

# Precision gauge unification from strings



Michael Ratz

Planck 2013, May 23, 2013

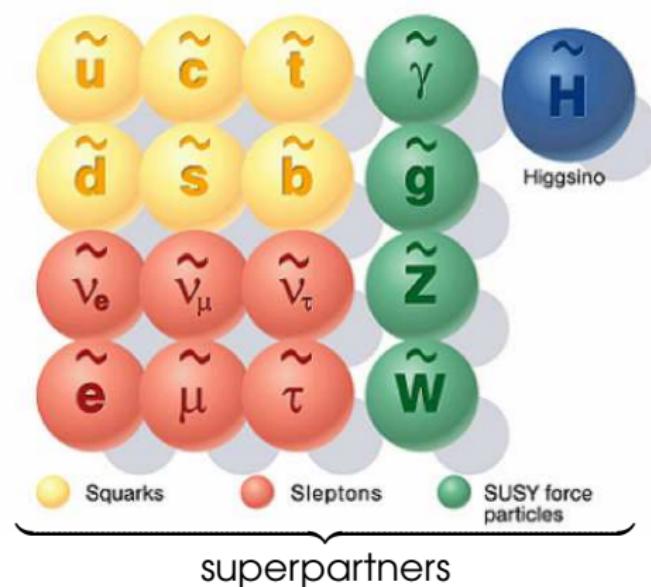
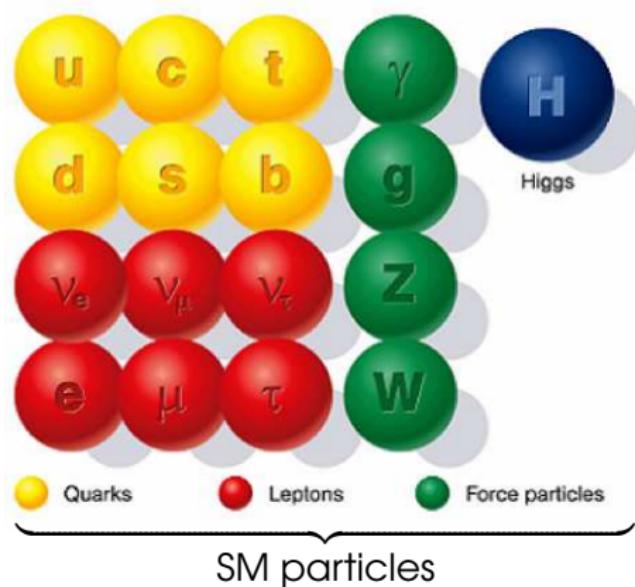


Based on:

- M. Blaszczyk, S. Groot Nibbelink, M.R., F. Ruehle, M. Trapletti & P. Vaudrevange, Phys. Lett. **B683**, 340 (2010)
- S. Raby, M.R. & K. Schmidt-Hoberg, Phys. Lett. **B687**, 342-348 (2010)
- R. Kappl, B. Petersen, S. Raby, M.R., R. Schieren & P Vaudrevange, Nucl. Phys. **B** 847, 325-349 (2011)
- S. Krippendorf, H.P Nilles, M.R. & M. Winkler, Phys. Lett. **B712**, 87 (2012)
- M. Fischer, M.R., J. Torrado & P Vaudrevange, **JHEP** 1301 (2013) 084
- S. Krippendorf, H.P Nilles, M.R. & M. Winkler, in preparation
- M. Fischer et al., in preparation

# (Minimal) supersymmetric standard model

- ☞ The minimal supersymmetric standard model (MSSM) provides an attractive scheme for physics beyond the SM

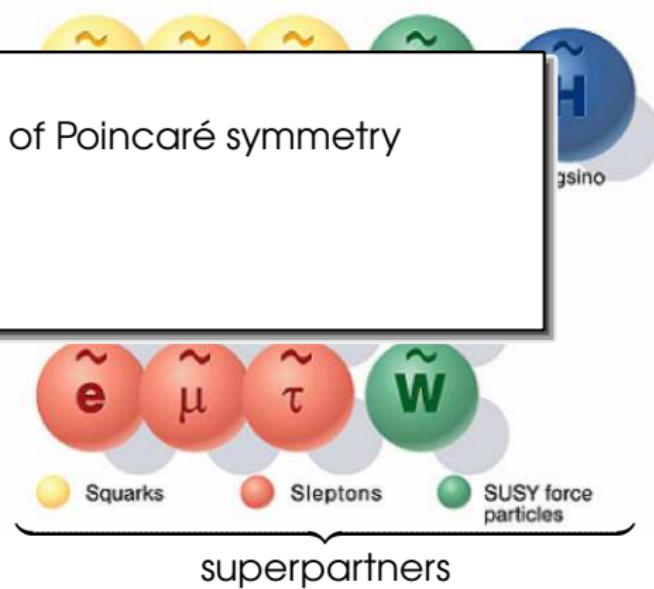
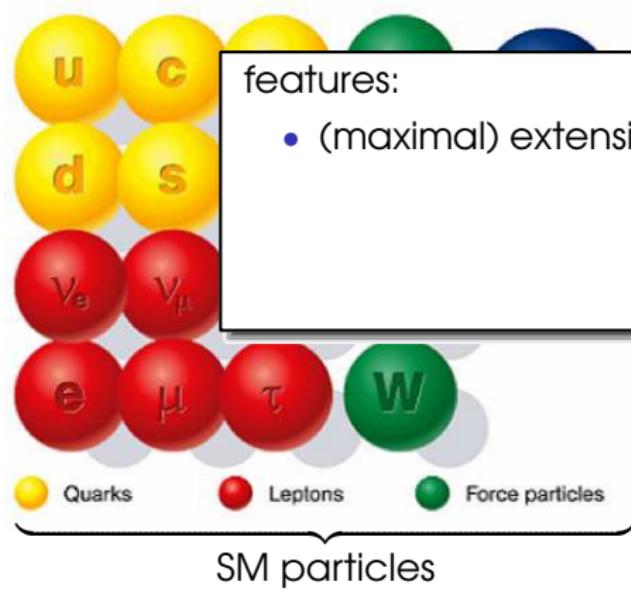


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features:

- (maximal) extension of Poincaré symmetry

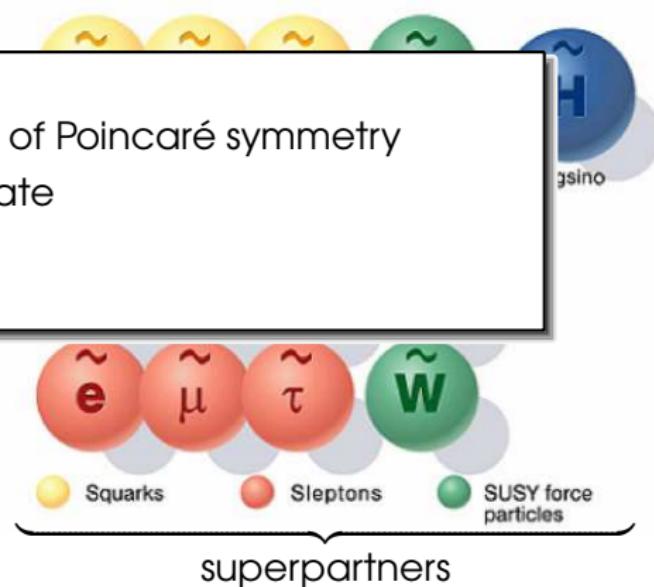
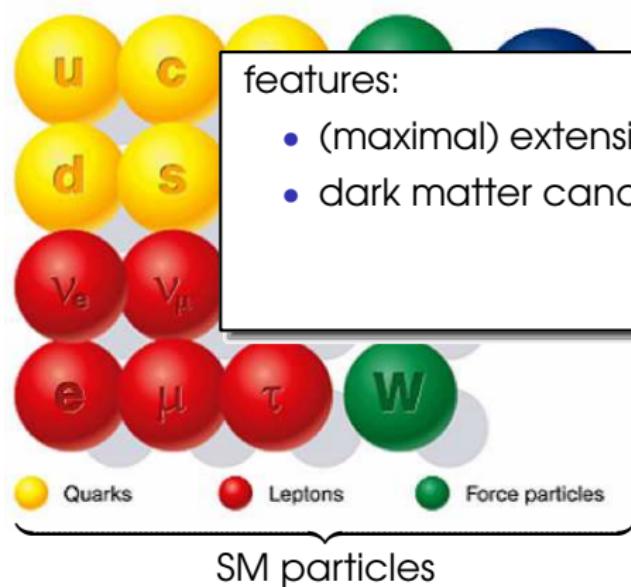


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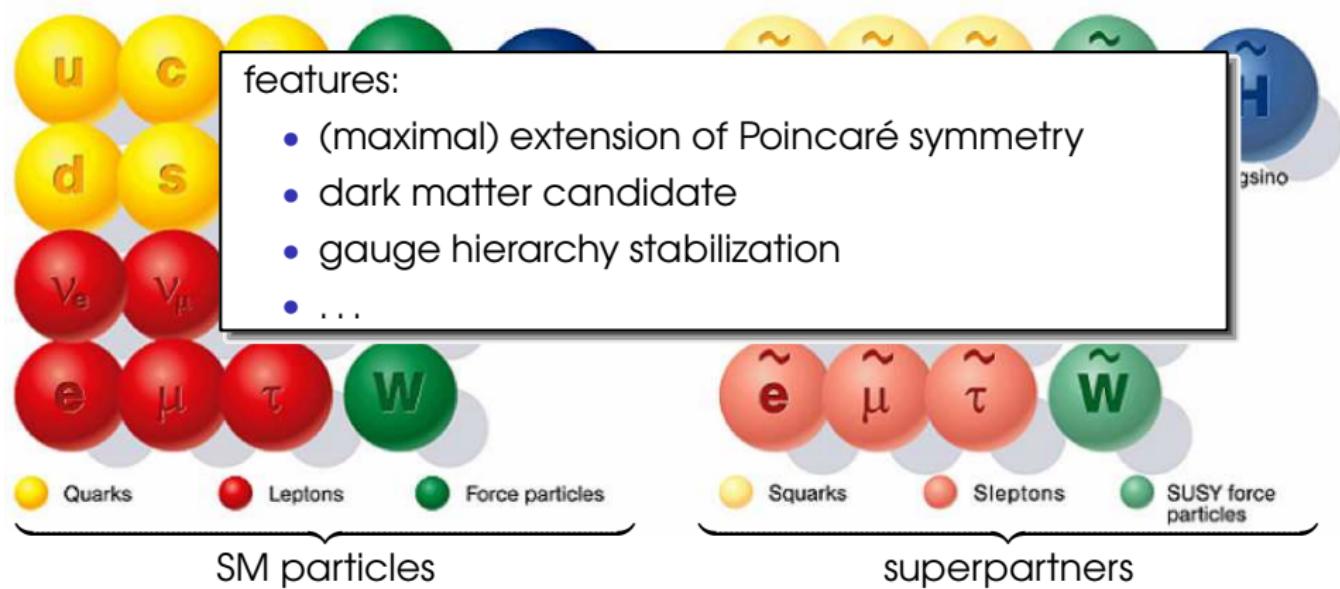


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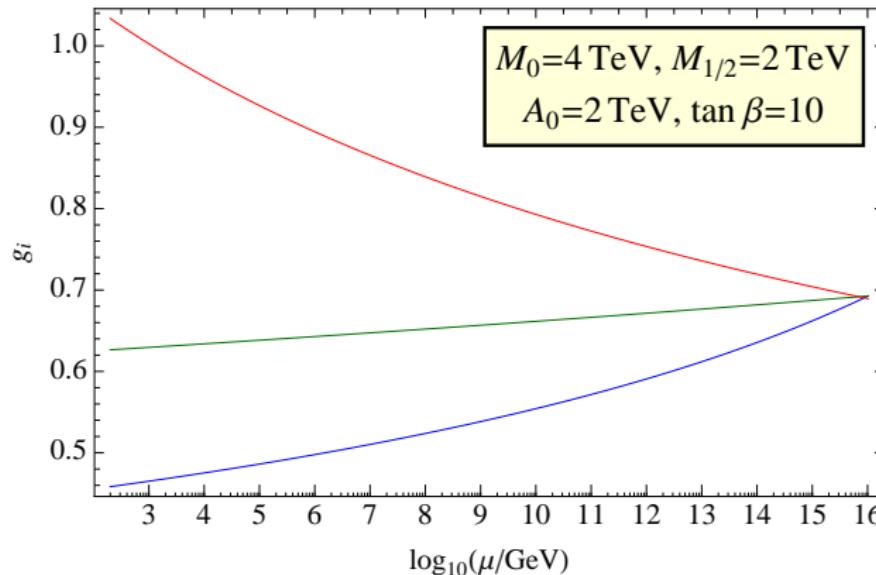
- (maximal) extension of Poincaré symmetry
- dark matter candidate
- gauge hierarchy stabilization
- ...



# Gauge coupling unification in the MSSM

- Running couplings in the (minimal) **supersymmetric** standard model (MSSM)

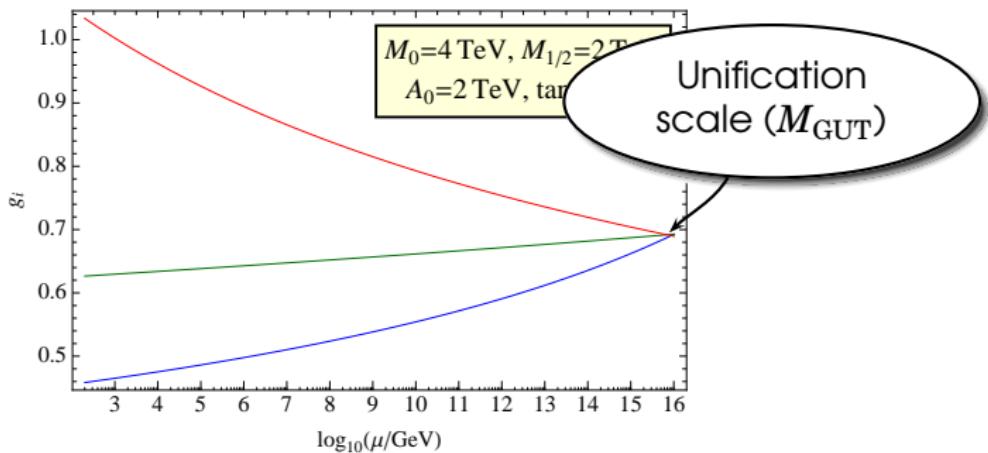
Dimopoulos, Raby & Wilczek (1981)



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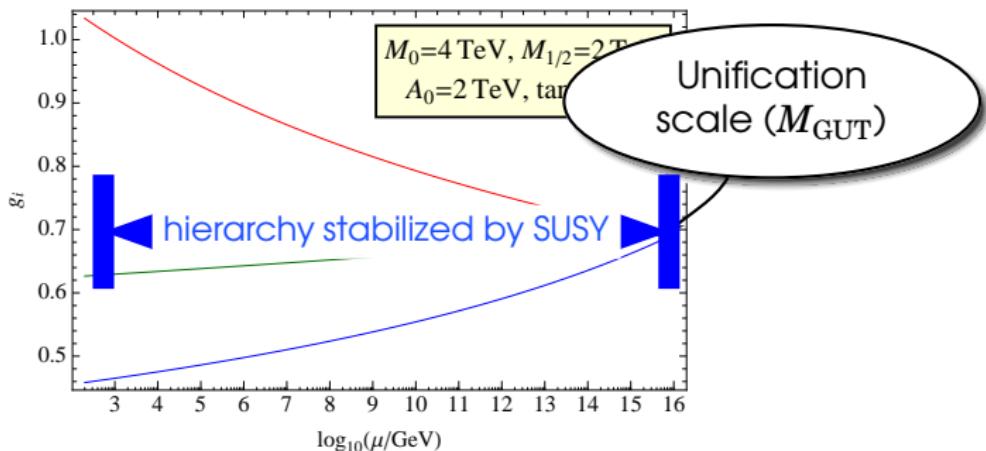


- Gauge coupling unification might be a consequence of  $G_{\text{SM}} = \text{SU}(3) \times \text{SU}(2) \times \text{U}(1) \subset \text{SU}(5) \subset \dots \subset \text{E}_8$

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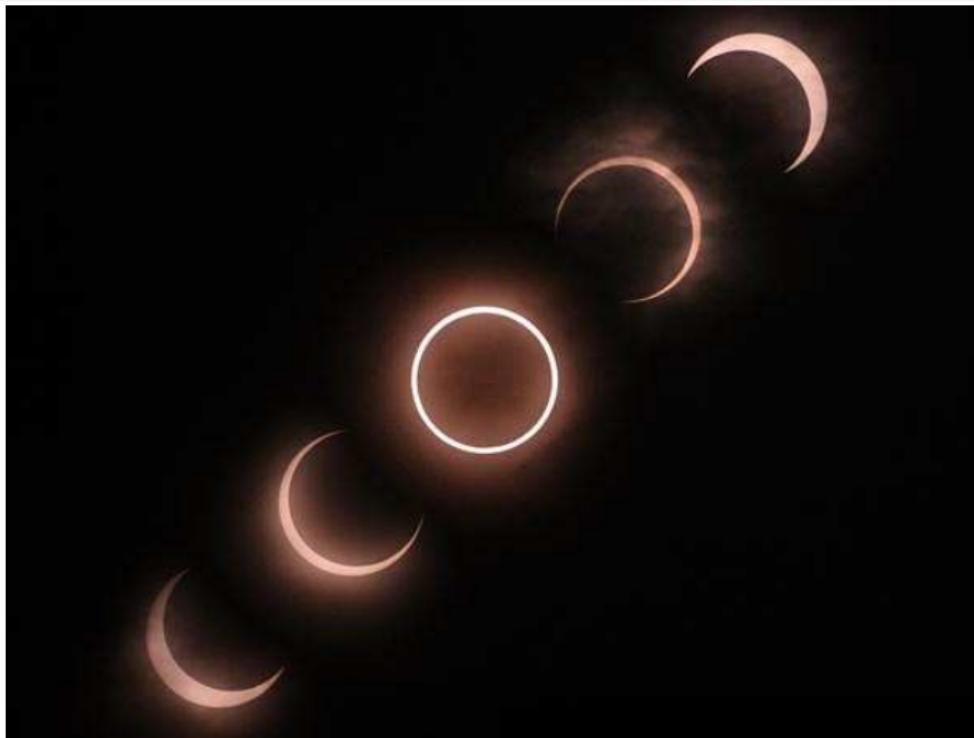
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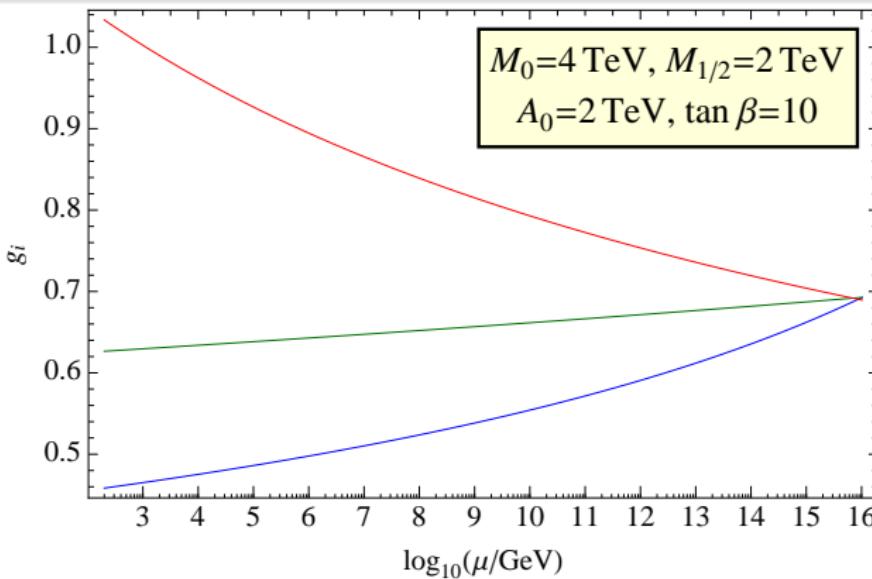


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# Accidents in Nature

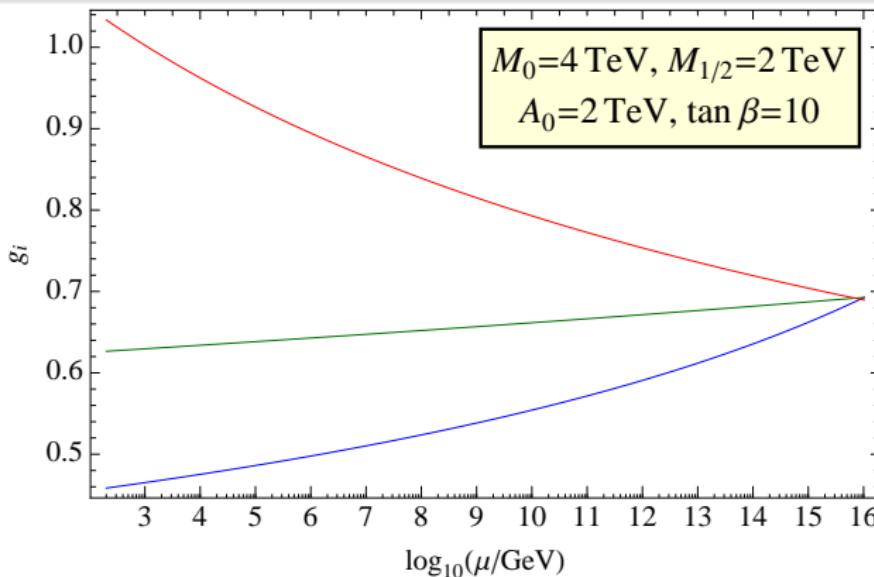


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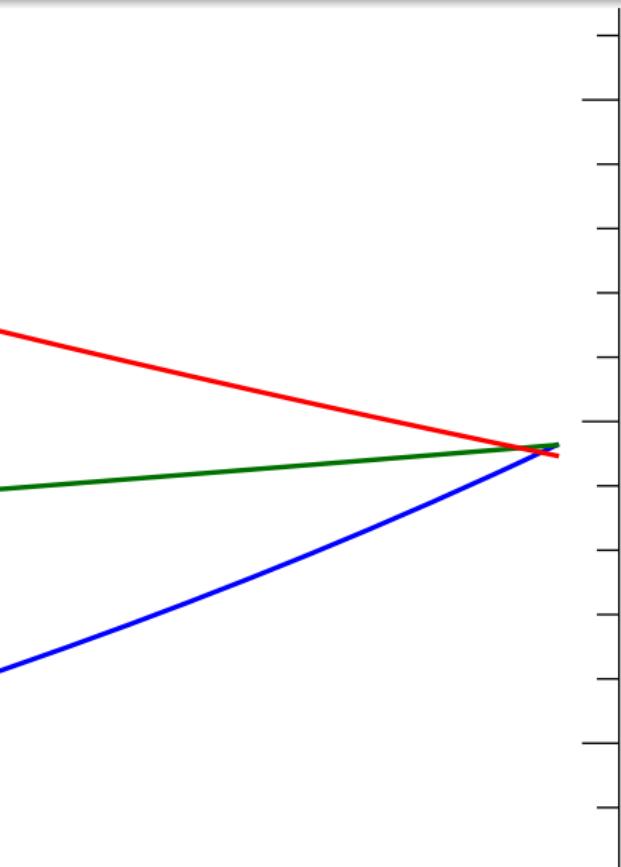
☞ Main assumption: this is **not** an accident

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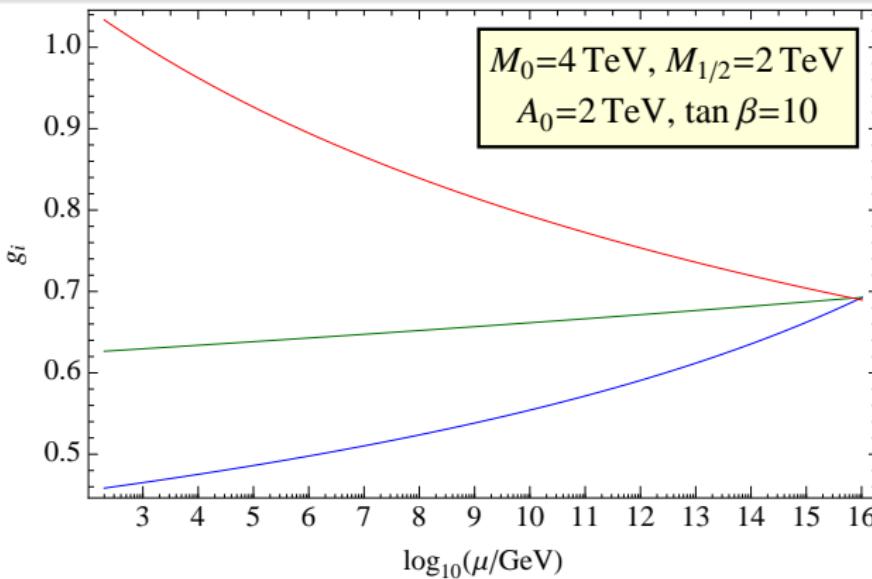


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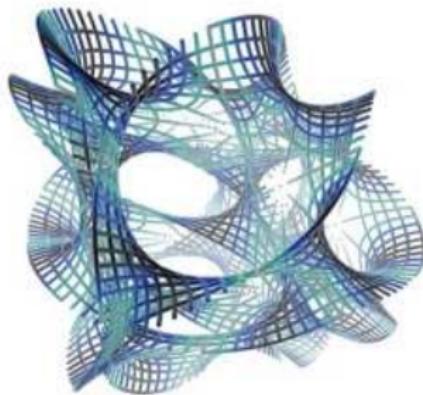
- ☞ Main assumption: this is **not** an accident
- ☞ **Note:** gauge unification not precise with 'traditional' patterns of soft masses

# **Local vs. non-local GUT breaking**

# Gauge symmetry breaking in heterotic models

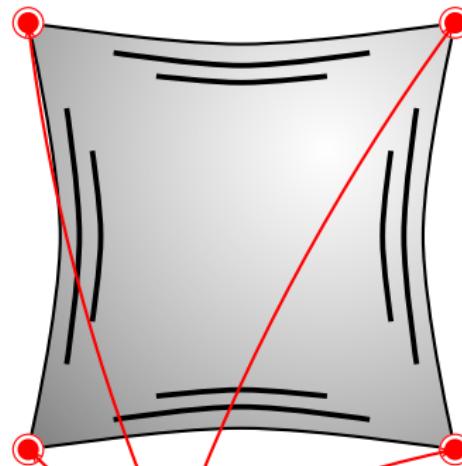
☞ Traditional prejudice

Calabi–Yau compactification



non-local breaking

orbifold compactification



local breaking

cf. the models in Lara's talk

# Gauge symmetry breaking in heterotic models

- ☞ Traditional prejudice:  $\left\{ \begin{array}{ll} \text{CY} & : \text{non-local} \\ \text{orbifold} & : \text{local} \end{array} \right\}$  breaking
- ☞ Local vs. non-local breaking

feature	non-local	local
local GUTs	✗	✓

explain matter in GUT irreps

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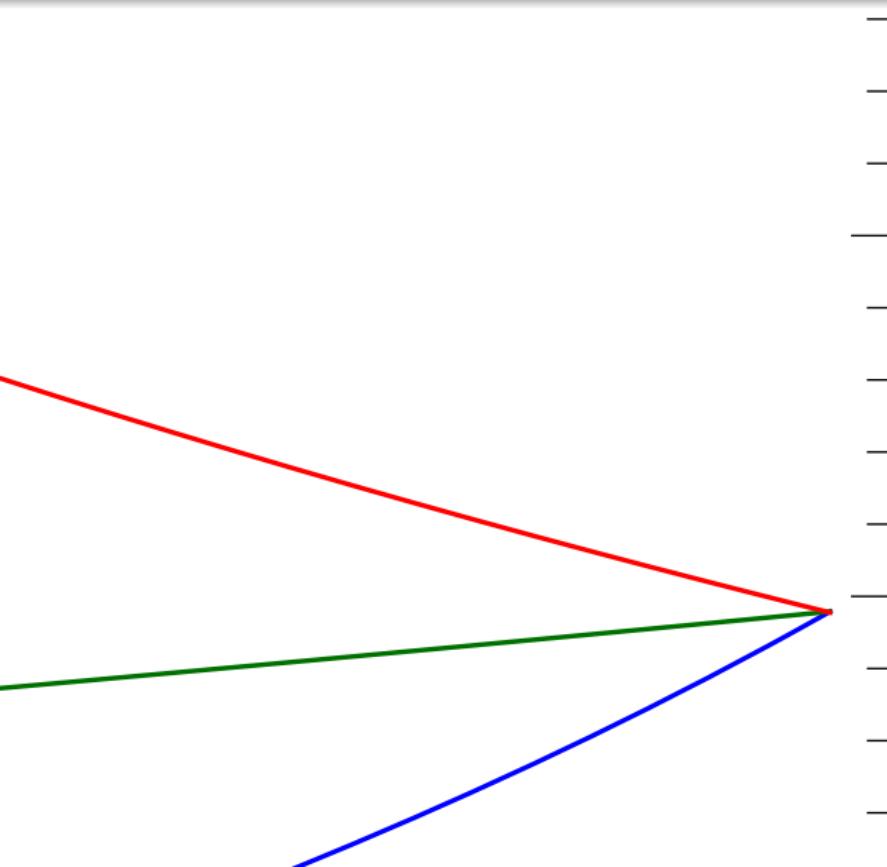
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fractionally charged exotics	✗	✓
precision gauge unification	✓	✗

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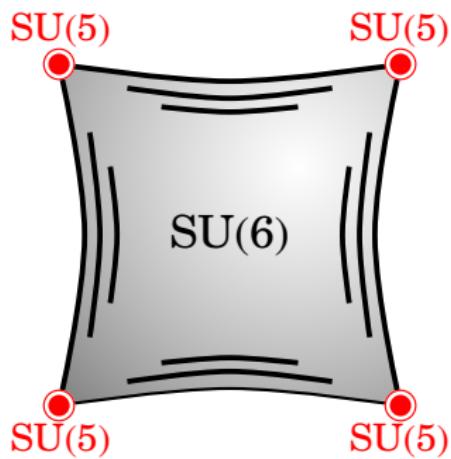
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**obvious question:**

Can we have a hybrid scheme?

# Local vs. non-local GUT breaking in field theory

Hall, Murayama & Nomura (2002) ; Hebecker (2004)

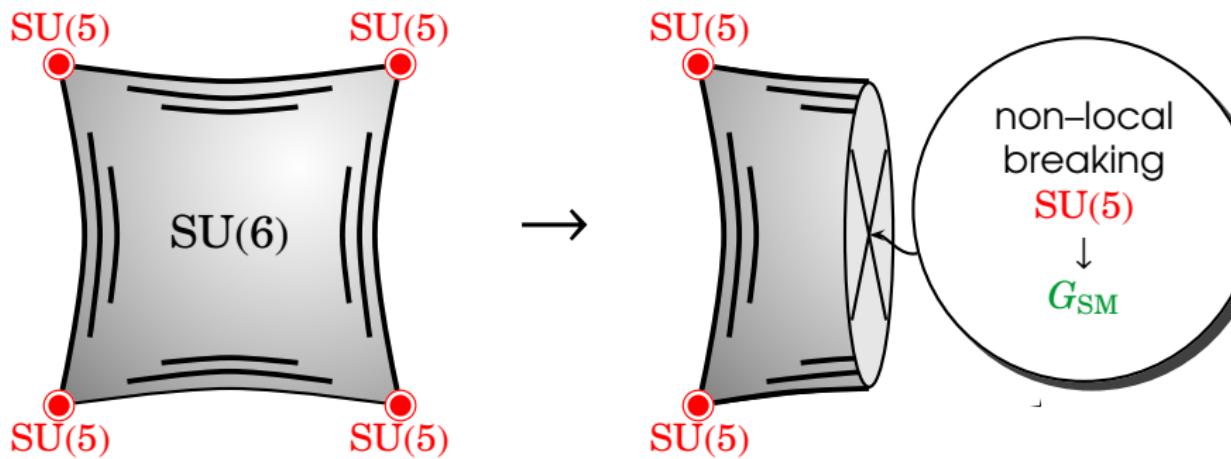


- ① step: construct  $\mathbb{T}^2/\mathbb{Z}_2$  orbifold which breaks  $SU(6)$  locally to  $SU(5)$

$$\mathbb{Z}_2 : (x_5, x_6) \rightarrow (-x_5, -x_6)$$

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- ① step: construct  $\mathbb{T}^2/\mathbb{Z}_2$  orbifold which breaks  $SU(6)$  **locally** to  $SU(5)$
- ② step: mod out a **freely acting**  $\mathbb{Z}'_2$  symmetry which breaks  $SU(5) \rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y$

$$\mathbb{Z}'_2 : (x_5, x_6) \rightarrow (-x_5 + \pi R_5, -x_6 + \pi R_6)$$

# Non-local breaking in 6D

Anandakrishnan and Raby (2013)

## ☞ Eigenstates and parity operations

$$\mathbb{Z}_2 : \phi_{\pm\hat{\pm}}(x_\mu, -x_5, -x_6) = \pm \phi_{\pm\hat{\pm}}(x_\mu, x_5, x_6)$$

$$\mathbb{Z}'_2 : \phi_{\pm\hat{\pm}}(x_\mu, -x_5 + \pi R_5, x_6 + \pi R_6) = \hat{\pm} \phi_{\pm\hat{\pm}}(x_\mu, x_5, x_6)$$

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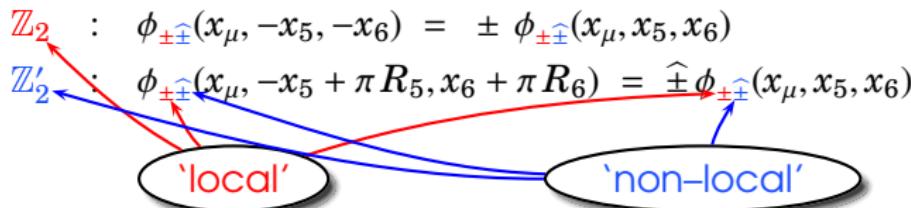
$$\begin{aligned}\mathbb{Z}_2 &: \phi_{\pm\hat{\pm}}(x_\mu, -x_5, -x_6) = \pm \phi_{\pm\hat{\pm}}(x_\mu, x_5, x_6) \\ \mathbb{Z}'_2 &: \phi_{\pm\hat{\pm}}(x_\mu, -x_5 + \pi R_5, x_6 + \pi R_6) = \hat{\pm} \phi_{\pm\hat{\pm}}(x_\mu, x_5, x_6)\end{aligned}$$

The diagram illustrates the relationship between local and non-local eigenstates. It features two ovals: one labeled 'local' in red and one labeled 'non-local' in blue. Red arrows point from the left towards each oval. Blue arrows point from each oval towards the right. Above the 'local' oval is the label  $\mathbb{Z}_2$ , and above the 'non-local' oval is the label  $\mathbb{Z}'_2$ . The labels  $\mathbb{Z}_2$  and  $\mathbb{Z}'_2$  are also placed to the left of their respective ovals.

# Non-local breaking in 6D

Anandakrishnan and Raby (2013)

- Eigenstates and parity operations



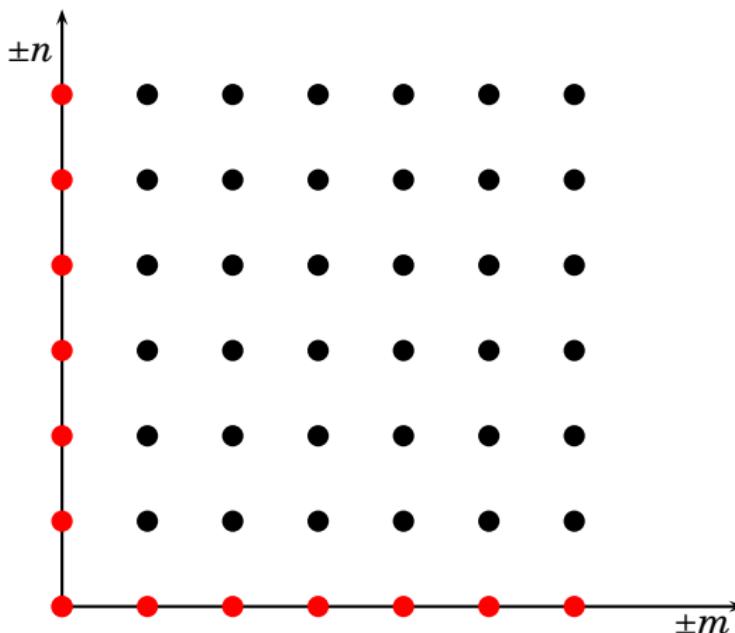
- General mode expansion

$$\begin{aligned} \phi_{\pm\hat{\pm}}(x, x_5, x_6) &= \frac{1}{4 \sqrt{2R_5 R_6}} \\ &\cdot \sum_{m,n} \left[ (\phi^{(m,n)} \pm \phi^{(-m,-n)}) \hat{\pm} (-1)^{m-n} (\phi^{(-m,n)} \pm \phi^{(m,-n)}) \right] \\ &\cdot \exp \left[ i \left( \frac{m}{R_5} x_5 + \frac{n}{R_6} x_6 \right) \right] \end{aligned}$$

# Modes for $\mathbb{T}^2/\mathbb{Z}_2$ (local breaking)

☞ Non-zero  $\phi^{(m,n)}$  for + modes

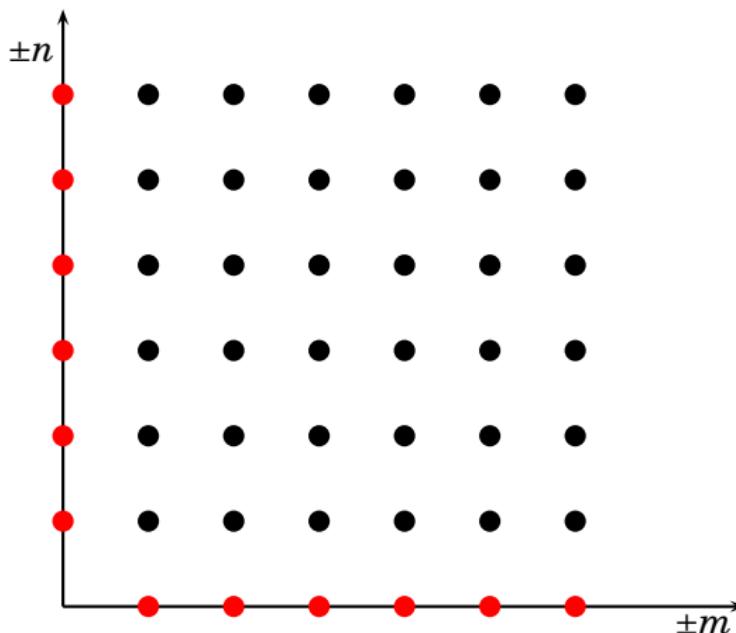
Trappetti (2006)



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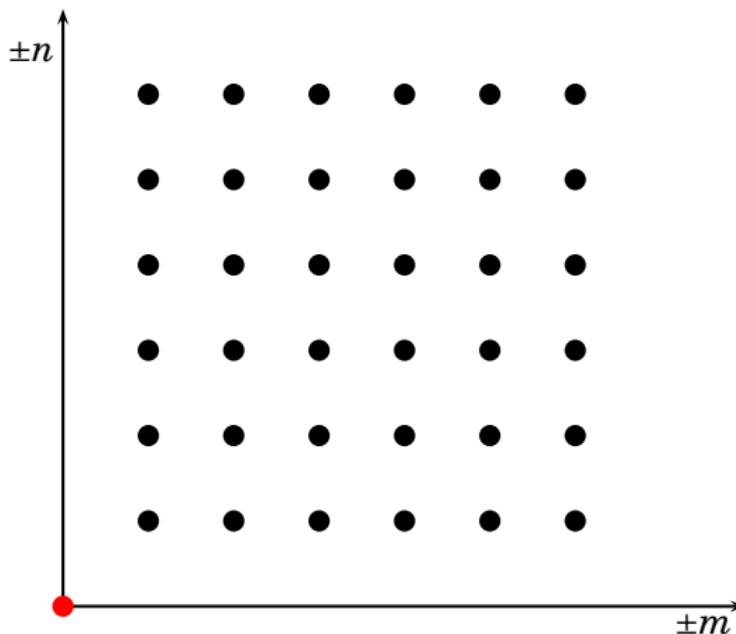
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# Modes for $\mathbb{T}^2/\mathbb{Z}_2$ (local breaking)

👉 Mismatch

Trappetti (2006)

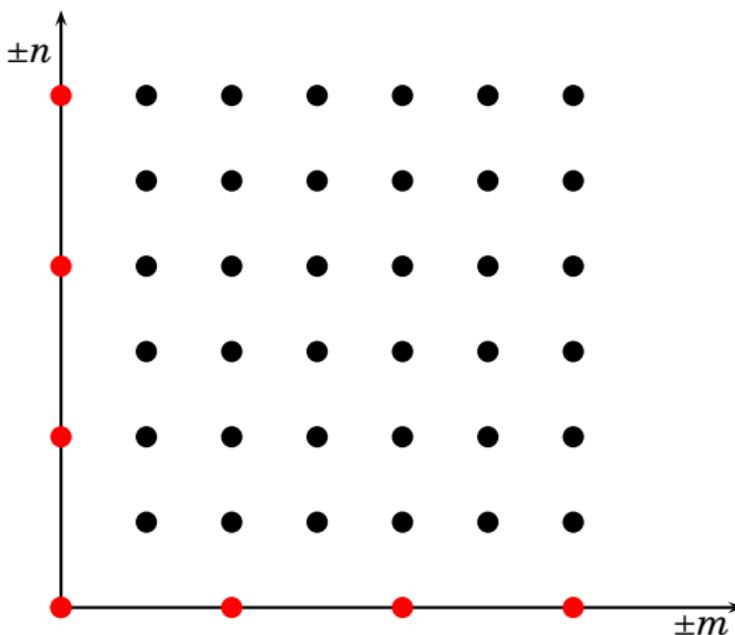


Running does not stop at the compactification scale

# Modes for non-local breaking

☞ Non-zero  $\phi^{(m,n)}$  for  $+\hat{+}$  modes

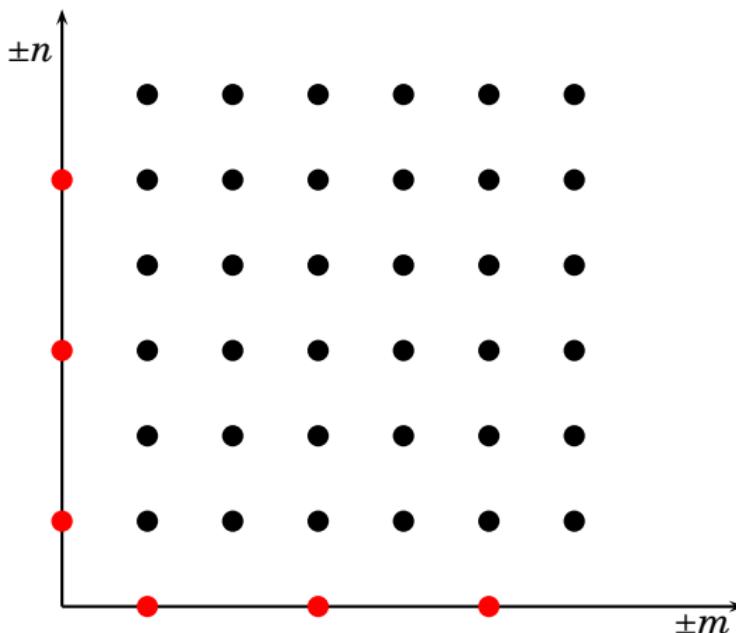
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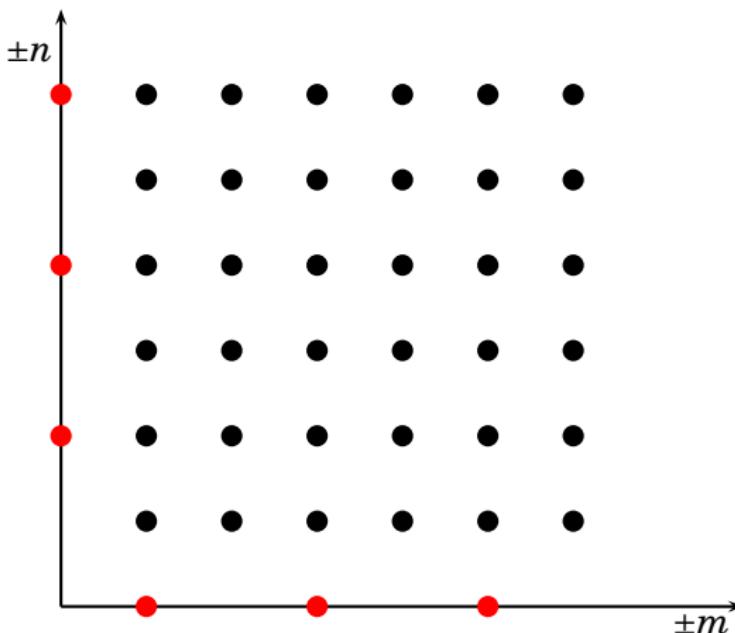
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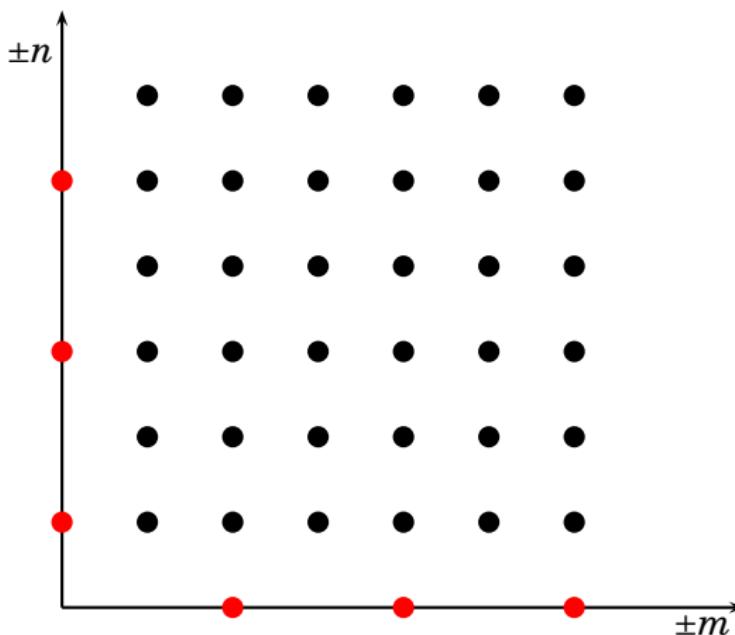
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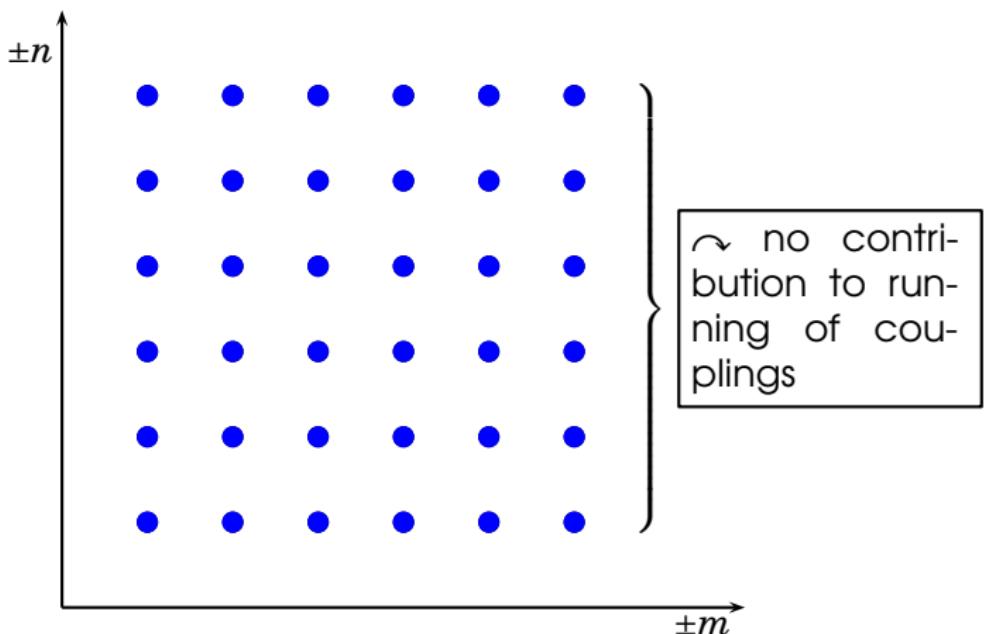
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# Modes for non-local breaking

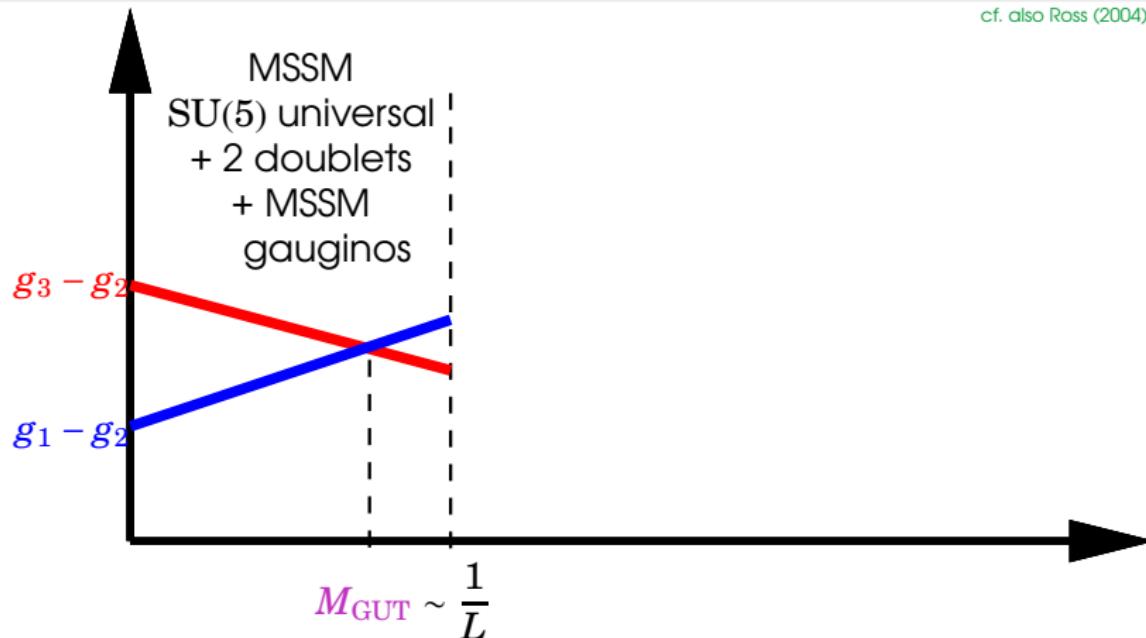
☞ Non-zero  $\phi^{(m,n)}$  for all modes

Anandakrishnan and Raby (2013)



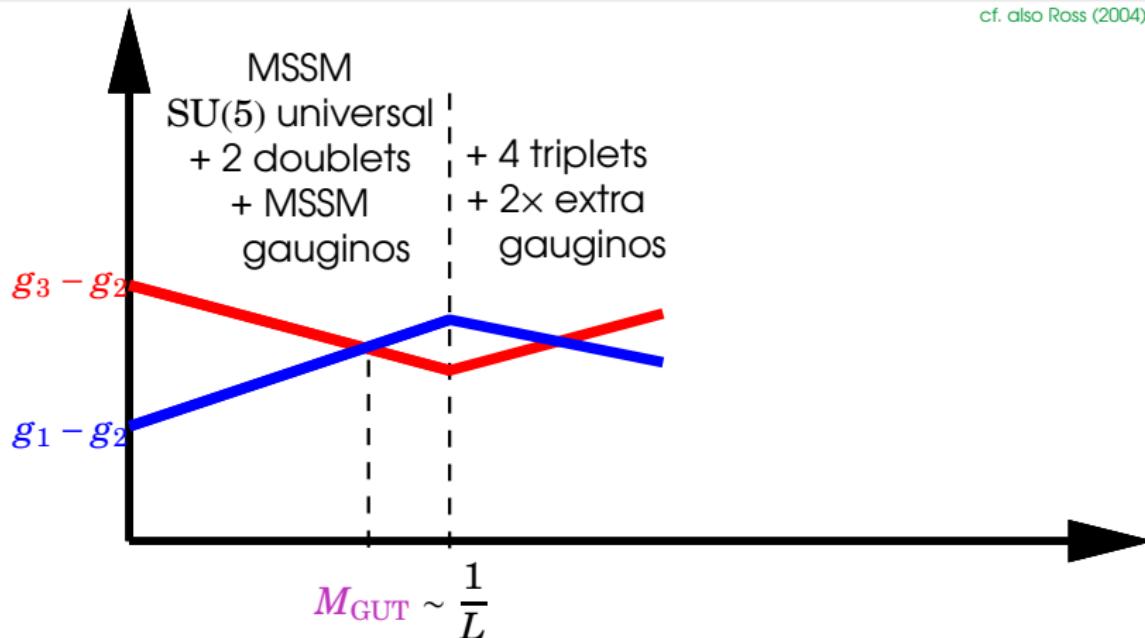
# Gauge unification: non-local GUT breaking

cf. also Ross (2004)



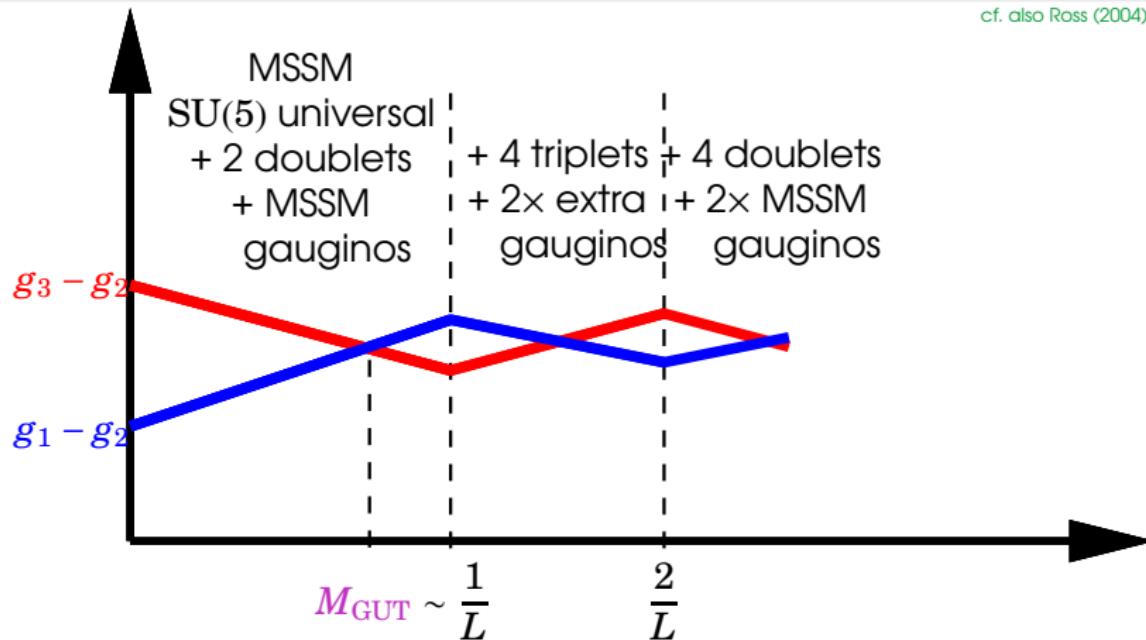
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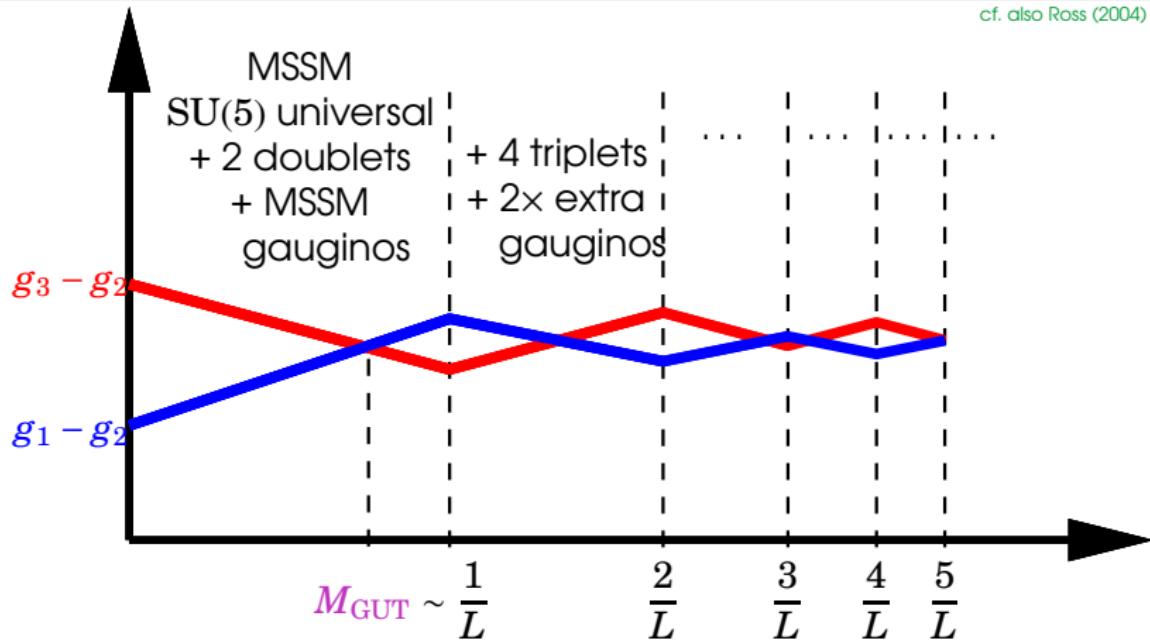
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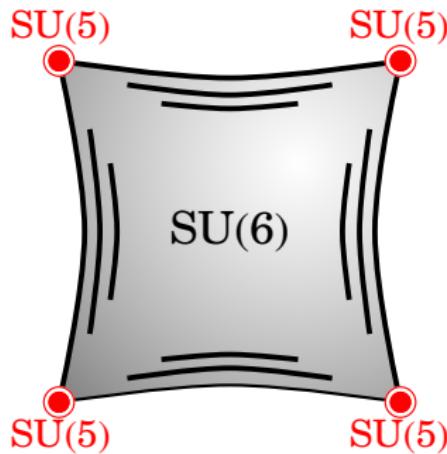


- ☞ Effect can be absorbed in a slight shift of the GUT scale:

$$M_{\text{GUT}} \sim \frac{2}{3} \cdot \frac{1}{L}$$

# $\mathbb{Z}_2 \times \mathbb{Z}_2$ orbifold example

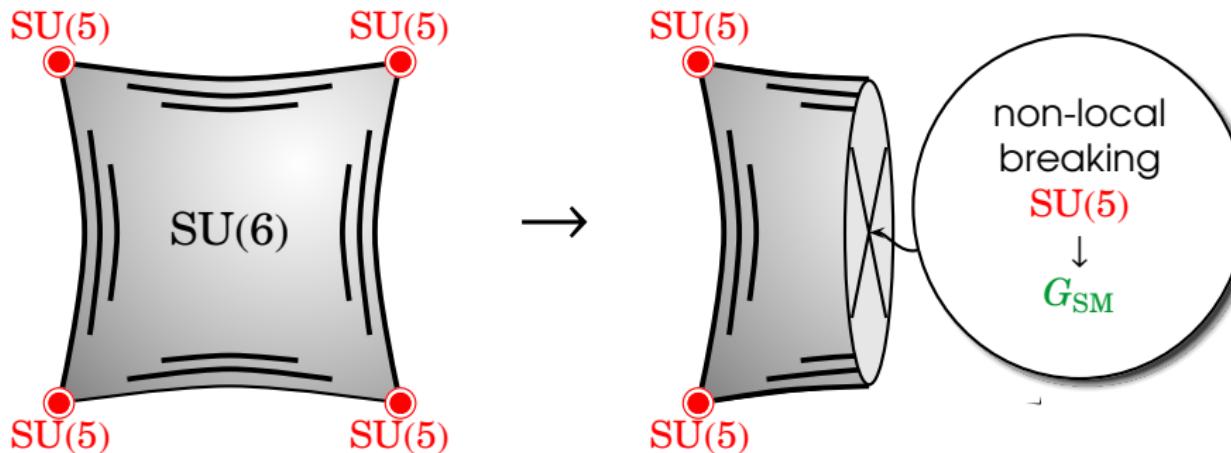
Blaszczyk, Groot Nibbelink, M.R., Ruehle, Trapletti & Vaudrevange (2010) ; Kappl, Petersen, Raby, M.R., Schieren & Vaudrevange (2011)



step ① : 6 generation  $\mathbb{Z}_2 \times \mathbb{Z}_2$  model with  $SU(5)$  symmetry

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step ① : 6 generation  $\mathbb{Z}_2 \times \mathbb{Z}_2$  model with  $SU(5)$  symmetry

step ② : mod out a freely acting  $\mathbb{Z}_2$  symmetry which:

- breaks  $SU(5) \rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y$
- reduces the number of generations to 3

analogous mechanism in CY MSSMs Bouchard and Donagi (2006)

Braun, He, Ovrut & Pantev (2005)

# Main features

Blaszczyk, Groot Nibbelink, M.R., Ruehle, Trapletti & Vaudrevange (2010) ; Kappl, Petersen, Raby, M.R., Schieren & Vaudrevange (2011)

- ① GUT symmetry breaking **non-local**  
~(almost) no 'logarithmic running above the GUT scale'

Hebecker and Trapletti (2005) ; Anandakrishnan and Raby (2013)

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- ① GUT symmetry breaking **non-local**
- ② **No localized flux** in **hypercharge** direction  
~ complete blow-up without breaking SM gauge symmetry in principle possible

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Blaszczyk, Groot Nibbelink, M.R., Ruehle, Trapletti & Vaudrevange (2010) ; Kappl, Petersen, Raby, M.R., Schieren & Vaudrevange (2011)

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- ③ No fractionally charged exotics



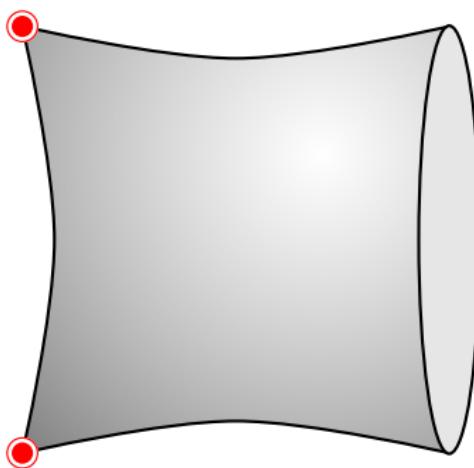
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- ① GUT symmetry breaking **non-local**
  - ② No localized flux in **hypercharge** direction
  - ③ No fractionally charged exotics
  - ④ Vacua with
    - exact MSSM spectrum
    - $\mathbb{Z}_4^R$  symmetry  $\curvearrowright \left\{ \begin{array}{l} \text{solution to } \mu \text{ problem} \\ \text{realistic proton life-time} \end{array} \right.$
    - almost all moduli fixed in a supersymmetric way
    - gauge-top unification
    - ...
- ☞ recent re-analysis of  $R$  symmetries in orbifolds

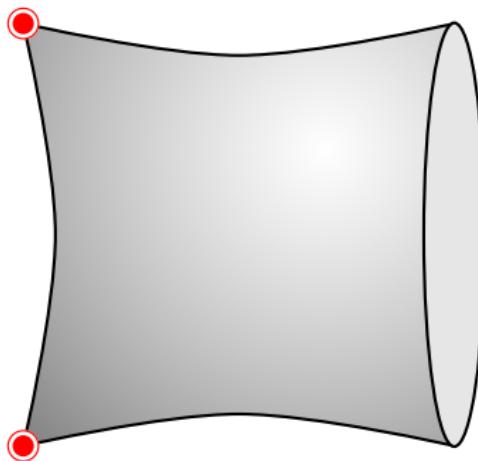
Bizet, Kobayashi, Pena, Parameswaran, Schmitz & Zavala (2013) → talk by D. Pena

# Anisotropic orbifold compactifications



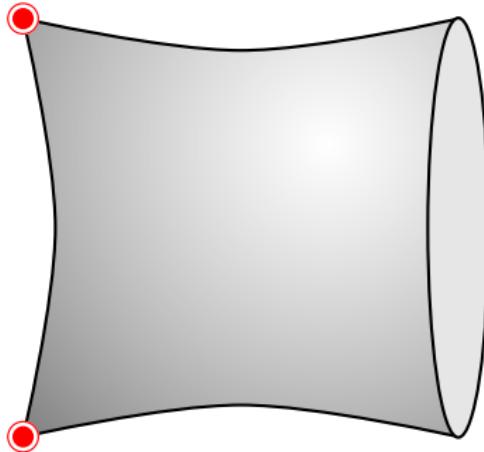
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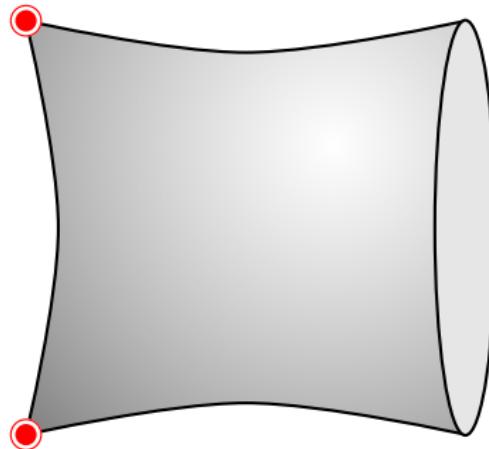
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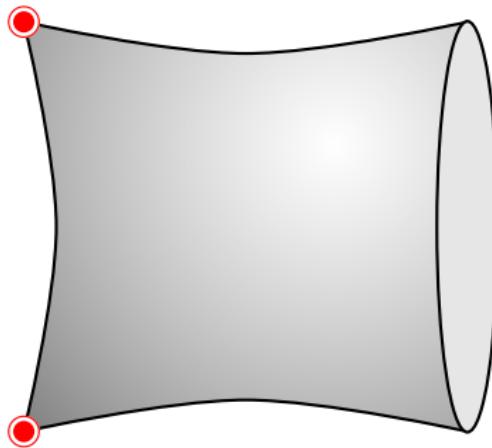
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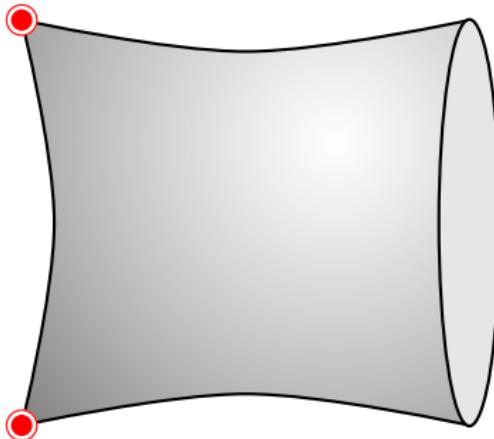
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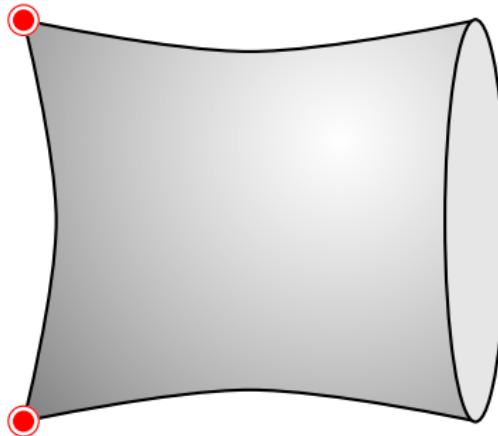
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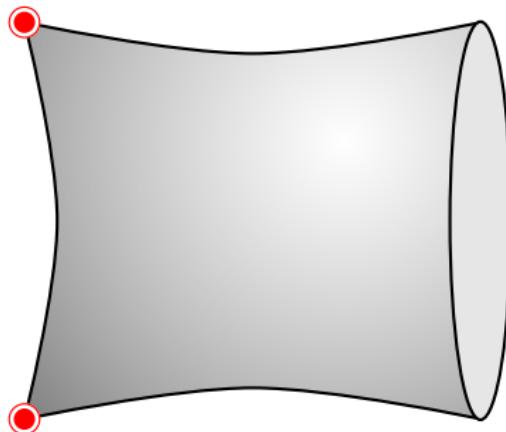
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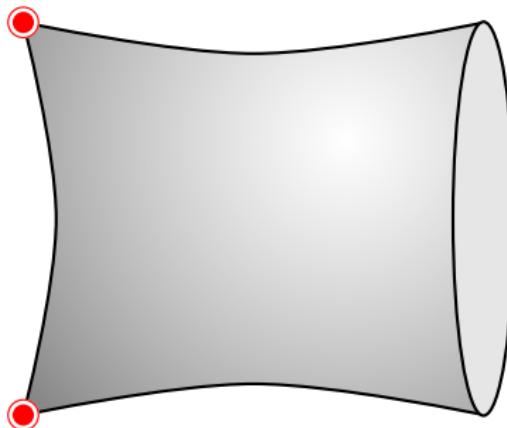
# Anisotropic orbifold compactifications

▶ back

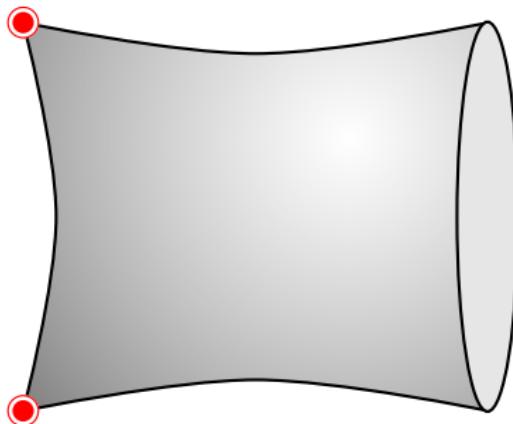


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▶ back

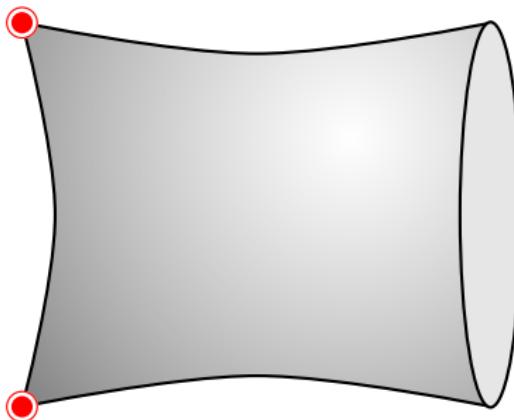


# Anisotropic orbifold compactifications

[▶ back](#)

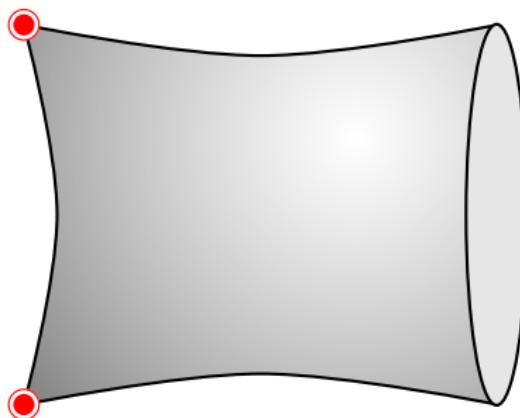
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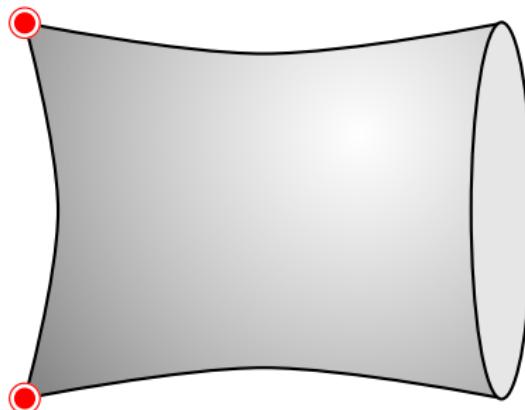
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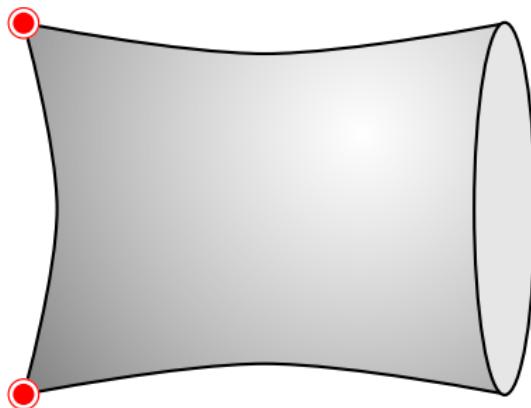
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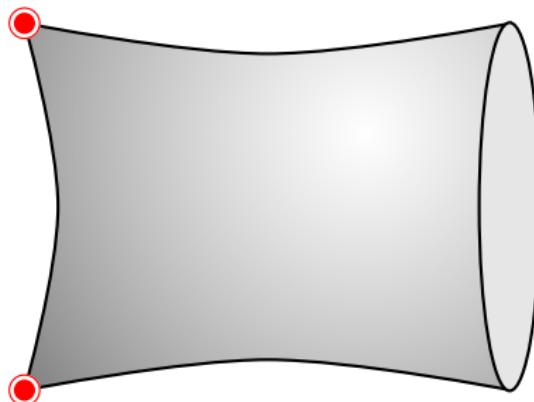
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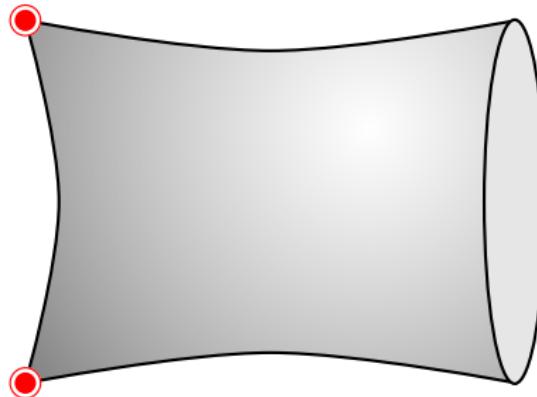
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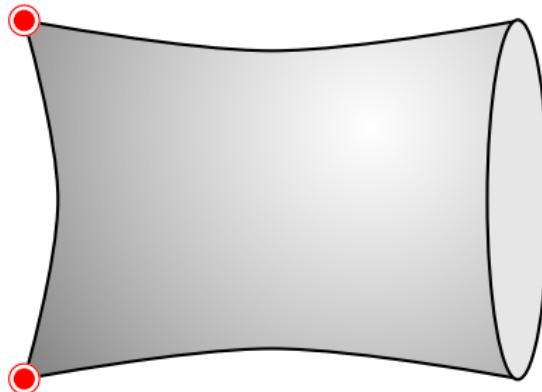
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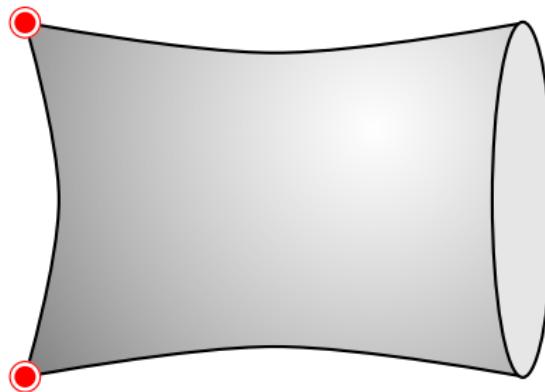
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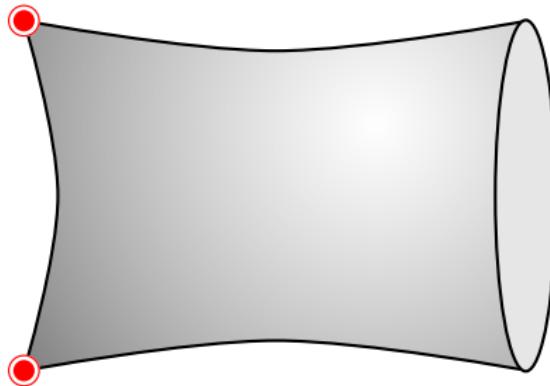
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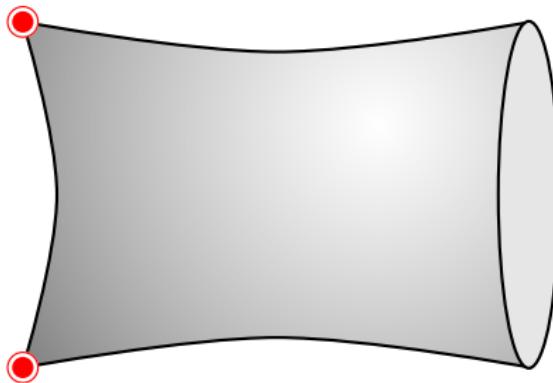


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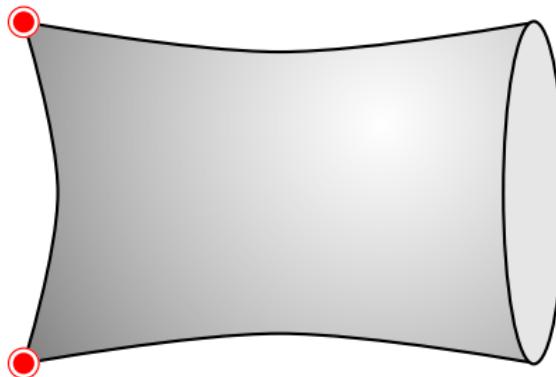


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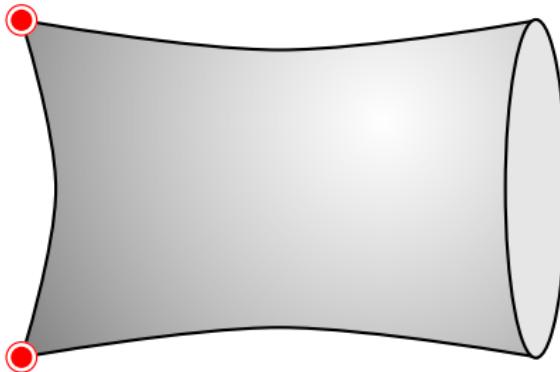
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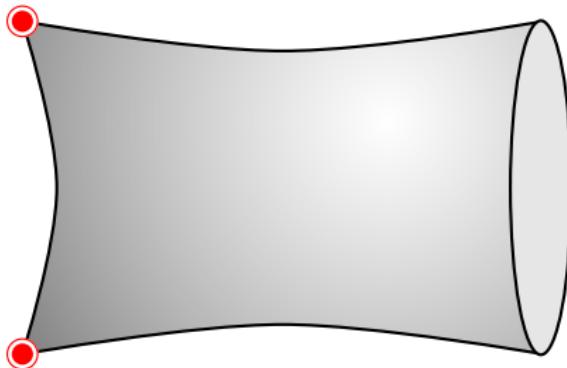
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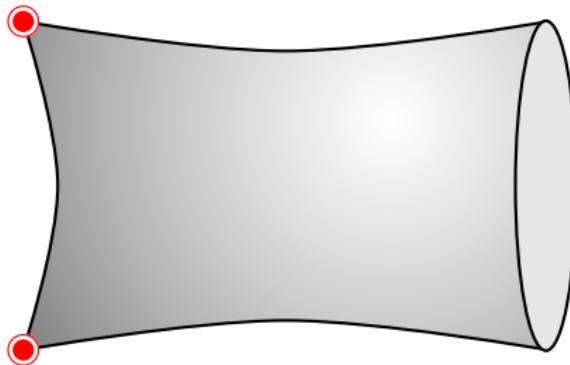
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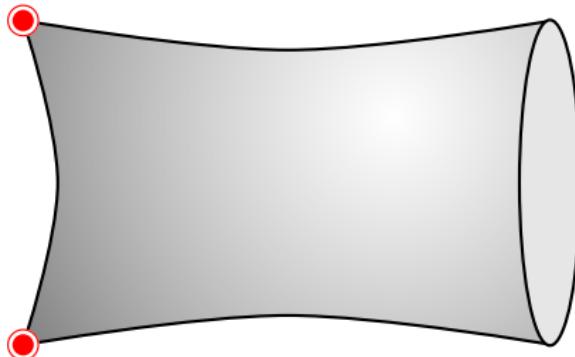
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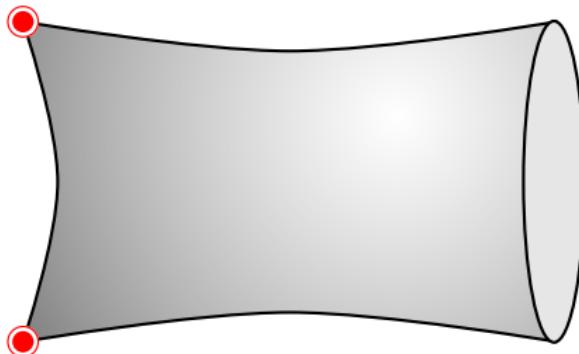
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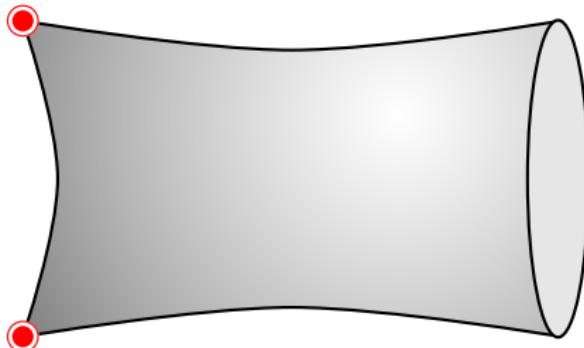
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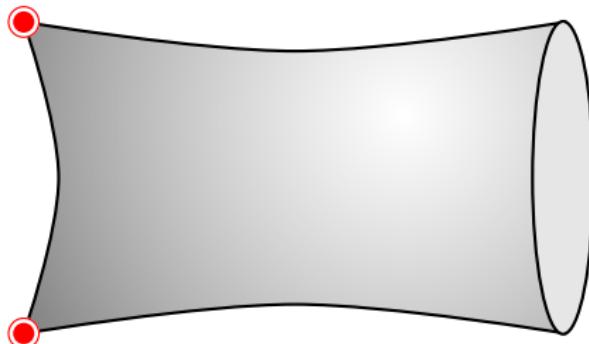
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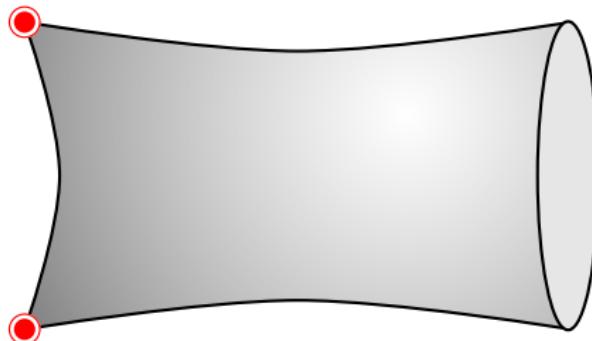
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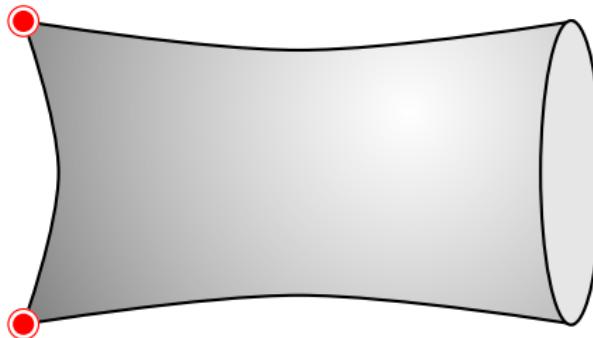
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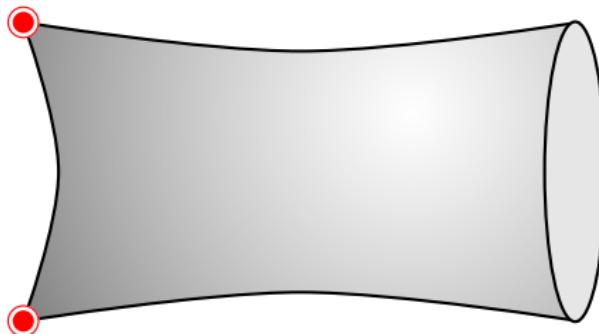
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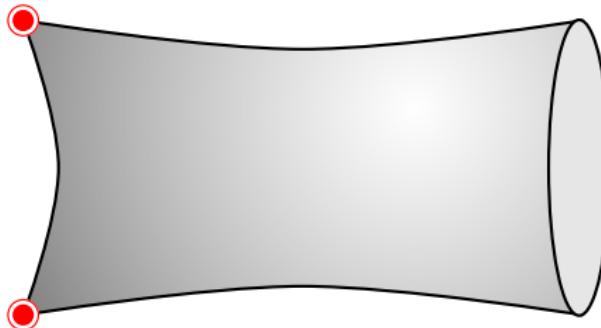
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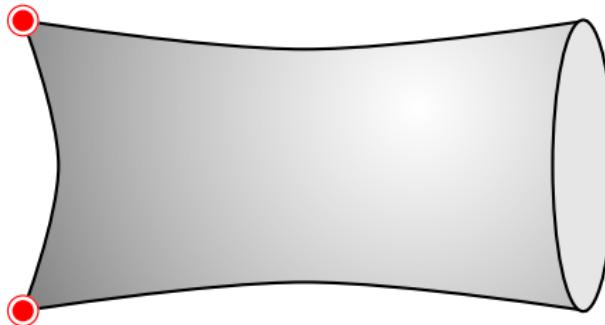
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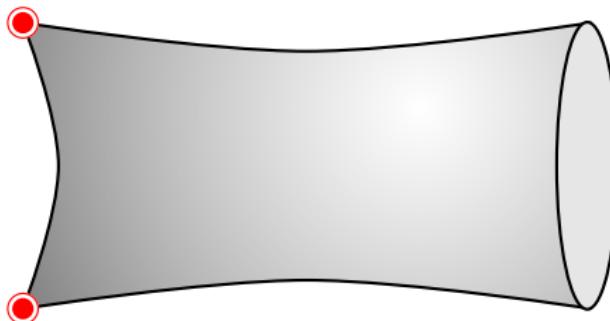
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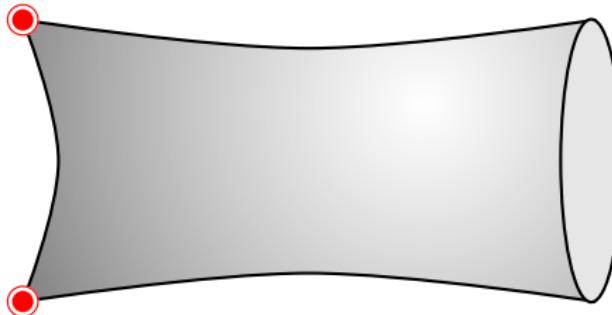
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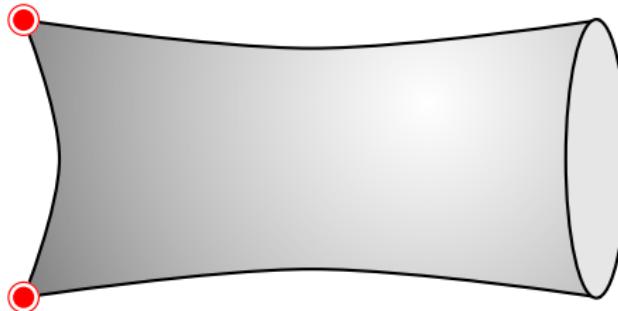
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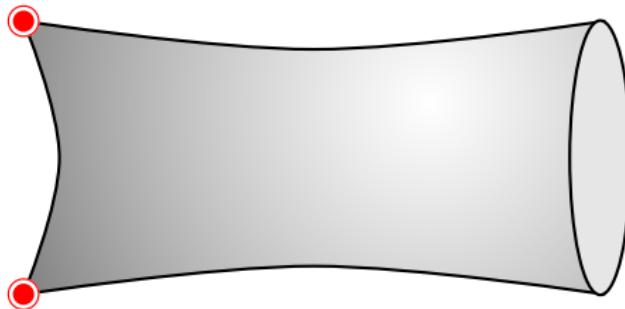
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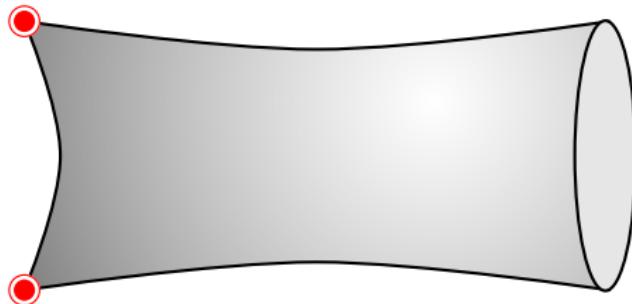
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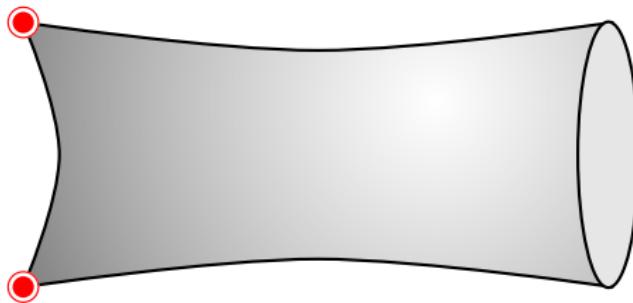
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▶ back



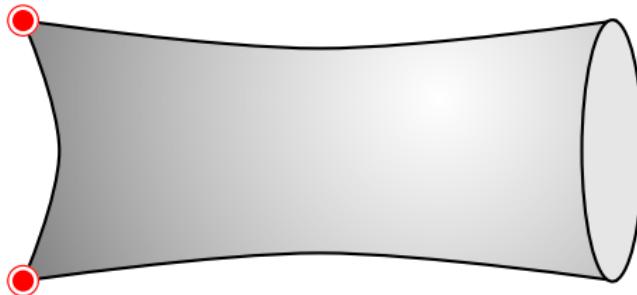
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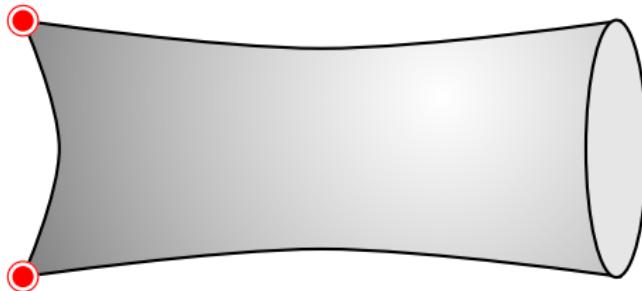
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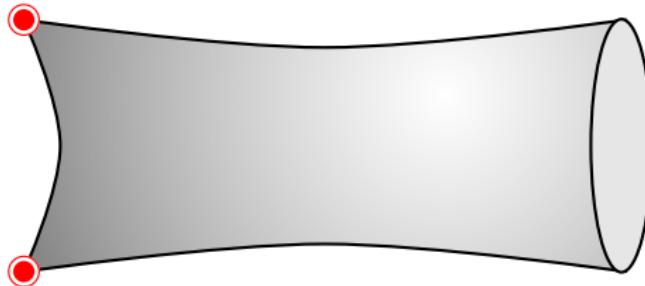
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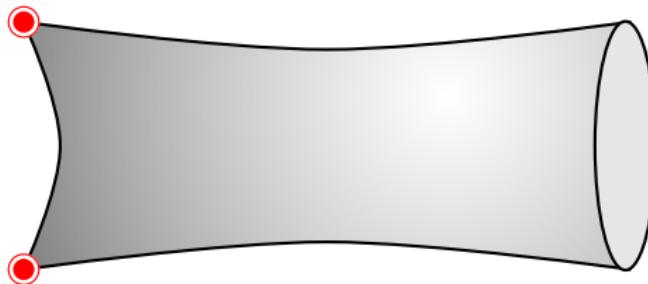
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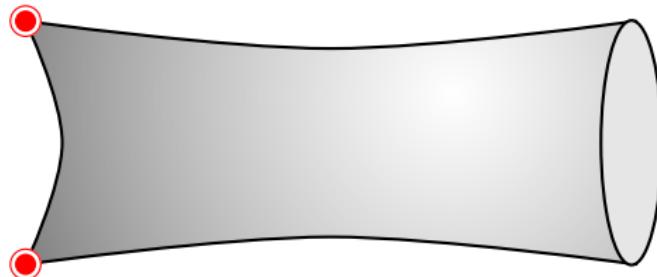
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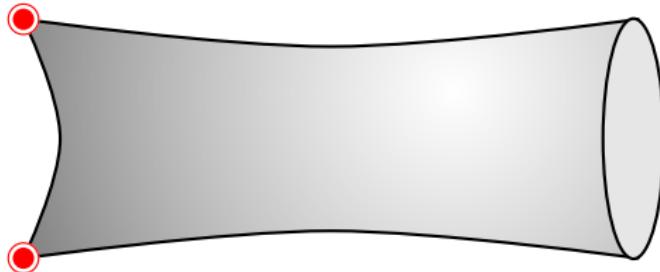
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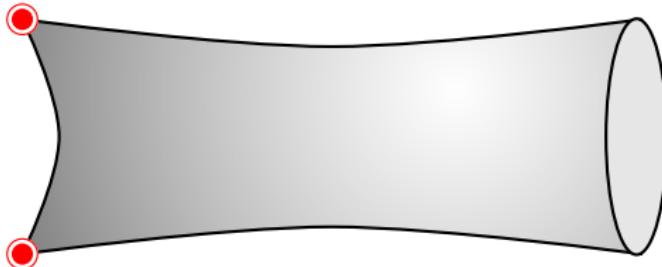
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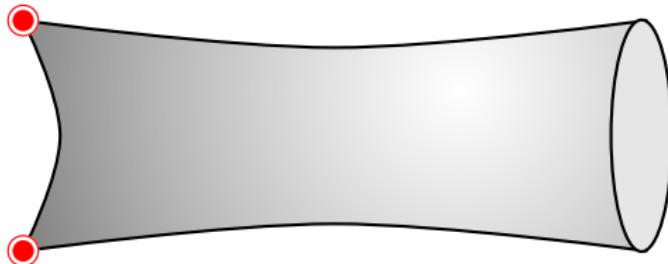
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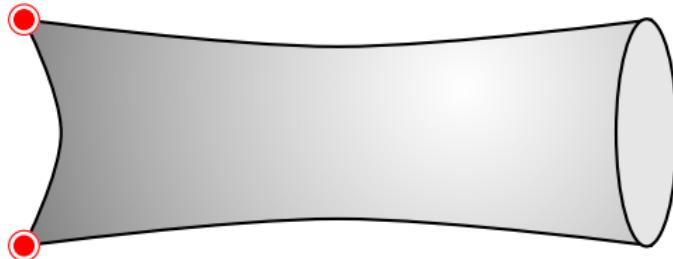
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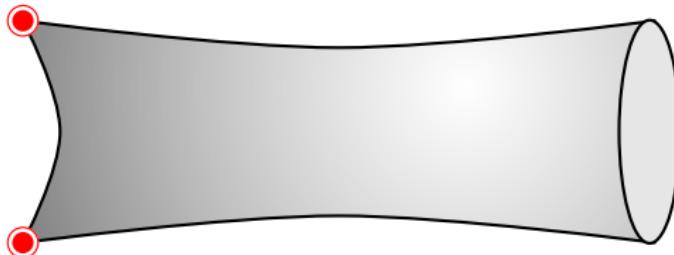
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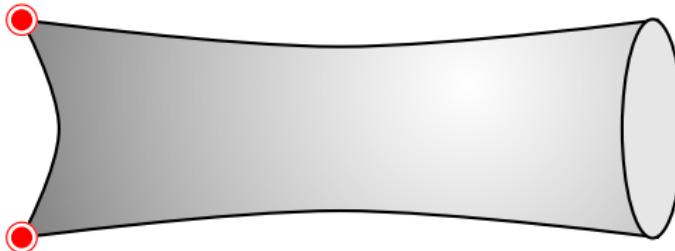
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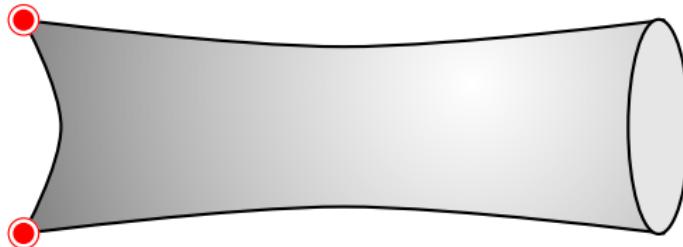
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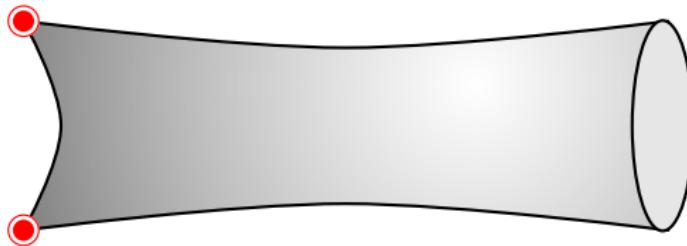
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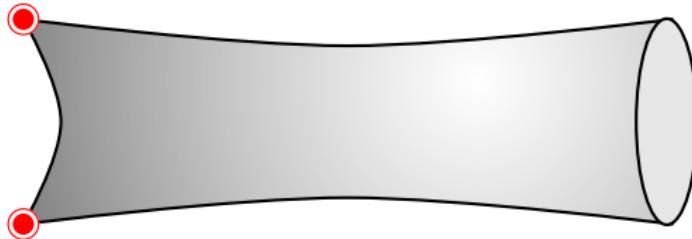
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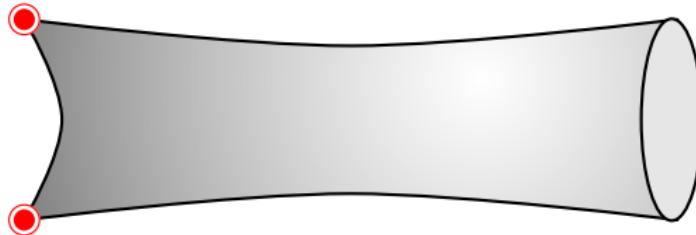
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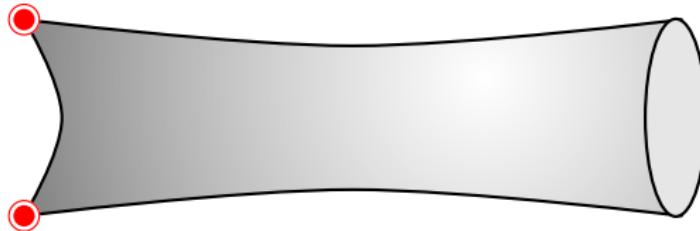
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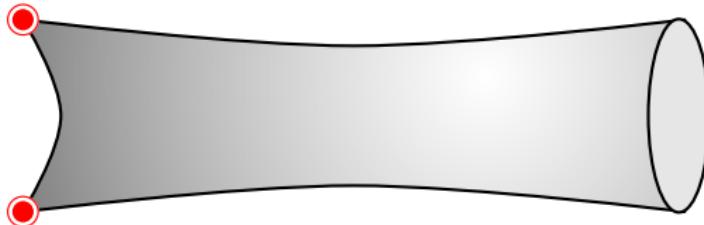
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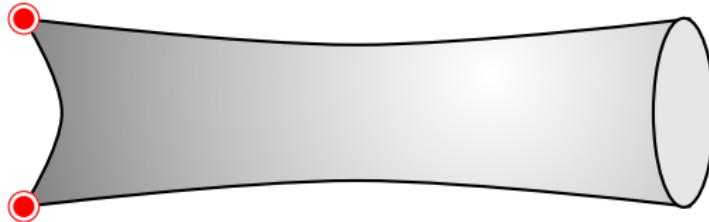
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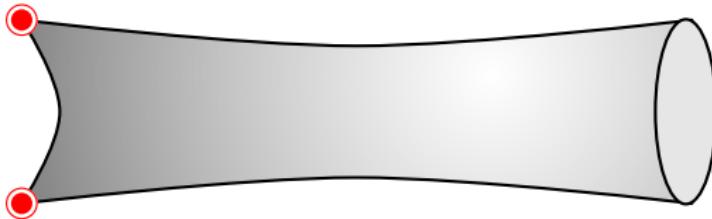
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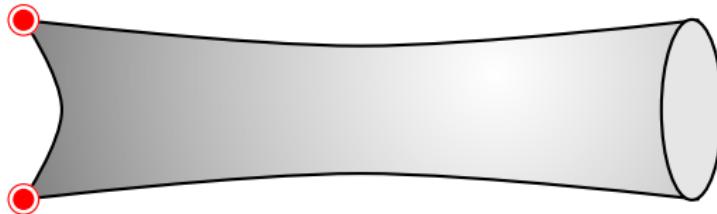
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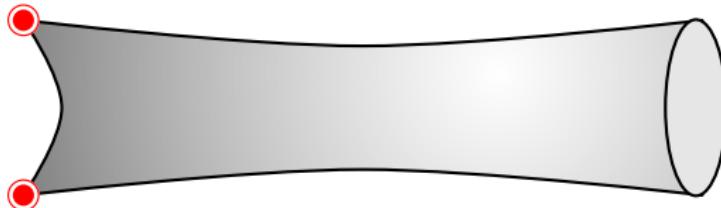
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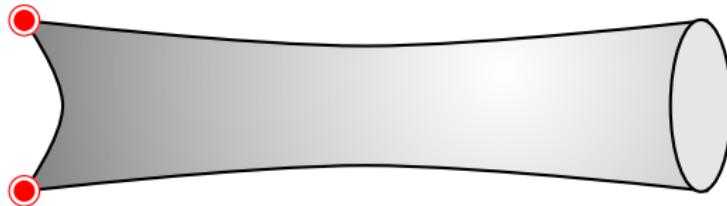
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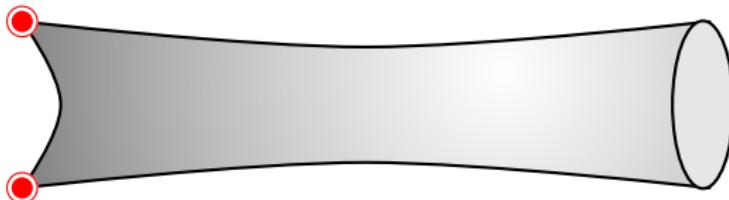
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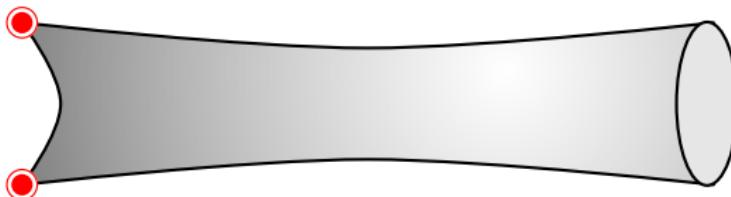
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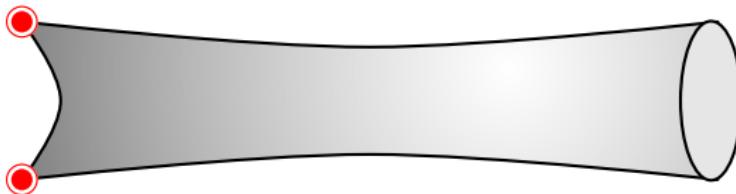
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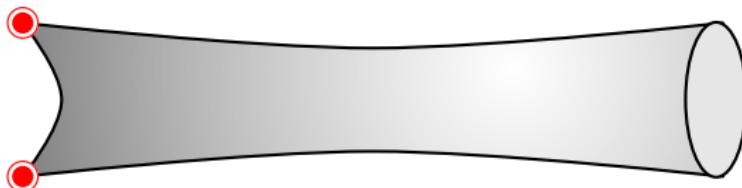
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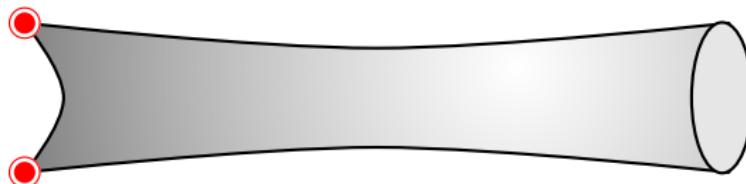
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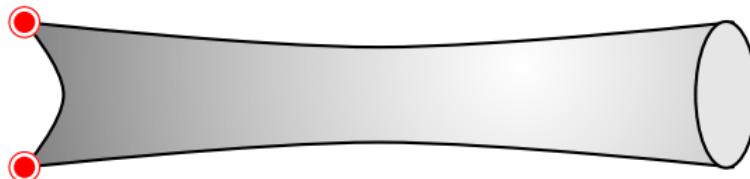
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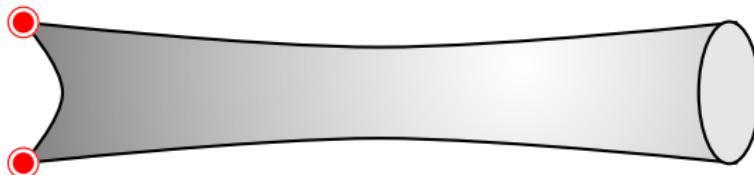
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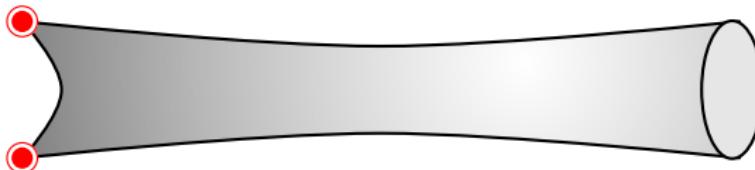
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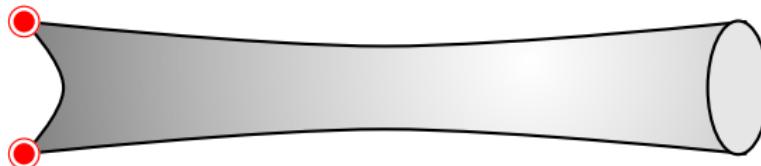
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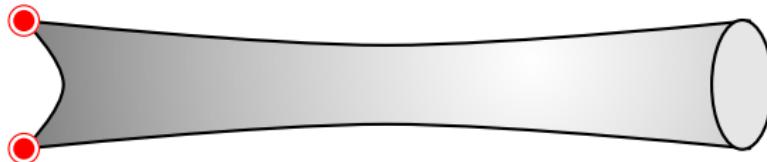
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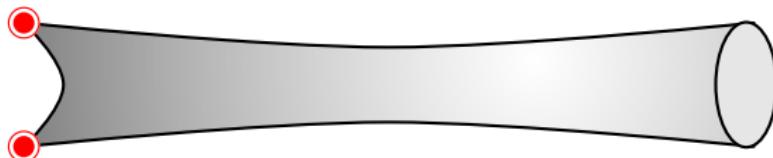
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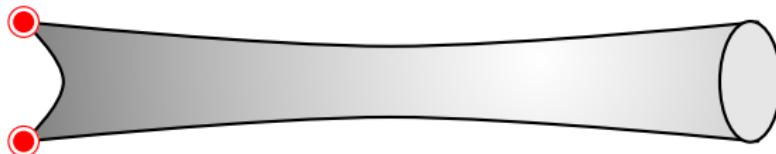
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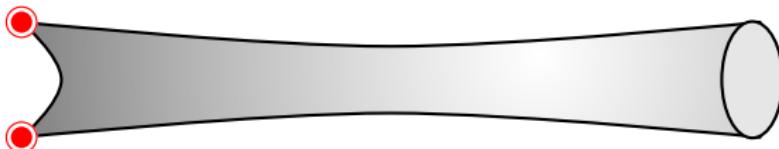
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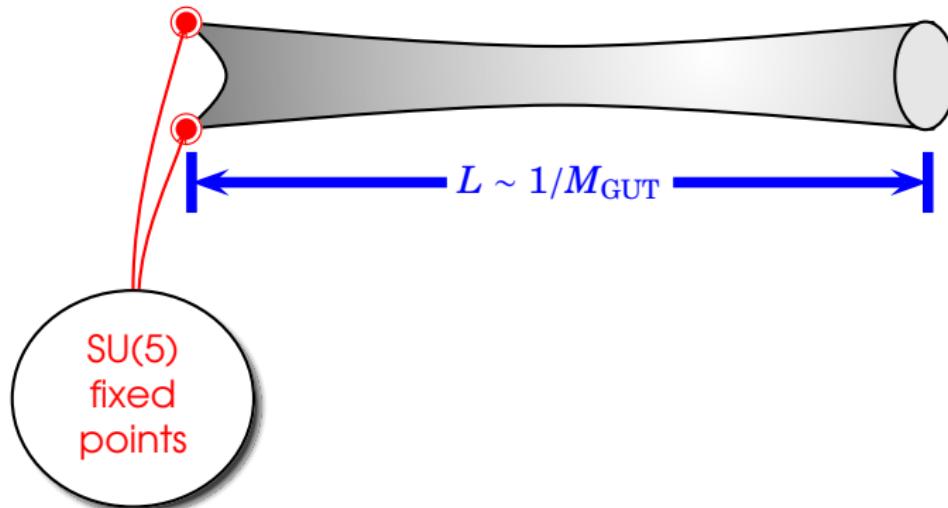
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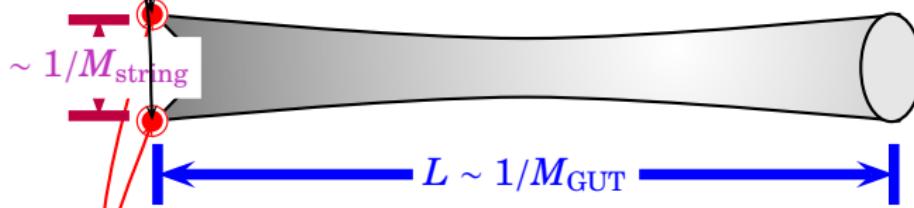
▶ back



# Adiabatic orbifold compactifications

▶ back

"stringy"  
description  
needed



SU(5)  
fixed  
points

# Adiabatic orbifold compactifications

"stringy"  
description  
needed

$$\sim 1/M_{\text{string}}$$

SU(5)  
fixed  
points

► back

"empty"  
fixed  
point(s)

non-local  
breaking  
 $SU(5)$   
↓  
 $G_{\text{SM}}$

# Adiabatic orbifold compactifications

"stringy"  
description  
needed

$$\sim 1/M_{\text{string}}$$

SU(5)  
fixed  
points

$$L \sim 1/M_{\text{GUT}}$$

▶ back

"empty"  
fixed  
point(s)

non-local  
breaking  
 $\text{SU}(5)$

$$\downarrow$$

$$G_{\text{SM}}$$

# Adiabatic orbifold compactifications

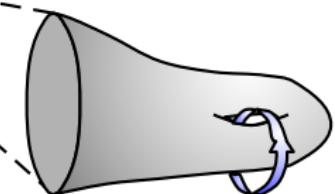
▶ back

"stringy"  
description  
needed

$$\sim 1/M_{\text{string}}$$

SU(5)  
fixed  
points

$$L \sim 1/M_{\text{GUT}}$$



# Adiabatic orbifold compactifications

"stringy"  
description  
needed

$$\sim 1/M_{\text{string}}$$

SU(5)  
fixed  
points

$$L \sim 1/M_{\text{GUT}}$$

► back  
no 1D or 2D  
picture



# Anisotropic orbifold compactifications

"stringy"  
description  
needed

$$\sim 1/M_{\text{string}}$$

SU(5)  
fixed  
points

▶ back

"empty"  
fixed  
point(s)

non-local  
breaking  
 $SU(5)$   
↓  
 $G_{\text{SM}}$

## bottom-line:

Anisotropic compactifications provide a solution to the GUT vs. string scale problem but require a stringy description of the small directions

# Non-local GUT breaking in heterotic orbifolds

Fischer, M.R., Torrado & Vaudrevange (2013b)

## ☞ **Complete classification** of (symmetric) heterotic orbifolds

- ☞ more detailed analysis of non-Abelian orbifolds

Konopka (2012) ; Fischer, Ramos-Sánchez & Vaudrevange (2013a)

- ☞ recent progress in asymmetric orbifolds

Beye, Kobayashi & Kuwakino (2013)

# Non-local GUT breaking in heterotic orbifolds

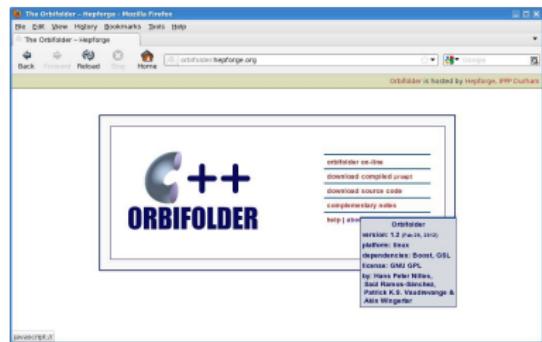
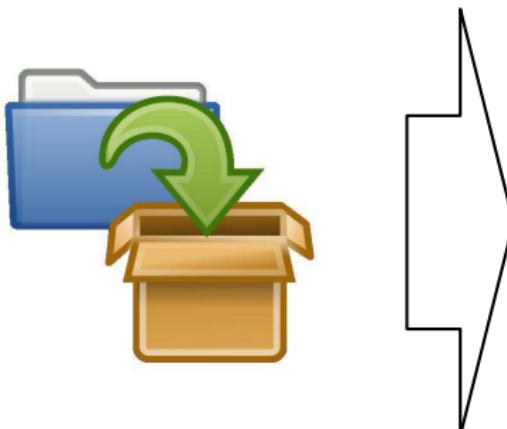
Fischer, M.R., Torrado & Vaudrevange (2013b)

- ☞ **Complete classification** of (symmetric) heterotic orbifolds
- ☞ 31 geometries with **non-trivial fundamental groups** (after orbifolding!) with point groups  $\mathbb{Z}_2 \times \mathbb{Z}_2$ ,  $\mathbb{Z}_2 \times \mathbb{Z}_4$  and  $\mathbb{Z}_3 \times \mathbb{Z}_3$
- ☞ 38 additional geometries with **non-trivial fundamental groups** in non-Abelian orbifolds  
Fischer, Ramos-Sánchez & Vaudrevange (2013a)
  - ☞ some models are non-chiral but chirality may be achieved by adding fluxes  
→ talk by S. Groot-Nibbelink  
Groot Nibbelink and Vaudrevange (2013)
  - ☞ recent analysis of  $\mathbb{Z}_2 \times \mathbb{Z}_4$  models w/ local GUT breaking  
→ talk by P. Oehlmann  
Pena, Nilles & Oehlmann (2012)

# Non-local GUT breaking in heterotic orbifolds

Fischer, M.R., Torrado & Vaudrevange (2013b)

- ☛ Complete classification of (symmetric) heterotic orbifolds
- ☛ 31 geometries with non-trivial fundamental groups (after orbifolding!) with point groups  $\mathbb{Z}_2 \times \mathbb{Z}_2$ ,  $\mathbb{Z}_2 \times \mathbb{Z}_4$  and  $\mathbb{Z}_3 \times \mathbb{Z}_3$
- ☛ Geometries online and ready to use



<http://orbifolder.hepforge.org>

Nilles, Ramos-Sánchez, Vaudrevange & Wingerter (2012)

# Non-local GUT breaking in heterotic orbifolds

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- ☛ **Complete classification** of (symmetric) heterotic orbifolds
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- ☛ Geometries online and ready to use with the C++ orbifolder
- ➡ Many promising models w/ non-local GUT breaking

Fischer et al. (in preparation)

# **Implications for the LHC**

# Implications for the LHC

- ☞ All(most all) moduli fixed in a supersymmetric way in MSSM vacua with residual (discrete and/or approximate)

*R* symmetries

Kappl, Petersen, Raby, M.R., Schieren & Vaudrevange (2011)

- ☞ Approximate *R* symmetries can explain an effective small constant in the superpotential

Kappl, Nilles, Ramos-Sánchez, M.R., Schmidt-Hoberg & Vaudrevange (2009)

- ☞ Approximate/discrete *R* symmetries provide us with a solution to the  $\mu$  problem

Brümmer, Kappl, M.R. & Schmidt-Hoberg (2010) ;

Lee, Raby, M.R., Ross, Schieren, Schmidt-Hoberg & Vaudrevange (2011) ; ...

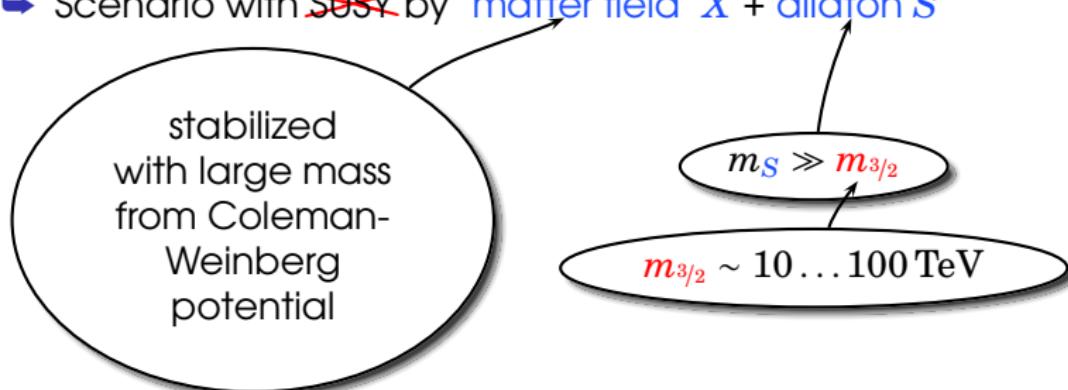
- ☞ Approximate/discrete *R* symmetries provide us with a solution to the proton decay problems of the MSSM

Lee, Raby, M.R., Ross, Schieren, Schmidt-Hoberg & Vaudrevange (2011) ; ...

# Implications for the LHC

- ☞ Al(most al)l moduli fixed in a supersymmetric way in MSSM vacua with residual (discrete and/or approximate)  
*R* symmetries
- Scenario with ~~SUSY~~ by 'matter field'  $X$  + dilaton  $S$

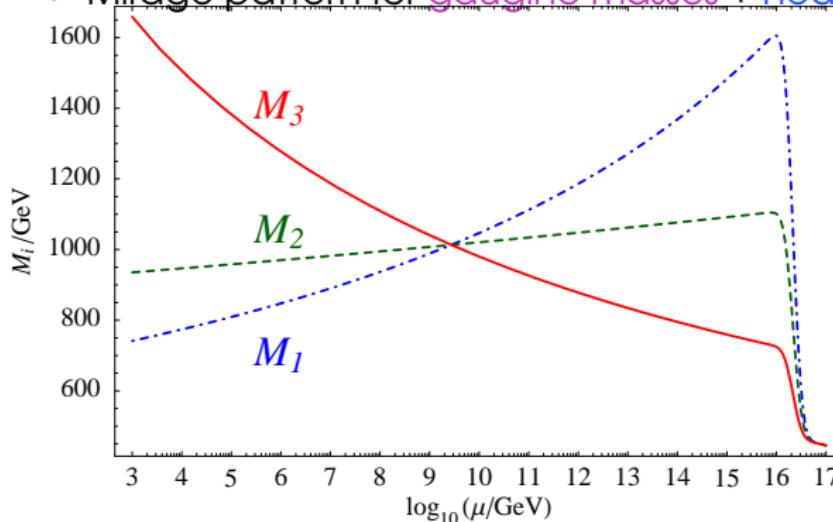
Kappl, Petersen, Raby, M.R., Schieren & Vaudrevange (2011)



Lebedev, Nilles & M.R. (2006) ; ...

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- ➡ Scenario with ~~SUSY~~ by 'matter field'  $X$  + dilaton  $S$
- ➡ Mirage pattern for gaugino masses + heavy sfermions
- ➡ Yields natural scenario for precision gauge unification (PGU)

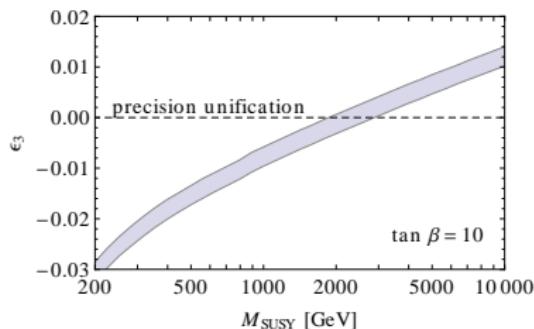
Carena, Clavelli, Matalliotakis, Nilles & Wagner (1993) ... Raby, M.R. & Schmidt-Hoberg (2010)

Krippendorf, Nilles, M.R. & Winkler (in preparation)

$$\epsilon_3 = \frac{g_3^2(M_{\text{GUT}}) - g_{1,2}^2(M_{\text{GUT}})}{g_{1,2}^2(M_{\text{GUT}})}$$

$$M_{\text{SUSY}} = \frac{m_{\tilde{W}}^{32/19} m_{\tilde{h}}^{12/19} m_H^{3/19}}{m_{\tilde{g}}^{28/19}} X_{\text{sfermion}}$$

$X_{\text{sfermion}} \sim 1$



# Implications for the LHC: Highlights

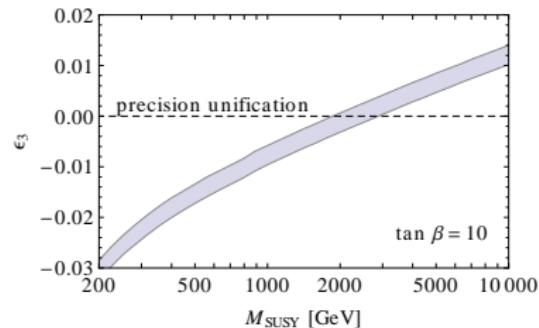
➡ PGU is consistent w/ small  $\mu$

detailed discussion in talk by M. Winkler

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# Implications for the LHC: Highlights

☞ PGU is consistent w/ small  $\mu$

detailed discussion in talk by M. Winkler

☞ Geometric properties of ingredients of top-Yukawa coupling entail 'focus point'

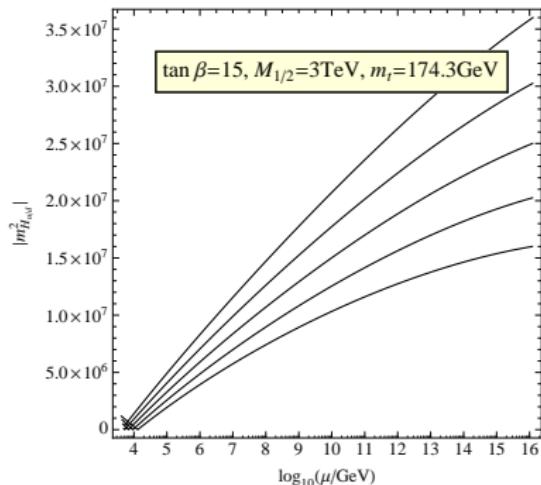
☞  $H_u$ ,  $Q_L$  &  $t_R$  bulk fields

→ Coinciding boundary conditions at high scale

→ 'Focus point'

Feng, Matchev & Moroi (2000)

Krippendorff, Nilles, M.R. & Winkler (2012)



# Implications for the LHC: Highlights

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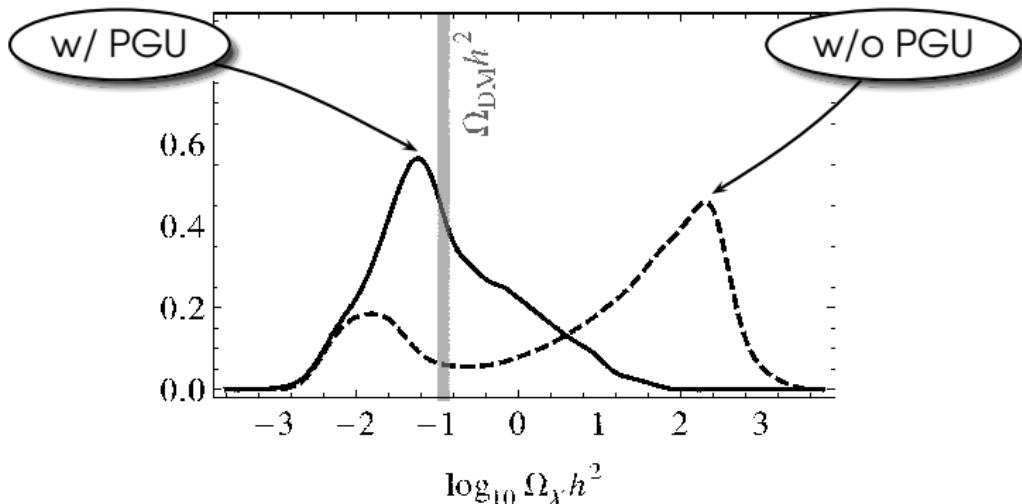
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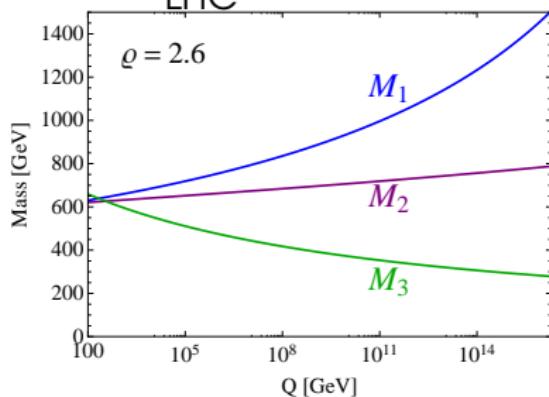
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Dreiner, Krämer & Tattersall (2012)



☞  $\varrho = \frac{3N - M}{2}$  for hidden  $SU(N)$  w/  $M$  fundamentals

Badziak, Krippendorf, Nilles & Winkler (2013)

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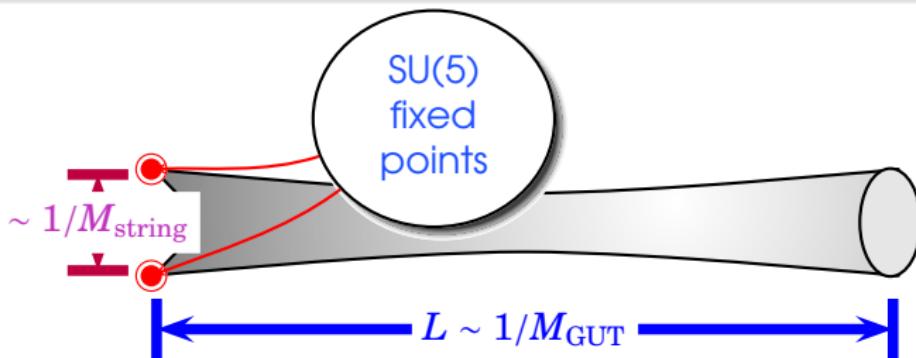
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Dreiner, Krämer & Tattersall (2012)

☞ Rather long-lived gluino

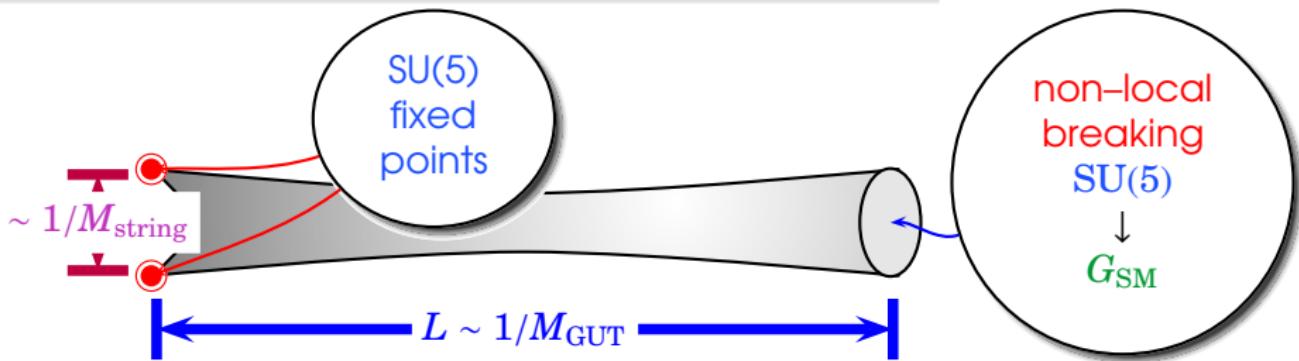
# **Summary**

# 'Hybrid breaking' of $E_8 \rightarrow G_{\text{SM}}$



- ① Local breaking  $E_8 \rightarrow \text{SU}(5)$
- Local GUTs explain complete matter representations
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- Simple(r) structure of soft masses for sfermions
- ② Non-local breaking  $SU(5) \rightarrow G_{\text{SM}}$
- No fractionally charged exotics
- Precision gauge unification

# Heterotic moduli stabilization & PGU

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- ☞ Interesting correlations between PGU and relic LSP abundance

**Thank you  
very much!**

and

**Bon(n)  
appetit!**

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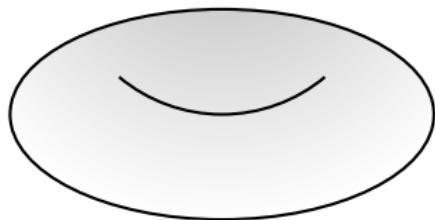
# **Backup slides**

- Orbifolds and Wilson lines
- Blaszczyk model
- SUSY vacua with residual  $R$  symmetries

# Orbifolds & Wilson lines

Ibáñez, Nilles & Quevedo (1987) ; Hall, Murayama & Nomura (2002)

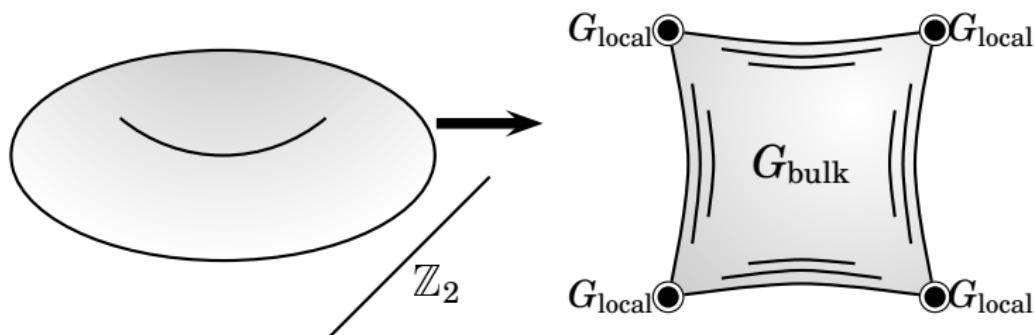
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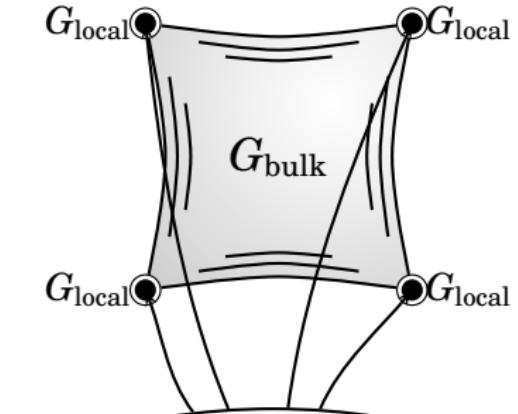
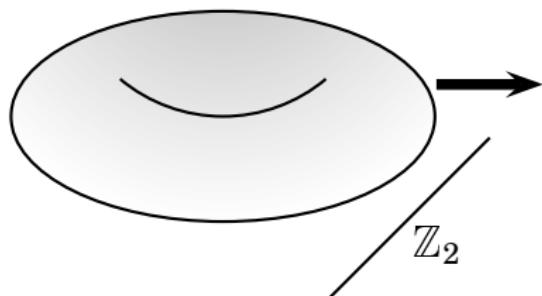
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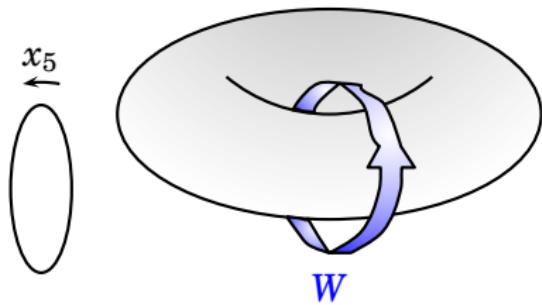
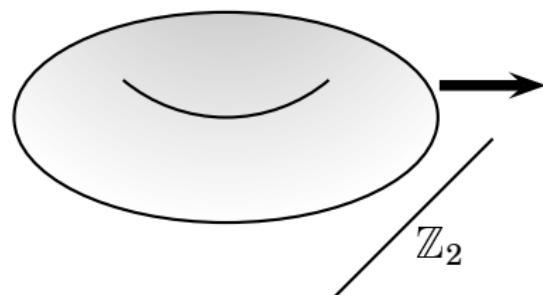


Gauge symmetry  
is broken **locally**  
at the fixed points

# Orbifolds & Wilson lines

Ibáñez, Nilles & Quevedo (1987) ; Hall, Murayama & Nomura (2002)

skip



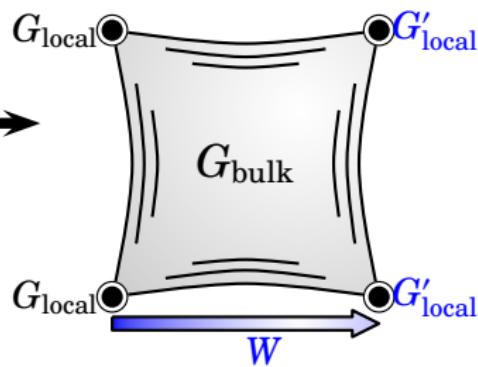
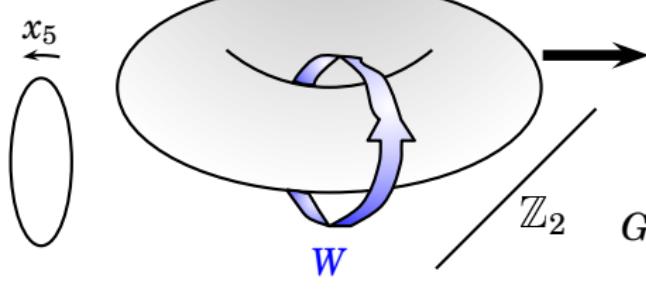
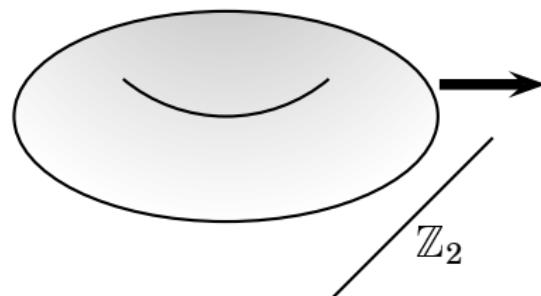
**Discrete Wilson line:**  
going once around the torus  
leads to a non-trivial phase

$$W = \oint dx_5 A_5$$

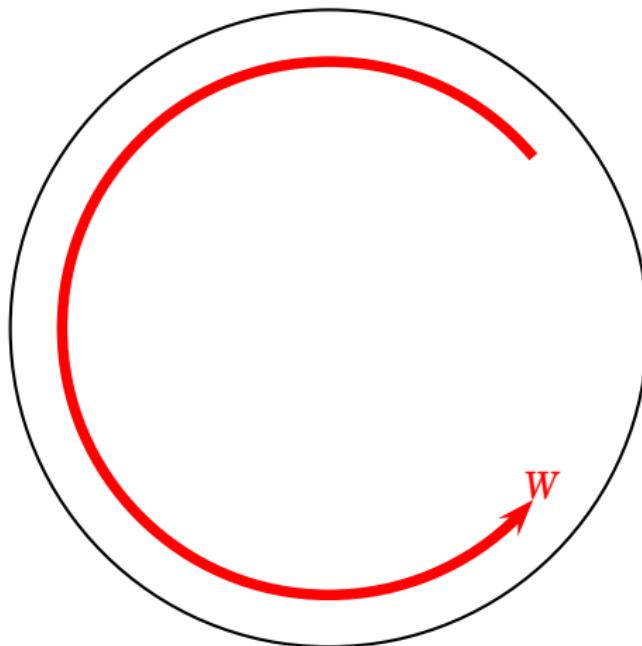
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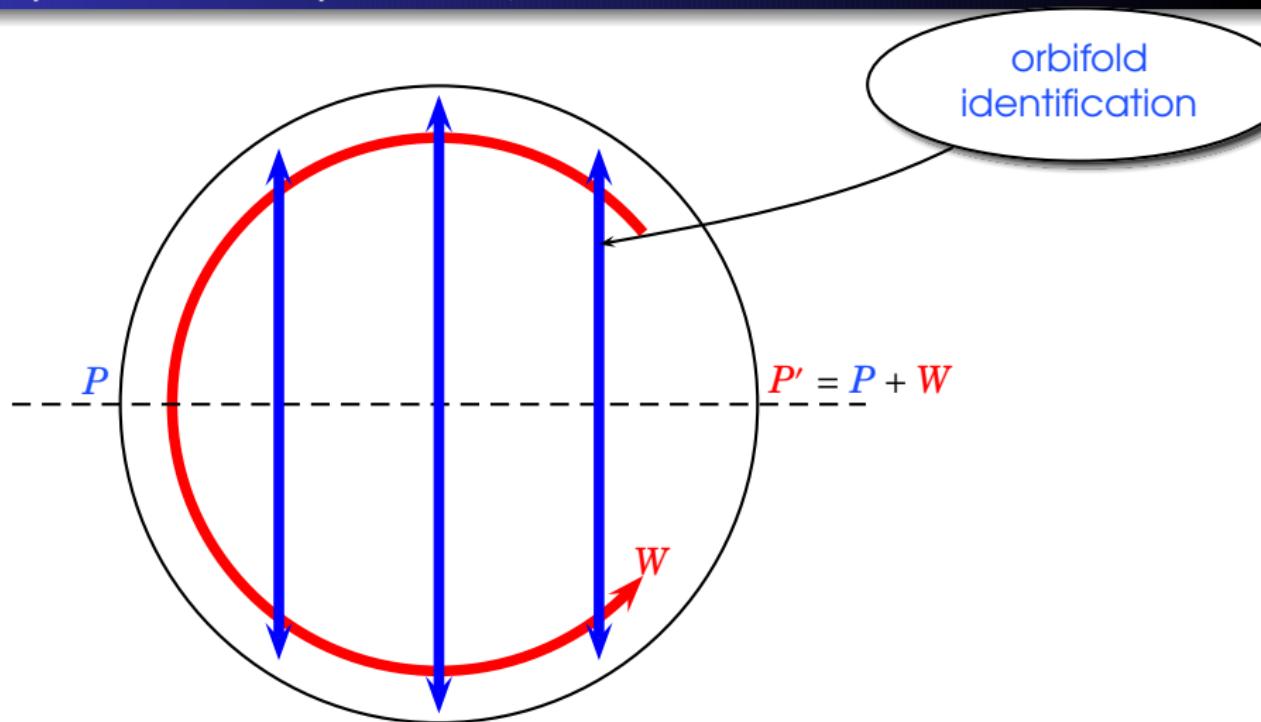
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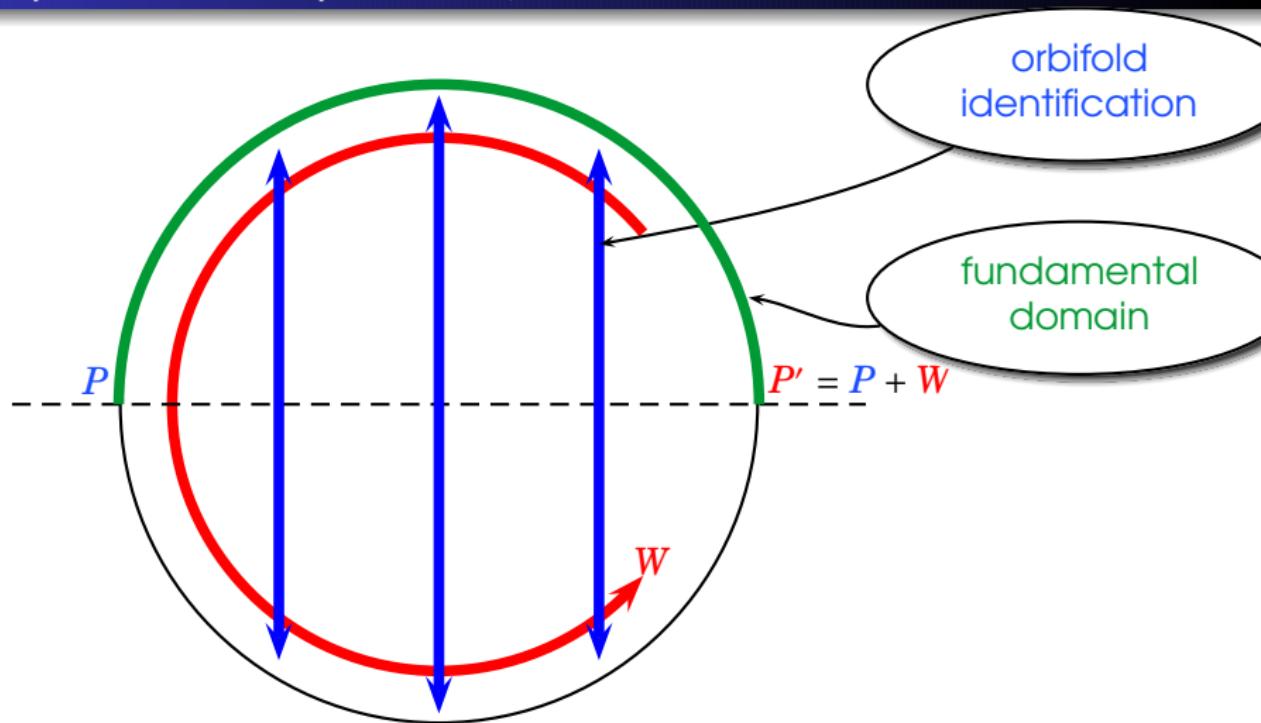
# Simplest example : $S^1/\mathbb{Z}_2$ with $\mathbb{Z}_2$ Wilson line



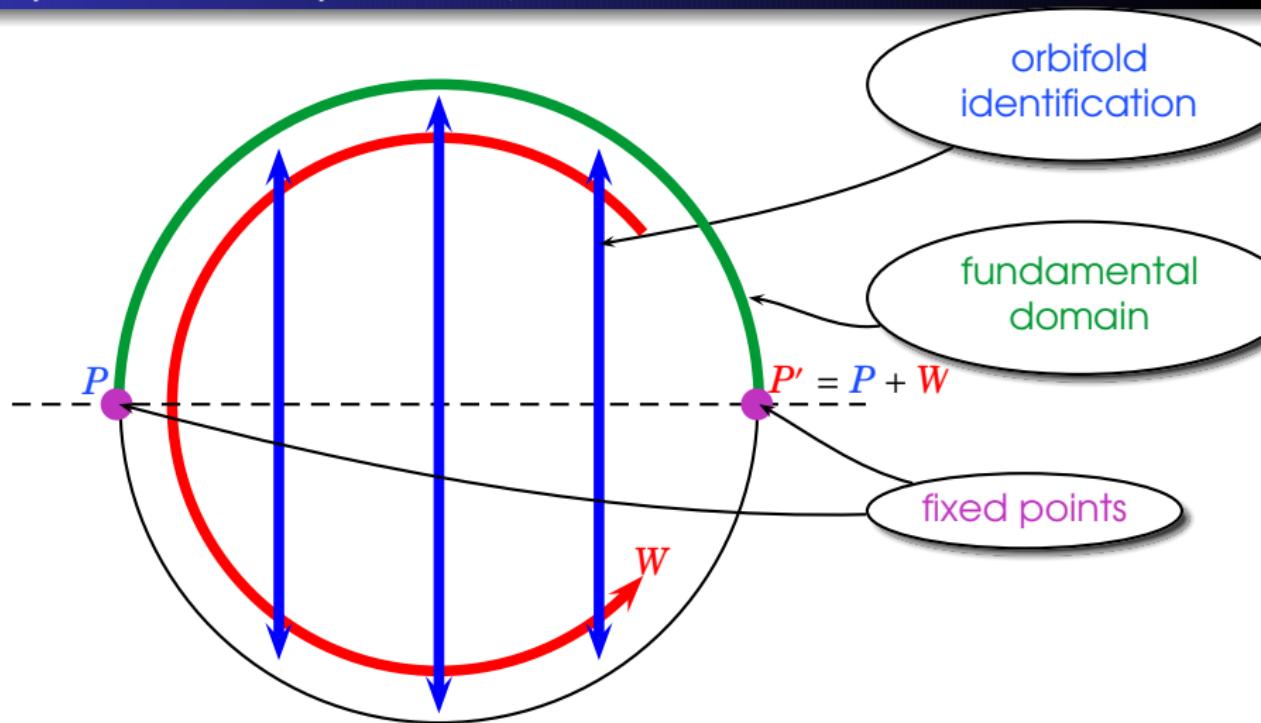
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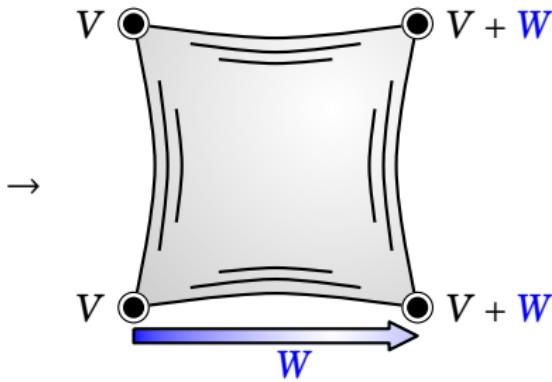
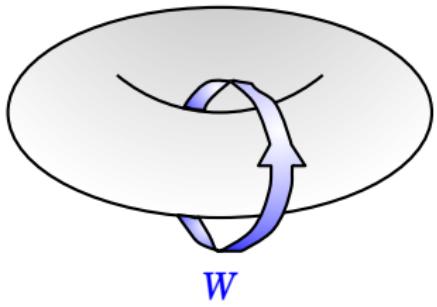
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# Simplest example : $S^1/\mathbb{Z}_2$ with $\mathbb{Z}_2$ Wilson line



# Orbifolds & Wilson lines



## Main message:

Discrete Wilson lines on the underlying torus leads to different boundary coditions at the fixed points

# $\mathbb{Z}_4^R$ from a $\mathbb{Z}_2 \times \mathbb{Z}_2$ orbifold model

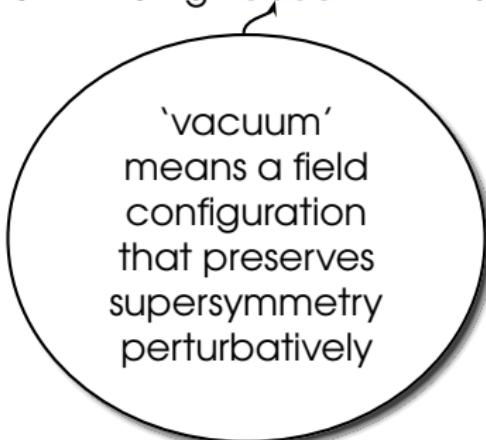
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😢 However:

- SU(5) Yukawa relations also for light generations
- hidden sector gauge group only SU(3)

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## bottom-line:

Successful string embedding of  $\mathbb{Z}_4^R$  possible!

# SUSY vacua with $\mathbb{Z}_4^R$

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e.g. Luty and Taylor (1996)

solutions of  $D$ -equations  $\cap$  solutions of  $F$ -equations = non-trivial

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# SUSY vacua with $\mathbb{Z}_4^R$ (cont'd)

▶ back

- ☞ Generalization: consider  $N$  fields  $\phi_0^{(i)}$  with  **$R$ -charge 0** and  $M$  fields  $\phi_2^{(j)}$  with  **$R$ -charge 2**

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- ➡  $M$  non-trivial mass terms (also for  $T$ - and  $Z$ -moduli!)
- ☞ Have identified configurations with  $N \geq M$  in our  $\mathbb{Z}_2 \times \mathbb{Z}_2$  model(s)