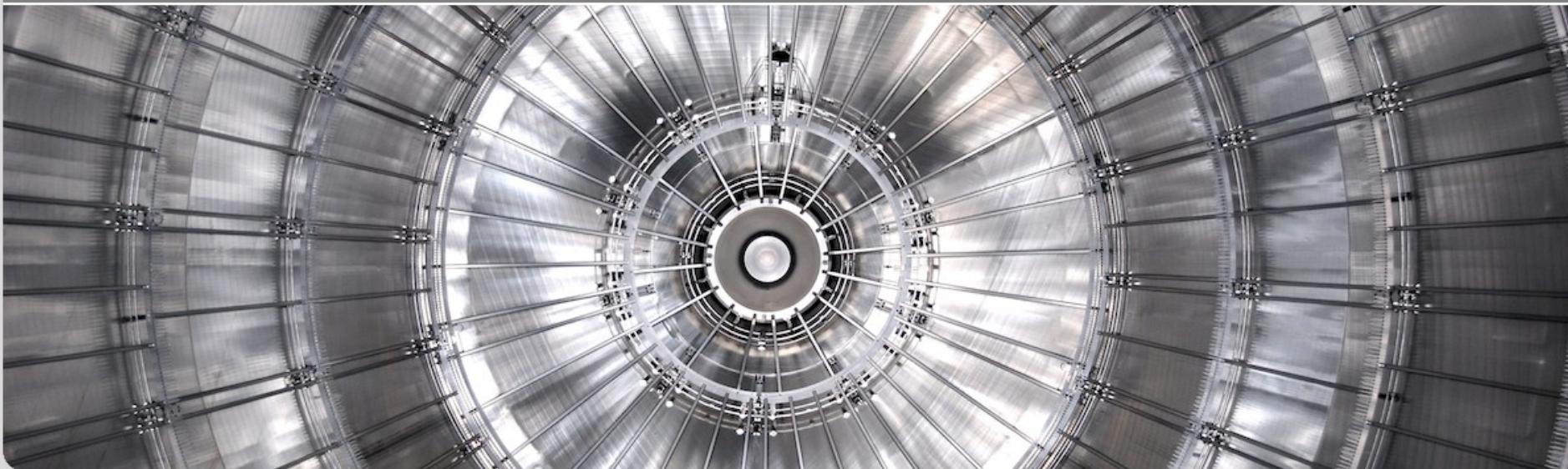


Status of the KATRIN Experiment

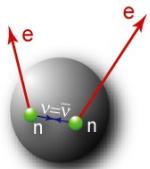
Kathrin Valerius
for the KATRIN collaboration
PANIC 2014, Hamburg

KIT Center Elementary Particle and Astroparticle Physics (KCETA)



Neutrino mass: laboratory experiments

search for $0\nu\beta\beta$ decay



model-dependent (CP-phases)

- effective Majorana mass:

$$m_{ee} = \left| \sum_{i=1}^3 U_{ei}^2 m_i \right|$$



- probes Majorana nature of ν
- status: $m_{\beta\beta} < 0.2 - 0.4$ eV
- potential: $m_{\beta\beta} = 20 - 50$ meV

GERDA, EXO/nEXO, SNO+,
MAJORANA, CUORE, CANDLES
KamLAND-Zen, NEXT ...

Track 3
Session I + II.5

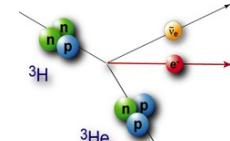
kinematics of β -decay or EC

model-independent

- squared neutrino mass:

$$m^2(\nu_e) = \sum_{i=1}^3 |U_{ei}|^2 m_i^2$$

- direct**, from kinematics
- status: $m_\nu < 2.3$ eV
- potential: $m_\nu = 200$ meV



KATRIN, MARE,
Project 8, ECHO

This session
II.1 & II.2
Poster 167



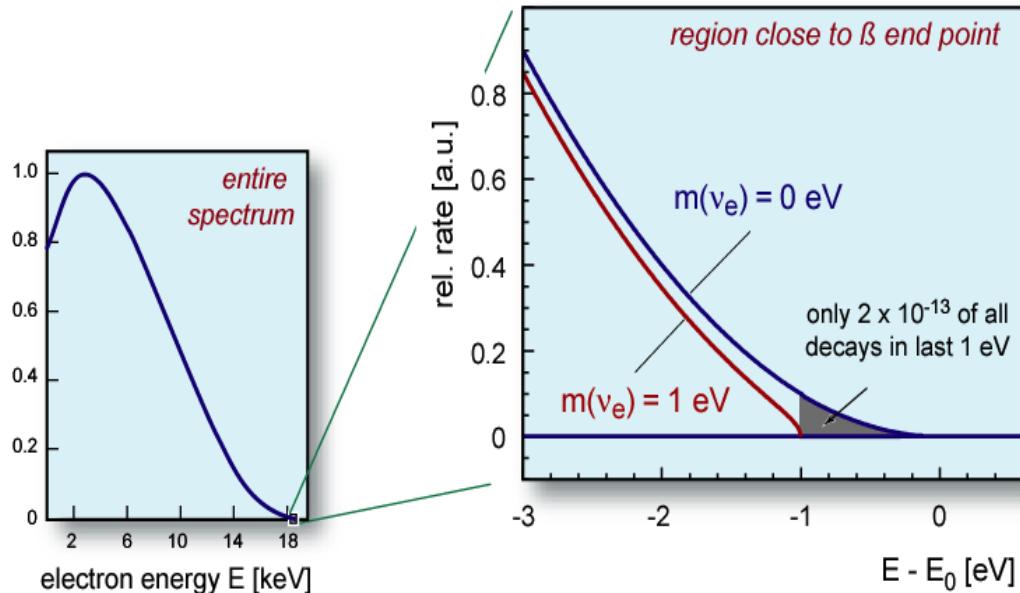
Direct neutrino mass measurements

Imprint of m_ν on endpoint region of β spectrum (similar for EC):

$$\frac{d\Gamma}{dE} = C \cdot p \cdot (E + m_e) \cdot (E_0 - E) \cdot \sqrt{(E_0 - E)^2 - m^2(\nu_e)} \cdot F(Z, E) \cdot \Theta(E_0 - E - m(\nu_e))$$

observable: effective mass square

$$m^2(\nu_e) = \sum |U_{ei}|^2 m_i^2$$



key requirements

- low-endpoint β source
- high count rate
- very good energy resolution
- very low background

β -decay: ${}^3\text{H}$ (${}^{187}\text{Re}$)
EC: ${}^{163}\text{Ho}$

ν -mass measurement in tritium β -decay

^3H β -decay

- ✓ Short $T_{1/2}$ of 12.3 y
→ high-intensity source
- ✓ Low endpoint of 18.6 keV
→ good rel. signal strength
- ✓ Gas, closed loop
→ high isotopic purity
- ✓ Computation of final states,
radiative & recoil corrections

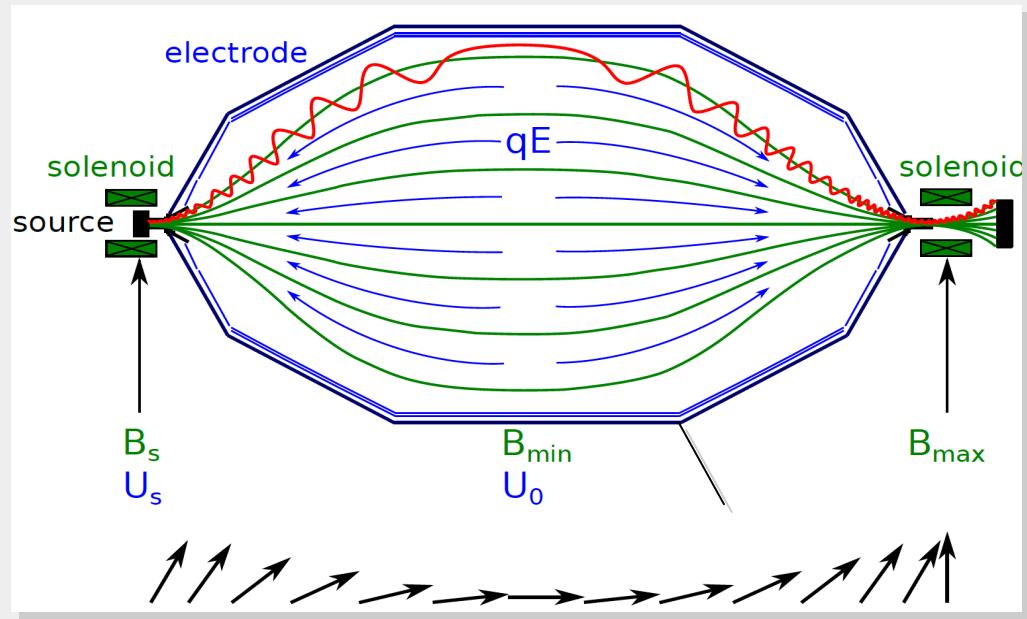
- Isotropic emission, strong B_s
- Energy filtering, weak B_{\min}
- Resolution:

$$\frac{\Delta E}{E} = \frac{B_{\min}}{B_{\max}} = \frac{1}{20000}$$

(at KATRIN)

MAC-E filter technique

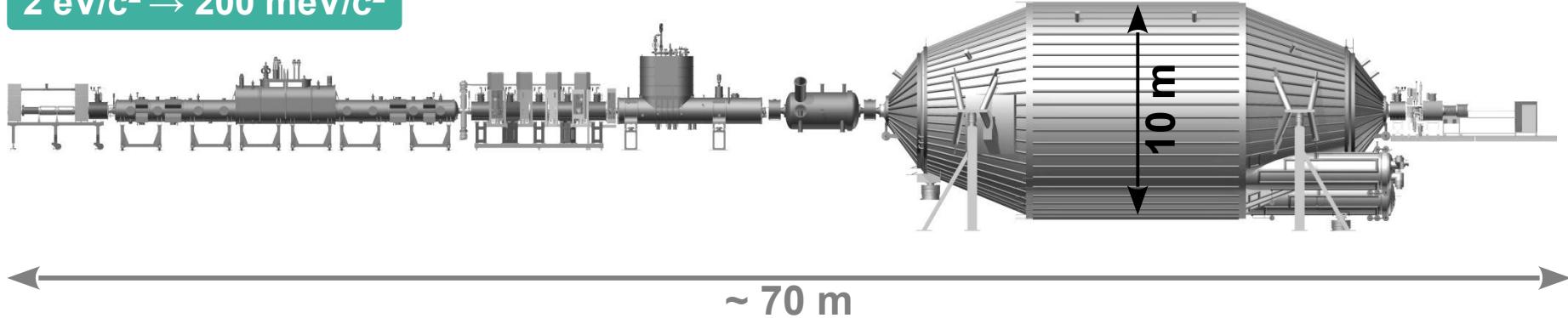
Magnetic Adiabatic Collimation with Electrostatic filter
Picard et al., NIM B63 (1992) 345



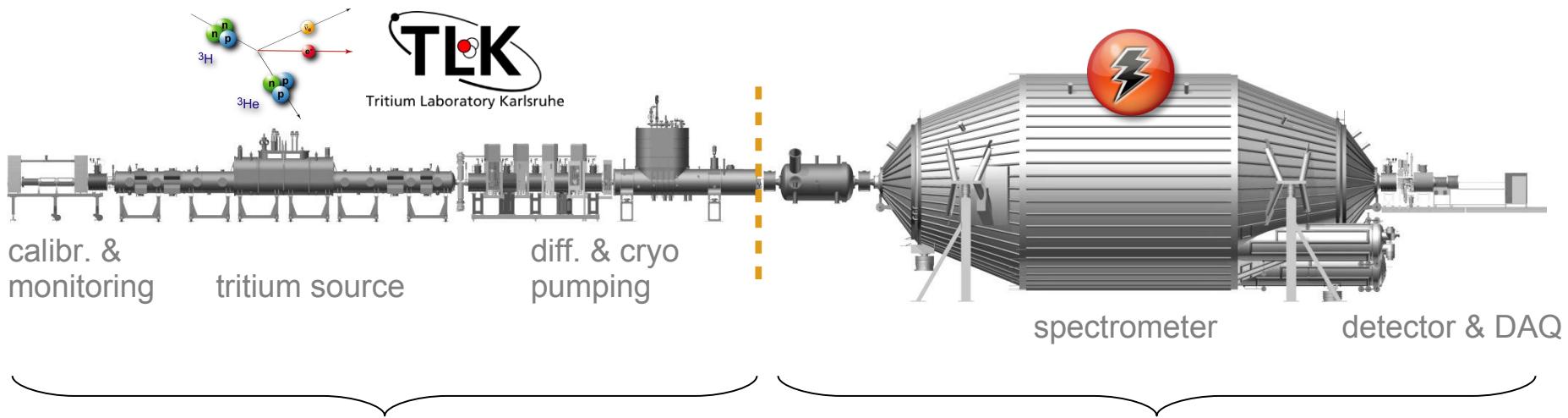
$$\mu = \frac{E_{\perp}}{B} = \text{const.}$$

KATRIN: overview

sensitivity on $m(\nu_e)$:
 $2 \text{ eV}/c^2 \rightarrow 200 \text{ meV}/c^2$



KATRIN: main components



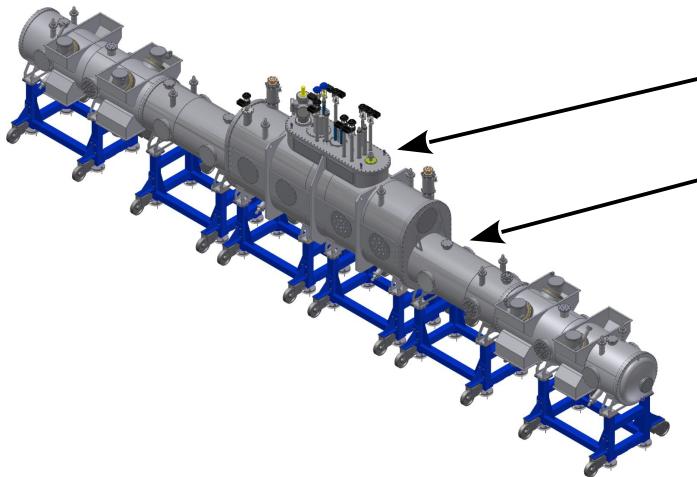
Source & transport section

- Windowless gaseous tritium source
 - Intensity (10^{11} s^{-1})
 - Stability (10^{-3} h^{-1})
 - Isotopic purity (> 95%)
- Tritium retention factor (> 10^{14})
- Adiabatic transport of electrons

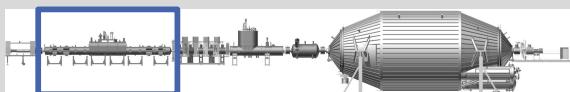
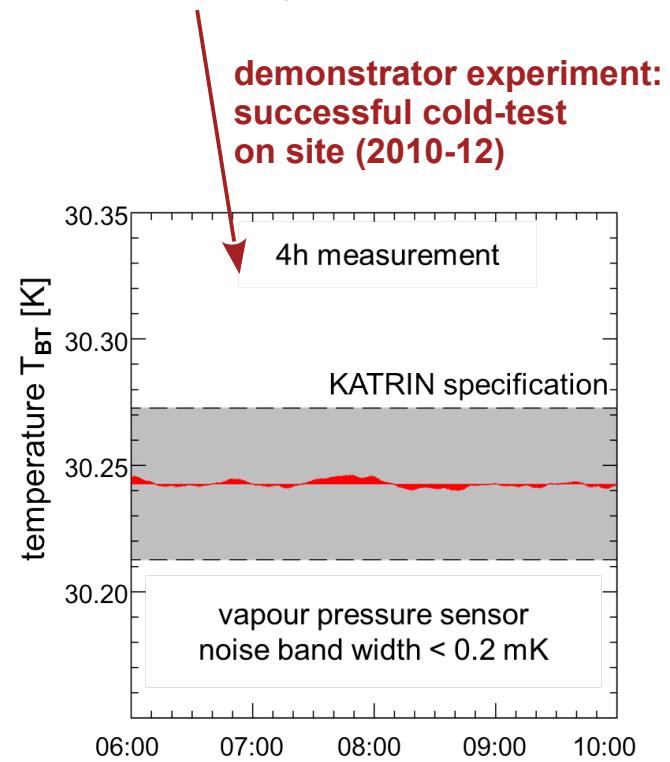
Spectrometer & detector section

- Spectrometer UHV ($p < 10^{-11} \text{ mbar}$)
- Energy resolution (<1 eV at 18.6 keV)
- High voltage stability (ppm/month)
- Low background rate (10^{-2} cps)
- High detection efficiency (mHz to kHz)

Windowless gaseous tritium source



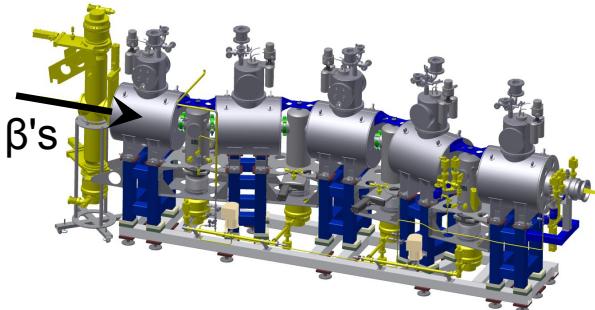
Pressure-stabilized tritium injection
Circulation & purification (throughput 20 g/day)
10 m beam tube
Novel 2-phase neon cooling:
 $T = (30 \pm 0.03) \text{ K}$ (1 h stability requirement)



Transport and pumping sections

Differential pumping section (DPS)

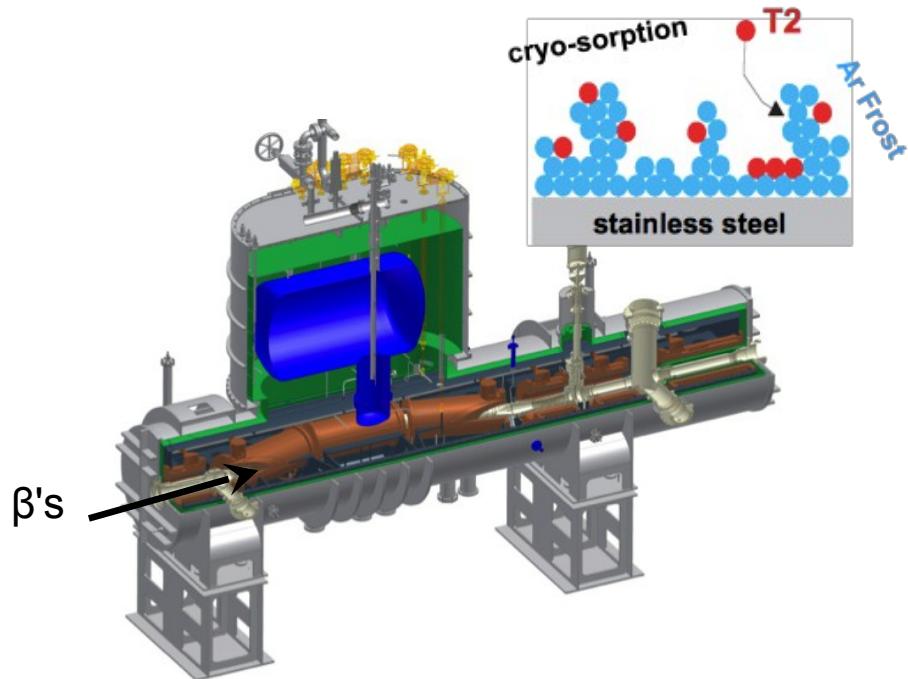
- 4 turbo-molecular pumps
- Tritium retention $\sim 10^5$
- Magnetic guiding of β 's (5.6 T)



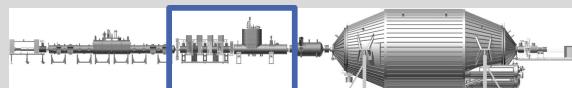
DPS being installed right now

Cryogenic pumping section (CPS)

- Cryo-sorption on 3-4 K argon frost
- Tritium retention $> 10^7$
- Magnetic guiding of β 's (5.6 T)

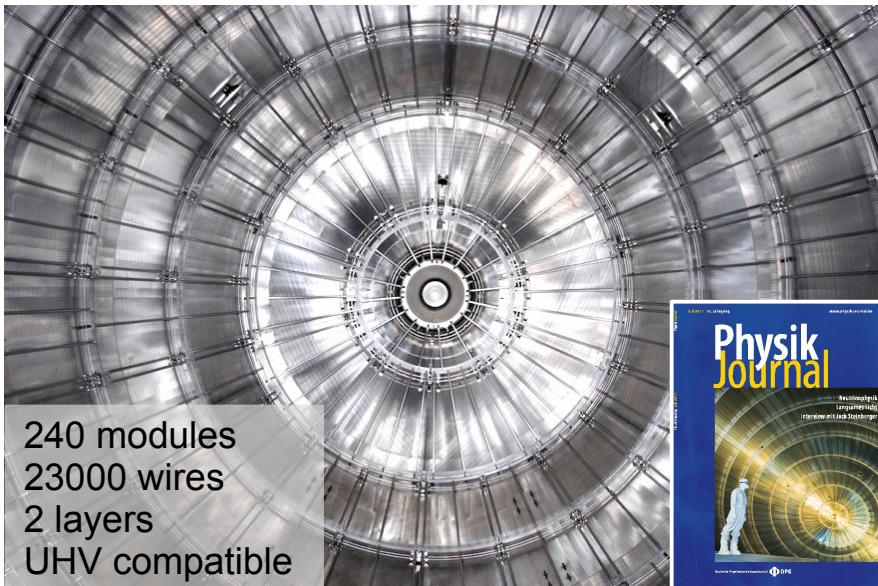


CPS under construction,
delivery end of 2014



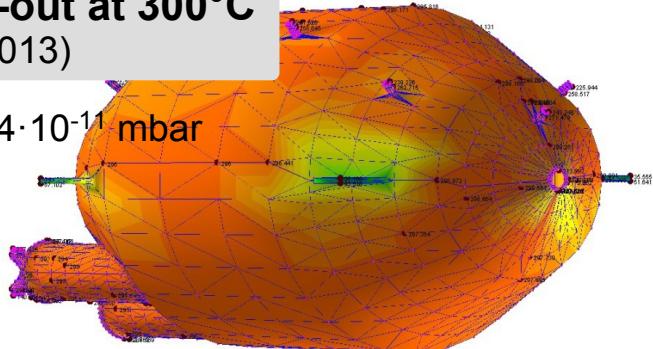
Spectrometer and detector section

Installation of wire electrodes (~2007-2012)



Bake-out at 300°C (Jan 2013)

✓ $p = 4 \cdot 10^{-11}$ mbar

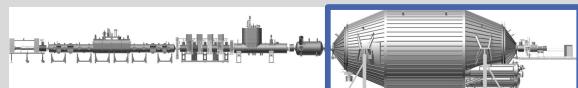


Detector tests (until spring 2013)

- 148 pixel Si-PIN diode
- FWHM < 1.5 keV
at 18.6 keV
- Post-acceleration
up to 10 kV



Commissioning of main spec. & detector:
mid-2013 (phase I)



KATRIN: commissioning measurements

Set-up for spectrometer & detector commissioning, phase I (summer 2013)

Magnetic fields

- s.c. magnets
- field-shaping air coil systems

Precision high voltage

vessel + wire electrode
at separate HV



electron gun

well-defined, sharp
energy and angle



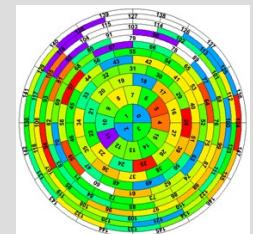
vacuum system

- TMPs
- 3 x 1 km NEG strips, 10^6 l/s
(+ LN-cooled baffles)



148-pix detector

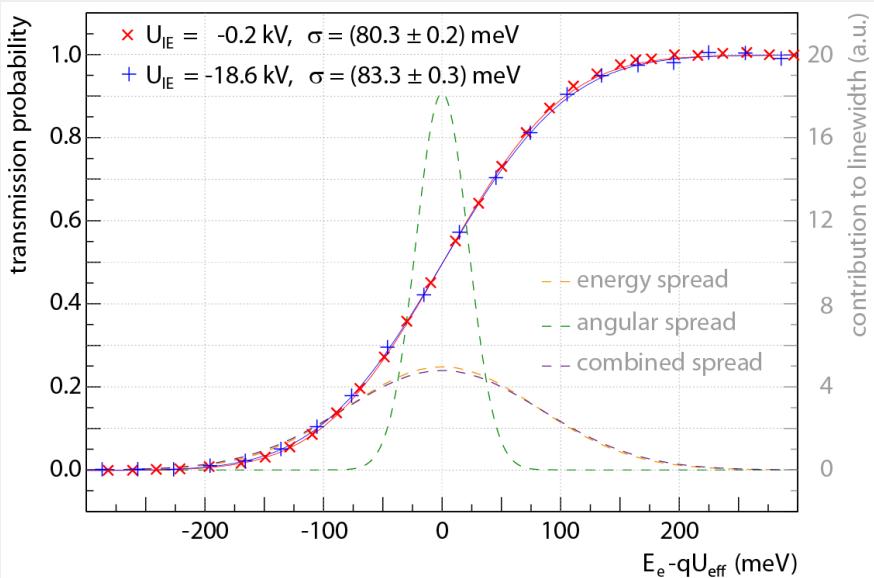
spatial & timing info



KATRIN: commissioning measurements

Characterisation of transmission

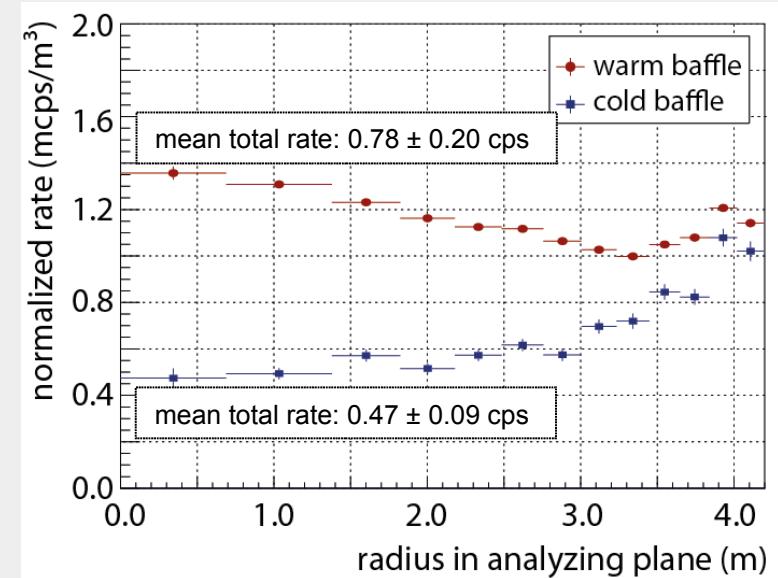
Quasi-monoenergetic, angular selective electron source



- At 18.6 kV, width < 100 meV
- Sharpest transmission function of a MAC-E filter

Extensive background studies

Radial dependence; various E, B, residual gas pressure settings

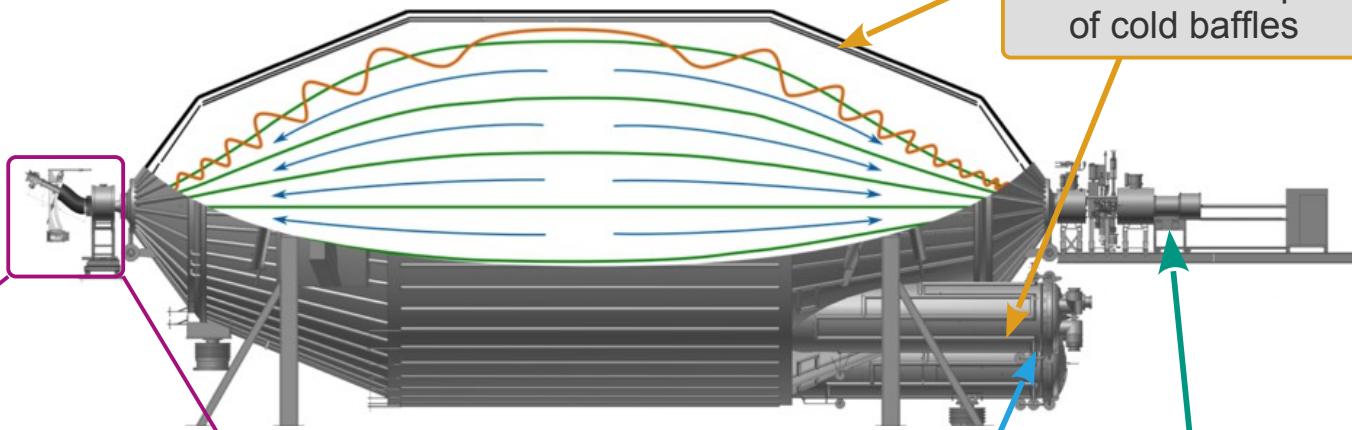


- Discriminate cosmic- and Rn-induced BG
- Need to cut down total rate to ~0.01 cps

... will be improved during 2014 commissioning runs

Next steps for KATRIN

Spectrometer & detector commissioning:
phase II starting 09/2014



upgrade of electron gun

improved angular
selectivity and energy res.

upgrade of vacuum system

electrical heating of
NEG pumps

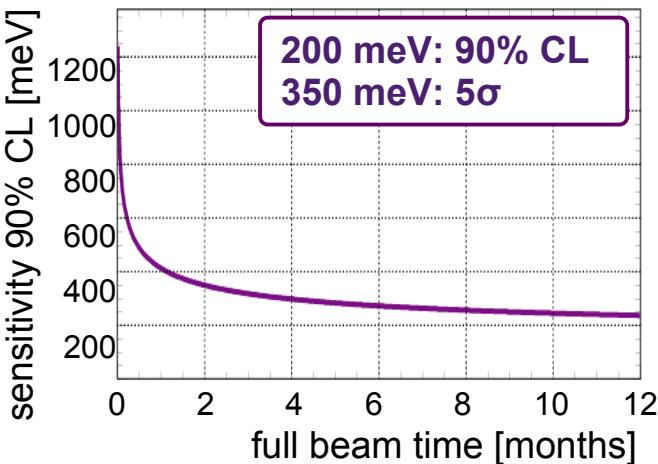
improved background suppression

- double-layer wire electrode
- continuous operation
of cold baffles

refined system alignment

imaging of flux tube
onto detector

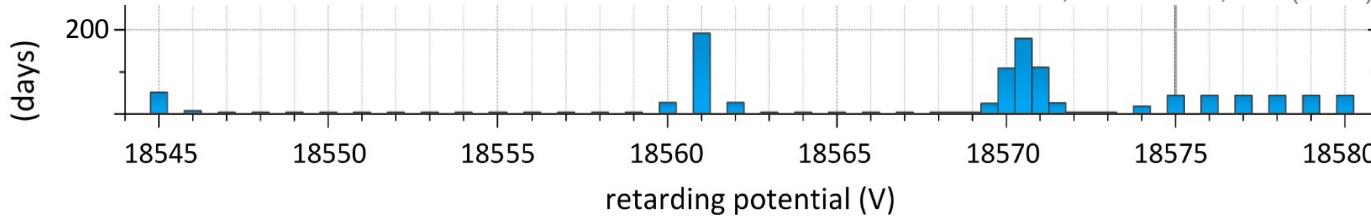
KATRIN: ν -mass sensitivity



Reference neutrino mass sensitivity

- Observable: $m^2(\nu_e)$
- After **3 yrs** of data (5 calendar yrs): balance of **statistics** and **systematics**

$\sigma_{\text{stat}}(m^2_\nu) \leq 0.018 \text{ eV}^2$ (even 0.016 eV² with optimized measuring time distribution)
M. Kleesiek, PhD thesis, KIT (2014)



$\sigma_{\text{syst}}(m^2_\nu) \leq 0.017 \text{ eV}^2$ – total systematic uncertainty budget

- Source-related (final states, energy loss, column density, plasma potential, ...)
- Other (HV fluctuations, transmission function, non-Poissonian backgrounds, ...)

KATRIN: ν -mass sensitivity ... and more:

Explore physics potential

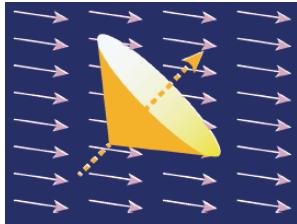
- close to the spectral endpoint E_0 :

RH currents

Bonn et al. (2011)

Violation of Lorentz symmetry

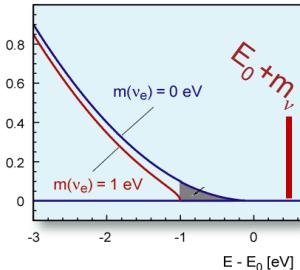
Diaz, Kostelecky & Lehnert (2013)



Constraining local

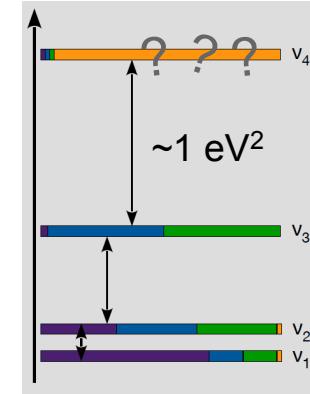
CvB overdensities

e.g. Kaboth & Formaggio (2010)



capture of
relic ν on
 β -instable
nuclei

Search for eV-scale sterile ν



- and further away from E_0 :

search for keV-mass scale sterile ν as WDM candidates

N. Steinbrink et al. (2013), S. Mertens et al. (in prep.)

non-standard
operation, requires
novel concepts

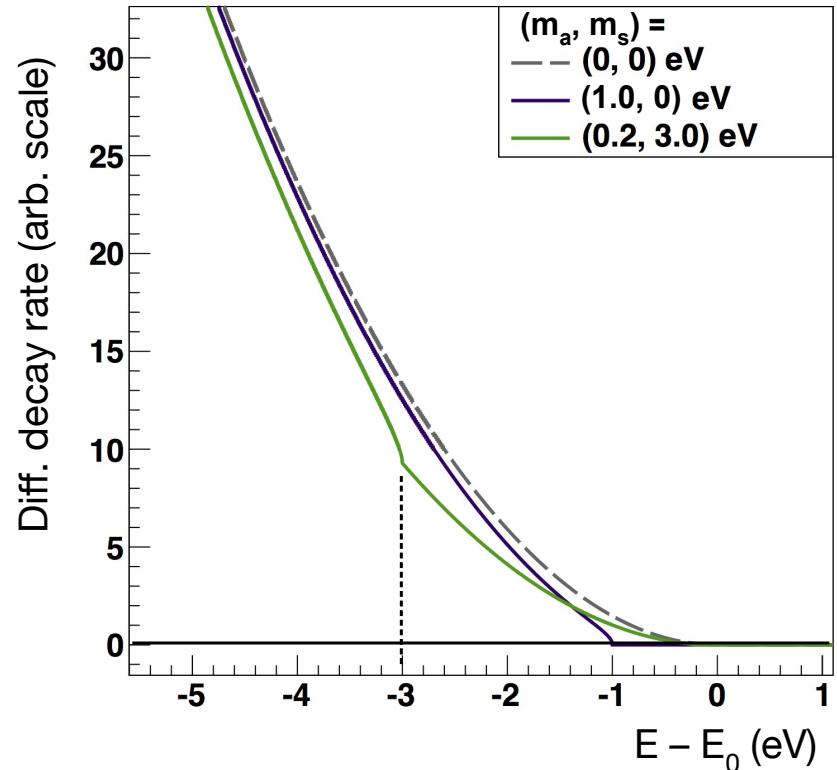
Search for eV-scale sterile neutrinos

Shape modification below E_0 by active (m_a)² and sterile (m_s)² neutrinos:

$$\frac{d\dot{N}}{dE} = \left[\cos^2 \theta_s \frac{d\dot{N}}{dE}(m_a^2) + \sin^2 \theta_s \frac{d\dot{N}}{dE}(m_s^2) \right]$$

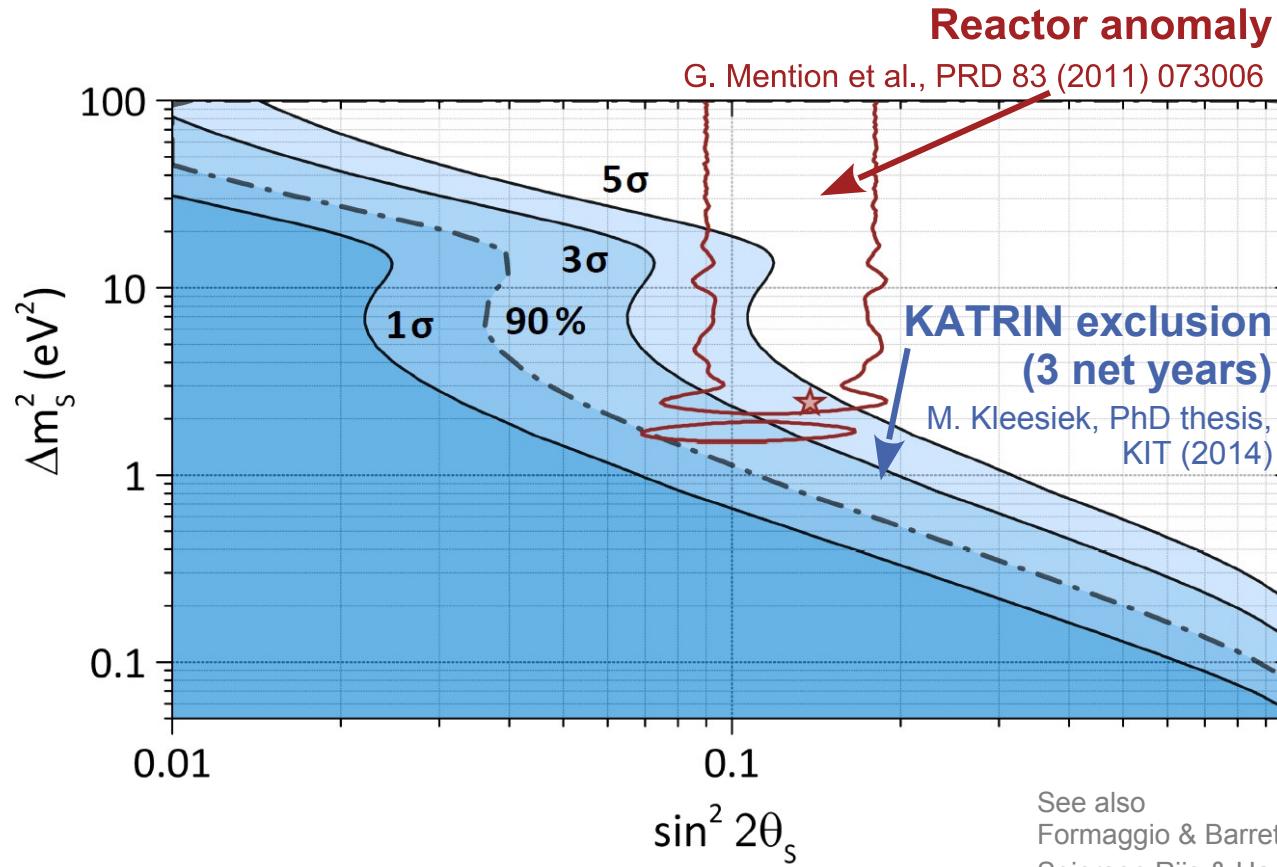


additional kink
in β spectrum at
 $E = E_0 - m_s$



Search for eV-scale sterile neutrinos

- “Reactor antineutrino anomaly”: $\Delta m_s^2 \sim 1 \text{ eV}^2$, $\sin^2(2\theta_s) \sim 0.1$
- Favoured parameter space can be probed by KATRIN:

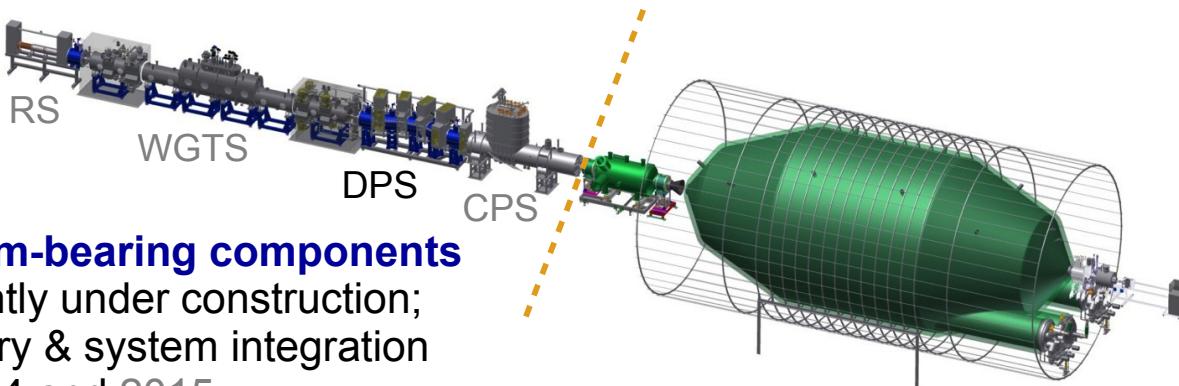


Status & outlook

- β decay offers model-independent, **direct** access to neutrino mass scale
- KATRIN sensitivity on $m(\nu_e)$: **200 meV/c²** (90% CL, 3y)
→ ultimate MAC-E type experiment using molecular tritium

“Cyclotron spectroscopy”: exciting exploratory work with **Project 8** (→ talk M. Fertl)

Status of KATRIN hardware & system integration



Tritium-bearing components
currently under construction;
delivery & system integration
in 2014 and 2015

Spectrometer & detector section
successfully completed commissioning phase I
just now entering phase II

First runs with entire KATRIN beam line in 2016