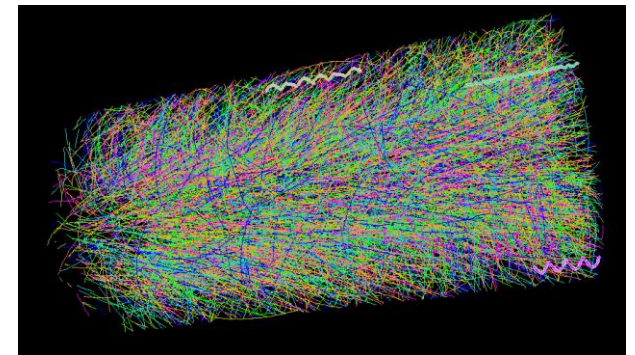
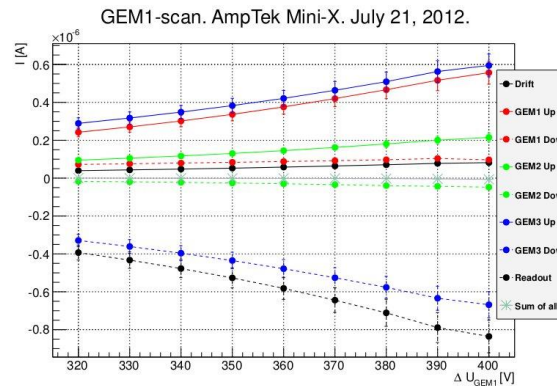
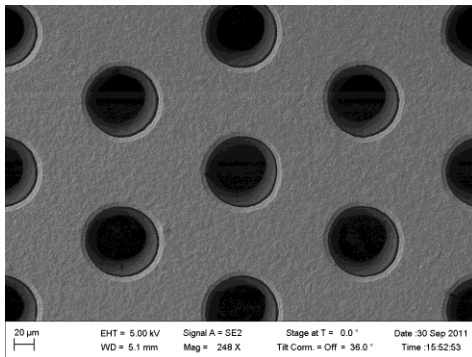


Ion Backflow Studies for TPCs in high Rate Experiments

Markus Ball

Physics Department E18
Technische Universität München

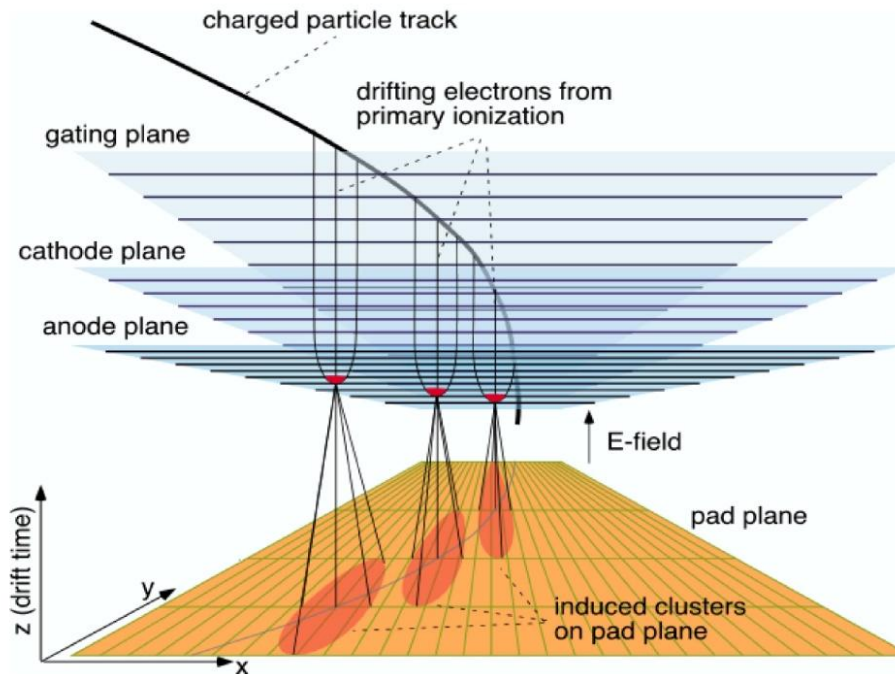


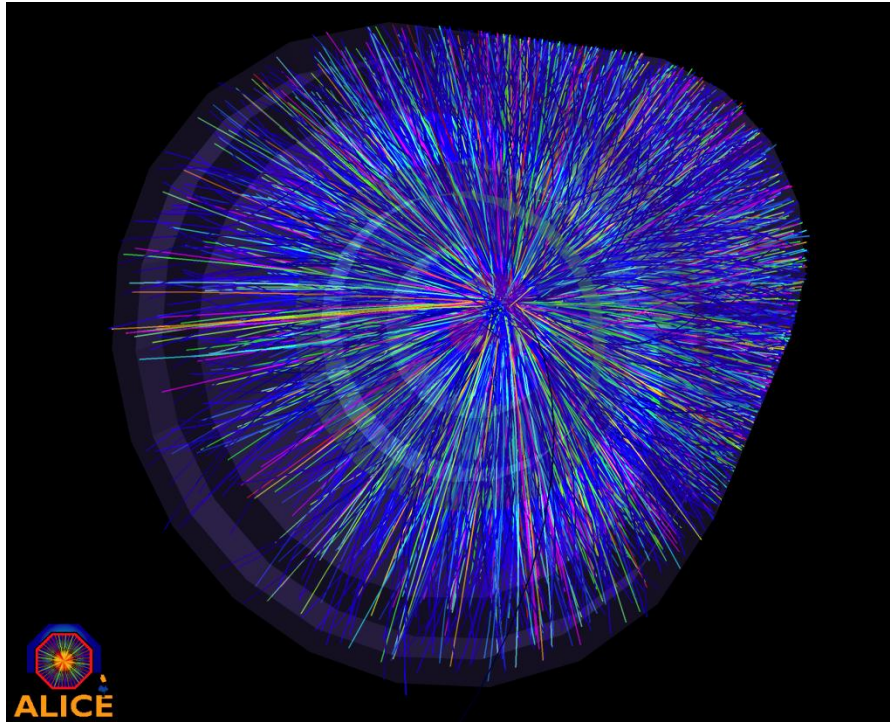
- Introduction
- Ion Backflow Suppression with GEMs
- The Setup at TUM
- IB Results for Ar/CO₂ (70/30) and Ne/CO₂ (90/10)
- Conclusions and Outlook

Time Projection Chamber [D.R. Nygren et al., Phys. Today 31, 46 (1978)]

Combination of MWPC and Drift Chamber: 3-D tracking device

- long drift path ($\sim m$) in gas-filled volume $\Rightarrow$ z coordinate
- MWPC + pads perpendicular to drift path $\Rightarrow$ x,y coordinates





An (almost) ideal tracking detector:

- Large acceptance
- Large active volume
- Low material budget
- 3D hits $\Rightarrow$ simple pattern recognition
- Extremely high particle densities
- Good momentum resolution
- Particle identification

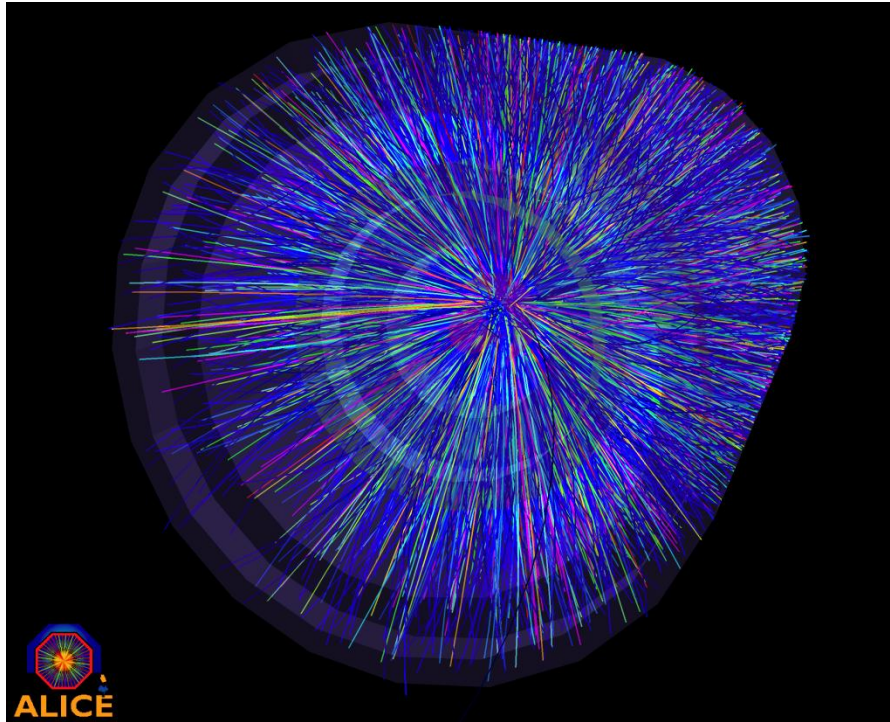
Limitations:

- Calibration very demanding
- Drift distortions due to ion backflow
- Gating $\Rightarrow$ low trigger rates

Examples:

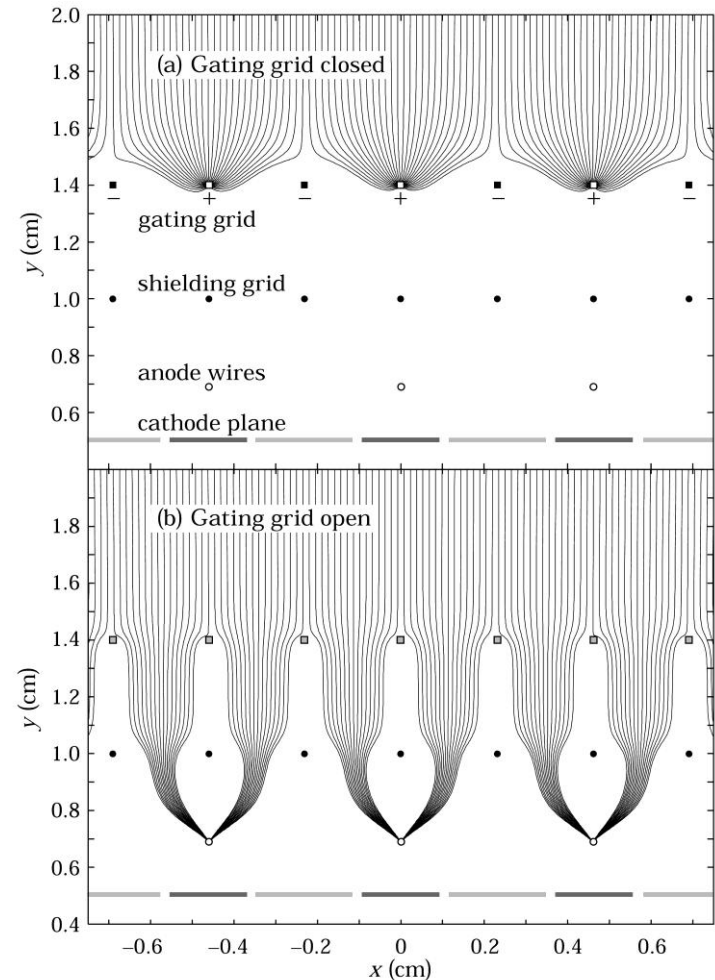
- STAR (420 cm $\times$ 400 cm)
- ALICE (500 cm $\times$ 500 cm)

Advantages and Limitations of a TPC



Limitations:

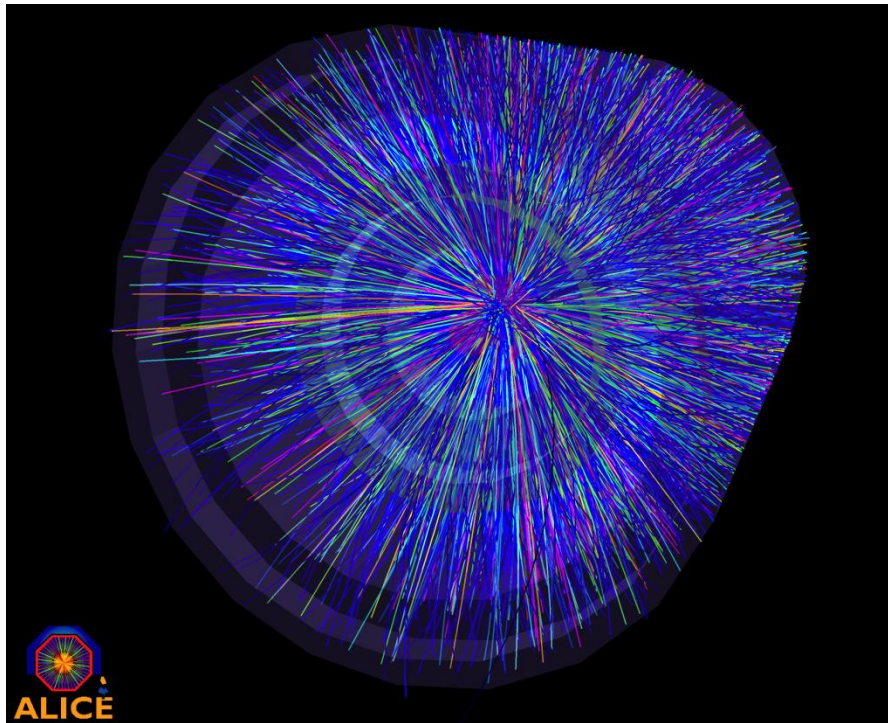
- Calibration very demanding
- Drift distortions due to ion backflow
- Gating $\Rightarrow$ low trigger rates ~ 1 kHz



Ion Backflow suppression of a MWPC with Gating grid $\sim 10^{-5}$

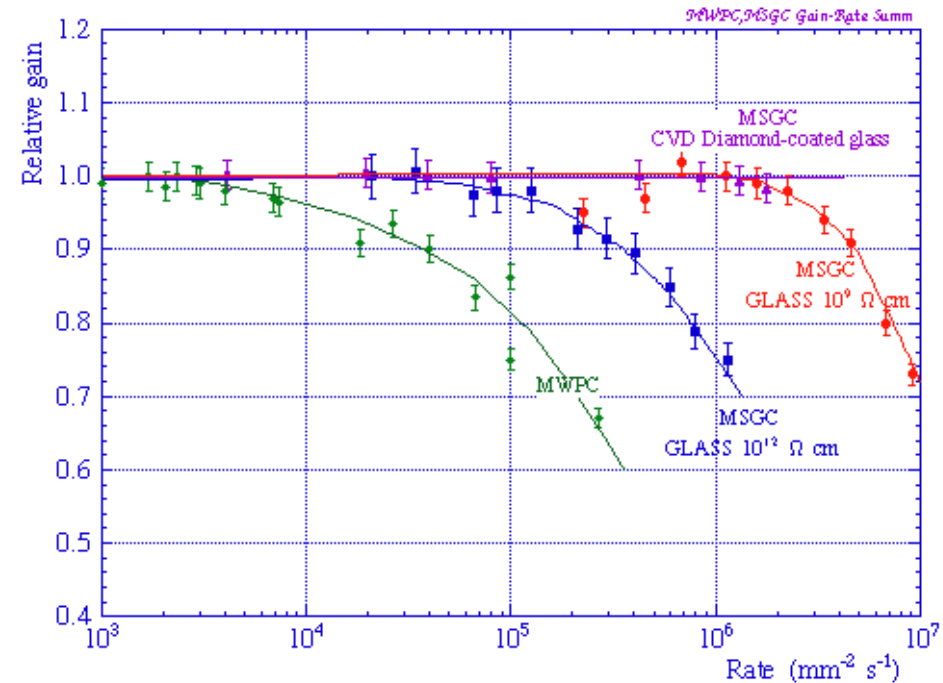
!

Advantages and Limitations of a TPC



Limitations:

- Calibration very demanding
- Drift distortions due to ion backflow
- Gating $\Rightarrow$ low trigger rates ~ 1 kHz

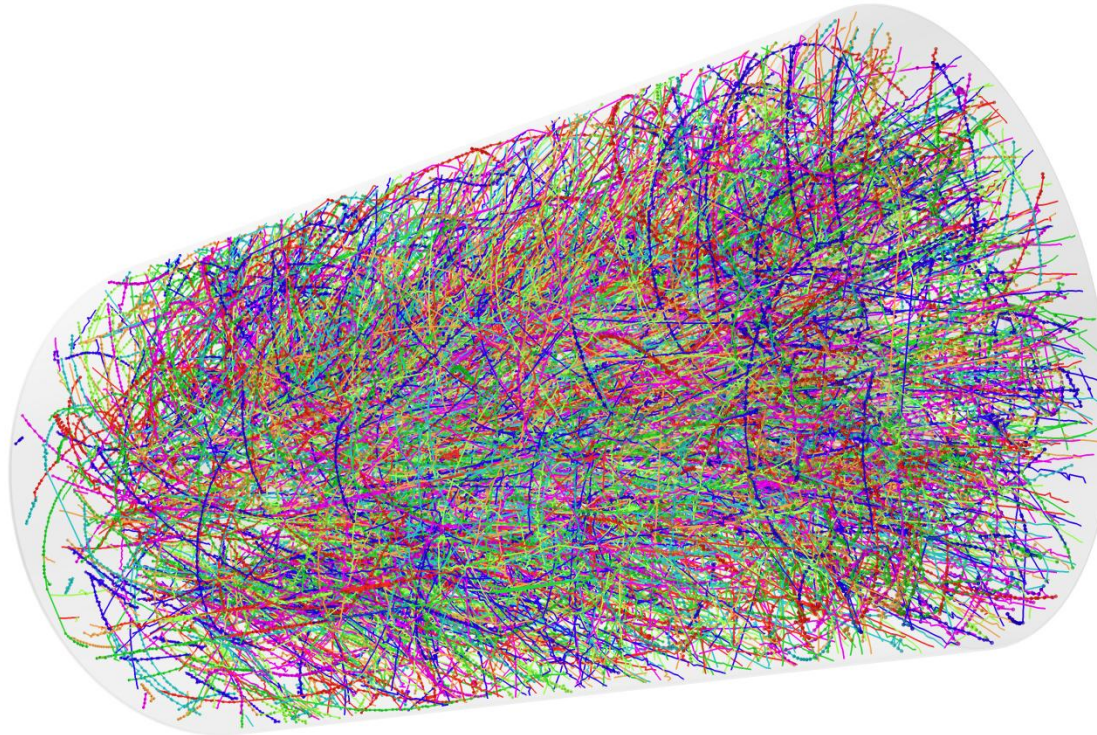


Examples:

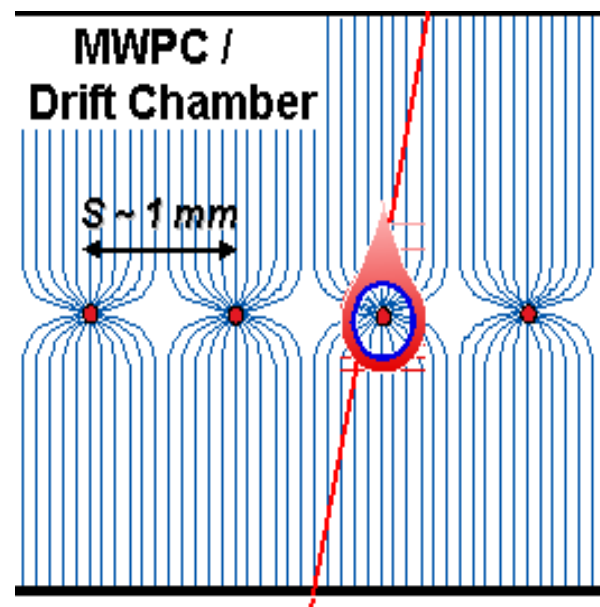
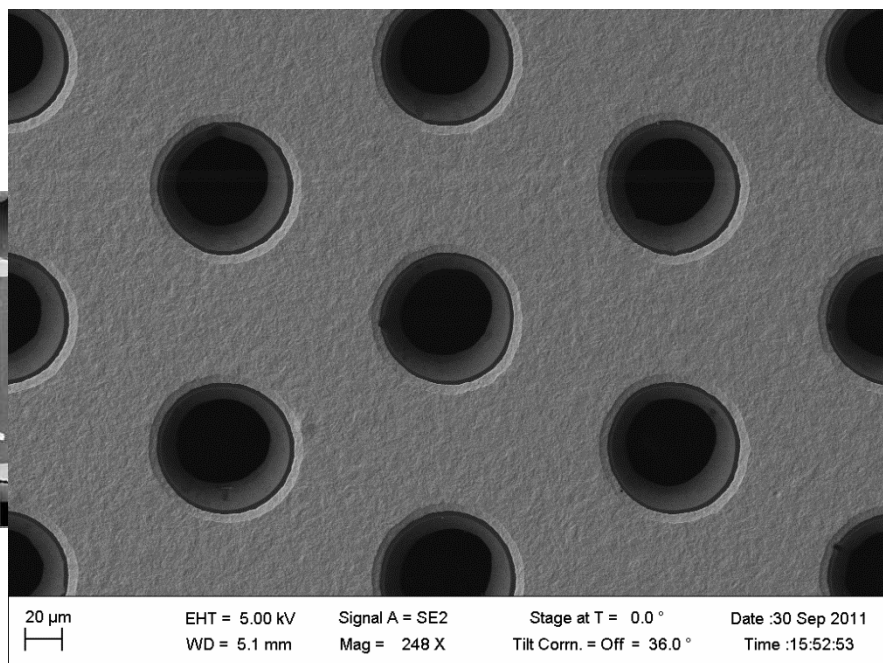
- STAR (420 cm × 400 cm)
- ALICE (500 cm × 500 cm)

High rates: drift time $> 1 / \text{event rate}$ $\Rightarrow$ **overlapping events**

Goal: operate TPC continuously $\Rightarrow$ **analog event pipeline**



- Introduction
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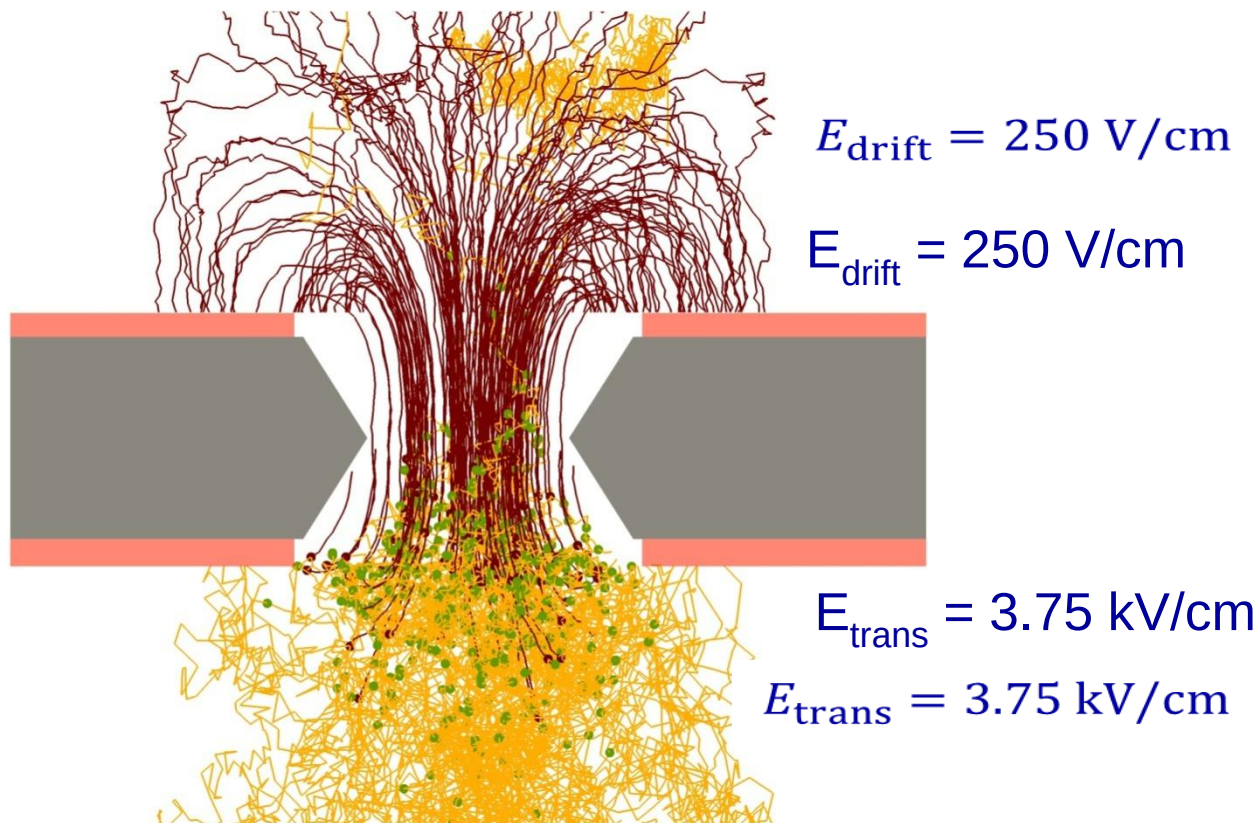


- GEM: **G**as **E**lectron **M**ultiplier
[F. Sauli, NIM A386, 531 (1997)]
- Thin **polyimide** foil, typ. 50 μm
- **Cu-clad** on both sides, typ. 5 μm
- Photolithography: $\sim 10^4$ holes/ cm^2
- **Granularity** 10 $\times$ higher than

MWPC

- $\Delta U = 300\text{--}500 \text{ V}$
 $\Rightarrow$ high E-field: $\sim 50 \text{ kV/cm}$
 $\Rightarrow$ avalanche multiplication

Technische Universität München



- $E_{\text{hole}} \gg E_{\text{drift}} \Rightarrow$ most of the ions are collected on the top side of the GEM
- $E_{\text{trans}} > E_{\text{drift}} \Rightarrow$ electron extraction is improved

Ion Back-Flow

Ion Back-Flow is the ratio

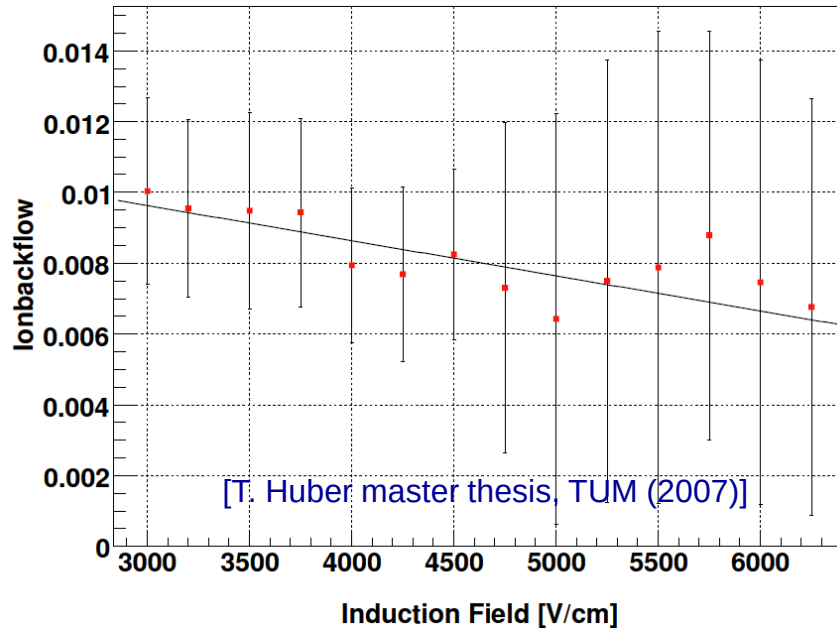
$$\frac{\text{Ions arriving at the cathode}}{\text{Electrons arriving at the anode}}.$$

In the following presentation the ratio of currents

$$IB = \frac{I_{\text{drift}}}{I_{\text{readout}}}$$

defines the ion backflow under the assumption, that fluctuations of currents are negligible

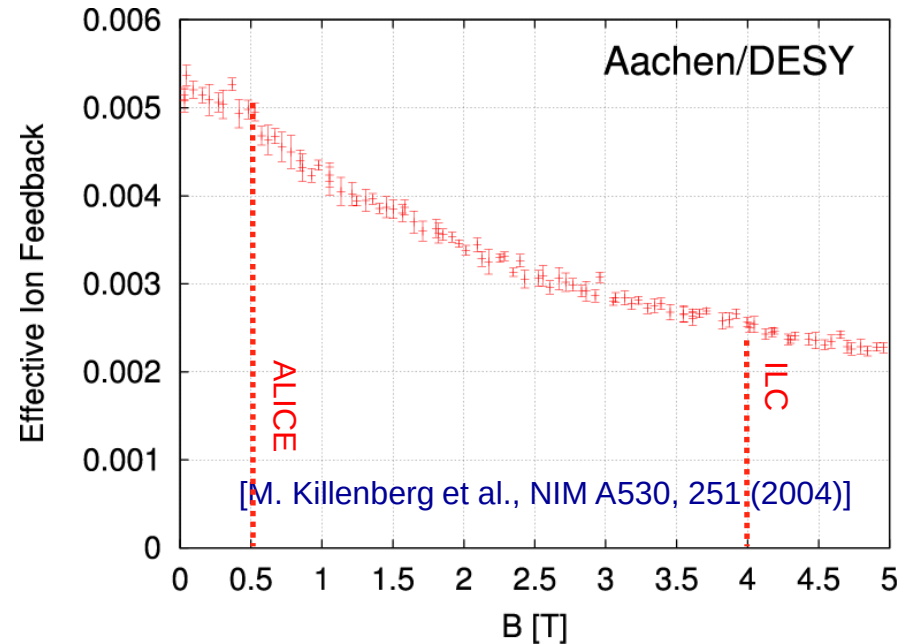
Ar/CO₂(70/30)



GEM voltage settings		Detector field settings	
GEM1	330 V	E_{Drift}	0.25 kV cm^{-1}
GEM2	375 V	E_{T1}	6.00 kV cm^{-1}
GEM3	450 V	E_{T2}	0.16 kV cm^{-1}
		E_{Ind}	5.00 kV cm^{-1}

Ar/CO₂/CH₄(93/2/5)

$$V_{\text{drift}} \rightarrow E + \omega T (ExB) + (\omega T)^2 (EB)B$$



GEM voltage settings		Detector field settings	
GEM1	310 V	E_{Drift}	0.2 kV cm^{-1}
GEM2	310 V	E_{T1}	6.00 kV cm^{-1}
GEM3	350 V	E_{T2}	0.06 kV cm^{-1}
		E_{Ind}	8.00 kV cm^{-1}

Triple GEM with an IB=0.25 % realistic: $\epsilon=4$ at $G=2000$

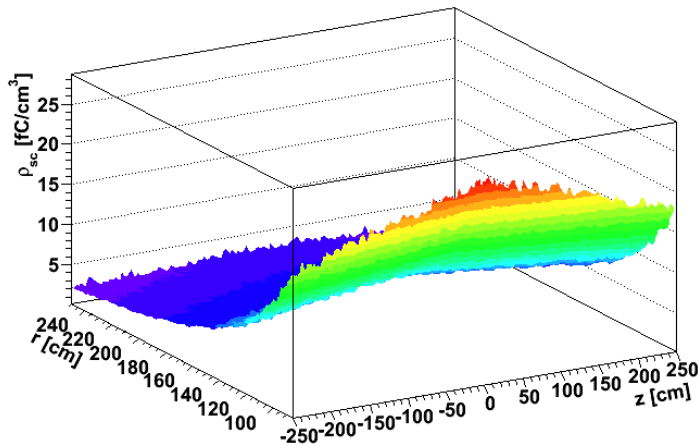
Space Charge Effects at ALICE

[CERN-LHCC-2012-012 / LHCC-1-022]

[CERN-LHCC-2012-012 / LHCC-1-022]

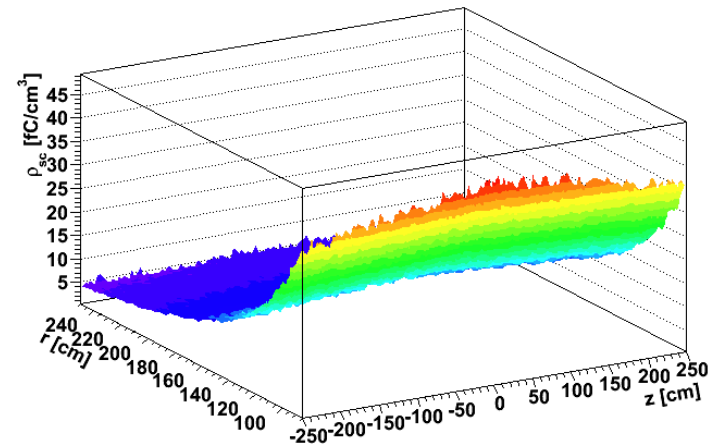
Space Charge - 3D

$\varepsilon = 5$

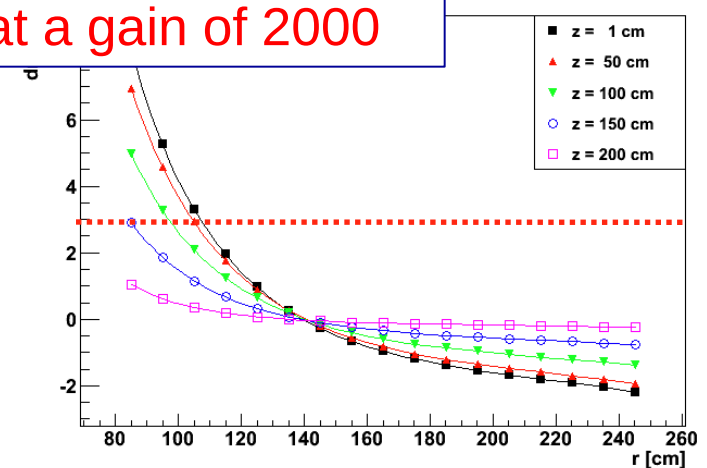
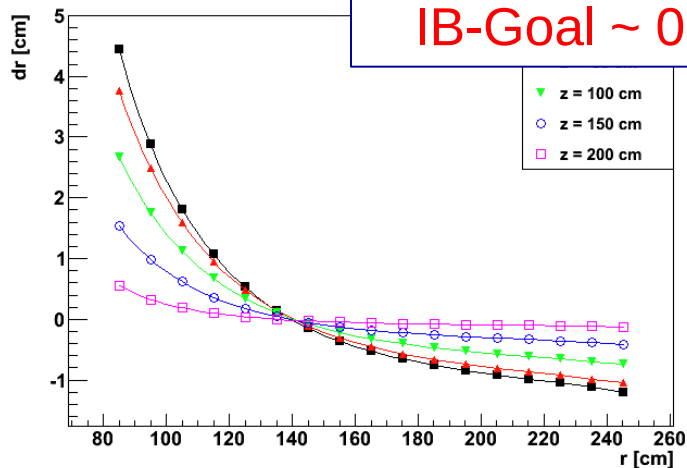


Space Charge - 3D

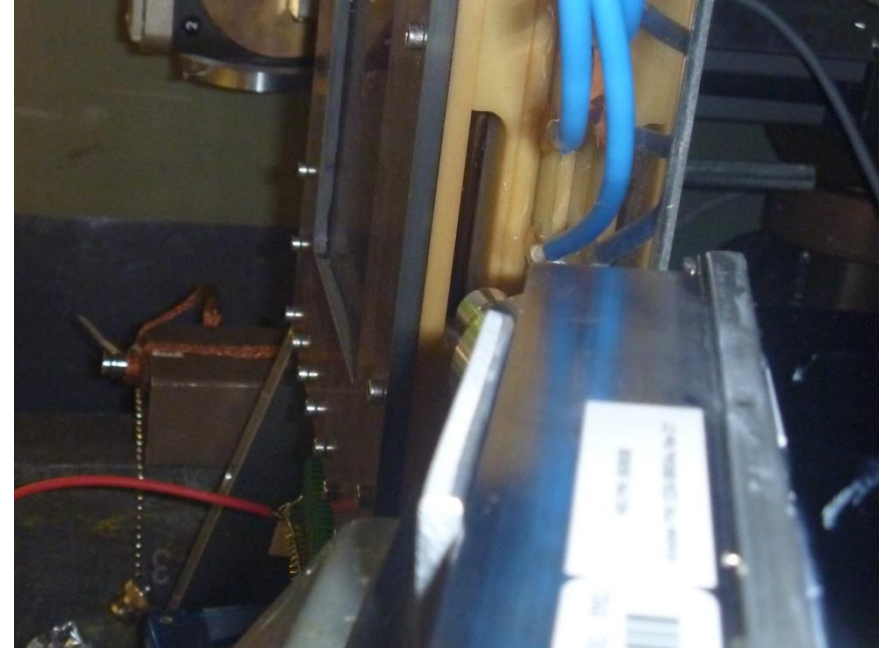
$\varepsilon = 10$



Resulting distortions ~ 5 cm can be corrected
IB-Goal ~ 0.5 % ($\varepsilon = 10$) at a gain of 2000



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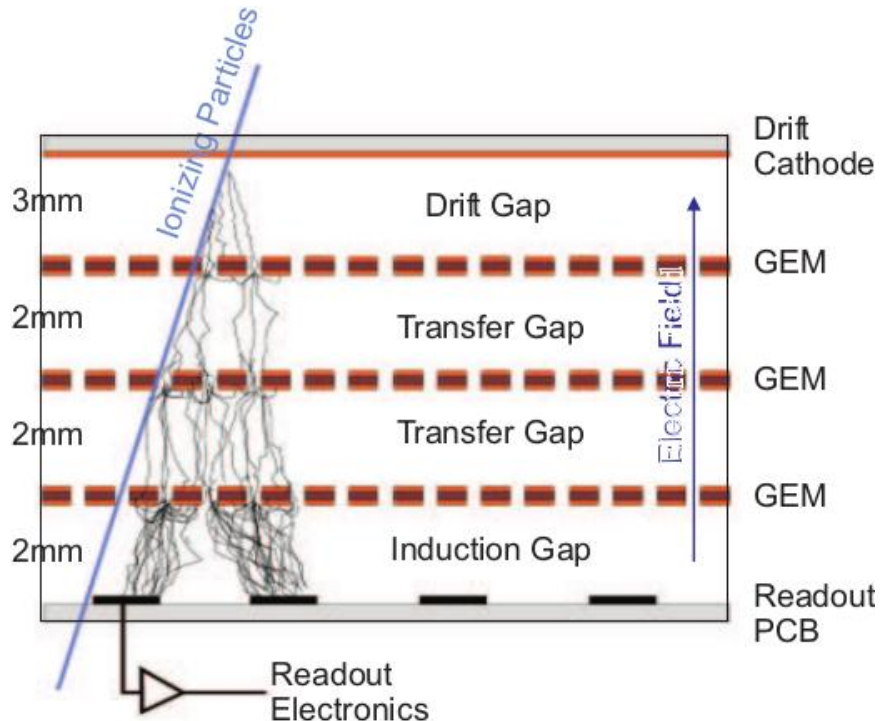


Low ion backflow requires

- low drift field
- very asymmetric transfer fields
- highest amplification in 3rd GEM

Conflicting requirements:

- diffusion, attachment
- energy resolution
- stability



Standard Settings

<i>GEM voltage settings</i>		<i>Detector field settings</i>	
GEM1	400 V	E_{Drift}	0.4 kV cm^{-1}
GEM2	372 V	E_{T1}	3.8 kV cm^{-1}
GEM3	322 V	E_{T2}	3.8 kV cm^{-1}
		E_{Ind}	3.8 kV cm^{-1}

Ion Backflow Settings (Aachen)

<i>GEM voltage settings</i>		<i>Detector field settings</i>	
GEM1	310 V	E_{Drift}	0.2 kV cm^{-1}
GEM2	310 V	E_{T1}	6.00 kV cm^{-1}
GEM3	350 V	E_{T2}	0.06 kV cm^{-1}
		E_{Ind}	8.00 kV cm^{-1}

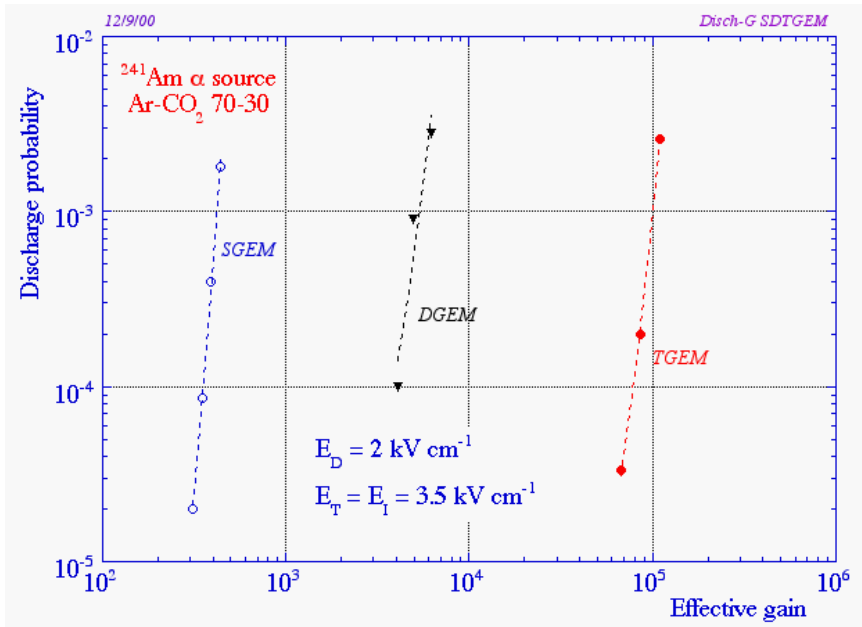
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[S. Bachmann et al., NIM A479, 294 (2002)]



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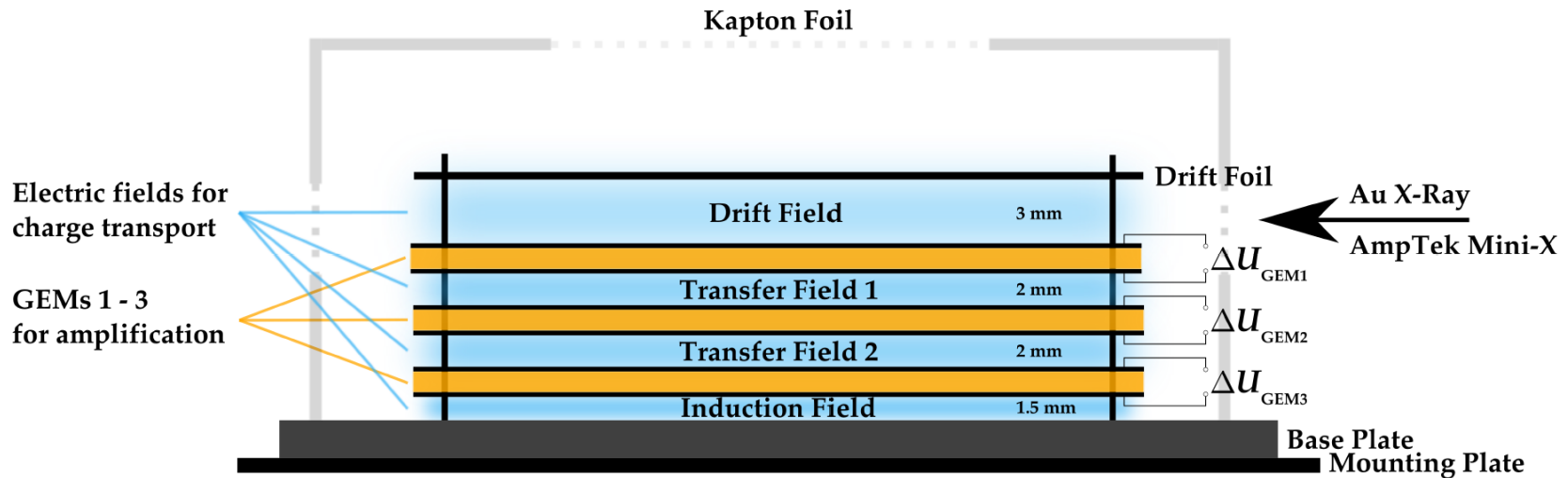
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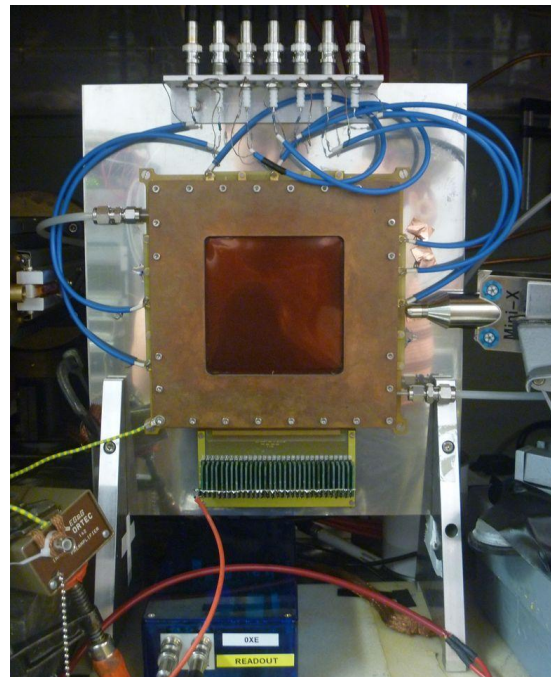
Conflicting requirements:

- diffusion, attachment
- energy resolution
- stability



- Small drift volume of 3 mm
- Triple GEM structure
- Gas gain around 2000
- Strip readout (512 strips)
- X-Rays enter from side window to avoid conversion between the GEMs

GEM voltage settings		Detector field settings	
GEM1	400 V	E_{Drift}	0.4 kV cm^{-1}
GEM2	372 V	E_{T1}	3.8 kV cm^{-1}
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		E_{Ind}	3.8 kV cm^{-1}



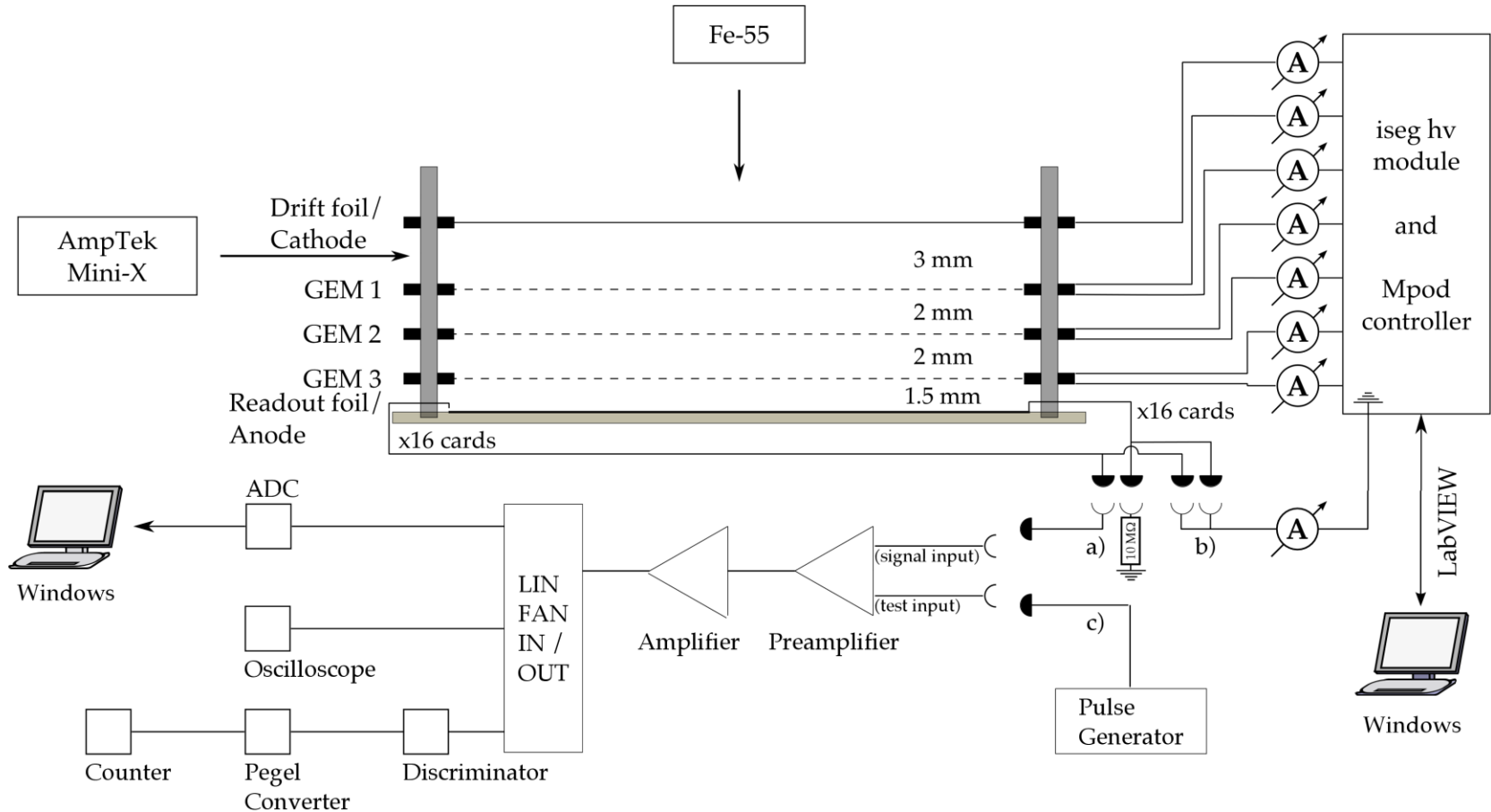
^{55}Fe with an activity of 37 MBq

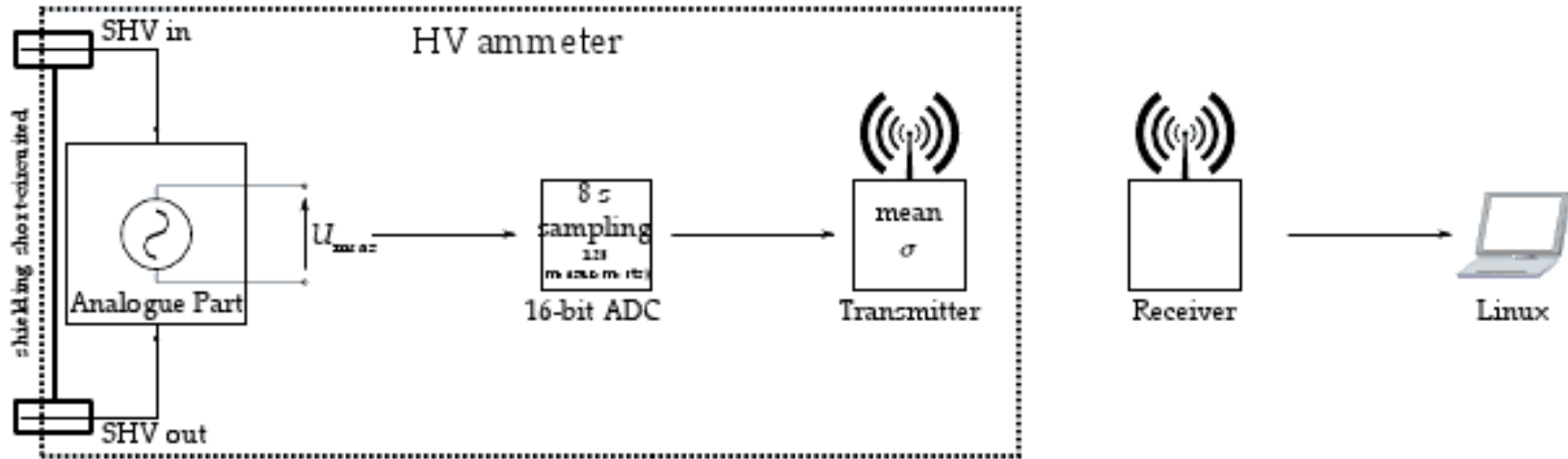
- Well defined energy
 - ⇒ excellent for measurements of energy resolution and gain

Amptek Mini-X Au (4 W)

- High rates
 - ⇒ high currents at the GEMs (μA), but energy spectrum is deteriorated by Bremsstrahlung

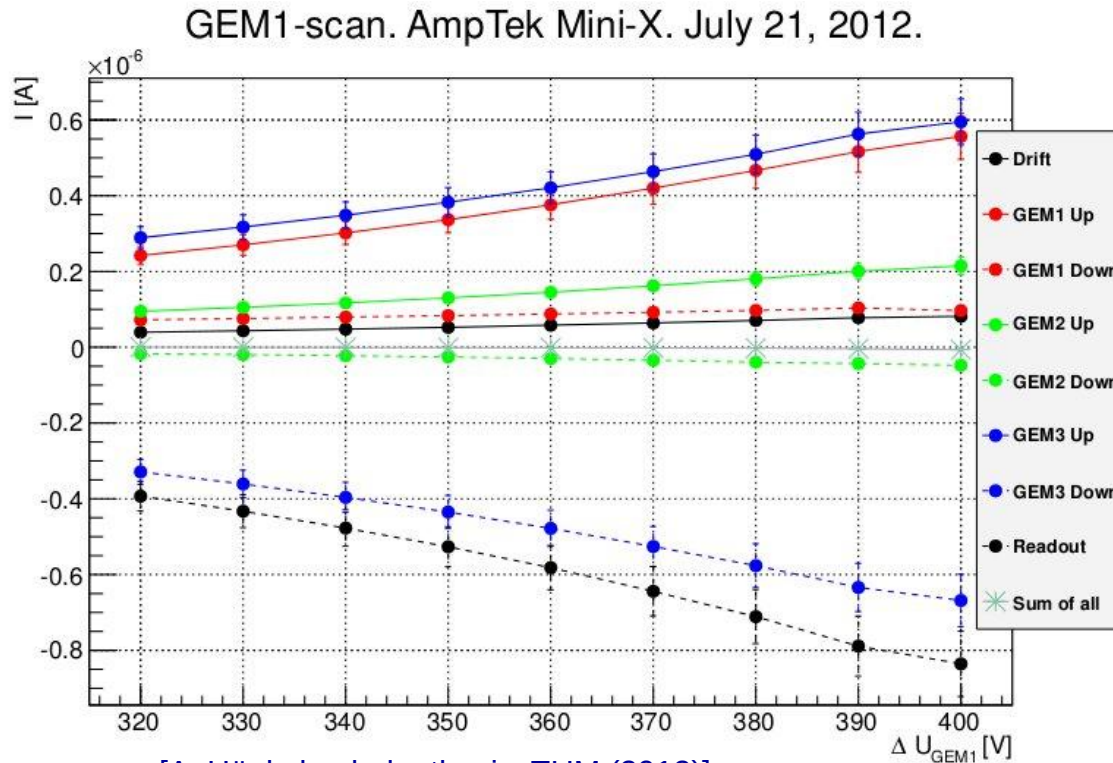
TUM Setup - Readouts





Measurement Procedure:

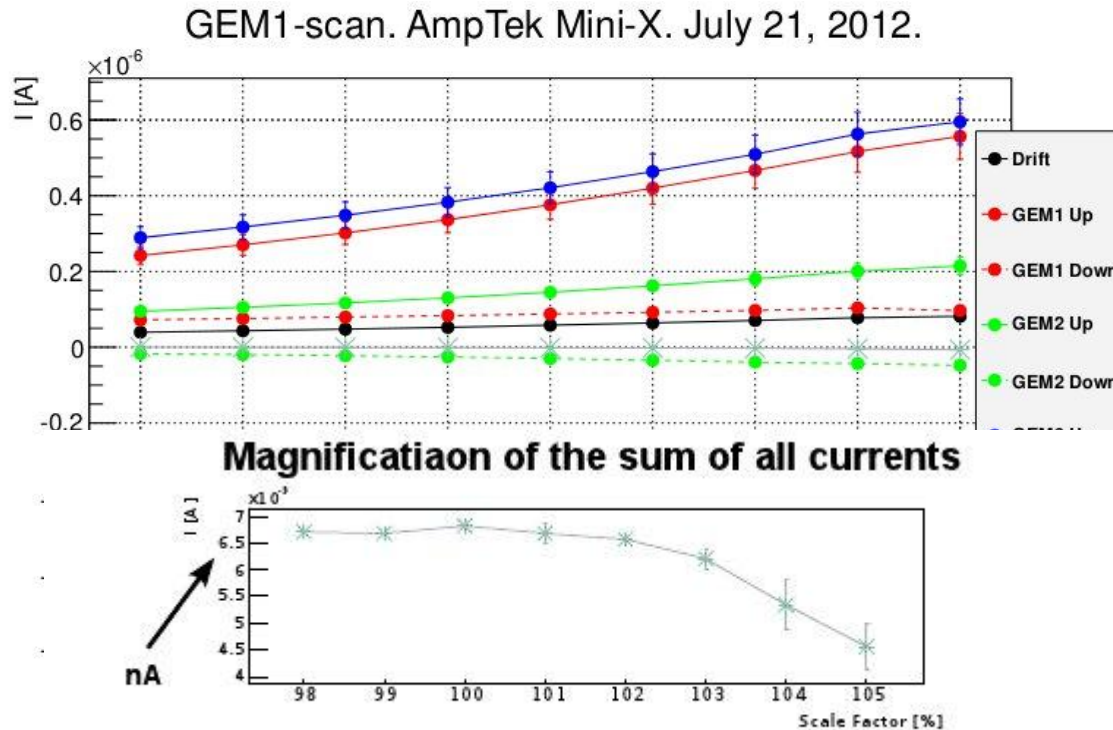
- Analogue part with four measurement ranges: 10 nA, 1 μ A, 100 μ A, 10 mA
- Voltage drop on the shunt digitized by a 16 bit ADC
- 128 measurements are sampled (~ 8 s)
- Mean and sigma averaged out of these 128 samples
- Data wireless transmitted to a receiver connected to a pc



[A. Hönle bachelor thesis, TUM (2012)]

- $E_{Drift} = 400 \text{ V/cm}$
- $\Delta U_{GEM1} = 400 \text{ V}$
- $\Delta U_{GEM2} = 372 \text{ V}$
- $\Delta U_{GEM3} = 322 \text{ V}$
- $E_{T1} = E_{T2} = E_{Ind}$
- $= 3.8 \text{ kV/cm}$

- Sum of all currents has to be zero $\Rightarrow$ good handle on systematics
- Every measurement has a negligible statistical uncertainty and a 10 % systematic uncertainty due to fluctuations on the x-ray current
- Offset in the order of some nA

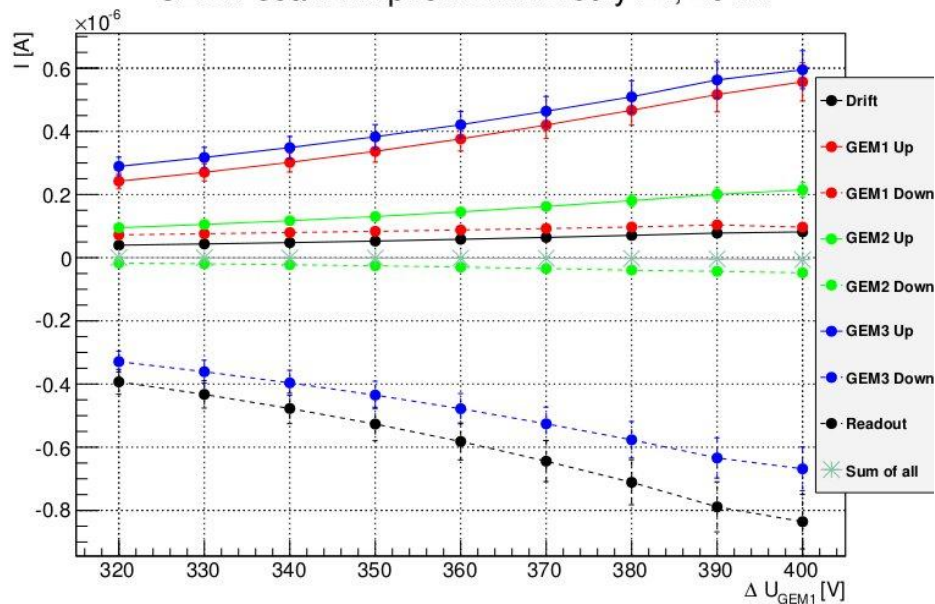


[A. Hönle bachelor thesis, TUM (2012)]

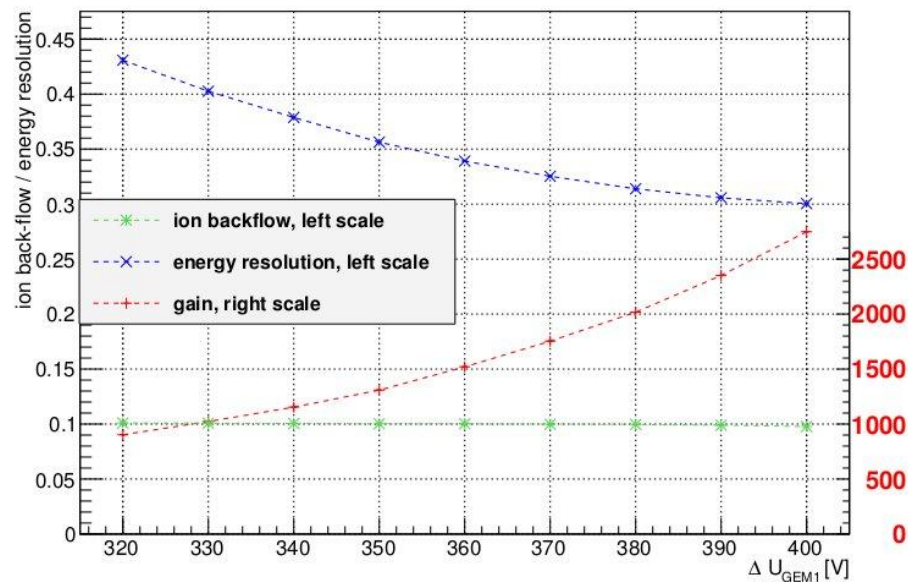
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Ar/CO₂ (70/30) with standard settings (not ion backflow optimized)

GEM1-scan. AmpTek Mini-X. July 21, 2012.



GEM1-scan. AmpTek Mini-X. July 21, 2012.

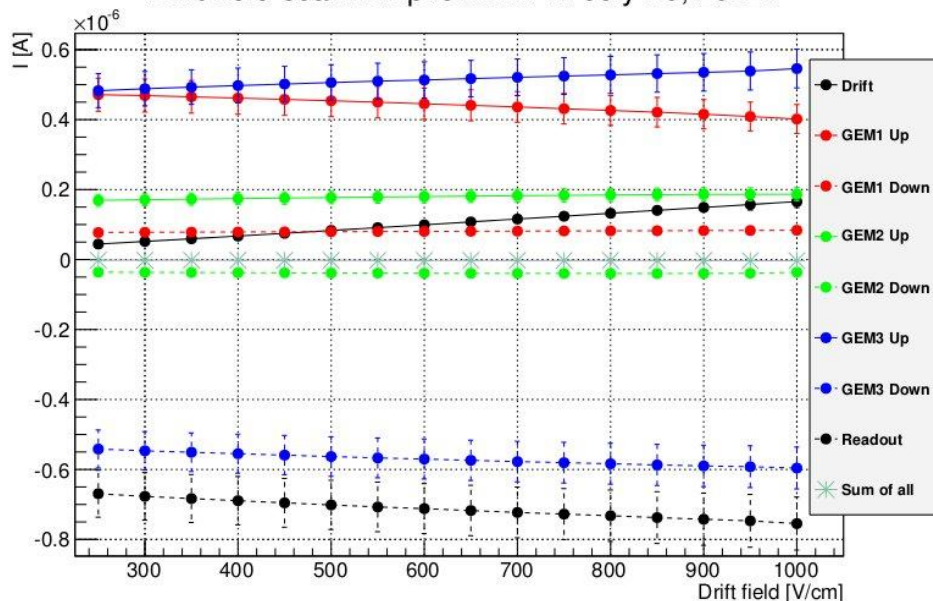


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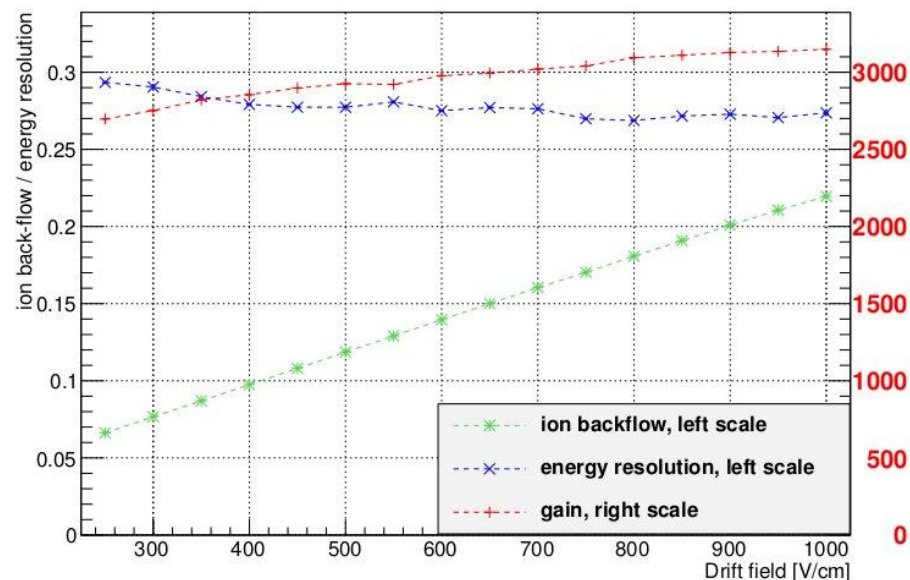
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Ar/CO₂(70/30) with standard settings (not ion backflow optimized)

Drift-field-scan. AmpTek Mini-X. July 20, 2012.



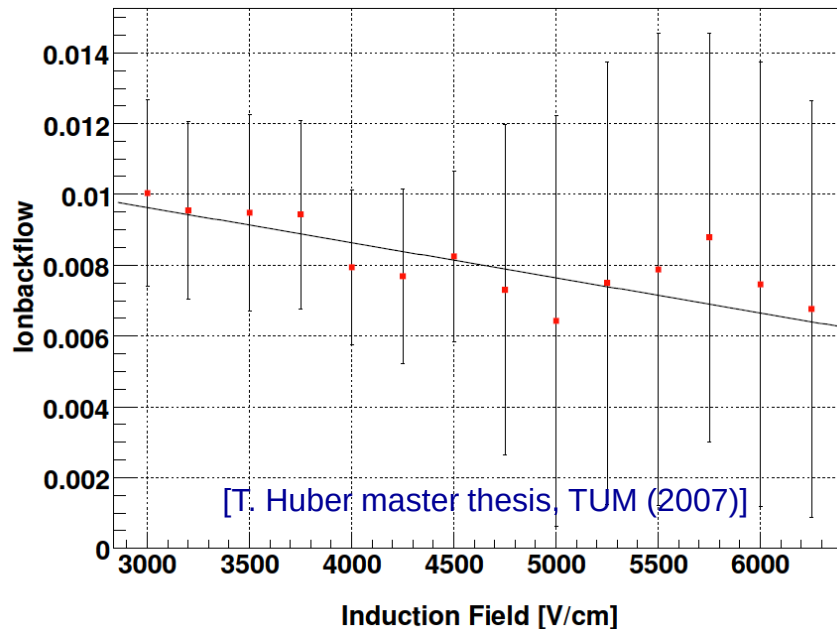
Drift-field-scan. AmpTek Mini-X. July 20, 2012.



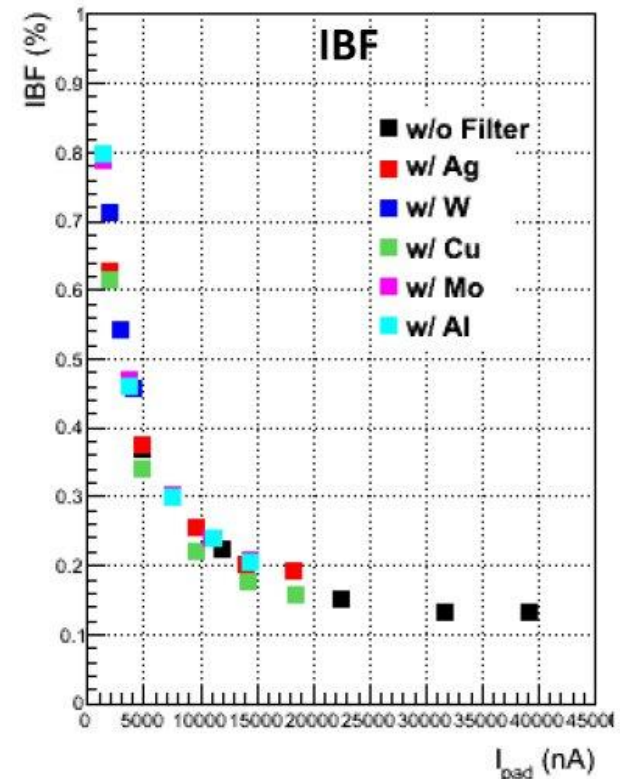
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Ar/CO₂(70/30)



Ar/CO₂(70/30)



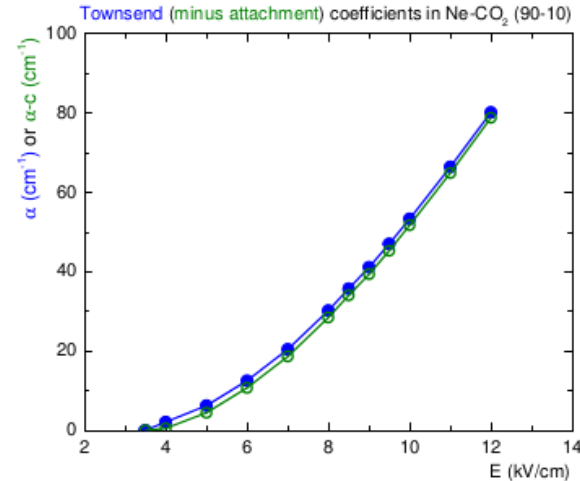
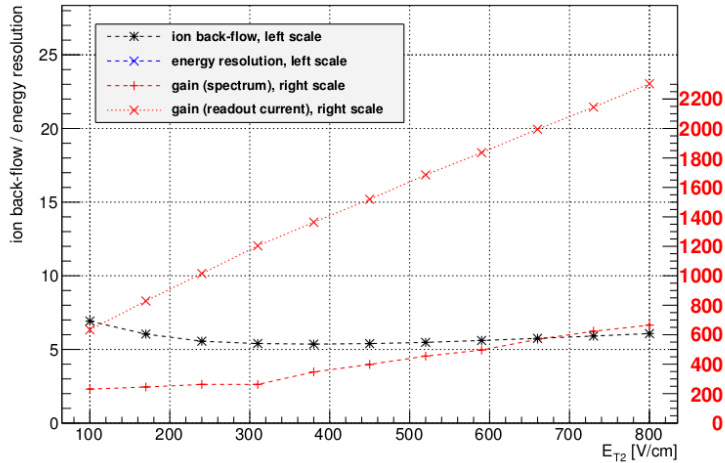
Agreement of IB for low rates between TUM and CERN measurements, but mechanism So far not understood (Space Charges ?).

Fundamental difference drift length TUM (3mm) CERN (80 mm).

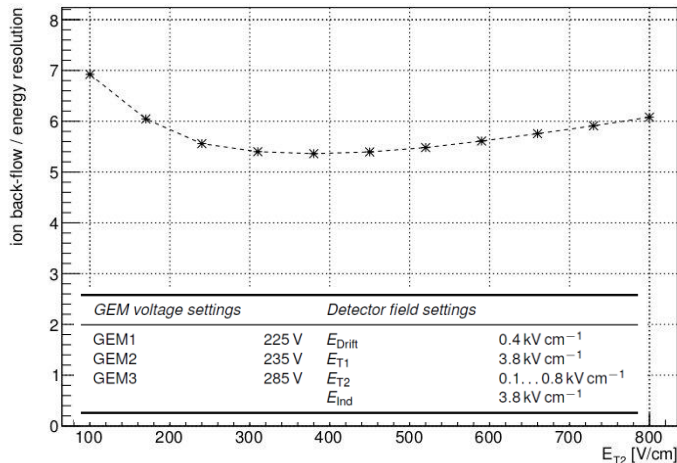
[C. Garabatos, Y. Yamaguchi, CERN (2012)]

Ion Backflow in Ne/CO₂ (90/10)

Optimum Settings (Tina), E_{T2}-Scan, Scale factor 1.00, Ne/CO₂ 90/10, AmpTek Mini-X, Nov 21, 2012.



Optimum Settings (Tina), E_{T2}-Scan, Scale factor 1.00, Ne/CO₂ 90/10, AmpTek Mini-X, Nov 21, 2012.



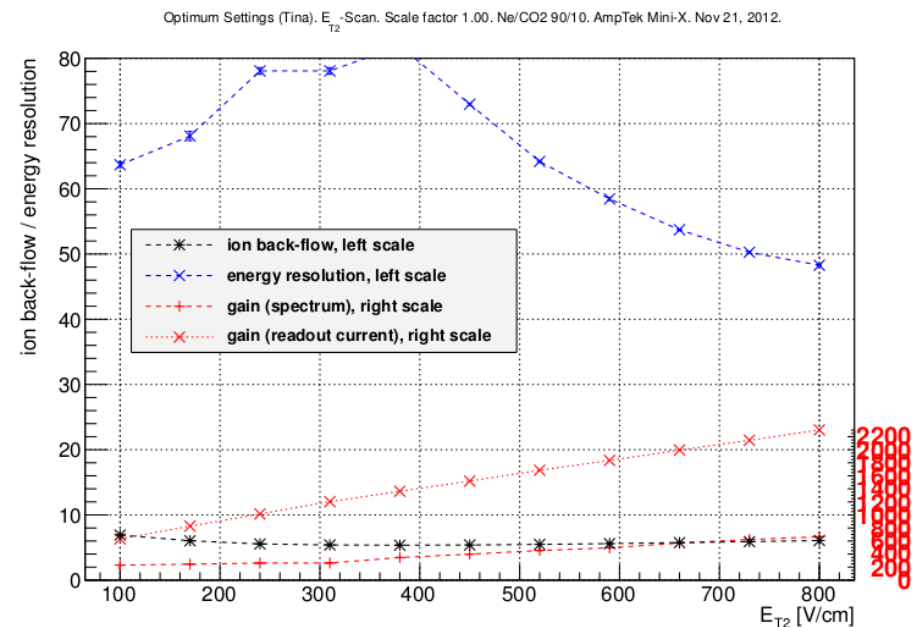
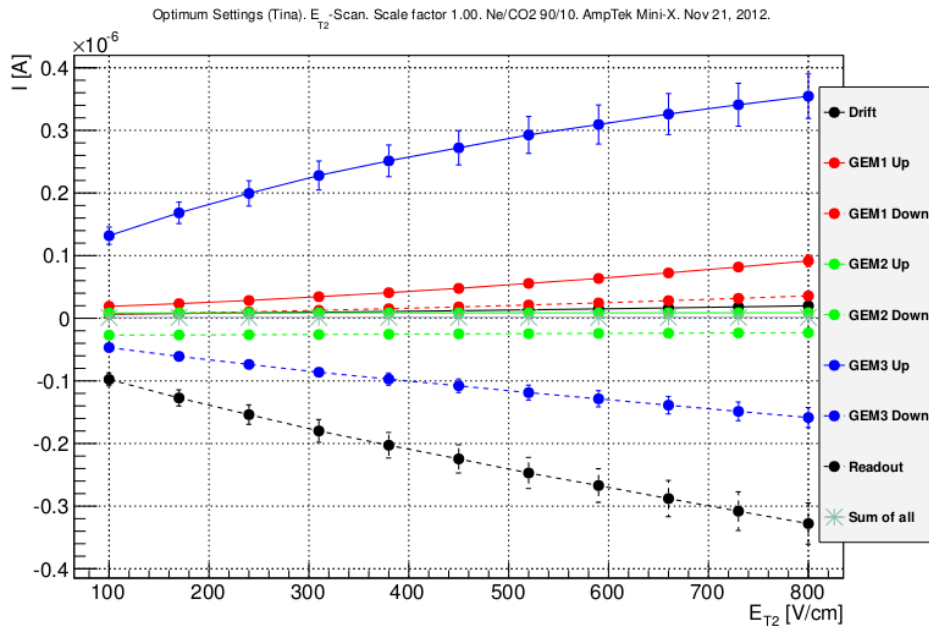
Mandatory changes for Ne/CO₂ (90/10)

- Drift field is fixed to 0.4 kV·cm⁻¹
- Fields above 4 kV·cm⁻¹ start to produce gas amplification
- With 5.5 % IB and a gas gain 1000
n_{tot} = 55 ions

Ion Backflow in Ne/CO₂ (90/10)

GEM voltage settings		Detector field settings	
GEM1	225 V	E_{Drift}	0.4 kV cm^{-1}
GEM2	235 V	E_{T1}	3.8 kV cm^{-1}
GEM3	285 V	E_{T2}	$0.1 \dots 0.8 \text{ kV cm}^{-1}$
		E_{Ind}	3.8 kV cm^{-1}

Scale 1.00

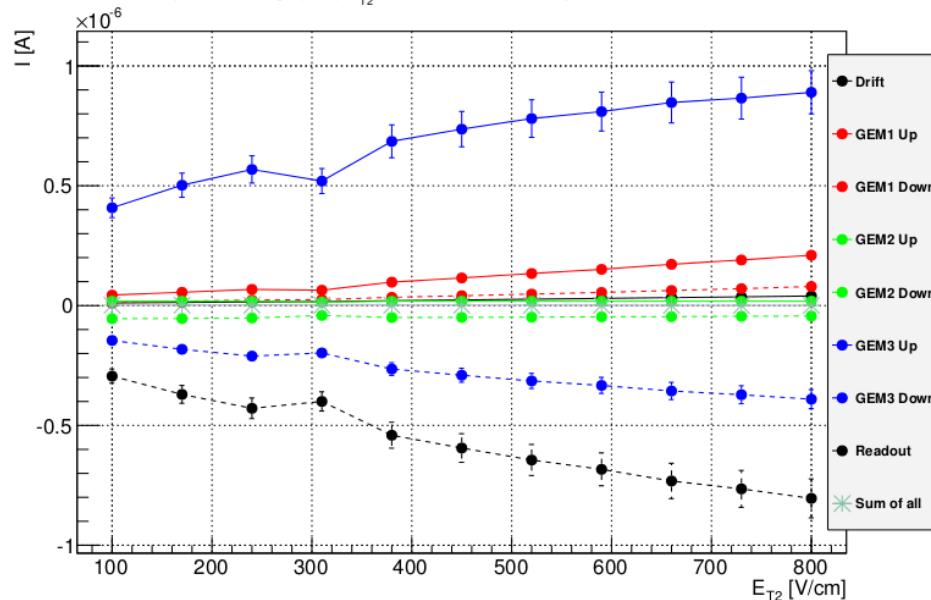


Ion Backflow in Ne/CO₂ (90/10)

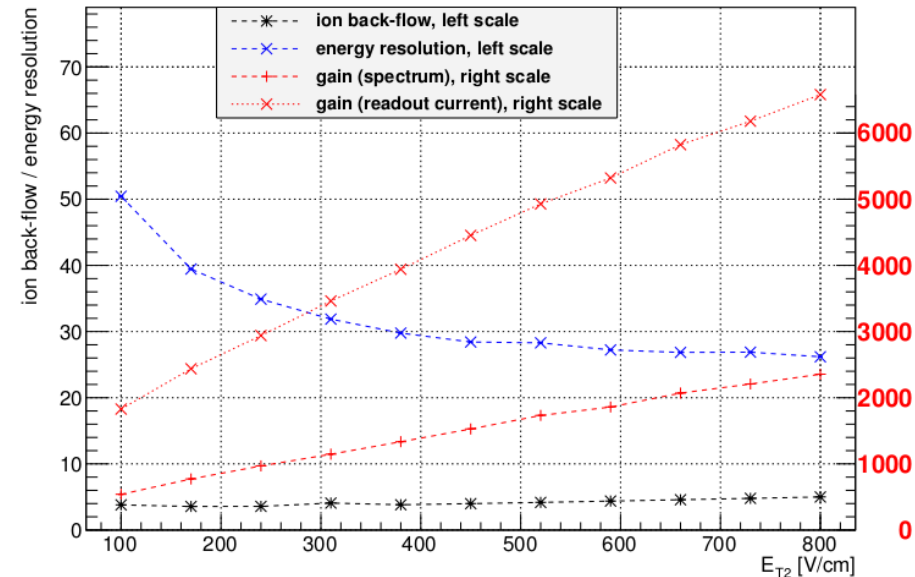
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		E_{Ind}	3.8 kV cm^{-1}

Scale 1.07

Optimum Settings (Tina). E_{T2} -Scan. Ne/CO₂ 90/10. AmpTek Mini-X. Nov 21, 2012.



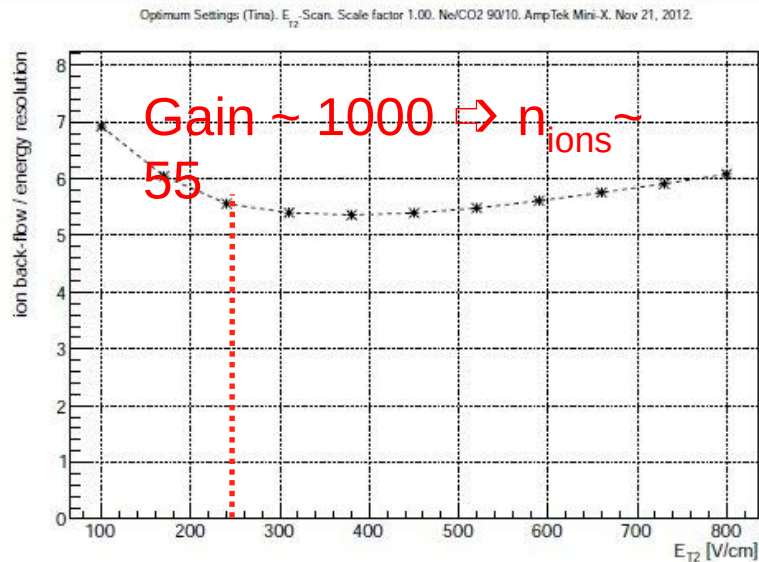
Optimum Settings (Tina). E_{T2} -Scan. Ne/CO₂ 90/10. AmpTek Mini-X. Nov 21, 2012.



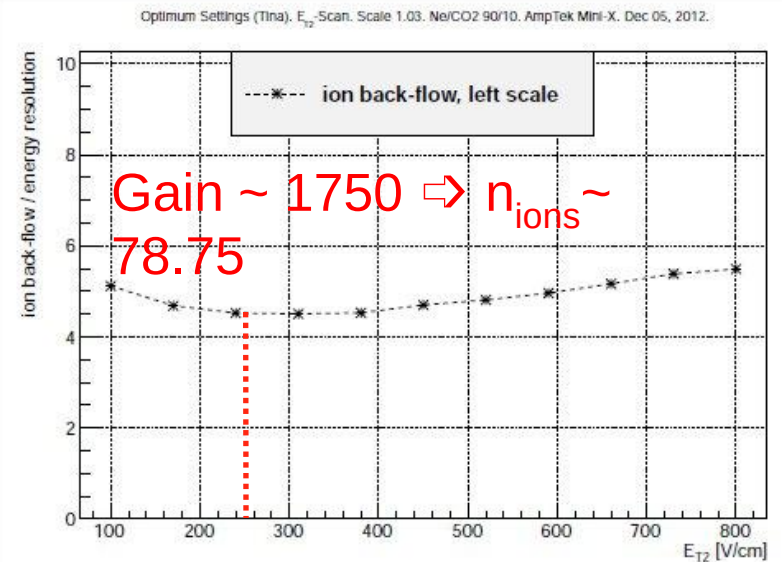
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		E_{Ind}	3.8 kV cm^{-1}

Scale factor 1.00



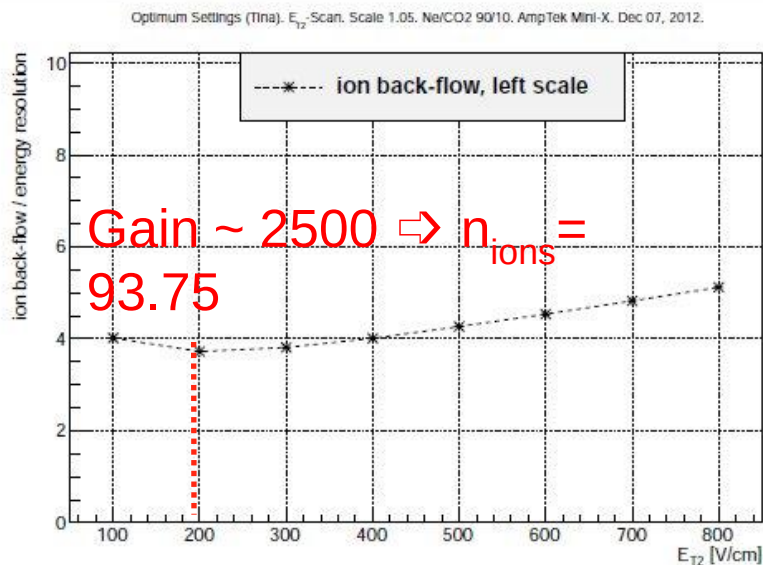
Scale factor 1.03



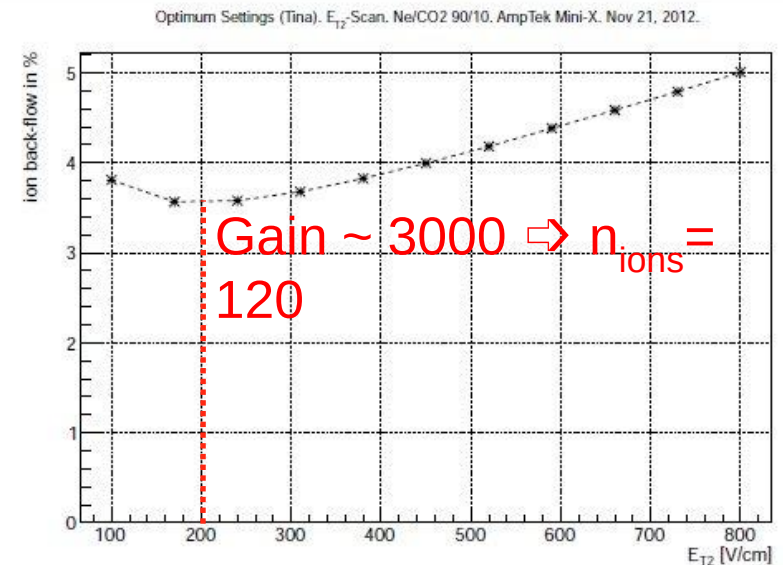
Ion Backflow in Ne/CO₂ (90/10)

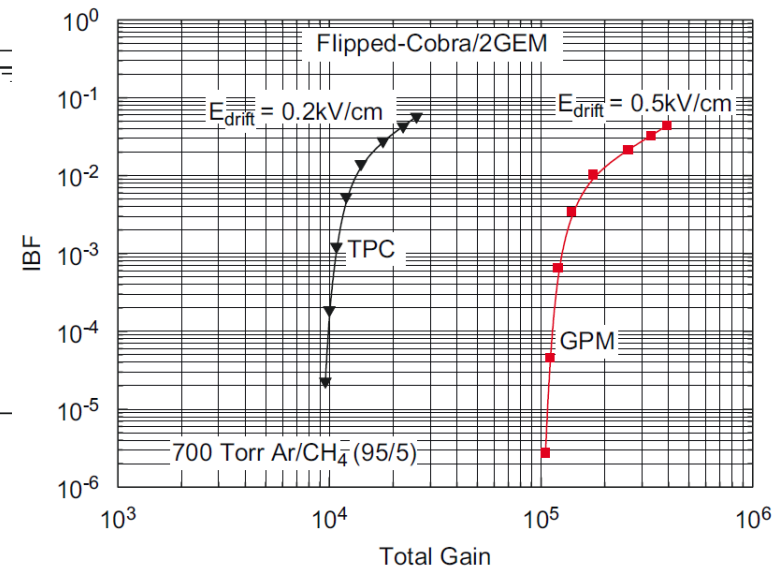
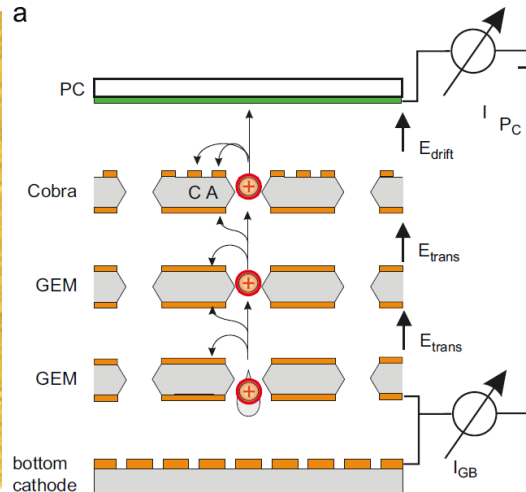
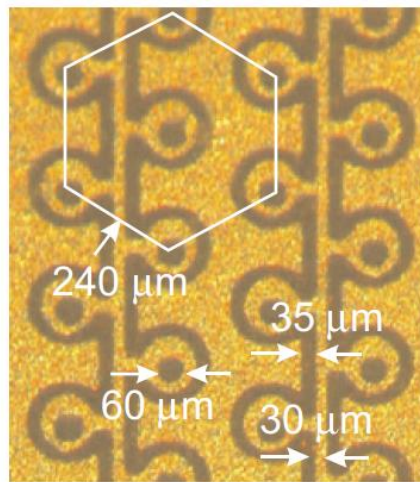
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		E_{Ind}	3.8 kV cm^{-1}

Scale factor 1.05



Scale factor 1.07





$$IB = 2.7 \cdot 10^{-5} !$$

[A. Lyashenko et al., NIM A 598 (2009) 116–120]

Advantage

⇒ problem of IB completely eliminated

Disadvantages

⇒ e- transparency might suffer, so far not applicable to large size

- IB for a conventional triple GEM stack and given boundary conditions factor 5-10 too high (Ne/CO₂ 90/10)
- Conventional approach: Small modifications
 - Use extraction fields slightly above 4 kV/cm
 - Use Ne/CO₂/N₂ (90/10/5) ⇒ smaller Townsend Coefficient
 - Use GEM with different aspect ratio (smaller and larger holes)
 - Use 4th GEM
- Alternative approach: COBRA or MHSP or ?
(see Taku Gunji's slides in Rob Veenhofs talk)
- Systematics have to be understood (rate dependency)

- 2013: **pPb and PbPb**
initial state effects, shadowing.

- 2013-14: LHC Long Shutdown 1 (LS1)

- 2015-17: **FULL ENERGY !!**
pp @ 7 TeV,
PbPb @ $\sqrt{s_{NN}} = 5.5$ TeV

- 2018: LHC Long Shutdown 2

- **≥ 2019: HIGH LUMINOSITY**
50 kHz PbPb collisions

ALICE UPGRADES

- New vertex detectors
- Faster readout, high level triggers...
- TPC with continuous readout ...

Letter of Intent for the Upgrade of the ALICE Experiment | CERN-LHCC-2012-012 (LHCC-I-022)

ALICE
Letter of Intent

CERN-LHCC-2012-012
(LHCC-I-022)
ALICE-DOC-2012-001
6 September 2012



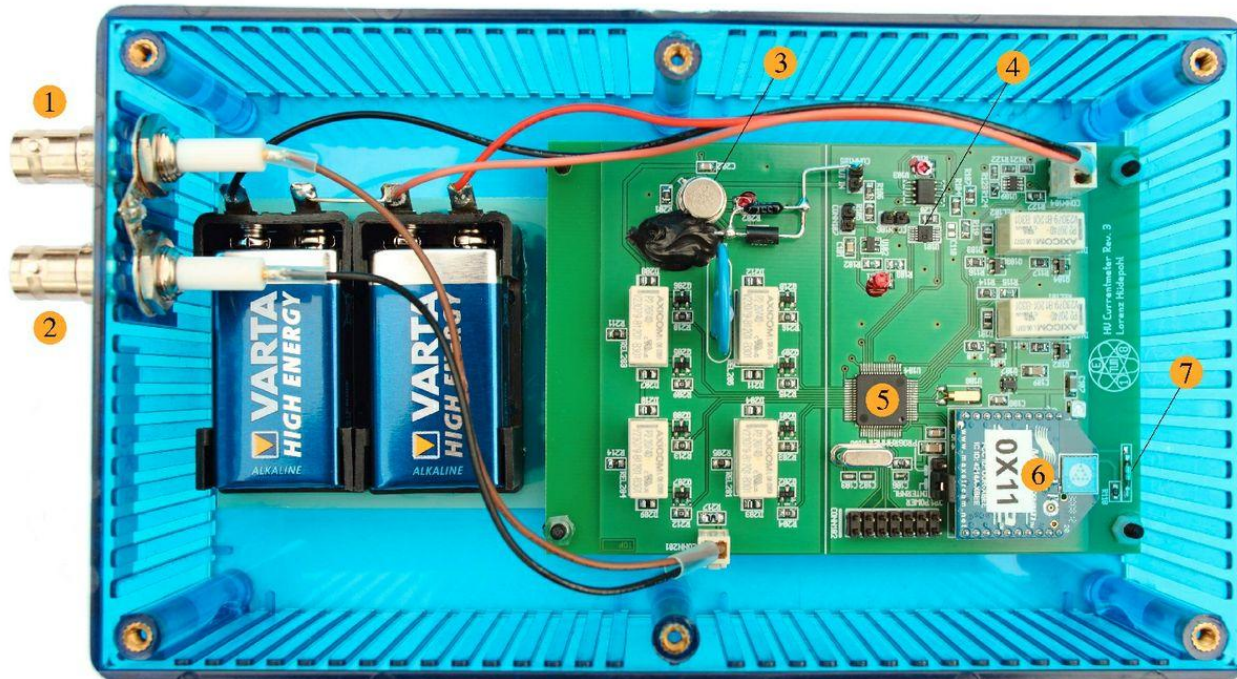
Upgrade of the
ALICE Experiment
Letter of Intent

50

Thank you for your attention!



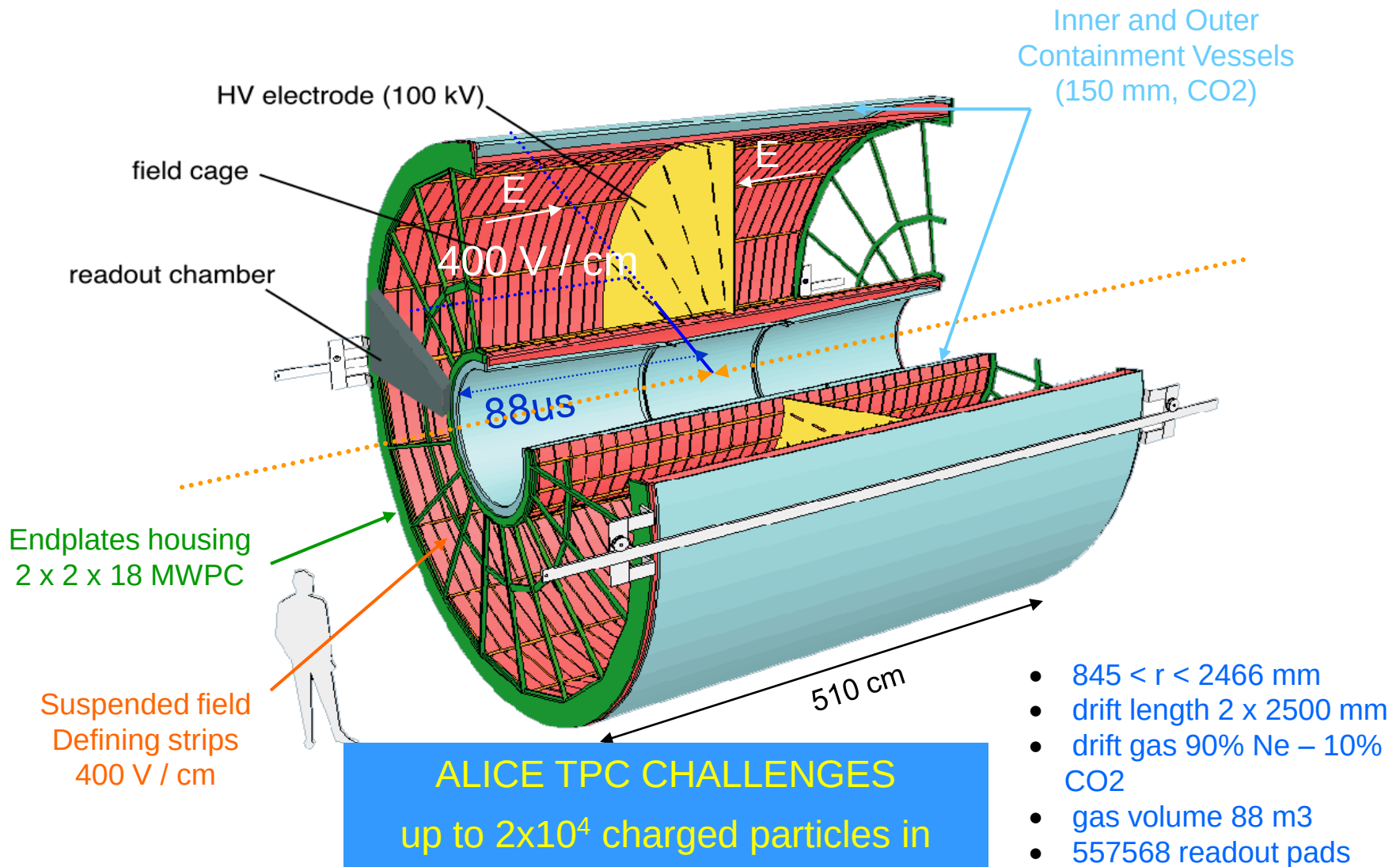
Backup Slides

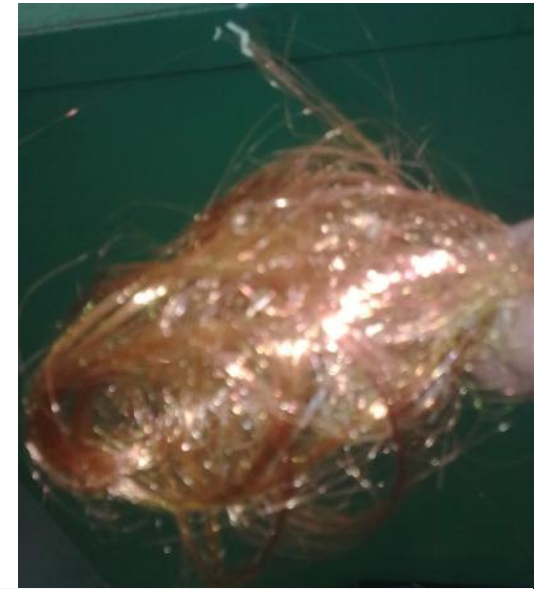
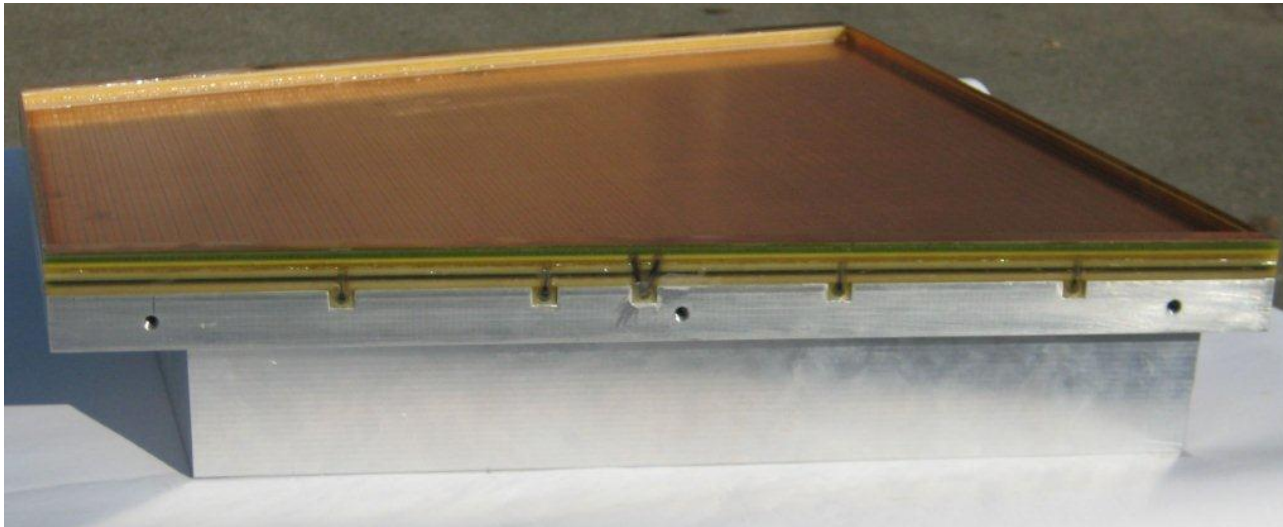


- 1. HV in
- 2. HV out
- 3. Non inverting operational amplifier
- 1. 16-bit ADC
- 2. Microcontroller
- 3. Wireless transceiver
- 4. Read switch

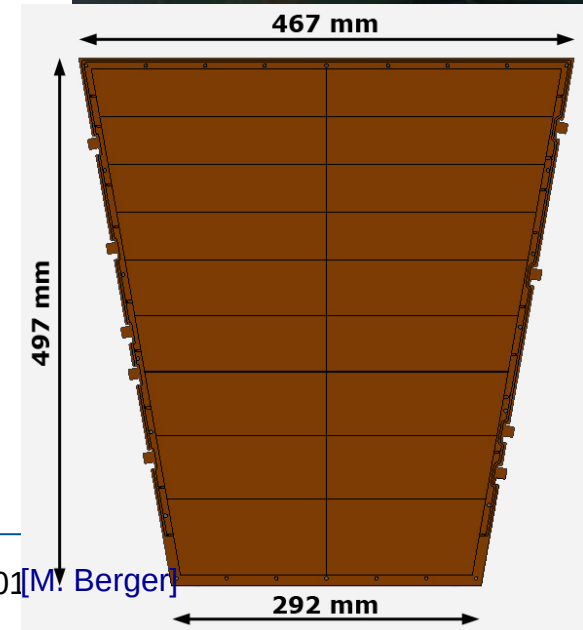
- **TPC needs to cope with high rates**
 - 2 MHz in p+p, 50 kHz in Pb+Pb collisions $\Rightarrow$ 100 $\times$ higher than present
 - No gating and continuous readout
- **GEMs as replacement for MWPC**
 - Rate capability ok
 - Ion backflow suppression critical !!!
 - Operation at lower gain $\sim$ 1000 due to fast electron signal + low noise
- **Issues for Upgrade**
 - dE/dx resolution for PID $\Rightarrow$ PS test beam end of 2012
 - Stability under LHC conditions $\Rightarrow$ just finished in Jan/Feb 2013
 - Gain stability $\Rightarrow$ laboratory measurements of charging up, long-term
 - Ion backflow $\Rightarrow$ laboratory measurements, simulations
 - New front-end and readout electronics

The ALICE TPC

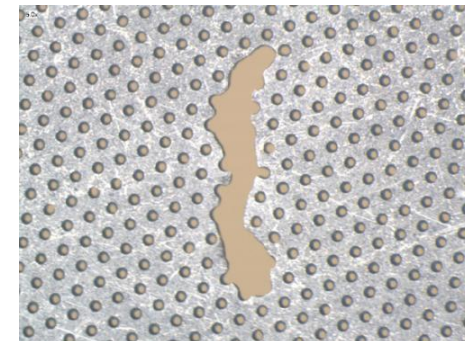
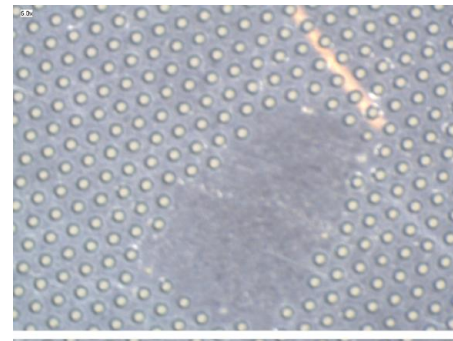
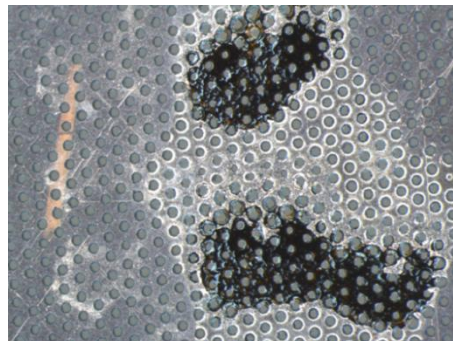
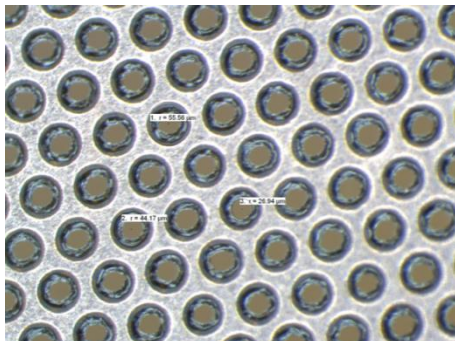
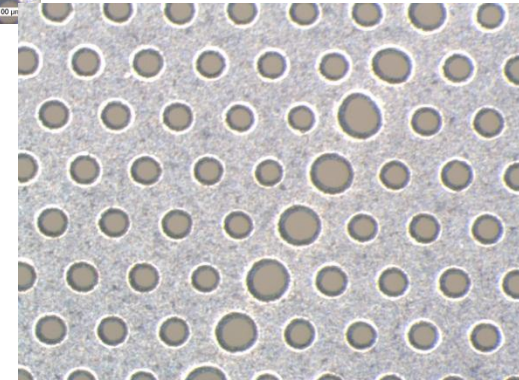
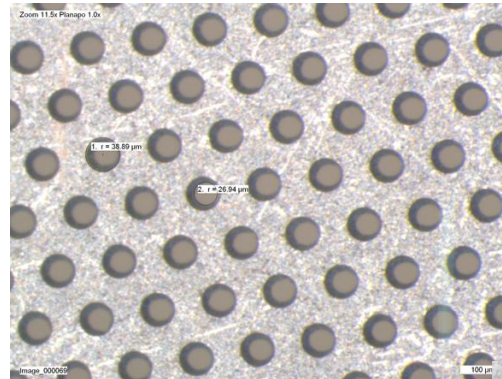
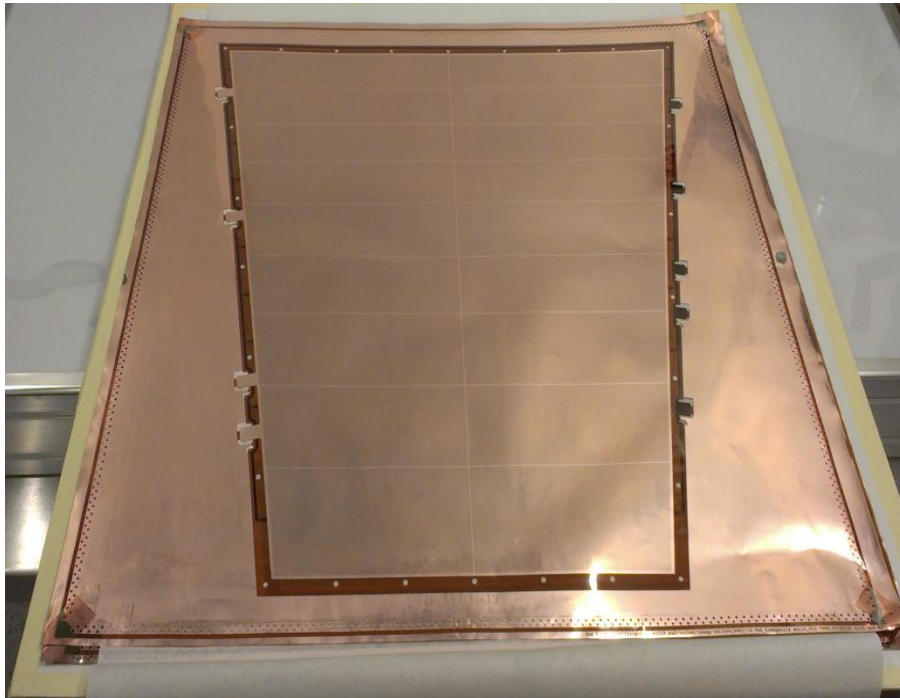


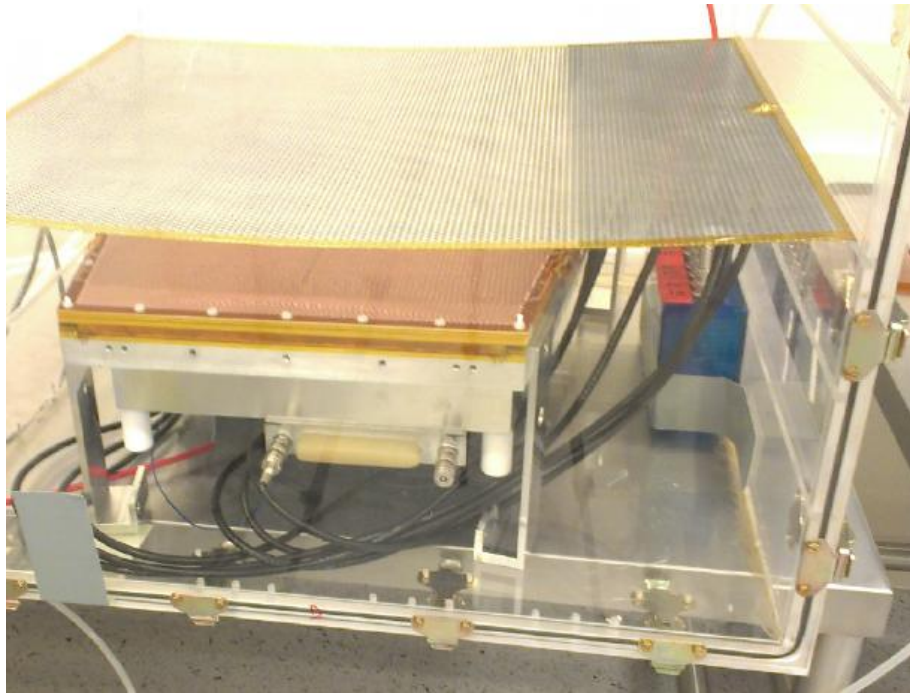


- 3 large-size GEM foils: single-mask
- 18 sectors (top side), $\sim 100\text{cm}^2$ each
- bias resistors 10/1MO
- 2mm frames glued on bottom side
- spacer grid: 400mm thickness
- additional frame for induction gap: 4mm

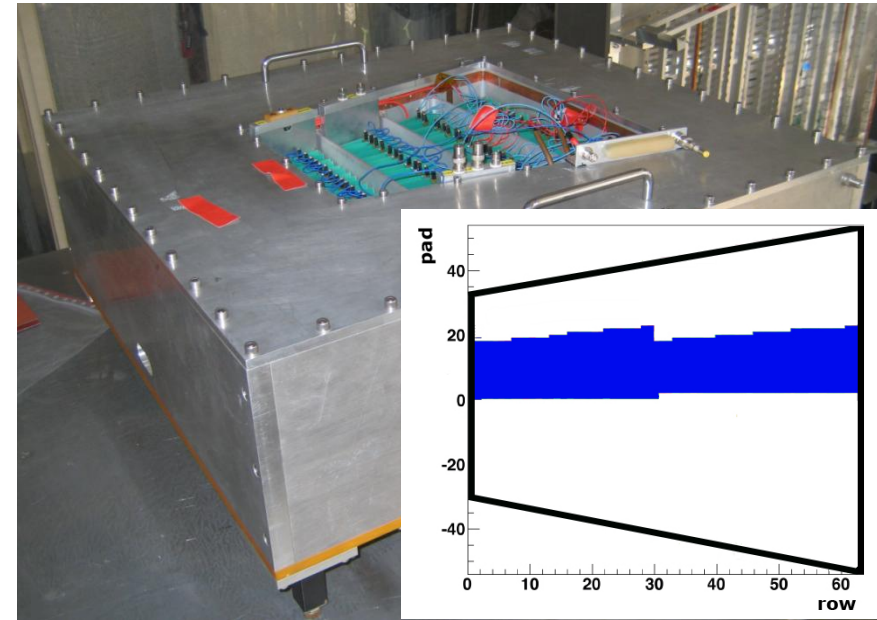


Single-mask GEM Foils



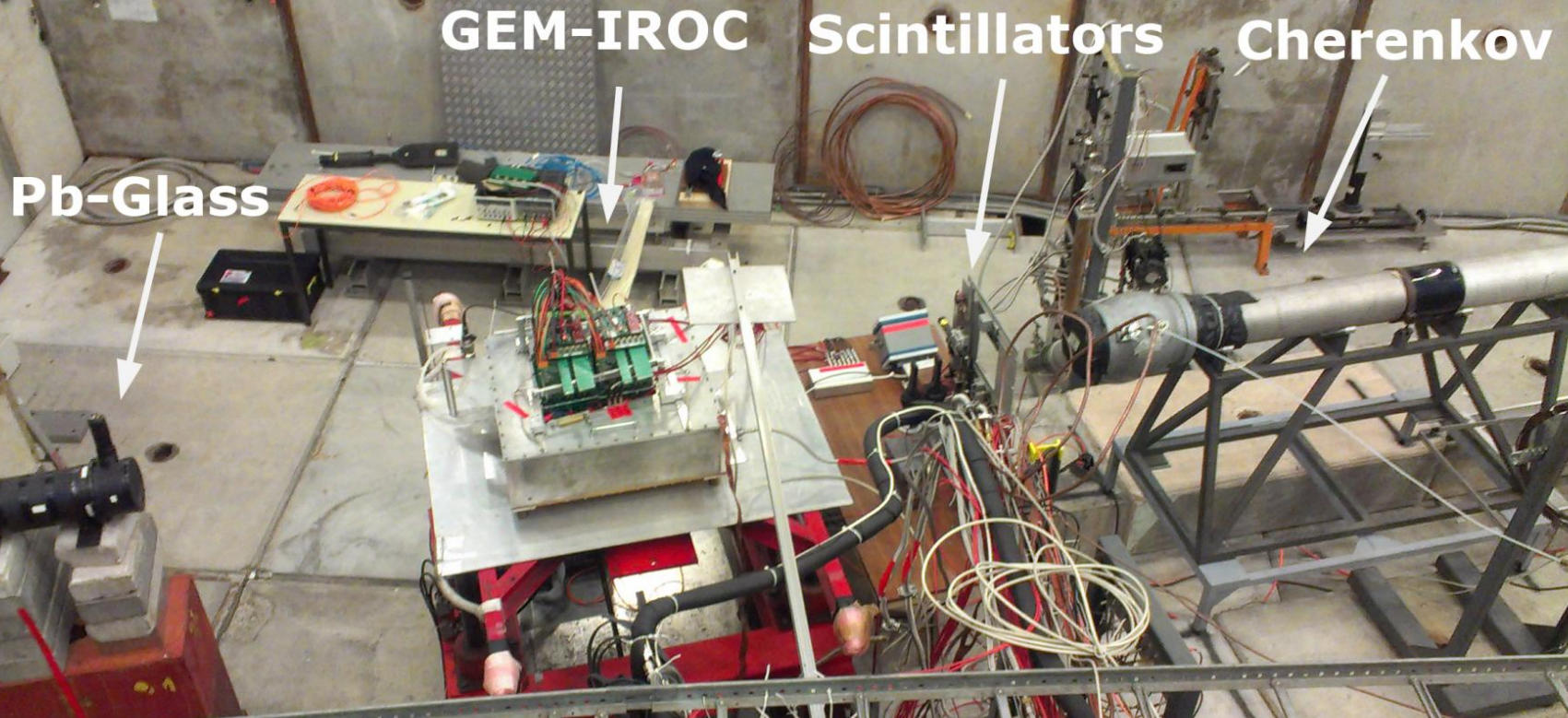


Test in flush box with “cathode”



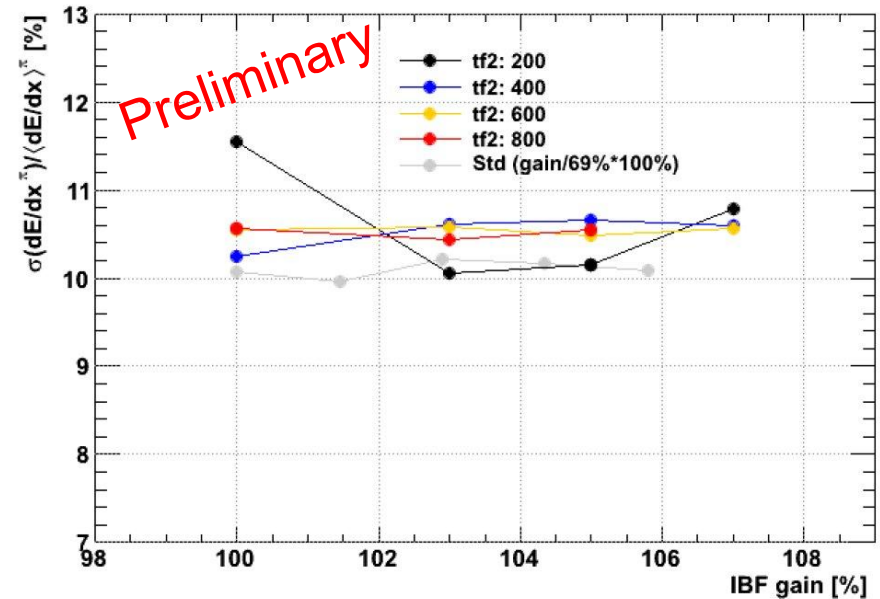
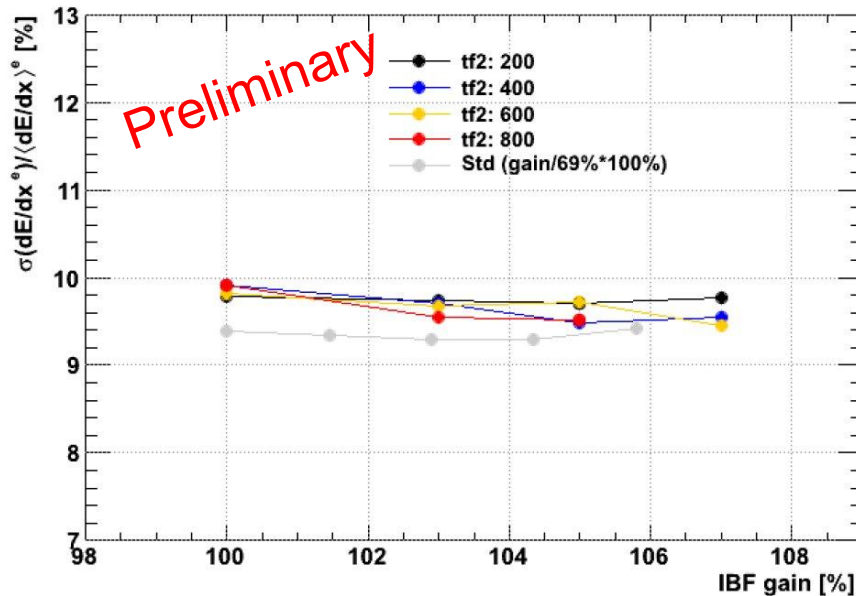
IROC mounted inside field cage

- Drift length 11.5 cm, 400 V/cm
- Gas Ne/CO₂ (90/10)
- 64 rows with pads
- FEE: PCA16 / ALTRO (LCTPC)
- ENC: 500 – 600 e⁻



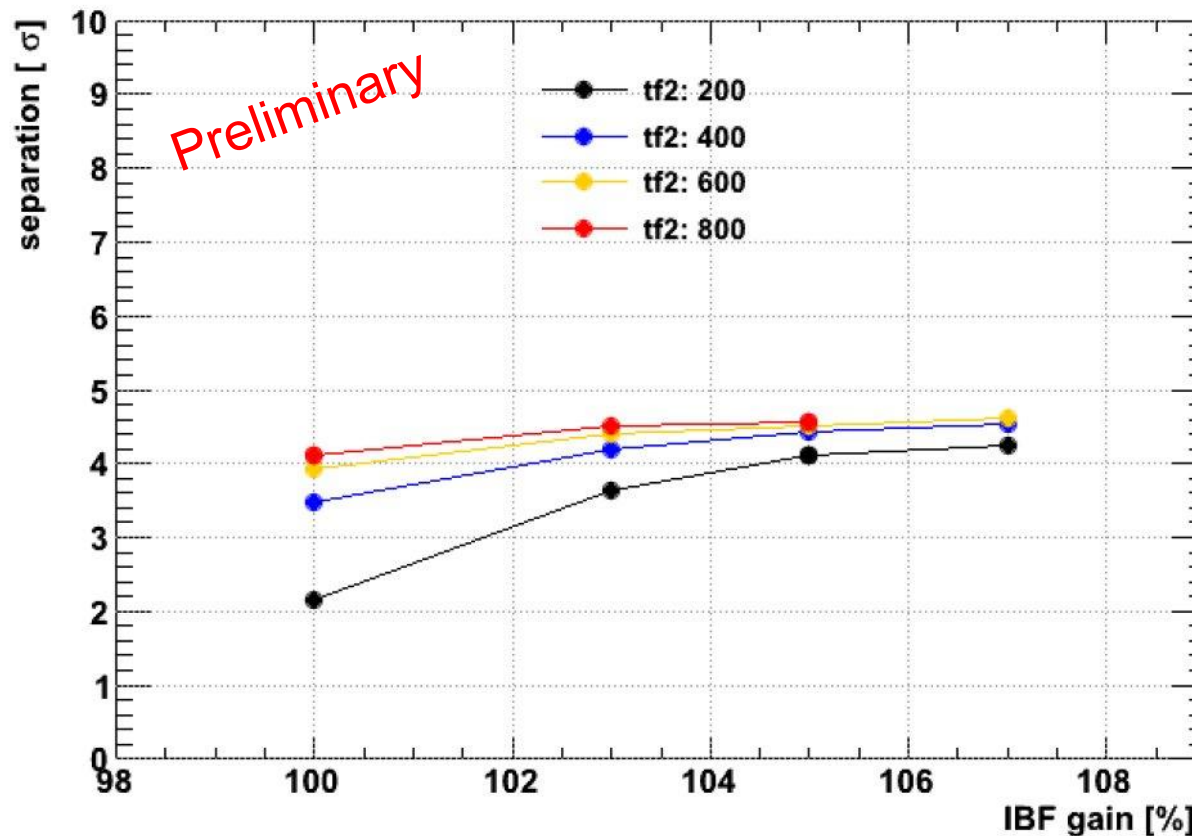
- $p, \pi^\pm, e^\pm$ beam, 1/2/3/6 GeV/c
- 2000 particles / 0.5s
- Cherenkov and Pb glass detectors for external reference PID
- Goal: measure separation power for different GEM settings

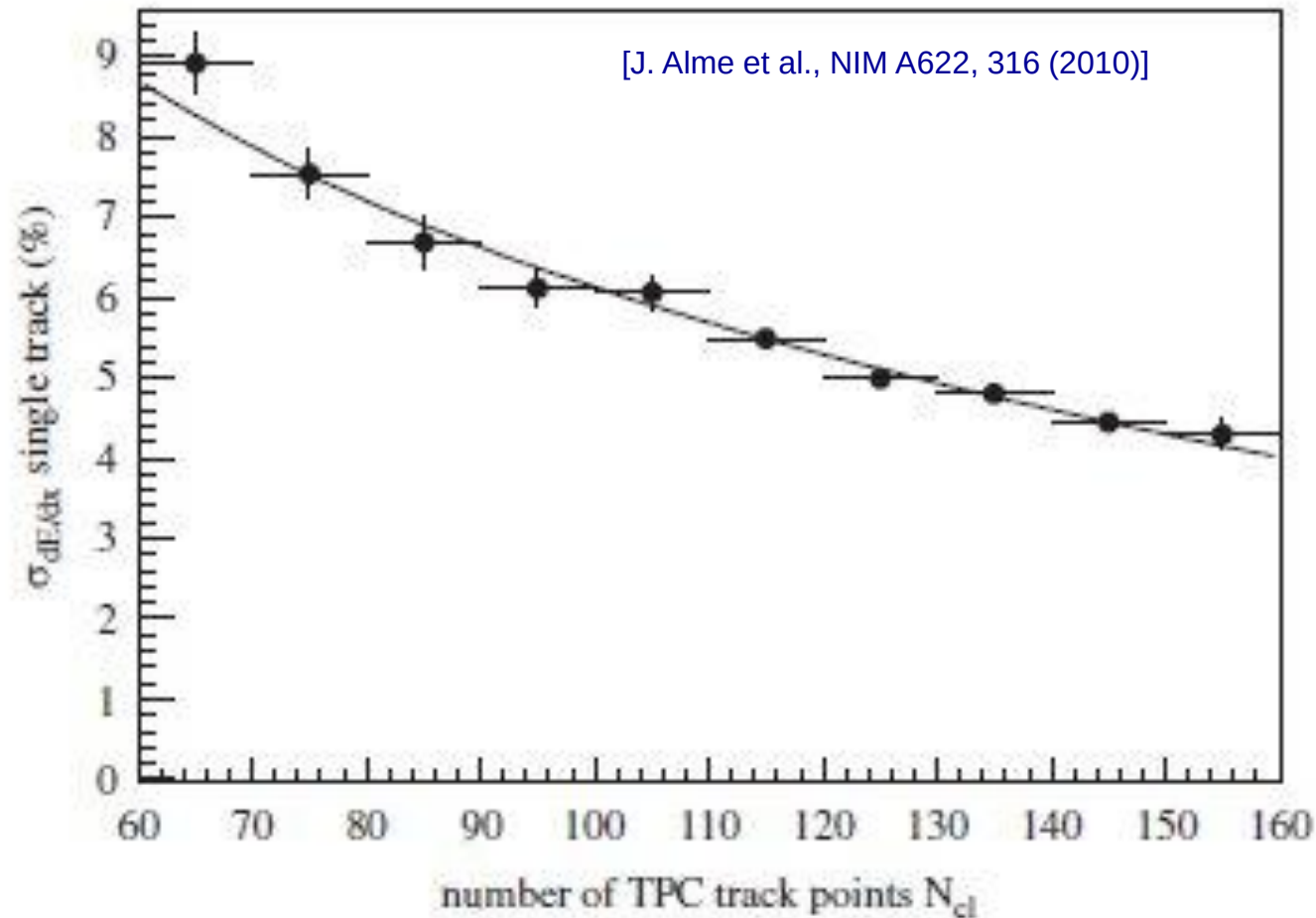
dE/dx resolution of 1 GeV electrons and pions
for different IBF settings and as a function of gain



dE/dx resolution for $e^- \sim 9.5$ % for 10 %

dE/dx separation of 1 GeV electrons and pions
for different IBF settings and as a function of gain





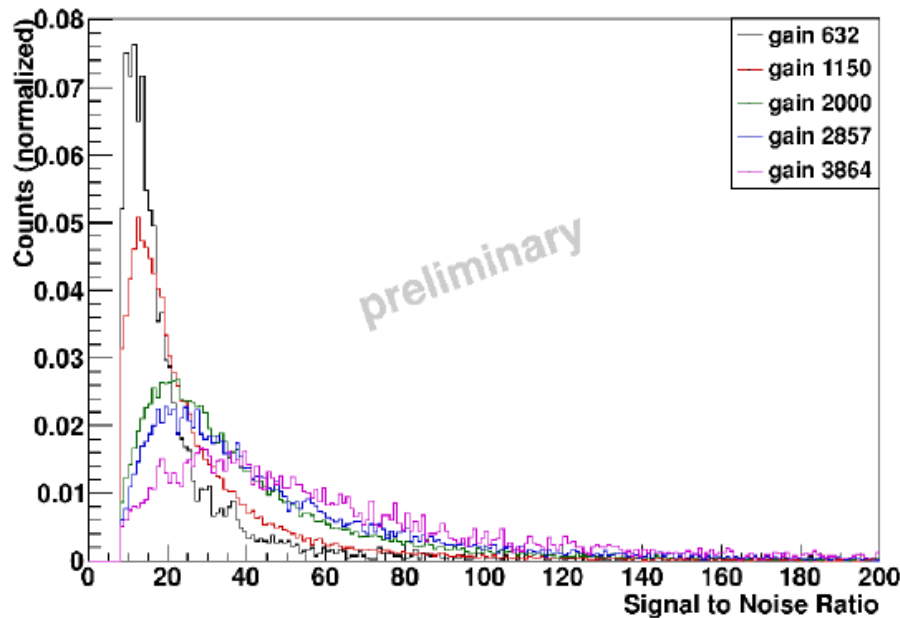
dE/dx-resolution achieved with the GEM IROC with 64 rows looks promising to satisfy the performance for the full TPC

- An IROC has been set up with a triple GEM readout
- Physics Performance run in the PS with 2000 channels from LC TPC
- Measurement of the Specific Energy Loss (dE/dx) quite successful (e^- and π^- $\sim 9.5 - 10 \%$)
- Close to the current dE/dx limit of ALICE TPC (even in IB mode)
- Upcoming TDR for the TPC upgrade including GEM-Implementation, IB as well as Detector Performance planned for August this year

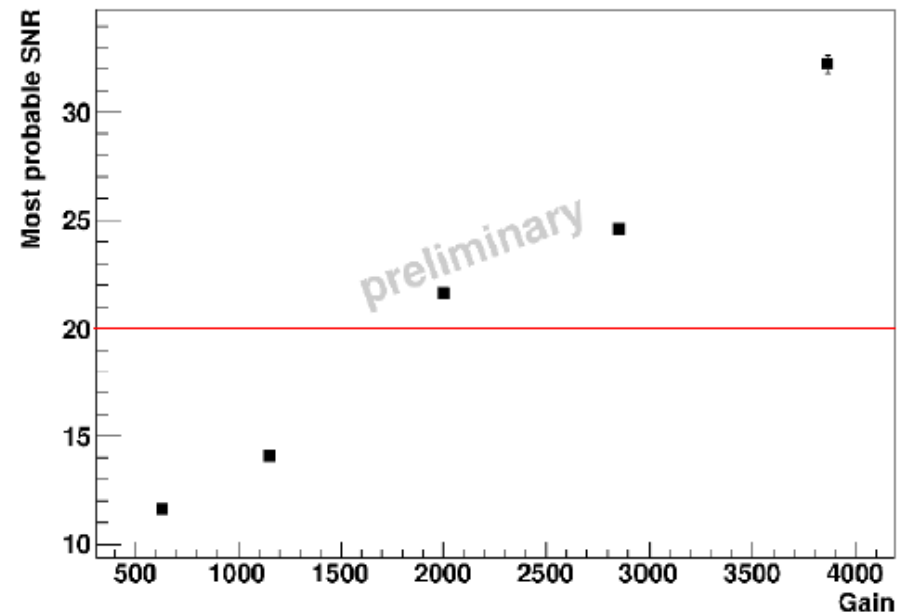
Still a lot of work to do !!!

Backup Slides

Signal-to-Noise Ratio



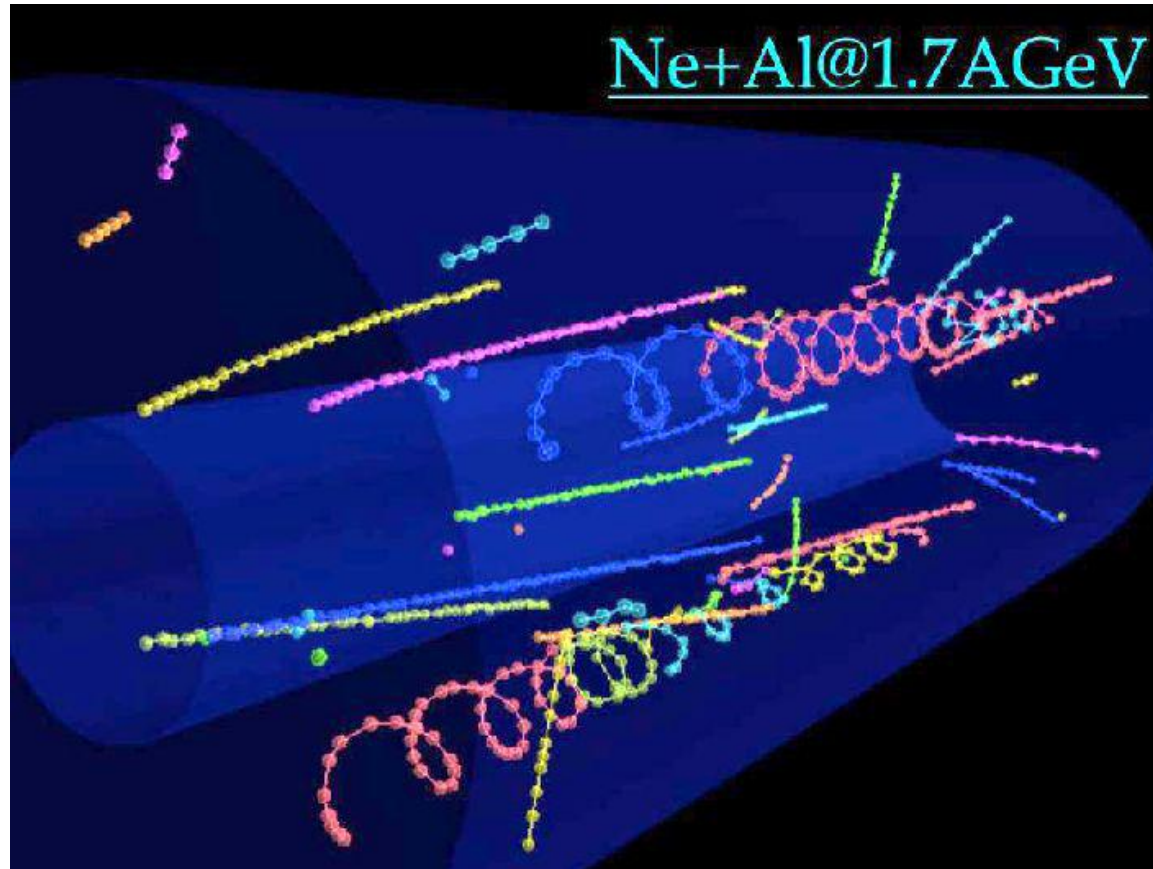
SNR above 20
envisaged



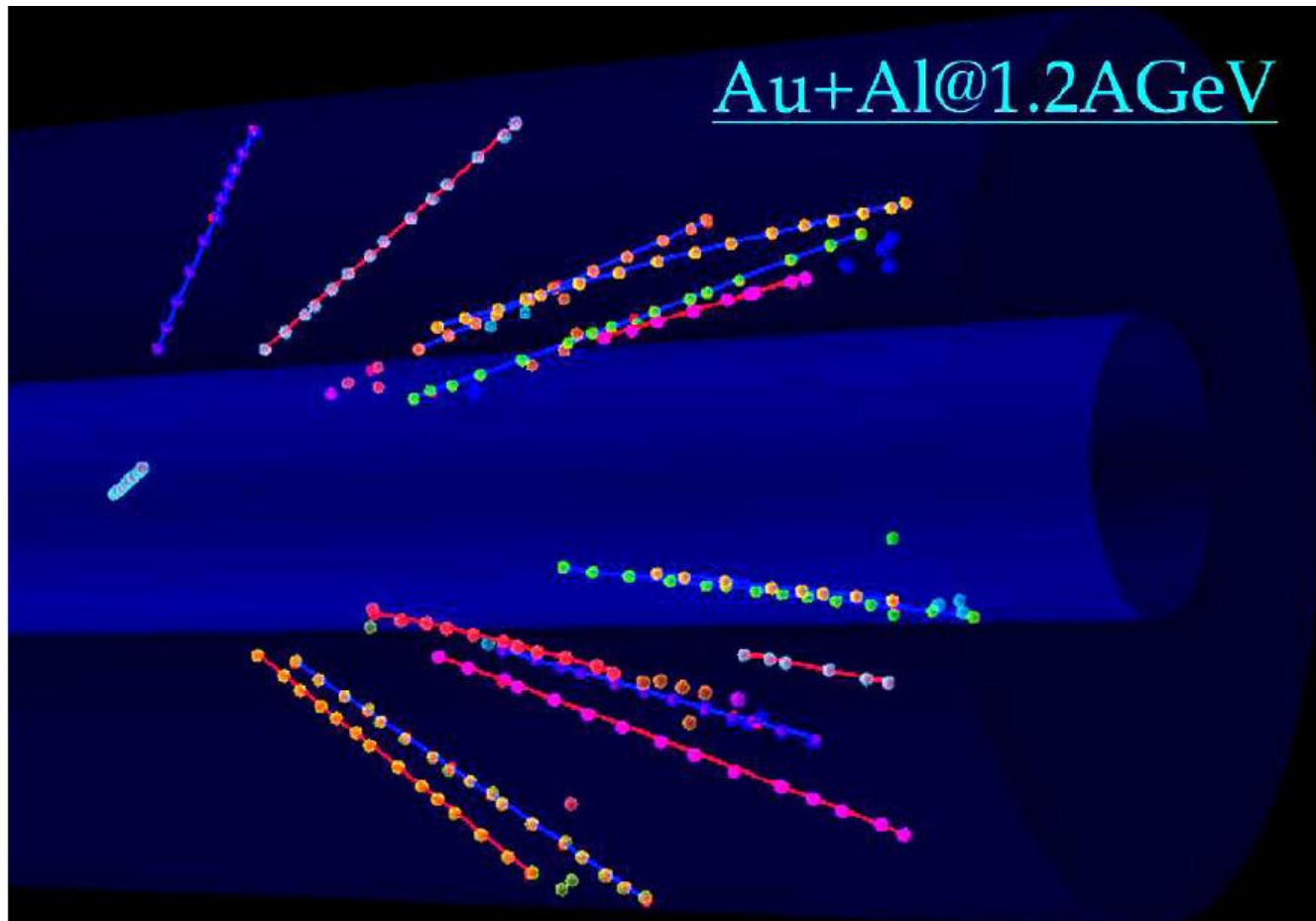
Setting:

- Ne/CO₂ (90/10)
- 360 V/cm drift field
- 0.6 T

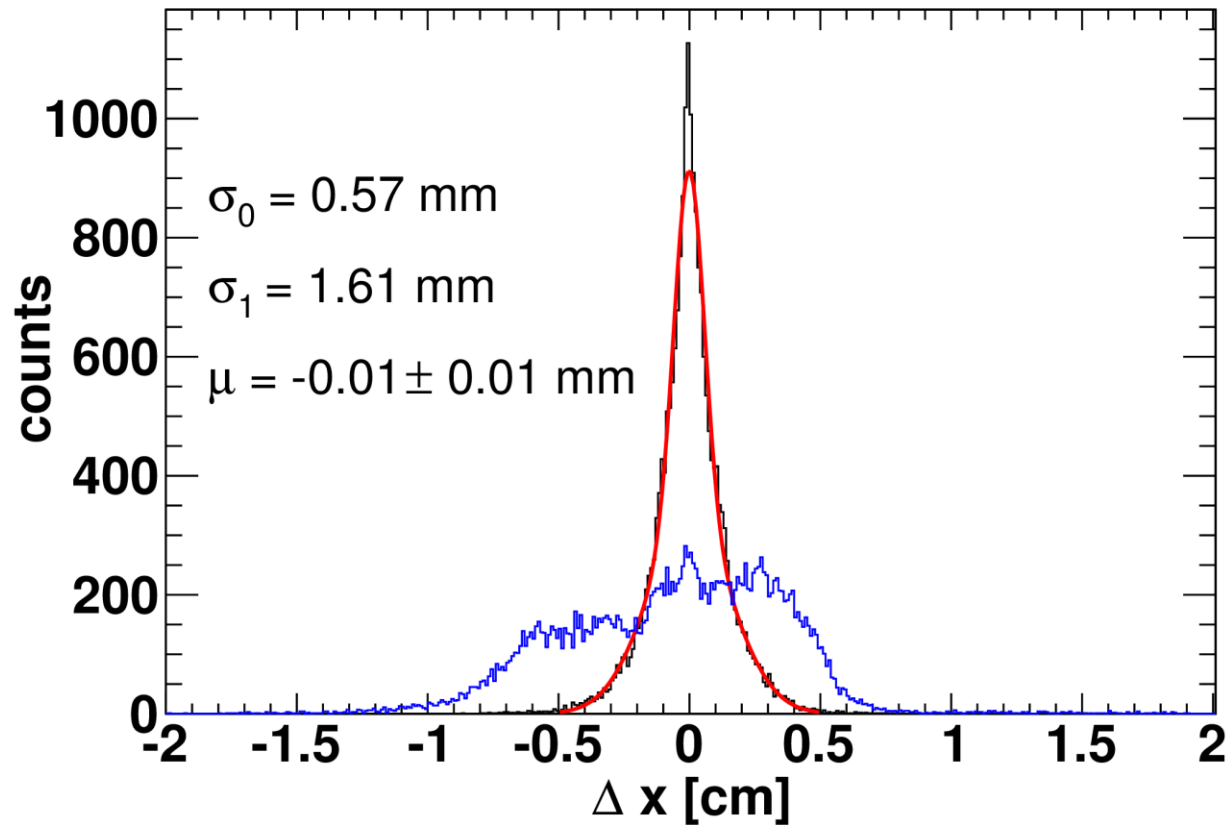
Pattern Recognition



Pattern Recognition

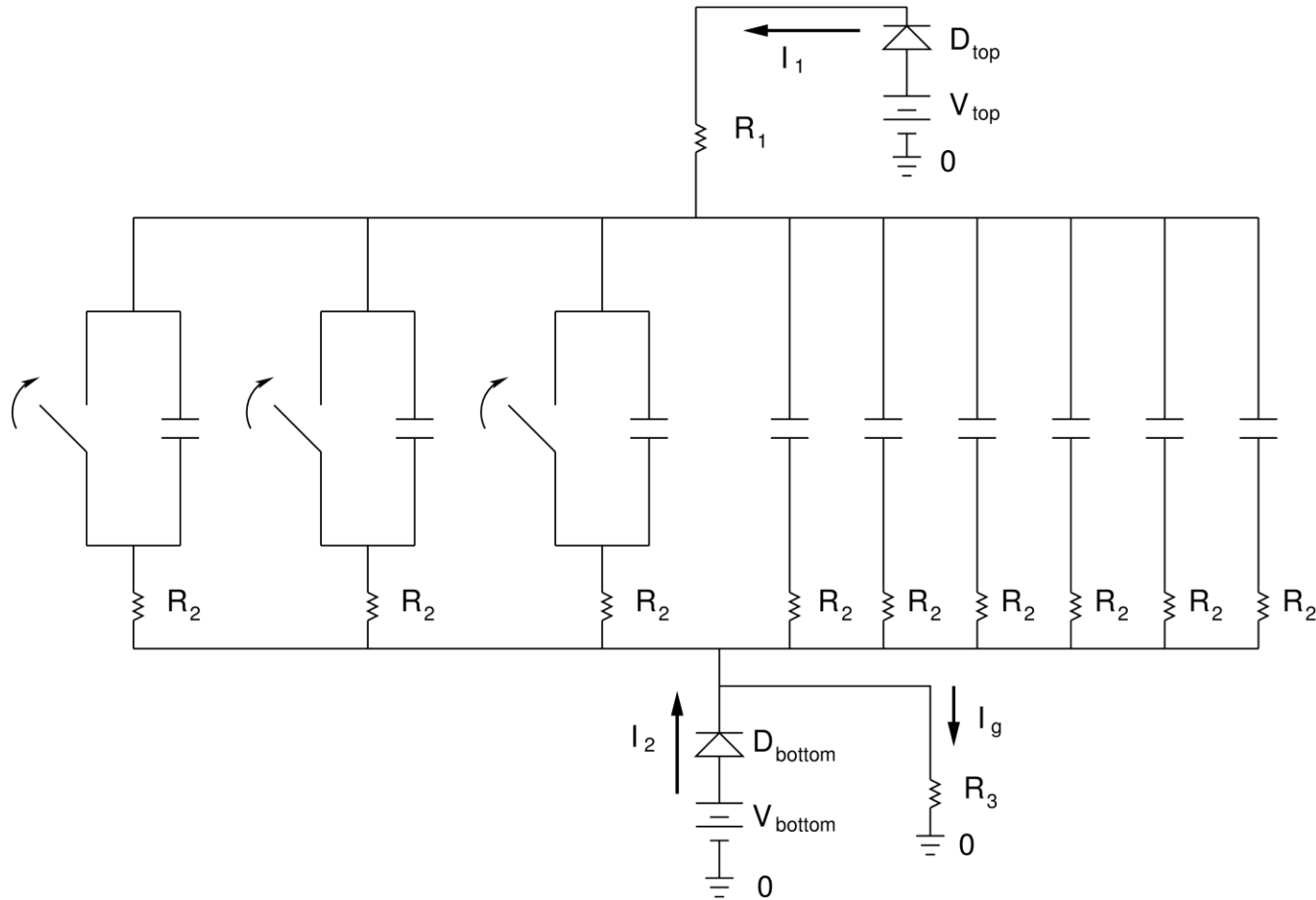


Alignment

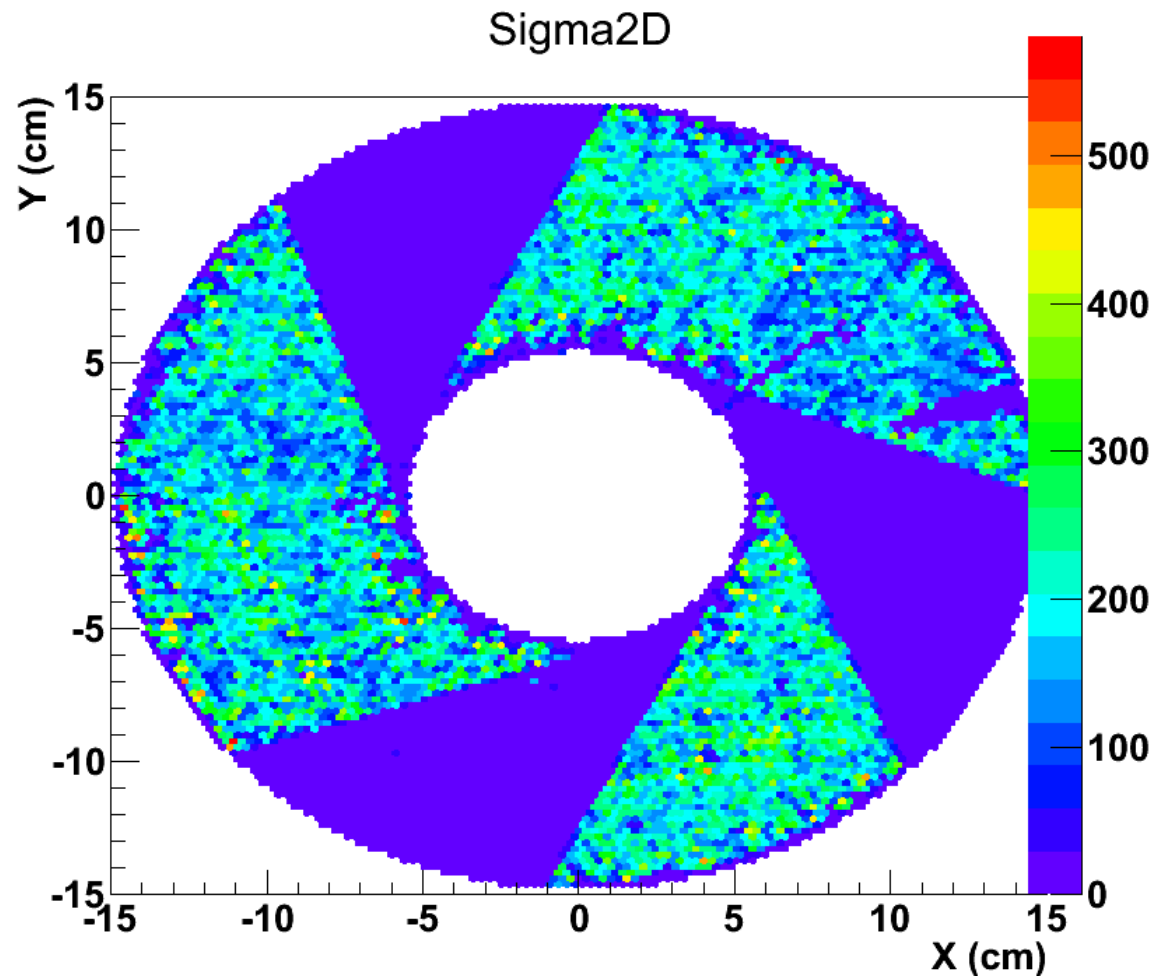


Residuals to extrapolated CDC tracks
Systematical error of mean: ~ 0.1 mm

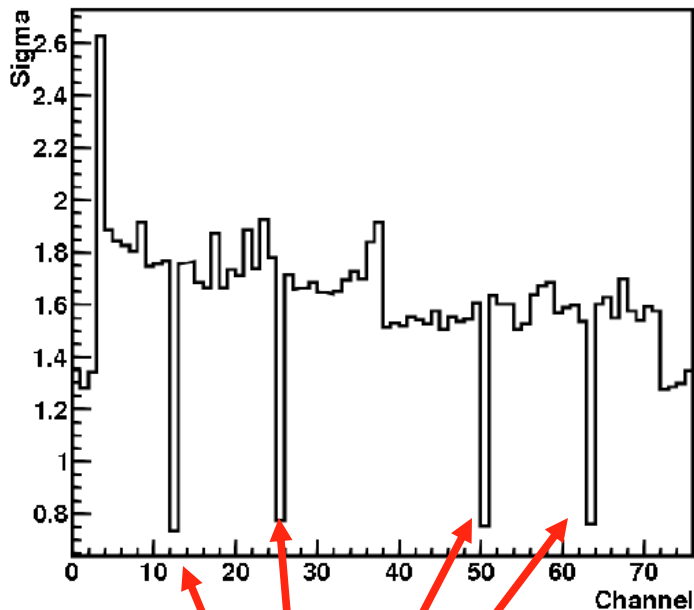
HV scheme of the GEM stack



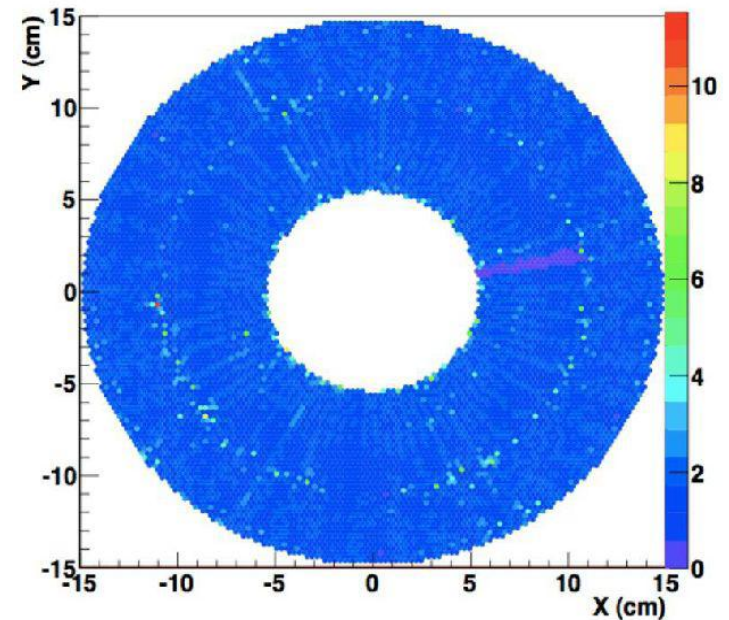
The GEM Stack (with three dead sectors)



Noise Measurement



**Four channels not connected
To measure the electronic noise**



- 42 front-end cards
- 12768 readout channels
- 16 – 20 MHz sampling
- 1 ADC channel $\sim 400 e^-$ ENC
- Average noise $\sim 700 e^-$ ENC

Front End Electronics

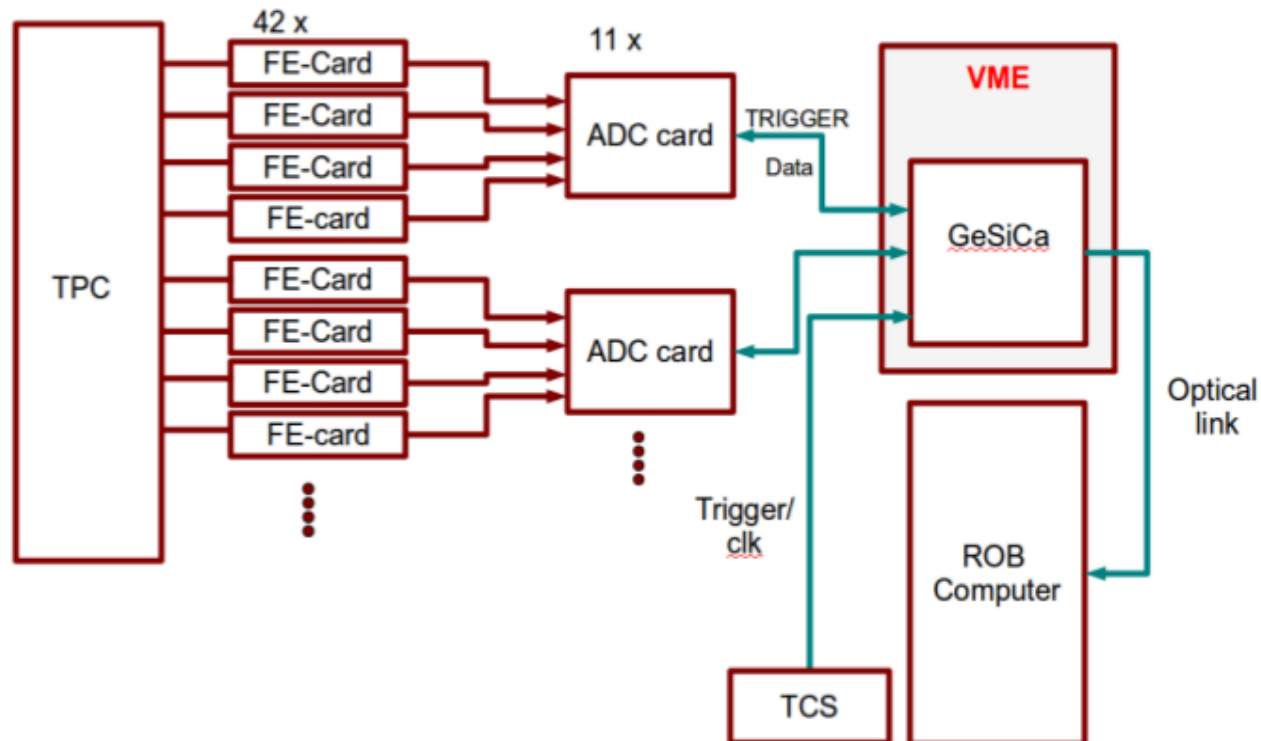
FE cards based on AFTER chip (T2K)

- 10 - 50 MHz sampling
- Noise: $\sim 700 e^-$ ENC on detector
- 100 ns – 2 ms shaping time
- ~ 0.8 W/chip
- ~ 2.5 ms dead-time
- 511 ring-buffer cells
- Both signal polarities
- Tunable dynamic range
- 72 (64) input channels



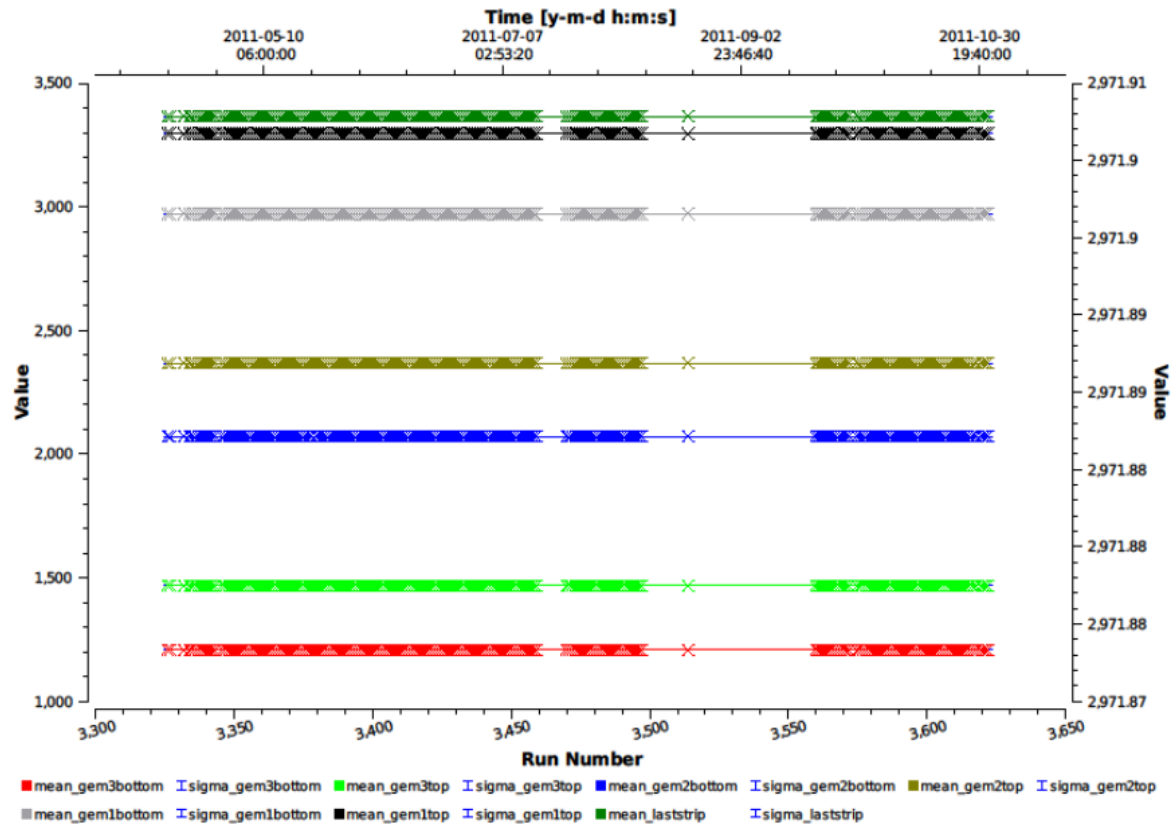
P. Baron et al, IEEE Trans Nucl Sci 55 (208)

Readout scheme



7

GEM-Stability



Temperature Measurements

