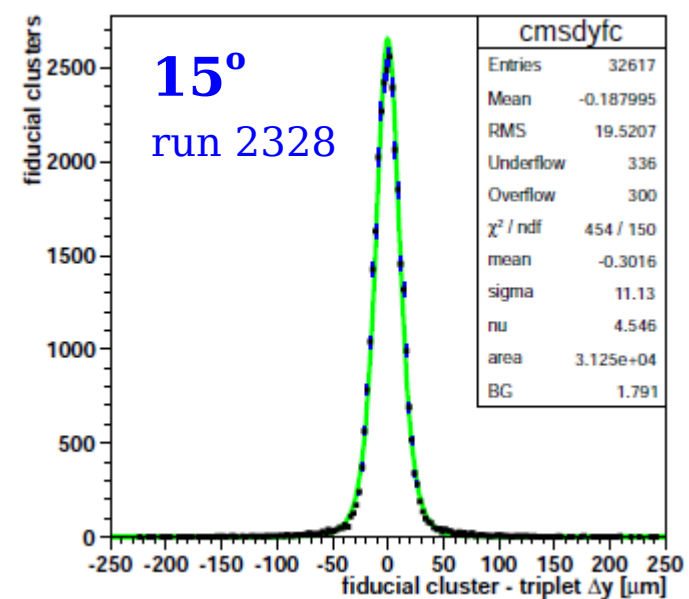
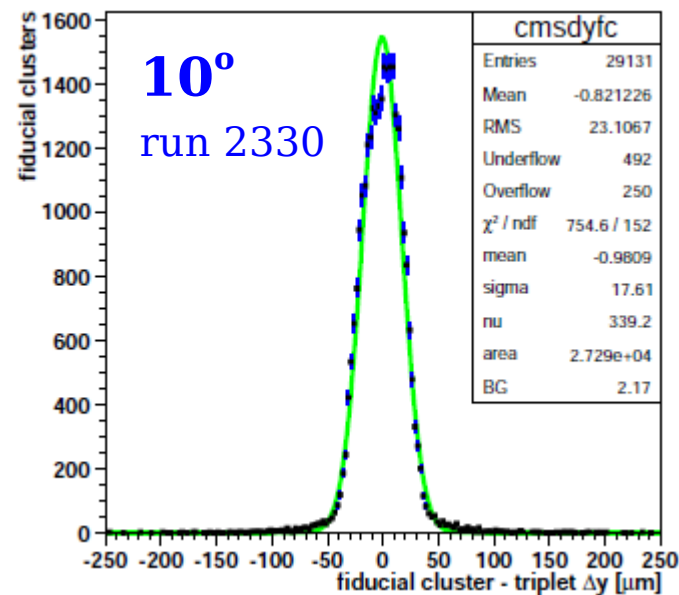
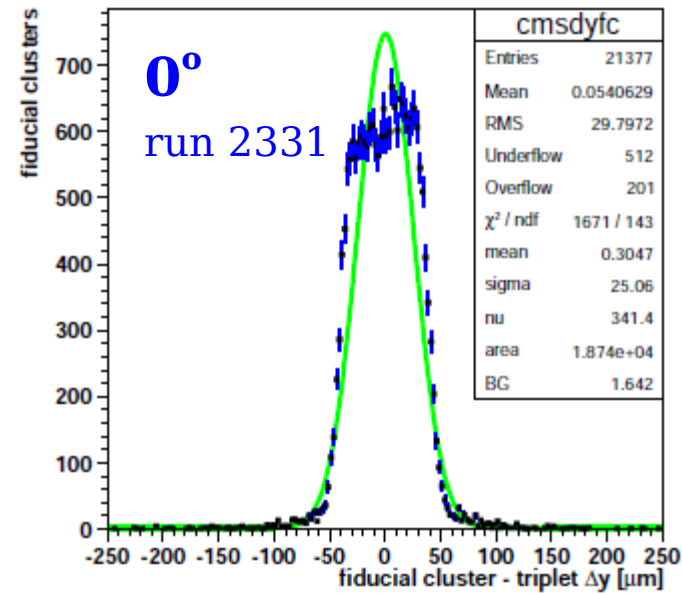
$Y_{\text{impact}} \bmod 200 \mu\text{m}: 2 \text{ pixels}$



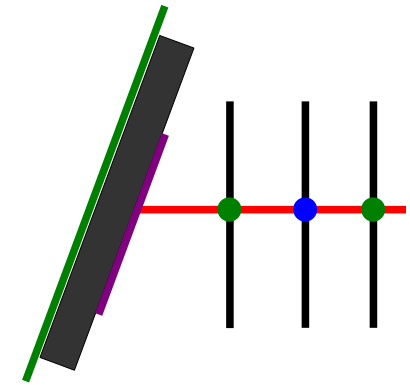
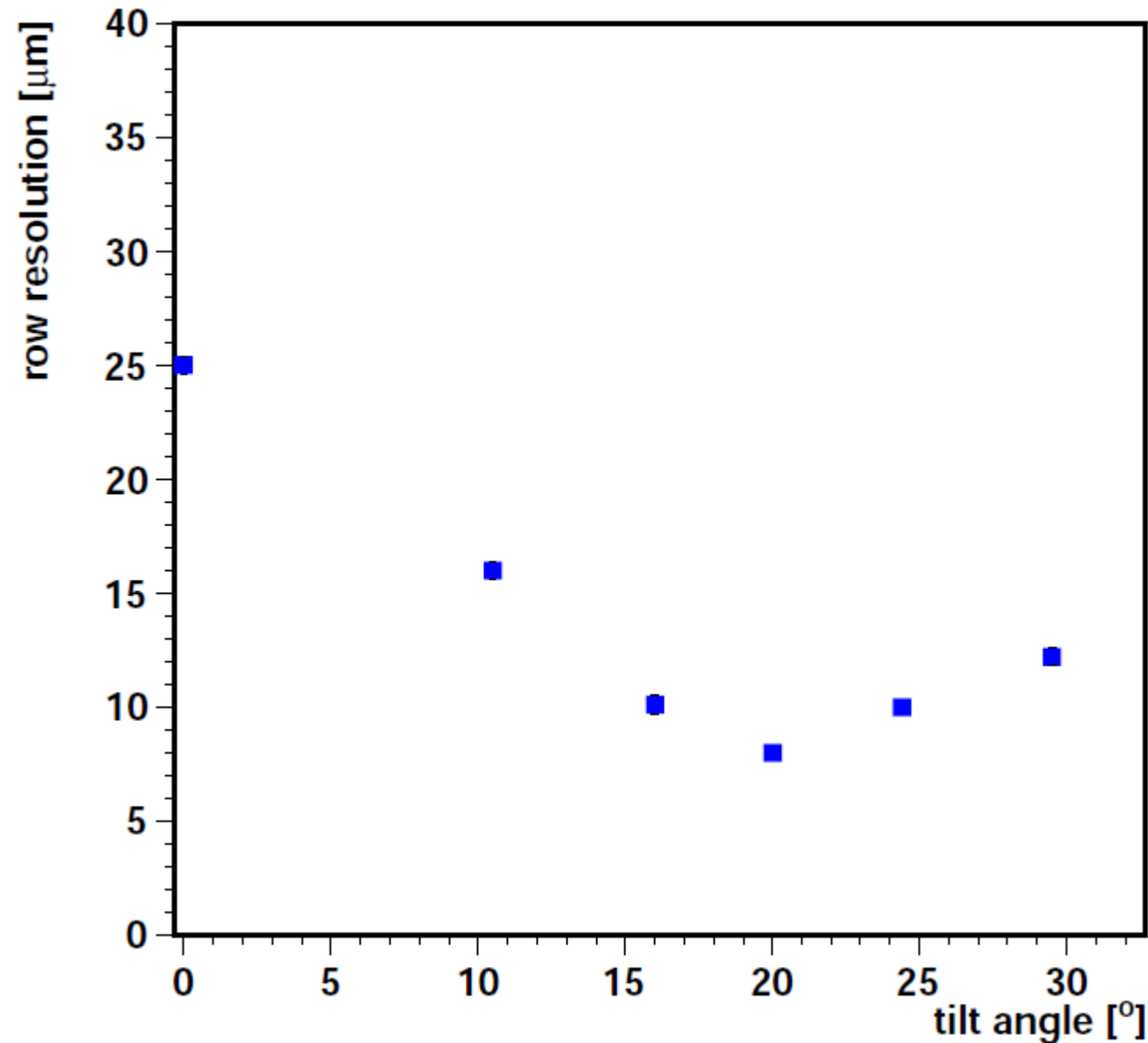
resolution profile vs tilt angle



CMS pixel resolution vs tilt angle

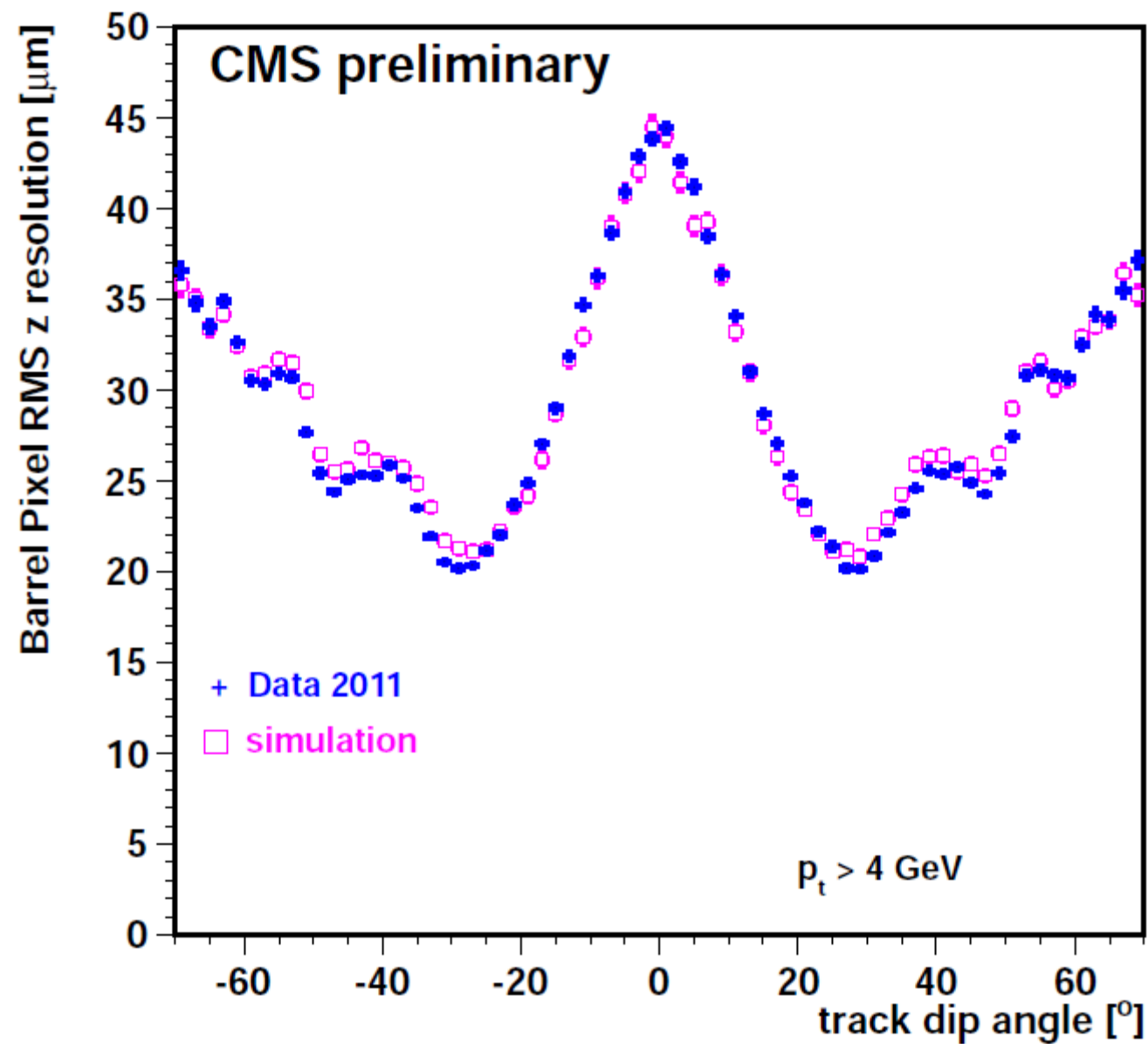


CMS pixel row resolution vs tilt angle

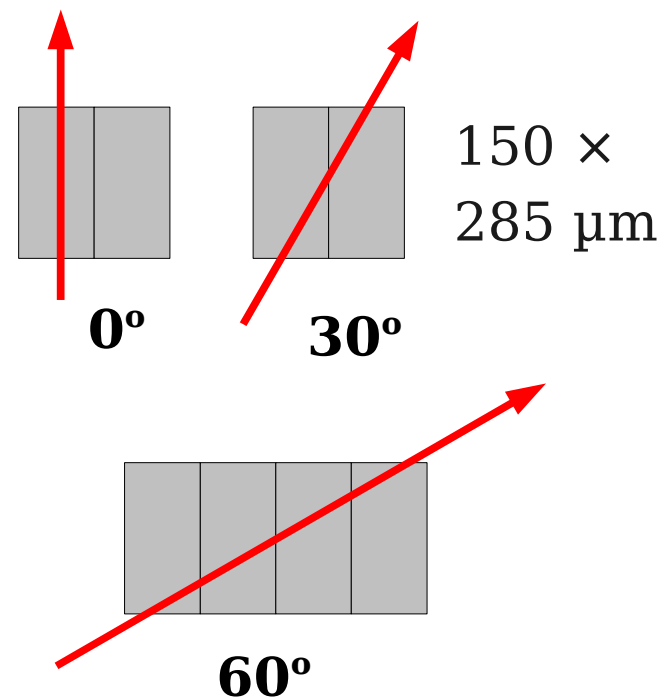


- 6 GeV, telescope extrapolation uncertainty subtracted.
- row pixels = 100 μm .
- Binary:
 - $\sigma = 100 / \sqrt{12} = 29 \mu\text{m}$
- Optimal angle 19° :
 - $\sigma = 8 \mu\text{m}$.

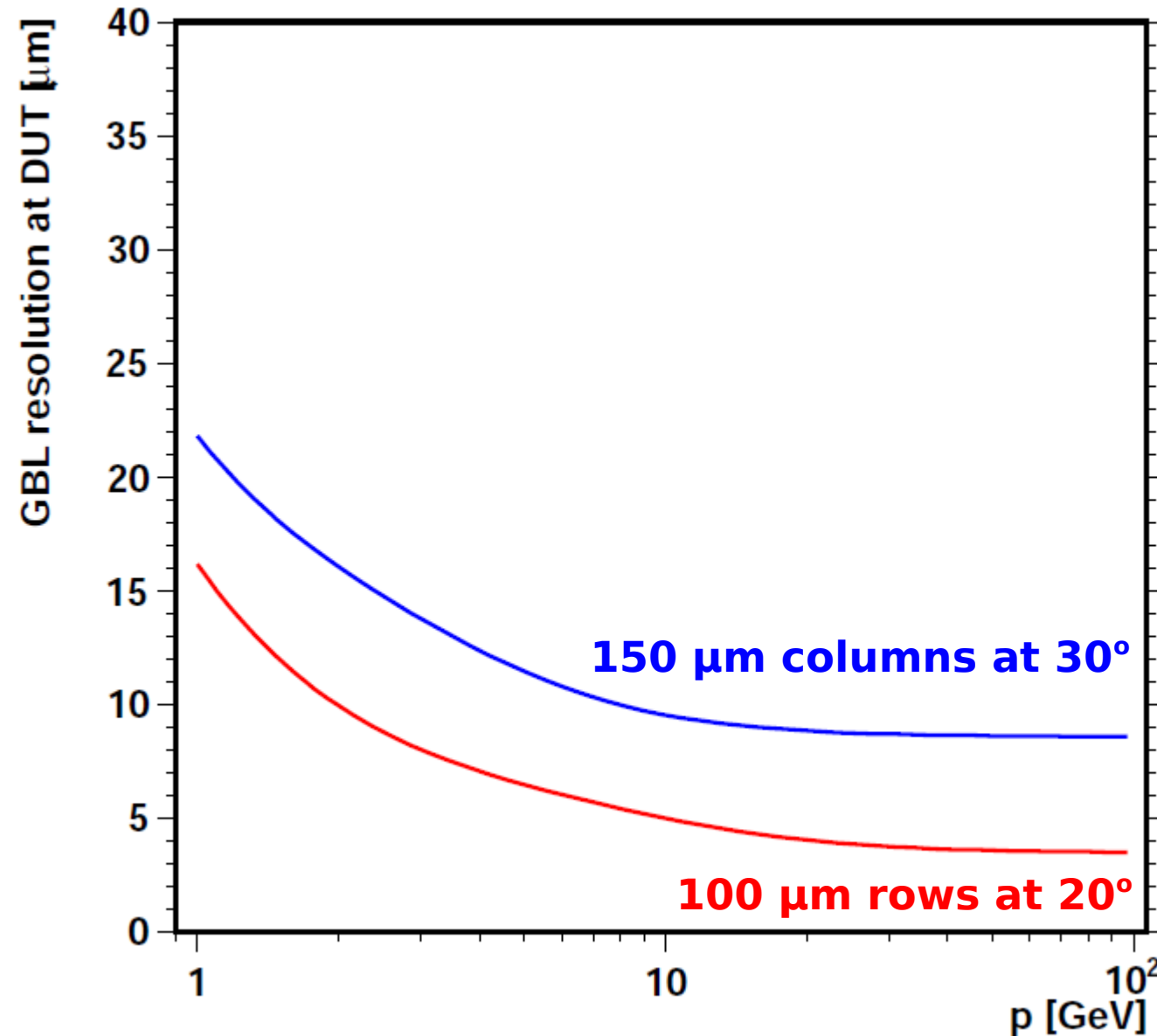
CMS pixel resolution in columns



- Column: $150 \mu\text{m}$
- from CMS data
- dip angle:
 - $\lambda = \pi/2 - \theta$.
- optimal resolution at $\lambda = \pm 30^\circ$:



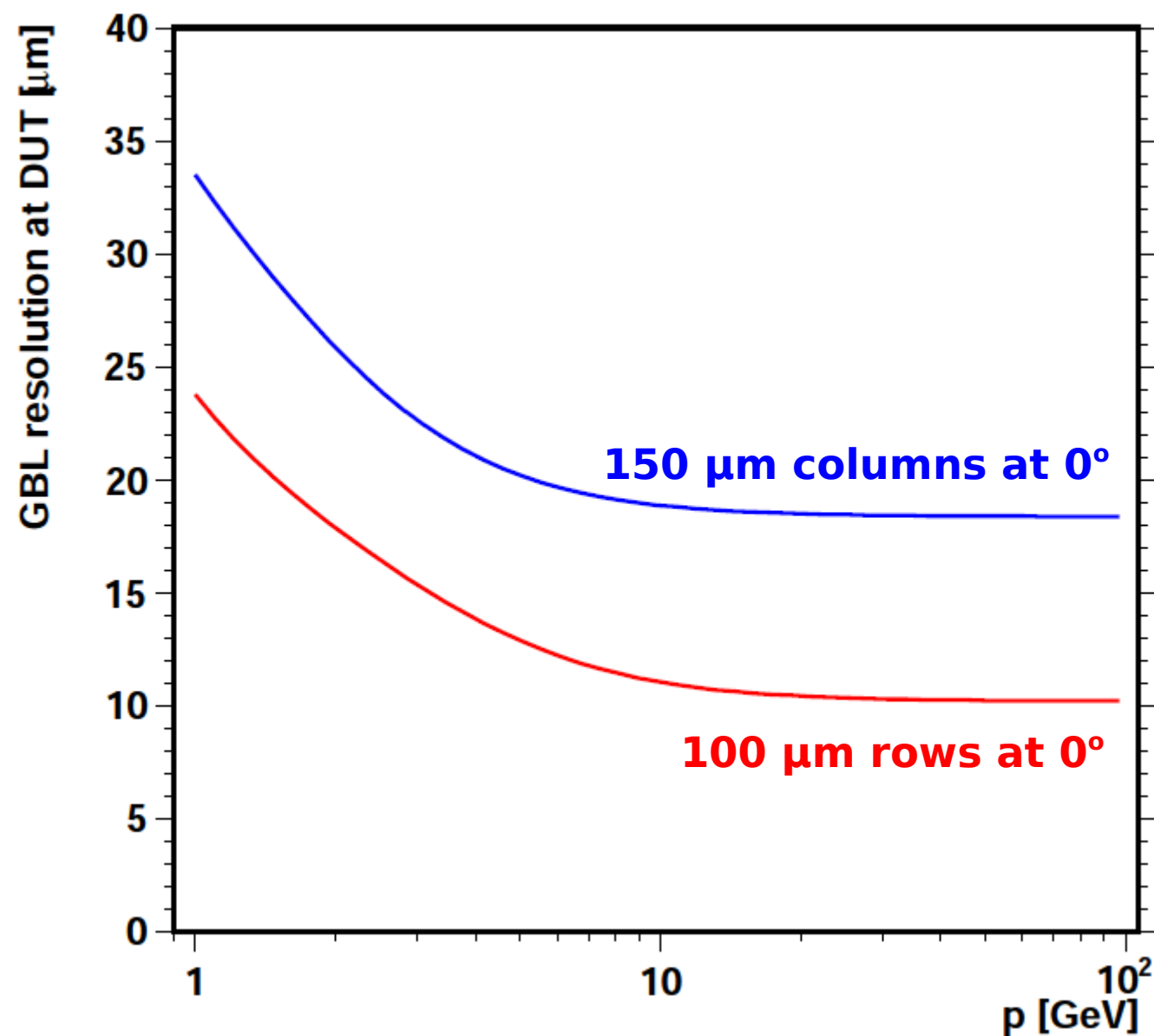
GBL prediction for a tilted CMS telescope



/ / / / / / /

- GBL error propagation for CMS pixel telescope:
 - ▶ 1.1% X_0 per plane
 - ▶ $\sigma_{\text{col}} = 21 \mu\text{m}$ at 30°
 - ▶ $\sigma_{\text{row}} = 8 \mu\text{m}$ at 20°
 - ▶ 6 active planes
 - ▶ interpolate to DUT

GBL prediction for a flat CMS telescope

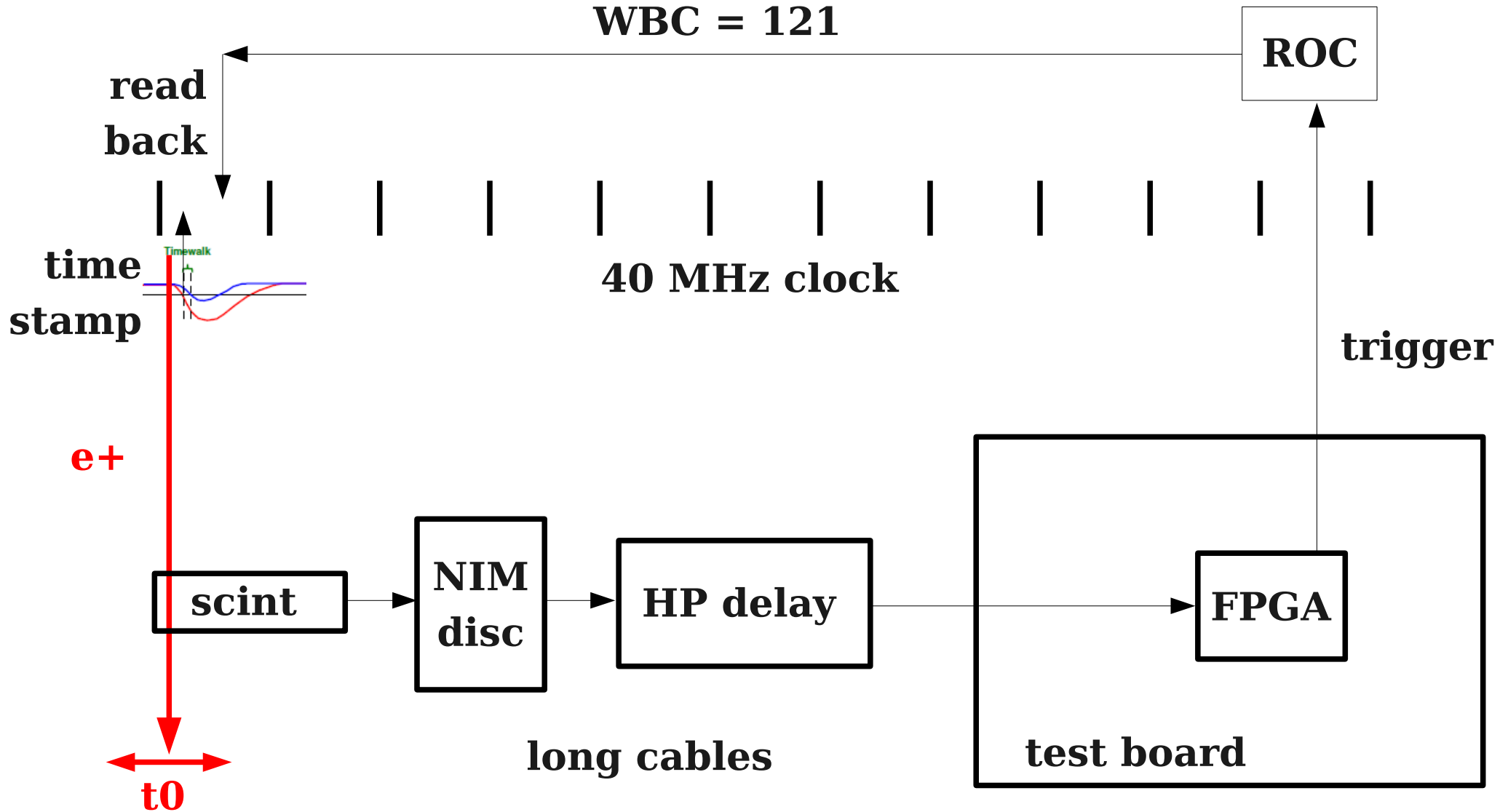


- GBL error propagation for CMS pixel telescope:
 - ▶ 1.1% X_0 per plane
 - ▶ $\sigma_{\text{col}} = 45 \mu\text{m}$ at 0°
 - ▶ $\sigma_{\text{row}} = 25 \mu\text{m}$ at 0°
 - ▶ 6 active planes
 - ▶ interpolate to DUT

Summary

- Track finding based on triplets:
 - interpolation is better than extrapolation
- General Broken Line fit:
 - allows rejection of presumably lower momentum tracks
 - interface to MillePede works nicely for telescope alignment
 - allows quick stand-alone resolution studies for detector design
 - nominal material thickness need adjustment ($\sim 30\%$ reduction)
- Tilting the CMS pixel sensor by 20° (rows) and 30° (columns):
 - improves the resolution by a factor 2.5 compared to vertical incidence due to charge sharing in 2×2 pixel clusters.

WBC timing needs scanning



beam not synchronized to clock

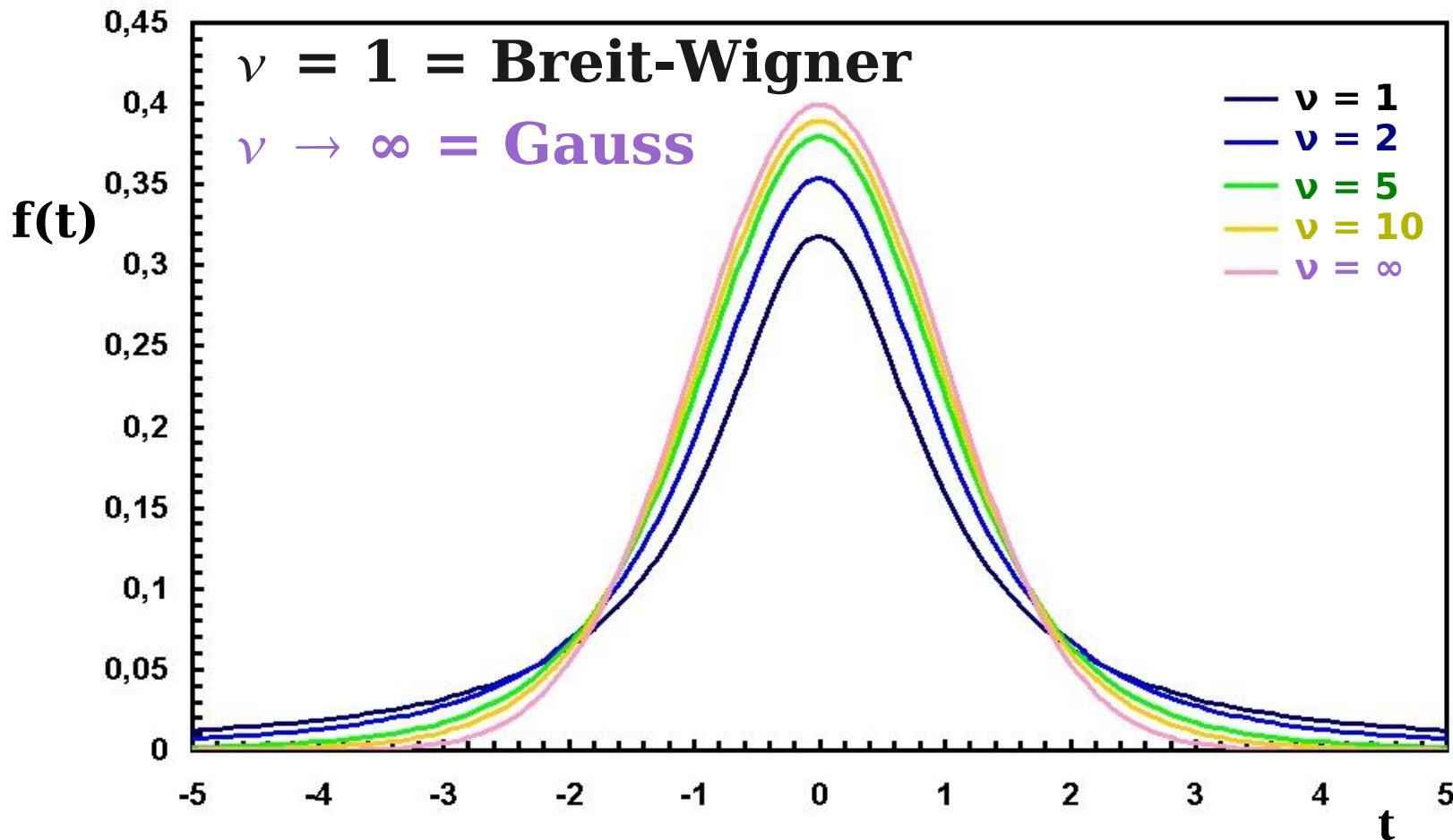
Fitting peaks with Student's t

$t = (x-x_0)/\sigma = \text{normalized residual.}$

$$f(t) = \frac{\Gamma((\nu+1)/2)}{\sqrt{\nu\pi} \Gamma(\nu/2)} (1 + t^2/\nu)^{-(\nu+1)/2}$$

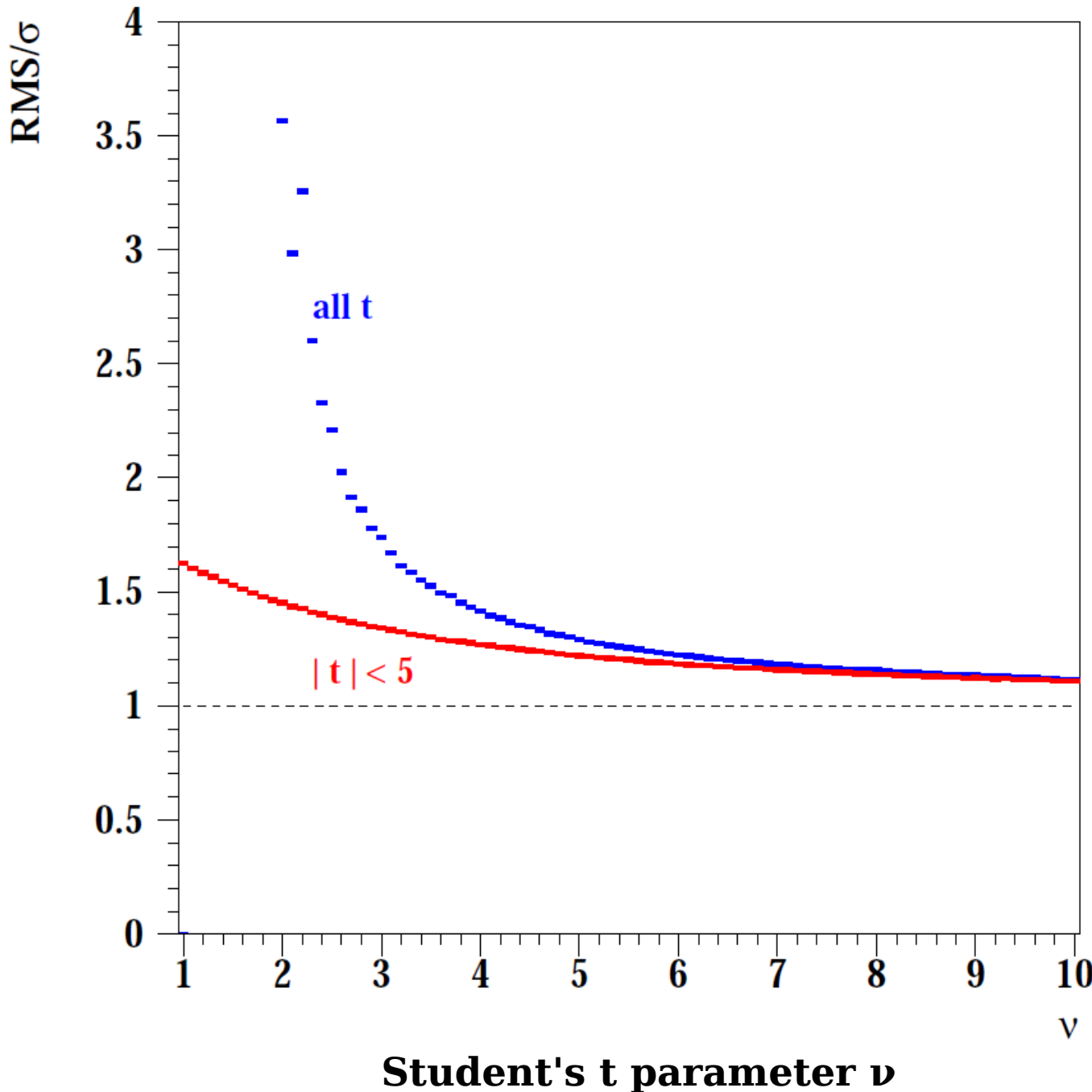
$f(t)$ is a normalized probability density.

Γ function is in PAW, ROOT.



Parameter ν interpolates between Gaussian and Breit-Wigner.

rms/ σ for Student's t



- Generate random numbers according to Student's t for different ν (see W. Hoermann, Computing 81 (2007) 317).
- calculate rms:
 - for all t. (rms diverges for $\nu = 1$).
 - for $|t| < 5$. (rms stays below 1.62 for all $\nu \geq 1$).
- Asymptotic value ($\text{rms}/\sigma = 1$) slowly approached.